# Claude The Chevalley The Algebraic Theory of Spinors and Clifford Algebras



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Claude Chevalley in a kimono gown during a Bourbaki meeting, August 1954 (Photograph by H. Cartan. Reproduced by kind permission of L'Association des Collaborateurs de Nicolas Bourbaki) Claude Chevalley

# The Algebraic Theory of Spinors and Clifford Algebras

**Collected Works, Volume 2** 

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### Foreword

In 1982, Claude Chevalley expressed three specific wishes with respect to the publication of his Works.

First, he stated very clearly that such a publication should include his nontechnical papers. His reasons for that were two-fold. One reason was his lifelong commitment to epistemology and to politics, which made him strongly opposed to the view otherwise currently held that mathematics involves only half of a man. As he wrote to G.C. Rota on November 29th, 1982: "An important number of papers published by me are not of a mathematical nature. Some have epistemological features which might explain their presence in an edition of collected papers of a mathematician, but quite a number of them are concerned with theoretical politics (...) they reflect an aspect of myself the omission of which would. I think, give a wrong idea of my lines of thinking". On the other hand, Chevalley thought that the Collected Works of a mathematician ought to be read not only by other mathematicians, but also by historians of science. But the history of mathematics could not be anything pure and detached from the world of general ideas: "I think that history of mathematics should not be what it too often is, namely a collection of statements of the form 'in the year X, mathematician A proved theorem B', but should study the relationship between such and such a mathematical trend and the general epistemological, philosophical or social trend at the time of a certain publication". For these two reasons, he did not want his technical papers to be published separately from his other work. Though he was never fully satisfied with the various ways in which he himself spoke about the connection between his own mathematical achievements and the "epistemological, philosophical or social trend of ideas" that surrounded him, still he clearly wanted to bear witness to such a connection.

Chevalley's second wish had to do with some out-of-date features, and also typographical defects, of his *mathematical papers*: "As for the mathematical papers, I know that some of them contain statements which are either false or at least inaccurate, and I do not see the interest of publishing statements of theorems which might be misleading to the reader. Of course, this drawback might be erased by the insertion of appropriate notes; the trouble is that these papers bear upon matters on which I have not thought for a long time, and that it would mean a large amount of work to check every sentence of them, a work which I do not particularly wish to undertake myself, and a pensum that I would not like to inflict on anybody else". So described, the logic of the situation would have led directly to the abandonment of the very project of publishing the Works, if various people had not generously agreed to devote some of their time to the above-mentioned task of proof-reading.

Finally, Chevalley also wished to add to the papers already published a number of unpublished manuscripts, mathematical and non-mathematical. The mathematical manuscripts were to include two long "rédactions Bourbaki" that Bourbaki did not accept - a familiar predicament for the members of the group - namely, "Introduction to Set Theory" and "Elementary Geometry". As Chevalley wrote: "That choice should in my opinion include two unpublished papers which were written for 'Bourbaki', but were not accepted for publication, and which are among what I consider as the best of my mathematical endeavours". Together with these two manuscripts, Chevalley hoped to publish several other things: "I would like to include some texts concerned with things I have thought of during these last years, but which are not yet in publishable form" (letter to K. Peters, June 8th, 1983). At the beginning of 1984, he was working on a list of all the unpublished material that he wanted to bring to light. This list, which he was not able to complete, will be published in Volume I (Class Field Theory) of the Collected Works, together with the integral text of the letters which we have been quoting above.

Thanks to the help and support of Springer-Verlag and the French *National Center for Scientific Research* (CNRS), it has now become possible to publish Chevalley's Works in a way that should fulfill the essence of his requirements, and we hope that the volumes that will come out will provide a fitting image of his contributions and personality.

Each volume will be devoted to a special theme and will feature an introduction by a specialist of the field. As it happens chronological ordering and thematic ordering are almost identical, and the only discrepancy will be with the non-technical papers and the unpublished manuscripts, often difficult to date back. The first volume, "Class Field Theory", includes the two obituaries that were written by J. Tits and J. Dieudonné after Chevalley died in 1984. Letters by and to Jacques Herbrand and Emmy Noether will be published in the volume on epistemology and politics. We hope to establish a complete bibliography, to be included in the last volume, that will otherwise include large parts of the unpublished material. Finally, most of the mathematical or philosophical correspondence that Chevalley held with other people is missing. This is partly due to the fact that his healthy disrespect for glory and the absence of a personal need to keep a record of his own existence had devastating effects on his archives. We will therefore be grateful for copies of any such letters, in case they exist and seem to be interesting or important.

# **Collected Works of Claude Chevalley**

- I. Class Field Theory
- II. Spinors
- III. Commutative Algebra and Algebraic Geometry
- IV. Algebraic Groups
- V. Epistemology and Politics
- VI. Unpublished Material and Varia

This volume represents the first step in the ambitious project of publishing Claude Chevalley's Collected Works. The project is supported by a contract (code name : GDR 942) with the French *Centre National de la Recherche Scientifique* (CNRS), and I am acting as chairman of the editorial committee.

Our idea was to collect in this volume the writings of Claude Chevalley about spinors. This is a rather minor variation in his scientific work, but well in tune with his long-standing interest in group theory. When Chevalley wrote his two books (here reprinted as the main two parts), spinors were a wellestablished tool in theoretical physics, and E. Cartan had already published his account of the theory. But Chevalley's approach to Clifford algebras was quite new in the 1950's, at a time where universal algebra was blossoming and developing fast. This explains why we are reprinting his Nagoya lectures about "Some important algebras". As explained in the review by Jean Dieudonné, originally published in the Bulletin of the American Mathematical Society and appended here, Chevalley's exposition of the algebraic theory of spinors contains a number of interesting innovations. But Chevalley was an algebraist at heart, and gives no hint of the applications to theoretical physics. Since the 1950's, spinors (and the associated Dirac equation) have developed into a fundamental tool in differential geometry and especially in the theory of Riemannian manifolds. The Postface by Jean-Pierre Bourguignon aims to retrace this new line of mathematical thinking and to provide an up-to-date account.

Some editorial work was required while producing this volume. We felt an obligation to proofread carefully all these texts (see the comment by Dieudonné), and to correct misprints and occasional slips of the pen. But the text has remained essentially unaltered.

We have to thank a number of people for their cooperation in this project. The members of the *Chevalley Seminar* (and especially Michel Broué, Michel Enguehard and Jacques Tits) gave us their continual moral support and exerted friendly pressure. We thank also Jean-Pierre Serre and Armand Borel for their advice and steady insistence. S. Iyanaga, a life-long friend of Chevalley, was instrumental in securing the permissions needed to reprint the Japanese lectures; to him, and to the officers of the Mathematical Society of Japan, we extend our warmest thanks. Henri Cartan lent us his own copy of *Algebraic Theory of Spinors* for reproduction purposes and made the suggestion of appending Dieudonné's review. The staff of the I.H.E.S. was very helpful: we thank especially Marie-Claude Vergne for her dedicated typing and the directors Marcel Berger and Jean-Pierre Bourguignon for their support of the project. As mentioned above, we have to acknowledge financial support by the C.N.R.S.

Without the faithful friendship of Catherine Chevalley, nothing would have been possible. A special thank-you to her!

September 1995

Pierre CARTIER

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# The Construction and Study of Certain Important Algebras

# Preface

The theory of exterior algebras was introduced by Grassmann in order to study algebraically geometric problems concerning the linear varieties in a projective space. But this theory was forgotten for a long time; E. Cartan discovered it again and applied it to the study of differential forms and multiple integrals over a differentiable or analytic variety. For this reason, the theory of exterior algebras will be interesting not only for algebraists but also for analysts.

In these lectures we shall present a more general algebra called "Clifford algebra" associated to a quadratic form. If the quadratic form reduces to 0, the Clifford algebra reduces to "exterior algebra".

The applications of the theory of exterior algebras are very wide, e.g.: theory of determinants, representation of linear variety in a projective space using Plücker coordinates, and the theory of differential forms and their applications to many branches of analysis. But I am sorry not to be able to describe them in detail because of the limitation of time.

June, 1954

C. Chevalley

## Conventions

Throughout these lectures, we mean by a ring a ring with unit element 1 (or 1' as the case may be), and also by a homomorphism of such rings a homomorphism which maps unit upon unit. A will always denote a commutative ring.

By a module over A, we invariably mean a unitary module. Thus a module over A is a set M such that

1) M has a structure of an additive group,

2) for every  $\alpha \in A$  and  $x \in M$ , an element  $\alpha x \in M$  called *scalar multiple* is defined and we have

i) 
$$\alpha(x+y) = \alpha x + \alpha y$$
,

ii) 
$$(\alpha + \beta)x = \alpha x + \beta x$$
,

iii)  $\alpha(\beta x) = (\alpha \beta)x,$ 

iv) 
$$1 \cdot x = x$$
.

A map of a module over A into a module over A is called *linear* if it is a homomorphism of the underlying additive groups which commutes with scalar multiplication by every element of A.

An algebra E over A means a module over A with an associative multiplication which makes E a ring satisfying

$$lpha(xy)=(lpha x)y=x(lpha y)\qquad (x,y\in E;lpha\in A).$$

A homomorphism of algebras will always mean a ring homomorphism which is linear. An ideal of an algebra means always a *two-sided ideal*. A subset Sof an algebra is called *a set of generators* of E if E is the smallest subalgebra containing S and the unit 1 of E.

In dealing with modules or algebras over A, an element of the basic ring A is often called a *scalar*. In the case of algebras, any element of the subalgebra  $A \cdot 1$  is called a scalar; a scalar clearly commutes with every element of the algebra.

# CHAPTER I. GRADED ALGEBRAS

#### 1. Free Algebras

The first basic type of algebras we want to consider is the free algebra. Let E be an algebra over A generated by a given set of generators  $(x_i)_{i \in I}$ (I: any set of indices). Let  $\sigma = (i_1, \dots, i_h)$  be a finite sequence of elements of I and put  $y_{\sigma} = x_{i_1} \cdots x_{i_h}$ . The number h is called the *length* of  $\sigma$ . Among the "finite sequences" we always admit the empty sequence  $\sigma_0$ , whose length is 0, i.e., a sequence with no term, and we put  $y_{\sigma_0} = 1$ . We define the composition of two finite sequences  $\sigma = (i_1, \dots, i_h)$  and  $\sigma' = (j_1, \dots, j_k)$ by  $\sigma\sigma' = (i_1, \dots, i_h, j_1, \dots, j_k)$ . For  $\sigma_0$ , we define  $\sigma_0\sigma = \sigma\sigma_0 = \sigma$ , i.e.,  $\sigma_0$ is the unit for this composition. Evidently this composition is associative:  $(\sigma\sigma')\sigma'' = \sigma(\sigma'\sigma'')$ , and we have  $y_{\sigma\sigma'} = y_{\sigma}y_{\sigma'}$ .

**Theorem 1.1.** Every element of E is a linear combination of the  $y_{\sigma}$ 's,  $\sigma$  running over all finite sequences of elements of I.

*Proof.* Denote by  $E_1$  the module spanned by all the  $y_{\sigma}$ 's. We shall show  $E = E_1$ . First we prove:

**Lemma 1.1.**  $E_1$  is closed under multiplication.

*Proof.* Let z, z' be two elements of  $E_1$  and put

$$z = \sum_{\sigma} a_{\sigma} y_{\sigma}, \qquad z' = \sum_{\sigma} a'_{\sigma} y_{\sigma}.$$

Though these two sums seem apparently infinite, we have in fact  $a_{\sigma} = 0$  and  $a'_{\sigma} = 0$  except for a finite number of  $\sigma$ 's. Then we have

$$zz' = \sum_{\sigma,\sigma'} a_\sigma a'_{\,\sigma'} y_{\sigma\sigma'}, \qquad y_{\sigma\sigma'} \in E_1;$$

the sum being finite, we have  $zz' \in E_1$ .

Now we return to the proof of Theorem 1.1. The module  $E_1$  is thus a subalgebra of E, and if  $\sigma = (i), y_{\sigma} = x_i$  and also  $y_{\sigma_0} = 1$ . Therefore  $E_1$ ,

containing the set of generators  $(x_i)$  and 1, contains E itself, so that we obtain  $E = E_1$ , which proves Theorem 1.1.

**Definition 1.1.** If the  $y_{\sigma}$ 's are linearly independent over A, then E is called a free algebra, and the set  $(x_i)_{i \in I}$  is called a free system of generators of E.

**Existence and uniqueness of free algebras.** We first prove the *uniqueness*. For this, we shall show a more precise condition called "universality". An algebra F over A with a system of generators  $(x_i)_{i \in I}$  is called *universal* if, given any algebra E over A generated by a set of elements  $(\xi_i)_{i \in I}$  indexed by the same set I, there is a unique homomorphism  $\varphi : F \to E$  such that  $\varphi(x_i) = \xi_i$  for all i.

**Theorem 1.2.** A free algebra F with its free system of generators is universal.

*Proof.* By definition, the set  $\{y_{\sigma} = x_{i_1} \cdots x_{i_h}\}$  forms a base of F as a module over A. Thus there is a *linear* mapping  $\varphi : F \to E$  such that

(1) 
$$\varphi(y_{\sigma}) = \xi_{i_1} \cdots \xi_{i_h}$$
 for every  $\sigma = (i_1, \cdots, i_h)$ .

If  $\sigma = (i_1, \cdots, i_h), \, \sigma' = (j_1, \cdots, j_k)$  are two finite sequences of elements of I, we have

(2) 
$$\varphi(y_{\sigma}y_{\sigma'}) = \varphi(y_{\sigma\sigma'}) = \xi_{i_1}\cdots\xi_{i_h}\xi_{j_1}\cdots\xi_{j_k} = \varphi(y_{\sigma})\varphi(y_{\sigma'}).$$

This proves that  $\varphi$  is not only linear, but also a homomorphism of F into E. Especially putting  $\sigma = (i)$  resp.  $\sigma = \sigma_0$ , we have  $\varphi(x_i) = \xi_i$  and  $\varphi(1) = 1$ , which proves our assertion.

Remark that, in general, any homomorphism  $\varphi$  is uniquely determined when the values  $\varphi(x_i)$  on a set of generators  $(x_i)$  are given.

**Corollary.** The free algebra generated by  $(x_i)_{i \in I}$  is unique up to isomorphism. More precisely, let F, F' be two free algebras with free systems of generators  $(x_i)_{i \in I}, (x'_{i'})_{i' \in I'}$ , respectively, and let I and I' be equipotent. Then F and F' are isomorphic.

*Proof.* We may assume that I = I'. By Theorem 1.2, we have two homomorphisms

arphi: F o F' such that  $arphi(x_i) = x'_i$ arphi': F' o F such that  $arphi'(x'_i) = x_i$ .

 $\operatorname{and}$ 

The composite mapping<sup>1</sup>  $\varphi' \circ \varphi : F \to F' \to F$  maps each  $x_i$  to itself, and by the uniqueness of homomorphism,  $\varphi' \circ \varphi$  must be the identity in F. Similarly

<sup>1</sup> 
$$\varphi' \circ \varphi$$
 is defined by  $\varphi' \circ \varphi(x) = \varphi'(\varphi(x))$ .

#### GRADED ALGEBRAS

 $\varphi \circ \varphi'$  is the identity in F'. Therefore  $\varphi$  is an isomorphism and  $\varphi' = \varphi^{-1}$ , which proves that F and F' are isomorphic to each other.

Now we shall prove the *existence* of a free algebra, having any given set  $(x_i)_{i \in I}$  as its free system of generators. Let  $\Sigma$  be the set of all finite sequences of elements of I. From the theory of linear algebra, we may assume that there exists a module M over A with a base equipotent to  $\Sigma$ . Let  $(y_{\sigma})_{\sigma \in \Sigma}$  be the base of M; we introduce a structure of algebra into M. For this, we have only to define an associative multiplication for the elements of the base. We define it by

$$y_{\sigma}y_{\sigma'}=y_{\sigma\sigma'}.$$

Since the composition in  $\Sigma$  is associative, we have the associativity:  $(y_{\sigma}y_{\sigma'}) y_{\sigma''} = y_{\sigma} (y_{\sigma'}y_{\sigma''})$ . Thus M is a free algebra over A having the free system of generators  $(x_i)_{i \in I}$ .

#### 2. Graded Algebras

Let F be the free algebra with the free system of generators  $(x_i)_{i \in I}$ , and put  $y_{\sigma} = x_{i_1} \cdots x_{i_h}$   $(\sigma = (i_1, \cdots, i_h))$ . We shall classify the elements  $y_{\sigma}$  by the length of  $\sigma$ .

Let  $F_h$  be the module spanned by the  $y_\sigma$ 's,  $\sigma$  being of length h. Then F is the direct sum of  $F_0, F_1, F_2, \cdots$  as a module:

(1) 
$$F = F_0 \oplus F_1 \oplus F_2 \oplus \cdots \oplus F_h \oplus \cdots$$

and evidently

$$(2) F_h \cdot F_{h'} \subset F_{h+h'},$$

because the length of the composite  $\sigma\sigma'$  of  $\sigma$  and  $\sigma'$  is equal to the sum of the lengths of  $\sigma$  and  $\sigma'$ .

The free algebra  $F = F_0 \oplus F_1 \oplus \cdots \oplus F_h \oplus \cdots$  is a typical example of the following general notion of graded algebra.

**Definition 1.2.** Let  $\Gamma$  be an additive group. A  $\Gamma$ -graded algebra is an algebra E which is given together with a direct sum decomposition as a module

$$(3) E = \sum_{\gamma \in \Gamma} E_{\gamma}$$

where the  $E_{\gamma}$ 's are submodules of E, in such a way that

$$(4) \quad E_{\gamma} \cdot E_{\gamma'} \subset E_{\gamma+\gamma'}, i.e., \ x \in E_{\gamma} \quad and \quad x' \in E_{\gamma'} \quad imply \ xx' \in E_{\gamma+\gamma'}.$$

By a homomorphism of a  $\Gamma$ -graded algebra  $E = \sum_{\gamma \in \Gamma} E_{\gamma}$  into another

 $\Gamma$ -graded algebra  $E' = \sum_{\gamma \in \Gamma} E'_{\gamma}$  is meant a homomorphism  $\varphi : E \to E'$  of the algebras such that  $\varphi (E_{\gamma}) \subset E'_{\gamma}$ .

In a  $\Gamma$ -graded algebra  $E = \sum E_{\gamma}$  an element belonging to  $E_{\gamma}$  is called homogeneous of degree  $\gamma$ . The zero element 0 of E is homogeneous of any degree, but each element of E other than 0 is homogeneous of at most one degree  $\gamma \in \Gamma$ . Any element x of E is uniquely decomposed into the sum of homogeneous elements

(5) 
$$x = \sum_{\gamma \in \Gamma} x_{\gamma}, \qquad x_{\gamma} \in E_{\gamma},$$

where the  $x_{\gamma}$ 's are 0 except for a finite number of  $\gamma$ 's. Each  $x_{\gamma}$  in (5) is called the  $\gamma$ -component of x.

**Lemma 1.2.** The unit 1 is always homogeneous of degree  $\theta$  ( $\theta$  : zero element of  $\Gamma$ ).

*Proof.* Decompose 1 into the sum of its homogeneous components:

$$1 = \sum_{\gamma \in \Gamma} e_{\gamma}, \qquad e_{\gamma} \in E_{\gamma}$$

If  $x_{\beta} \in E$  is homogeneous of degree  $\beta \in \Gamma$ , then we have

$$E_eta 
i x_eta = x_eta \cdot 1 = \sum_\gamma x_eta \cdot e_\gamma.$$

Since  $x_{\beta} \cdot e_{\gamma} \in E_{\beta+\gamma}$ , we must have  $x_{\beta} \cdot e_{\theta} = x_{\beta}$  and  $x_{\beta} \cdot e_{\gamma} = 0$  for all  $\gamma \neq \theta$ . This implies that  $e_{\theta}$  is a right unit element for all homogeneous elements, and accordingly for all elements  $x = \Sigma x_{\gamma}$  in E. Thus  $e_{\theta} = 1$ , and our assertion is proved.

**Corollary.** Scalars are homogeneous of degree  $\theta$  ( $\theta$  : zero element of  $\Gamma$ ).

Among others, the following two special types of  $\Gamma$ -gradations are of much importance:

i)  $\Gamma$ -gradations where  $\Gamma = \mathbb{Z}$  is the additive group of integers. In this case, we say simply "graded" instead of "Z-graded".

ii)  $\Gamma$ -gradations where  $\Gamma$  is the group with two elements 0 and 1. In this case we write  $E = E_+ \oplus E_-$  in place of  $E = E_0 \oplus E_1$ , and E is called *semi-graded*.

A free algebra  $F = F_0 \oplus F_1 \oplus \cdots \oplus F_h \oplus \cdots$  can be considered as a graded algebra with  $F_h = \{0\}$  for all h < 0.

Remark. A  $\Gamma$ -graded algebra is not a special kind of algebra. In fact, any algebra may be considered as a  $\Gamma$ -graded algebra with degree  $\theta$  for every element.

#### Homogeneous submodules.

**Definition 1.3.** A submodule M of a  $\Gamma$ -graded algebra  $E = \sum E_{\gamma}$  is said to be homogeneous if the homogeneous components of any element of M still belong to M. This is equivalent to the condition that  $M = \sum_{\gamma} (M \cap E_{\gamma})$ .

**Theorem 1.3.** If a submodule M or an ideal  $\mathfrak{U}$  of a  $\Gamma$ -graded algebra E is generated by<sup>2</sup> homogeneous elements, then it is homogeneous.

Proof. Let M be a submodule of E spanned by a set S of homogeneous elements and let M' be the set of elements of M whose homogeneous components belong to M. It is evident that  $S \subset M' \subset M$ , since S consists of homogeneous elements. We shall show that M' is a submodule. If  $x = \sum x_{\gamma}$  and  $x' = \sum x'_{\gamma}$  are in M', then  $x \pm x' = \sum (x_{\gamma} \pm x'_{\gamma})$ , and  $x_{\gamma} \pm x'_{\gamma} \in M$ , so that we have  $x \pm x' \in M'$ . Also for  $\alpha \in A$ , we have similarly  $\alpha x \in M'$ . Thus M' being a submodule containing the set S of generators of M, we have  $M' \supset M$ , and so M = M', which proves that M is homogeneous.

For the case of ideals, we consider the ideal  $\mathfrak{U}$  generated by a set S of homogeneous elements. Then  $\mathfrak{U}$  is spanned, as a module, by all elements of the form xsy, where  $x \in E, s \in S$  and  $y \in E$ . Putting  $x = \sum x_{\gamma}, y = \sum y_{\beta}$ , we have

$$xsy = \left(\sum_{\gamma} x_{\gamma}
ight) s\left(\sum_{eta} y_{eta}
ight) = \sum_{\gamma,eta} x_{\gamma} sy_{eta}$$

and since  $x_{\gamma} sy_{\beta}$  is homogeneous,  $\mathfrak{U}$  is also spanned by the elements  $x_{\gamma} sy_{\beta}$  which are homogeneous. Thus  $\mathfrak{U}$ , being generated as a module by homogeneous elements, is homogeneous as was seen above. Hence Theorem 1.3 is proved.

Let  $E = \sum E_{\gamma}$  be a  $\Gamma$ -graded algebra and  $\mathfrak{U}$  a homogeneous ideal in E. We have the direct sum decomposition of  $\mathfrak{U}$  into its homogeneous parts:

<sup>&</sup>lt;sup>2</sup> The word "generated by" has somewhat different meanings for the cases of submodules and of ideals. In the former case, a submodule M is generated by S if every element of M is a linear combination of the elements of S, while in the latter case, an ideal  $\mathfrak{U}$  is generated by S if  $\mathfrak{U}$  is the smallest ideal containing the set S.

$$\mathfrak{U}=\sum_{\gamma}\mathfrak{U}_{\gamma},\qquad \mathfrak{U}_{\gamma}=\mathfrak{U}\cap E_{\gamma}.$$

The quotient algebra  $E/\mathfrak{U}$  has also the structure of  $\Gamma$ -graded algebra, because  $E/\mathfrak{U} = \sum_{\gamma} (E_{\gamma}/\mathfrak{U}_{\gamma})$  (direct sum of submodules) and  $(E_{\gamma}/\mathfrak{U}_{\gamma})$ .  $(E_{\gamma'}/\mathfrak{U}_{\gamma'}) \subset E_{\gamma+\gamma'}/\mathfrak{U}_{\gamma+\gamma'}$ . Therefore  $E/\mathfrak{U}$  is a  $\Gamma$ -graded algebra and  $\sum_{\gamma} (E_{\gamma}/\mathfrak{U}_{\gamma})$  gives its homogeneous decomposition. The canonical homomorphism  $\psi: E \to E/\mathfrak{U}$  is a homomorphism not only of algebras, but also of  $\Gamma$ -graded algebras.

#### 3. Homogeneous Linear Mappings<sup>3</sup>

Let E, E' be two  $\Gamma$ -graded algebras over the same ring A, and let  $\lambda$  be a linear mapping of E into E', i.e., a mapping  $\lambda : E \to E'$  such that

$$egin{aligned} \lambda(x+y) &= \lambda(x) + \lambda(y), & \lambda(lpha x) &= lpha \lambda(x) \ \end{aligned}$$
 for every  $x,y \in E; & lpha \in A. \end{aligned}$ 

**Definition 1.4.** Let  $\nu$  be any element of  $\Gamma$ ;  $\lambda$  is called homogeneous of degree  $\nu$  if  $\lambda(E_{\gamma}) \subset E'_{\gamma+\nu}$  for all  $\gamma \in \Gamma$ .

Evidently, if  $\lambda : E \to E'$  is homogeneous of degree  $\nu$  and  $\lambda' : E' \to E''$  is homogeneous of degree  $\nu'$ , then  $\lambda' \circ \lambda$  is homogeneous of degree  $\nu + \nu'$ .

A linear mapping  $\lambda : E \to E'$  cannot always be decomposed into a finite sum of homogeneous mappings as can be shown by a counter-example. But if the decomposition is *possible*, it is *unique*; it is sufficient to prove the following:

**Lemma 1.3.** Let  $\{\lambda_{\nu}\}_{\nu\in\Gamma}$  be a family of linear mappings  $E \to E'$ , in which each  $\lambda_{\nu}$  is homogeneous of degree  $\nu$ . If  $\lambda_{\nu}(x) = 0$  (x : any element in E) except for a finite number of  $\nu \in \Gamma$  and  $\sum_{\nu} \lambda_{\nu} = 0$ , then  $\lambda_{\nu} = 0$  for all  $\nu \in \Gamma$ .

*Proof.* For an element  $x_{\gamma}$  of  $E_{\gamma}$ , we have  $\sum_{\nu} \lambda_{\nu} (x_{\gamma}) = 0$ , but since  $\lambda_{\nu} (x_{\gamma}) \in E'_{\gamma+\nu}$  for each  $\nu \in \Gamma$ , we have  $\lambda_{\nu} (x_{\gamma}) = 0$  for all  $\nu \in \Gamma$ . For an arbitrary  $x \in E$ , let  $x = \sum x_{\gamma}$  be the homogeneous decomposition of x; then  $\lambda_{\nu}(x) = \sum_{\gamma} \lambda_{\nu} (x_{\gamma}) = 0$ , which proves that  $\lambda_{\nu} = 0$  ( $\nu \in \Gamma$ ).

<sup>&</sup>lt;sup>3</sup> This notion can be defined not only for graded algebras, but also for "graded modules". But we shall restrict ourselves to the case of graded algebras, because we use it in this case only.

#### 4. Associated Gradations and the Main Involution

Let  $\Gamma, \widetilde{\Gamma}$  be additive groups and let a homomorphism  $\tau : \Gamma \to \widetilde{\Gamma}$  be given. To any  $\Gamma$ -graded algebra  $E = \sum_{\gamma \in \Gamma} E_{\gamma}$ , we associate the following  $\widetilde{\Gamma}$ -gradation of E. For each  $\widetilde{\gamma} \in \widetilde{\Gamma}$ , put

$$E_{\widetilde{\gamma}} = \sum_{\gamma \in au^{-1}(\widetilde{\gamma})} E_{\gamma} \qquad (E_{\widetilde{\gamma}} = \{0\} \hspace{1mm} ext{if} \hspace{1mm} au^{-1}(\widetilde{\gamma}) \hspace{1mm} ext{is empty}).$$

Then obviously  $E = \sum_{\widetilde{\gamma} \in \widetilde{\Gamma}} E_{\widetilde{\gamma}}$  and  $E_{\widetilde{\gamma}} \cdot E_{\widetilde{\gamma}'} \subset E_{\widetilde{\gamma} + \widetilde{\gamma}'}$ . In this way  $E = \sum E_{\widetilde{\gamma}}$  can be considered as a  $\widetilde{\Gamma}$ -graded algebra.

**Definition 1.5.** The  $\tilde{\Gamma}$ -gradation  $\sum_{\widetilde{\gamma}\in\widetilde{\Gamma}} E_{\widetilde{\gamma}}$  is called the  $\tilde{\Gamma}$ -gradation of Eassociated to the  $\Gamma$ -gradation  $E = \sum_{\gamma\in\Gamma} E_{\gamma}$  (with respect to  $\tau$ ).

We shall write  $E^{\tau}$  instead of E if it is taken with the associated  $\widetilde{\Gamma}$ -gradation rather than with the original  $\Gamma$ -gradation. Obviously, we have the

**Lemma 1.4.** Every homogeneous element, every homogeneous submodule, and every homogeneous ideal in E are also homogeneous in  $E^{\tau}$ .

In the special case where  $\widetilde{F}$  is the group consisting of two elements 0 and 1, and where  $\tau$  is onto, we write  $E^s = E_+^s \oplus E_-^s$  instead of  $E^\tau = E_0 \oplus E_1$ , and we call it the associated semi-graded algebra of E. In that case, the kernel  $\tau^{-1}(0) \subset \Gamma$  is denoted by  $\Gamma_+$ , which is a subgroup of index 2, while  $\tau^{-1}(1) \subset \Gamma$  is denoted by  $\Gamma_-$ , which is the coset of  $\Gamma$  with respect to  $\Gamma_+$  other than  $\Gamma_+$ . Remark that every subgroup of  $\Gamma$  of index 2 can be preassigned as  $\mu_+$  in some unique associated semi-gradation. It may happen that  $\Gamma$  has a unique subgroup of index 2. If it is the case, then reference to the map  $\tau$  can be omitted without any ambiguity. For example, to every graded (i.e.,  $\mathbb{Z}$ -graded) algebra  $E = \sum_{\substack{h:\text{ integer}}} E_h$  is associated a unique semi-graded algebra

 $E^s = E^s_+ \oplus E^s_-$ , where  $E^s_+ = \sum_{h:\text{even}} E_h$  and  $E^s_- = \sum_{h:\text{odd}} E_h$ . Clearly, if E is a semi-graded algebra, then its associated semi-gradation is identical with the original semi-gradation.

Main involution. Fixing a subgroup  $\Gamma_+ \subset \Gamma$  of index 2, let  $E = \sum_{\gamma \in \Gamma} E_{\gamma}$  be

a  $\Gamma$ -graded algebra, and let  $E^s = E^s_+ \oplus E^s_-$  be the associated semi-gradation of E. Every element  $x \in E$  can be decomposed uniquely into the sum of its  $E^s_+$ -component  $x_+$  and its  $E^s_-$ -component  $x_- : x = x_+ + x_-$ . If we define a map  $J: E \to E$  by

$$J(x) = x_{+} - x_{-} \quad (x = x_{+} + x_{-} \in E),$$

then J is one-to-one and linear, preserves the degree in the  $\Gamma$ -gradation of E, maps unit upon unit, and is an involution (i.e.,  $J \circ J$  = identity). Moreover, J preserves the multiplication. In fact let  $x = x_+ + x_-$ ,  $y = y_+ + y_-$  ( $x_+$ ,  $y_+ \in E_+^s$ ;  $x_-$ ,  $y_- \in E_-^s$ ). Then  $(xy)_+ = x_+y_+ + x_-y_-$ ,  $(xy)_- = x_-y_+ + x_+y_-$ , and so we have

$$egin{aligned} J(xy) &= (x_+y_+ + x_-y_-) - (x_-y_+ + x_+y_-) \ &= (x_+ - x_-)(y_+ - y_-) = J(x)J(y). \end{aligned}$$

Therefore, J is an involutive automorphism of the  $\Gamma$ -graded algebra E, which we call the *main involution* of E.

For convenience's sake, we define the symbolical power  $J^{\nu}(\nu \in \Gamma)$  of the main involution as follows:

$$J^{\nu} = \begin{cases} J & \text{if } \nu \in \Gamma_{-} \\ \text{identity } \text{if } \nu \in \Gamma_{+} \end{cases}.$$

Also we define the power  $(-1)^{\nu}$  ( $\nu \in \Gamma$ ) of the scalar (-1) of A as follows:

$$(-1)^{\nu} = \begin{cases} -1 & \text{if } \nu \in \Gamma_{-} \\ 1 & \text{if } \nu \in \Gamma_{+} \end{cases}.$$

Then we have, just as in the case of usual powers, the following identities:

i)  $J^{\nu} \circ J^{\nu'} = J^{\nu+\nu'}$ ii)  $(-1)^{\nu}(-1)^{\nu'} = (-1)^{\nu+\nu'}$ iii)  $(J^{\nu})^{\nu'} = (J^{\nu'})^{\nu}$ iv)  $((-1)^{\nu})^{\nu'} = ((-1)^{\nu'})^{\nu}$ .

We shall denote iii) and iv) respectively by  $J^{\nu\nu'}$  and by  $(-1)^{\nu\nu'}$  for the sake of simplicity, though no product is defined in general in  $\Gamma$ . Any power of the identity map is understood to be the identity map, and any power of 1 is understood to be 1.

If 
$$x = \sum_{\gamma \in \Gamma} x_{\gamma}$$
  $(x_{\gamma} \in E_{\gamma})$ , then we can write  
v)  $J(x) = \sum_{\gamma \in \Gamma} (-1)^{\gamma} x_{\gamma}$ .

If  $\Gamma = \mathbb{Z}$ , the additive group of integers, then these definitions agree with the usual definitions of powers of an automorphism, or of an element of an algebra.

#### 5. Derivations

The definition of derivations in a graded algebra given here is somewhat different from the conventional definition of the derivations in the ordinary algebraic systems. In the sequel, when we speak of derivations, we understand that a fixed subgroup  $\Gamma_+ \subset \Gamma$  of index 2 is given.

Now, let E, E' be two  $\Gamma$ -graded algebras over A and let  $\varphi$  be a homomorphism of E into E'.

**Definition 1.6.** A  $\varphi$ -derivation D of E into E' means a linear mapping  $D: E \to E'$ , homogeneous of some given degree  $\nu \in \Gamma$ , such that for x, y in E,

(1) 
$$D(xy) = D(x)\varphi(y) + \varphi(J^{\nu}x) D(y),$$

where  $J^{\nu}$  is the power of the main involution defined above.

In the case where E = E' and  $\varphi$  is the identity, D is called simply a "*derivation*". Therefore a derivation D of E is a homogeneous linear mapping of degree  $\nu$ , such that

(2) 
$$D(xy) = D(x)y + (J^{\nu}x) D(y) \quad \text{for } x, y \in E.$$

If  $\Gamma = \mathbb{Z}$ , the additive group of integers, (2) can be written as

(2') 
$$D(xy) = D(x)y + (-1)^{h\nu}xD(y)$$
 for  $x \in E_h, y \in E$ .

If the elements of E are all of degree  $\theta$  ( $\theta$  : zero element of  $\Gamma$ ), then D must be of degree  $\theta$ , and (2) reduces to

(3) 
$$D(xy) = D(x)y + xD(y),$$

which coincides with the ordinary definition of derivation. Also, when  $\nu$  belongs to  $\Gamma_+$  formula (2) reduces to (3), while if  $\nu$  belongs to  $\Gamma_-$  and  $x \in E^s_$ then (2) reduces to

(4) 
$$D(xy) = D(x)y - xD(y).$$

A derivation of degree  $\nu$  in  $\Gamma_{-}$  is sometimes called "anti-derivation", but we do not use this terminology in these lectures.

The formula (1) can be written in another form. Denote by  $L_x$  the operation of left multiplication by  $x: L_x y = xy$ . Then (1) is equivalent to

(5) 
$$D \circ L_x = L_{D(x)} \circ \varphi + L_{\varphi(J^{\nu}x)} \circ D.$$

In the case where E = E', and  $\varphi$  is the identity,

$$D \circ L_x = L_{D(x)} + L_{J^{\nu}x} \circ D.$$

Remark that (5) and (6) do not contain the "parameter" y.

**Lemma 1.5.** For every  $\varphi$ -derivation D, we have D(1) = 0.

*Proof.* Substituting x = y = 1 in (1), we get

$$D(1) = D(1 \cdot 1) = D(1)\varphi(1) + \varphi(J^{\nu}1) D(1),$$

and since  $J^{\nu}1 = 1$ ,  $\varphi(1) = 1$ , we obtain D(1) = D(1) + D(1), which proves D(1) = 0.

Evidently, if D and D' are  $\varphi$ -derivations of the same degree,  $D \pm D'$  is again a  $\varphi$ -derivation. Also we have

**Lemma 1.6.** If  $\varphi : E \to E'$  and  $\varphi' : E' \to E''$  are homomorphisms and if D, D' are a  $\varphi$ -derivation of E into E' and a  $\varphi'$ -derivation of E' into E''respectively, then  $\varphi' \circ D$  and  $D' \circ \varphi$  are  $(\varphi' \circ \varphi)$ -derivations of E into E''.

*Proof.* We have only to check the condition (1). By direct calculation we have

$$(\varphi' \circ D)(xy) = \varphi'(D(x))\varphi'(\varphi(y)) + \varphi'(\varphi(J^{\nu}x))\varphi'(D(y))$$

and

$$(D' \circ \varphi)(xy) = D'(\varphi(x))\varphi'(\varphi(y)) + \varphi'(\varphi(J^{\nu'}x))D'(\varphi(y)),$$

and since  $\varphi' \circ D$  and  $D' \circ \varphi$  are of degrees  $\nu$  and  $\nu'$  respectively, our assertion is proved.

**Theorem 1.4.** Let D be a  $\varphi$ -derivation of E into E', F a homogeneous subalgebra of E, S a set of homogeneous generators of F, and let F' be a homogeneous subalgebra of E'. Then if  $D(S) \subset F'$  and  $\varphi(S) \subset F'$ , we have  $D(F) \subset F'$  and  $\varphi(F) \subset F'$ .

Proof. The latter inclusion is evident, because  $\varphi$  is a homomorphism. The former is proved as follows. Let  $F_1$  be the set of elements  $x \in F$  such that  $D(x) \in F'$ . It is evident that  $F_1$  is closed under addition and scalar multiplication. Also if  $D(x) \in F'$  and  $x = \sum x_{\gamma}$ , then the  $D(x_{\gamma})$ 's are the homogeneous components of D(x) hence  $D(x_{\gamma}) \in F'$ , so we obtain  $x_{\gamma} \in F_1$ . Therefore  $F_1$  is a homogeneous submodule of F, so that  $x \in F_1$  implies  $J^{\nu}x \in F_1$ . Now for x, y in  $F_1$ , we have

$$D(xy) = D(x)\varphi(y) + \varphi(J^{\nu}x)D(y),$$

and since D(x),  $\varphi(y)$ ,  $\varphi(J^{\nu}x)$ , D(y) all belong to F', we have  $xy \in F_1$ , which proves that  $F_1$  is a subalgebra containing S. Since S is a set of generators of F, we have  $F \subset F_1$ , which proves  $D(F) \subset F'$ . Corollary 1. Let  $\mathfrak{U}$  and  $\mathfrak{U}'$  be homogeneous ideals of E and E' respectively, and S be a set of homogeneous generators of  $\mathfrak{U}$ . If  $D(S) \subset \mathfrak{U}'$ ,  $\varphi(S) \subset \mathfrak{U}'$ , we have  $D(\mathfrak{U}) \subset \mathfrak{U}'$ , and  $\varphi(\mathfrak{U}) \subset \mathfrak{U}'$ .

*Proof.* Again the latter inclusion is evident. The former is proved in a similar manner as before, showing that the set

$$\mathfrak{U}_1=ig\{x\mid x\in\mathfrak{U},\quad D(x)\in\mathfrak{U}'ig\}$$

is a homogeneous ideal.

**Corollary 2.** Let F, S be as before. If  $D(S) = \{0\}$ , then  $D(F) = \{0\}$ .<sup>4</sup>

*Proof.* In a similar manner as in the proof of Theorem 1.4, we can show that

$$F_2=ig\{x\mid x\in F,\quad D(x)=0ig\}$$

is a homogeneous subalgebra, which proves  $F \subset F_2$ .

**Corollary 3.** Let F, S be as before. If two  $\varphi$ -derivations D, D' coincide with each other on S, then they coincide on F.

*Proof.* From this assumption, D and D' are of the same degree. Then apply Corollary 2 to the derivation D - D'.

It follows from this corollary that a derivation D is completely determined if its values on the elements of a set of generators are given.

**Theorem 1.5.** Let E, E' be  $\Gamma$ -graded algebras,  $\varphi$  a homomorphism of Einto E', and D a  $\varphi$ -derivation of E into E'. Also let  $\mathfrak{U}$  and  $\mathfrak{U}'$  be homogeneous ideals in E and E' respectively such that  $D(\mathfrak{U}) \subset \mathfrak{U}'$ , and  $\varphi(\mathfrak{U}) \subset \mathfrak{U}'$ . Under these assumptions, the induced mapping  $\overline{D} : E/\mathfrak{U} \to E'/\mathfrak{U}'$  obtained from Dis a  $\overline{\varphi}$ -derivation, where  $\overline{\varphi}$  means the induced homomorphism  $E/\mathfrak{U} \to E'/\mathfrak{U}'$ obtained from  $\varphi$ .

<sup>&</sup>lt;sup>4</sup> Note that this assertion holds without any assumption on  $\varphi$ .

If we use "commutative diagrams"  $^5$  the maps  $\overline{D}$  and  $\overline{\varphi}$  are represented as follows:

$$\begin{array}{cccc} E & \stackrel{\varphi,D}{\longrightarrow} & E' \\ \psi \downarrow & & \downarrow \psi' \\ E/\mathfrak{U} & \stackrel{\overline{\varphi},\overline{D}}{\longrightarrow} & E'/\mathfrak{U}' \end{array}$$

where  $\psi$  and  $\psi'$  are the canonical mappings.

*Proof.* From the theory of mappings of modules, it is easy to see that  $\overline{D}$  is a linear mapping which makes the diagram commutative. The other conditions ( $\overline{D}$  being homogeneous and satisfying (1)) are proved by direct calculation from the definitions.

 $\overline{D}$  is called the *derivation deduced from* D by going over to the quotient algebra  $E/\mathfrak{U}$ .

Hereafter to the end of this paragraph, we assume that E = E' and  $\varphi$  is the identity.

<sup>&</sup>lt;sup>5</sup> In a diagram, let every vertex represent a set, and let each oriented edge represent a mapping. A directed path in a diagram represents a mapping which is the composition of the successive mappings assigned to its edges. If, for any two vertices, any two directed paths connecting them give the same mapping, then the diagram is said to be *commutative*. For example in the diagram depicted below, for the vertices P and Q and the paths as in it, the commutativity means  $f_4 \circ f_3 \circ f_2 \circ f_1(x) = g_5 \circ g_4 \circ g_3 \circ g_2 \circ g_1 \circ f_1(x) = f_4 \circ g_6 \circ g_3 \circ g_2 \circ g_1 \circ f_1(x) = \cdots$  for every  $x \in P$ .



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**Theorem 1.6.** Let D, D' be two derivations of E of degrees  $\nu$  and  $\nu'$  respectively. Then

(7) 
$$\Delta = DD' - (-1)^{\nu\nu'}D'D$$

is again a derivation.  $^{6}$ 

*Proof.* It is evident that  $\Delta$  is linear and homogeneous of degree  $\nu + \nu'$ . We have only to check the condition (6) (equivalent to (2)). For D and D' we have by (6)

$$DL_x = L_{Dx} + L_{J^{\nu}x}D, \qquad D'L_x = L_{D'x} + L_{J^{\nu'}x}D'.$$

Then

$$DD'L_{x} = DL_{D'x} + DL_{J^{\nu'}x}D'$$
  
=  $L_{DD'x} + L_{J^{\nu}D'x}D + L_{DJ^{\nu'}x}D' + L_{J^{\nu+\nu'}x}DD',$   
 $D'DL_{x} = D'L_{Dx} + D'L_{J^{\nu}x}D$   
=  $L_{D'Dx} + L_{J^{\nu'}Dx}D' + L_{D'J^{\nu}x}D + L_{J^{\nu+\nu'}x}D'D,$ 

and then

$$\Delta L_x = \left[ DD' - (-1)^{\nu\nu'} D'D \right] L_x = L_{\Delta x} + L_{J^{\nu+\nu'}x} \Delta + L_{\Theta x} D' + L_{\Theta' x} D$$

where

$$\Theta = DJ^{\nu'} - (-1)^{\nu\nu'}J^{\nu'}D$$
 and  $\Theta' = J^{\nu}D' - (-1)^{\nu\nu'}D'J^{\nu}.$ 

Now it is sufficient to prove that  $\Theta = \Theta' = 0$ , i.e.,

(8) 
$$DJ^{\nu'} = (-1)^{\nu\nu'}J^{\nu'}D$$
 and  $J^{\nu}D' = (-1)^{\nu\nu'}D'J^{\nu}.$ 

But the former relation is obtained from the latter one by exchanging D and D', so we show the latter one. For a homogeneous element x of degree  $\gamma$  in E, D'x is homogeneous of degree  $\gamma + \nu'$ , and then

$$J^{\nu}D'x = (-1)^{\nu(\gamma+\nu')}D'x = (-1)^{\nu\nu'}D'(-1)^{\gamma\nu}x = (-1)^{\nu\nu'}D'J^{\nu}x$$

which proves (8). Thus our proof is completed.

**Corollary 1.** If  $\nu$  or  $\nu'$  is in  $\Gamma_+$ , and in particular when  $\nu = \nu' = \theta$ , then

$$[D,D'] = DD' - D'D$$

is again a derivation. If both  $\nu$  and  $\nu'$  are in  $\Gamma_-$ , then

$$DD' + D'D$$

is a derivation.

 $<sup>^{6}</sup>$  We omit the symbol  $\circ$  in the composition of mappings for the sake of simplicity.

**Corollary 2.** If D is a derivation of degree  $\nu \in \Gamma_{-}$ , then  $D^2$  is also a derivation of degree  $2\nu \in \Gamma_{+}$ .

*Proof.* If we put D = D' in the last part in Corollary 1, we conclude that  $2D^2$  is a derivation, and the constant coefficient 2 may be omitted, provided that A is a field of characteristic other than 2.

However, we shall prove this assertion directly as follows. The characteristic property that D is a derivation of some degree  $\nu$  in  $\Gamma_{-}$  is

$$DL_x = L_{Dx} + L_{Jx}D.$$

Then  $D^2$  is of degree  $2\nu$  in  $\Gamma_+$ , and we have

$$D^{2}L_{x} = DL_{Dx} + DL_{Jx}D = L_{D^{2}x} + L_{JDx}D + L_{DJx}D + L_{JJx}D^{2}.$$

But since D is of degree  $\nu \in \Gamma_{-}$ , we have JD = -DJ from (8), and then

$$D^2 L_x = L_{D^2 x} + L_x D^2,$$

which means that  $D^2$  is a derivation of degree  $2\nu \in \Gamma_+$ .

#### 6. Existence of Derivations in Free Algebras

Let F be the free algebra with free system of generators  $(x_i)_{i \in I}$ , over a commutative ring A. Then F is so graded that  $x_i$  is of degree 1 for every  $i \in I$ . Let E be a graded algebra over A and  $\varphi$  a homomorphism of F into E.

**Theorem 1.7.** Assume that for each  $i \in I$ , a homogeneous element  $y_i \in E$  of degree  $\nu + 1$  is preassigned arbitrarily, where  $\nu$  is a fixed integer. Then there exists one and only one  $\varphi$ -derivation D of F into E, which is of degree  $\nu$  and satisfies  $D(x_i) = y_i$ .

Proof. The uniqueness follows from Corollary 3 to Theorem 1.4. So we shall prove the existence. By Theorem 1.1, the elements  $p_{\sigma} = x_{i_1} \cdots x_{i_h}$  form a base of F where  $\sigma = (i_1, \cdots, i_h)$  runs over the set  $\Sigma$  consisting of all finite sequences taken from I. We shall define  $\delta(p_{\sigma}) \in E$  by induction on the length of  $\sigma$ . First we put

(1) 
$$\delta(p_{\sigma_0}) = \delta(1) = 0$$

for the empty sequence  $\sigma_0$ . If  $\delta(p_{\sigma})$  has already been defined for every  $\sigma$  with length less than h, we set

(2) 
$$\delta(x_{i_1}\cdots x_{i_h}) = \delta(x_{i_1}\cdots x_{i_{h-1}})\varphi(x_{i_h}) + \varphi(J^{\nu}(x_{i_1}\cdots x_{i_{h-1}}))y_{i_h}.$$

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In the case where h = 1, we have  $\delta(x_i) = y_i$ . From the definition,  $\delta(p_{\sigma})$  is homogeneous of degree  $h + \nu$  if  $\sigma$  has the length h. For, if h = 1,  $\delta(x_i) = y_i$  is of degree  $\nu + 1$  by assumption, and if this property has already been proved up to h-1, the degrees of the terms on the right hand side in (2) are  $(h-1+\nu)+1$ and  $(h-1) + (\nu+1)$  respectively, which are both equal to  $h + \nu$ . Hence  $\delta(p_{\sigma})$ is of degree  $h + \nu$ .

Now we define a linear mapping  $D: F \to E$  such that  $D(p_{\sigma}) = \delta(p_{\sigma})$ for all  $\sigma \in \Sigma$ . Since  $(p_{\sigma})$  forms a base of F, such D always exists and is determined uniquely. Evidently D is linear and homogeneous of degree  $\nu$ . Next we shall show the condition

(3) 
$$D(uv) = D(u)\varphi(v) + \varphi(J^{\nu}u)D(v) \quad (u, v \in F).$$

We first remark that

$$D(p_{\sigma}x_i) = D(p_{\sigma})\varphi(x_i) + \varphi(J^{\nu}p_{\sigma})D(x_i)$$

holds by (2), and then forming a linear combination of  $(p_{\sigma})$ , we obtain by linearity of D,

(4) 
$$D(ux_i) = D(u)\varphi(x_i) + \varphi(J^{\nu}u)D(x_i).$$

Now we denote by  $F_1$  the set of all elements v of F which satisfy the condition (3) for all u in F. From (4), we have  $x_i \in F_1$  and also  $1 \in F_1$ , for if v = 1, (3) reduces to a trivial relation D(u) = D(u). We shall prove that  $v \in F_1$  implies  $vx_i \in F_1$ . In fact, substituting uv in (4), we have

$$\begin{split} D(uvx_i) &= D(uv)\varphi(x_i) + \varphi(J^{\nu}(uv))D(x_i) \\ &= D(u)\varphi(v)\varphi(x_i) + \varphi(J^{\nu}u)D(v)\varphi(x_i) \\ &+ \varphi(J^{\nu}u)\varphi(J^{\nu}v)D(x_i) \quad (\text{since } v \in F_1) \\ &= D(u)\varphi(vx_i) + \varphi(J^{\nu}u)\left[D(v)\varphi(x_i) + \varphi(J^{\nu}v)D(x_i)\right] \\ &= D(u)\varphi(vx_i) + \varphi(J^{\nu}u)D(vx_i) \quad (\text{again by (4)}), \end{split}$$

which proves our assertion. Therefore beginning with  $x_{i_1} \in F_1$  and repeating this process, we have  $p_{\sigma} \in F_1$  for every  $\sigma = (i_1, \dots, i_h)$ . Then by the linearity of D, we have finally that all the elements of F belong to  $F_1$ , which proves that D is a  $\varphi$ -derivation satisfying the conditions of Theorem 1.7.

# CHAPTER II. TENSOR ALGEBRAS

Tensors are usually represented by a quantity with many indices such as  $T_{ij\cdots k}^{ab\cdots c}$ . However, we avoid such a representation in these lectures not only on aesthetic ground, but also due to a more essential reason. Tensors have indices because of the use of bases; on modules without bases, such a representation is impossible, while tensors can be also defined in such cases.

To define a tensor algebra, we shall use the universal algebra, then prove the existence and uniqueness of the tensor algebra.

#### 1. Tensor Algebras

**Definition 2.1.** Let M be a module over the basic ring A. An algebra T is called a tensor algebra over M if it satisfies the following universality conditions:

1) T is an algebra containing M as a submodule, and is generated by  $M^{1}$ .

2) For any linear mapping  $\lambda$  of M into an algebra E over A, there is a homomorphism  $\theta$  of T into E which extends  $\lambda$ . This is represented in the commutative diagram:



**Theorem 2.1.** For any module M over A, there exists a tensor algebra T over M. It is unique up to isomorphism.

*Proof. Uniqueness:* Let T, T' be two algebras with the above universality properties over M. Then  $T \supset M, T' \supset M$  and the injection  $I' : M \to T'$  extends to a homomorphism  $\theta : T \to T'$ . Similarly the injection  $I : M \to T$ 

<sup>&</sup>lt;sup>1</sup> This means that T is generated by M and 1 in the ordinary sense. See the "Conventions".
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extends to a homomorphism  $\theta': T' \to T$ . The mapping  $\theta' \circ \theta$  is an endomorphism of T, which coincides with the identity on M. But since M generates  $T, \theta' \circ \theta$  is the identity mapping of T. Similarly  $\theta \circ \theta'$  is the identity mapping of T', which proves that T and T' are isomorphic as algebras. Therefore the tensor algebra over M is unique up to isomorphism.

*Existence*: First we shall construct an algebra satisfying a somewhat modified form of condition 2), and then we shall show that this algebra also satisfies 1).

For a while, we forget the structure of module of M and consider M as a mere set. In Chap. I, 1, we constructed a free algebra F over A freely generated by the set M. To distinguish the addition, substraction and scalar multiplication in this algebra from those of M, we denote the former operations by  $\dot{+}, -$ , and  $\alpha \cdot x(\alpha \in A)$  respectively. Therefore we remark that when  $x, y \in M$ , we have  $x + y \notin M$ ,  $x - y \notin M$ , and  $\alpha \cdot x \notin M$  in general. Next, we denote by S the set of all elements in F of the forms

(1) 
$$\dot{x+y-(x+y)}$$
  $(x,y\in M)$ 

and

(2) 
$$\alpha \cdot x - (\alpha x) \qquad (\alpha \in A, x \in M).$$

Let  $\mathfrak{T}$  be the ideal in F generated by S. Put  $T = F/\mathfrak{T}$  (quotient algebra), and denote by  $\varphi$  the canonical mapping of F onto T.

We first prove:

**Lemma 2.1.** The algebra T satisfies the following condition:

2') If  $\lambda$  is a linear mapping of M into an algebra E over A, there exists a homomorphism  $\theta: T \to E$  such that

(3) 
$$(\theta \circ \varphi)(x) = \lambda(x)$$
 for all  $x \in M$ .

The relation (3) is represented in the commutative diagram where I means the injection of M into F:



*Proof.* By the universality of free algebras (Theorem 1.2), there exists a homomorphism  $\Theta: F \to E$  which extends  $\lambda$ :



Next we prove  $\Theta(\mathfrak{T}) = \{0\}$ . It is sufficient to prove that  $\Theta$  maps all generators of  $\mathfrak{T}$  upon 0. Since each generator of  $\mathfrak{T}$  has the form (1) or (2), we consider them separately. In fact,

$$egin{aligned} & \varTheta(x \dot{+} y \dot{-} (x+y)) = \varTheta(x) + \varTheta(y) - \varTheta(x+y) \ & (\varTheta: F 
ightarrow E \ ext{ is a homomorphism.}) \ & = \lambda(x) + \lambda(y) - \lambda(x+y) \quad (\varTheta \ ext{ extends } \lambda.) \ & = 0 \ & (\lambda \ ext{ is linear.}), \end{aligned}$$

and similarly we have

$$\Theta(\alpha \cdot \dot{x-\alpha x}) = \alpha \Theta(x) - \Theta(\alpha x) = \alpha \lambda(x) - \lambda(\alpha x) = 0,$$

which proves our assertion. Hence the kernel of  $\Theta$  containing  $\mathfrak{T}$ ,  $\Theta$  defines a homomorphism  $\theta: T \to E$  and if  $x \in M$ , we have  $(\theta \circ \varphi)(x) = \Theta(x) = \lambda(x)$ :



which proves our Lemma.

Now we shall prove that T also satisfies condition 1) in Definition 2.1. From the definition of  $\mathfrak{T}$  and  $T = F/\mathfrak{T}$ , it is clear that the restriction of  $\varphi$  to M is linear. Hence it is sufficient to prove that  $\varphi$  induces an isomorphism on M, i.e.,

$$\mathfrak{T} \cap M = \{0\}.$$

Although (4) may be proved directly, we shall prove it using the above Lemma 2.1. Put  $E = A \oplus M$  (direct sum). Since A has a unit element 1, E is the set of elements of the form  $a \cdot 1 + x$ ,  $(a \in A, x \in M)$ . Define a multiplication in E by

(5) 
$$(a \cdot 1 + x)(b \cdot 1 + y) = ab \cdot 1 + (bx + ay) \ (a, b \in A \ ; \ x, y \in M).$$

Then we have xy = 0 for  $x, y \in M$ . It is easy to verify that E is an associative algebra over A with unit element, and the injection of M into E is a linear mapping. Therefore we have a homomorphism  $\theta: T \to E$  such that

(6) 
$$(\theta \circ \varphi)(x) = x$$
 for all  $x \in M$ ,

by Lemma 2.1. If  $x \in M \cap \mathfrak{T}$ , we have  $\varphi(x) = 0$  and then (6) asserts that x = 0, which proves (4).

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This proves that M and the submodule  $\varphi(M)$  of T are isomorphic with each other as modules. So we identify them.<sup>2</sup> Since T is a quotient algebra of the free algebra generated by M, then M is also a set of generators of T. This proves that T satisfies the condition 1). Therefore the algebra T thus constructed is a tensor algebra over M, which completes our proof of existence.

Example 1. When M has a base consisting of only one element  $\{x\}$ , the tensor algebra T over M = Ax is the polynomial ring A[x].

Proof. Let T be the tensor algebra over M and P be the algebra of polynomials in X with coefficients in A. There exists a linear mapping  $\lambda : M \to P$  which maps x upon X, and we have a homomorphism  $\varphi : T \to P$  which extends  $\lambda$ . On the other hand, T being an algebra generated by x, an element  $y \in T$  has the form  $\sum a_k x^k$ , and

$$\varphi\left(\sum a_k x^k\right) = \sum a_k (\varphi(x))^k = \sum a_k X^k.$$

Thus,  $\varphi: T \to P$  is surjective. Also,  $\varphi(\sum a_k x^k) = 0$  implies  $\sum a_k X^k = 0$ , and then we must have  $a_k = 0$ , which means that  $\varphi$  is an isomorphism of T with P. Therefore we may put T = P = A[x].

# 2. Graded Structure of Tensor Algebras

In the above construction of the tensor algebra T over M, the ideal  $\mathfrak{T}$  is generated by S whose elements are all of degree 1 in F. Hence defining all the elements of M as of degree 1, the ideal  $\mathfrak{T}$  is homogeneous (cf. Theorem 1.3), and  $F/\mathfrak{T} = T$  is a graded algebra. Decomposing F and T into homogeneous components,

$$F = \sum_{h} F_{h}$$
, and  $T = \sum_{h} T_{h}$ ,

we have

(1) 
$$T_h = F_h / (F_h \cap \mathfrak{T})$$

and especially,

$$T_h = 0$$
 for  $h < 0$ ,  $T_0 = A \cdot 1$ ,  $T_1 = M$ .

Also  $T_h$  is spanned as a module by the products of h elements of M.

We shall give a universality property of  $T_h$  similar to that of T.

<sup>&</sup>lt;sup>2</sup> The identification is made possible by the following property: Given any set X, and a set M, there is a set Y equipotent to X which does not meet M.

**Theorem 2.2.** Let  $h \ge 1$  and  $\beta$  be any h-linear mapping <sup>3</sup> of  $M^h = M \times \cdots \times M$  into a module N over A. Then there exists a linear mapping  $\psi$  of  $T_h$  into N such that

(2) 
$$\psi(x_1\cdots x_h) = \beta(x_1,\cdots,x_h)$$
 for all  $x_1,\cdots,x_h$  in  $M$ .

In the left hand side of (2),  $x_1 \cdots x_h$  is the product of  $x_1, \cdots, x_h$  in the tensor algebra T.

*Proof.* Let S be the set of generators of  $\mathfrak{T}$ . An element of  $\mathfrak{T}$  is the sum of a finite number of elements of the form

$$a \square s \square b$$
,  $(s \in S; a, b \in F)$ ,

where  $\Box$  is the free multiplication in F. Hence if  $u \in F_h \cap \mathfrak{T}$ , it has the form

$$u = \sum_{i=1}^m a_i \square s_i \square b_i, \quad (s_i \in S; a_i, b_i \in F),$$

and decomposing  $a_i$  and  $b_i$  into homogeneous components

$$a_i = \sum_k a_{ik}, \quad b_i = \sum_\ell b_{i\ell} \quad (a_{ik} \in F_k, \ b_{i\ell} \in F_\ell),$$

we have

$$u = \sum_{i,k,\ell} a_{ik} \,\square\, s_i \,\square\, b_{i\ell}.$$

Here  $a_{ik} \Box s_i \Box b_{i\ell}$  is homogeneous of degree  $k + \ell + 1$ , because  $s_i$  is homogeneous of degree 1. On the other hand, any homogeneous element of degree k in F is the sum of products of k elements of M. Therefore we have that (3) any u in  $F_h \cap \mathfrak{T}$  is the sum of elements of the form:

$$\begin{aligned} x_1 \Box \cdots \Box x_k \Box s \Box y_1 \Box \cdots \Box y_\ell, \\ (k+\ell+1=h; k, \ell \geq 0; \ x_1, \cdots, x_k, \ y_1, \cdots, y_\ell \ \text{in } M; \ s \ \text{in } S). \end{aligned}$$

Now the set  $\{z_1 \Box \cdots \Box z_h \mid z_1, \cdots, z_h \in M\}$  forming a base of  $F_h$ , for a given *h*-linear mapping  $\beta : M^h \to N$ , there exists a linear mapping  $\Psi : F_h \to N$ , such that

$$\beta(x_1, \dots, x_{i-1}, ax_i + bx'_i, x_{i+1}, \dots, x_h) = a\beta(x_1, \dots, x_{i-1}, x_i, x_{i+1}, \dots, x_h) + b\beta(x_1, \dots, x_{i-1}, x'_i, x_{i+1}, \dots, x_h),$$
for  $a, b \in A; x_1, \dots, x_h, x'_i \in M; i = 1, \dots, h.$ 

<sup>&</sup>lt;sup>3</sup> A *h*-linear mapping means a function  $\beta(x_1, \dots, x_h)$  of *h* arguments  $x_1, \dots, x_h$  in *M*, which is linear with respect to each argument when the other h-1 are kept fixed, i.e., we have

$$\Psi(z_1 \Box \cdots \Box z_h) = \beta(z_1, \cdots, z_h) \text{ for all } z_1, \cdots, z_h \text{ in } M.$$

Now we shall show that

(4) 
$$\Psi(F_h \cap \mathfrak{T}) = \{0\}.$$

In fact, by the above remark (3), it is sufficient to show that

(5) 
$$\Psi(x_1 \Box \cdots \Box x_k \Box (x + y - (x + y)) \Box y_1 \Box \cdots \Box y_\ell) = 0,$$

and

(6) 
$$\Psi(x_1 \Box \cdots \Box x_k \Box (\alpha \cdot x - \alpha x) \Box y_1 \Box \cdots \Box y_\ell) = 0, \quad (k + \ell + 1 = h).$$

Since  $\Psi$  is linear in each of its arguments, we have

$$\begin{split} \Psi(x_1 \Box \cdots \Box x_k \Box (x + y - (x + y)) \Box y_1 \Box \cdots \Box y_\ell) \\ &= \Psi(x_1 \Box \cdots \Box x_k \Box x \Box y_1 \Box \cdots \Box y_\ell) \\ &+ \Psi(x_1 \Box \cdots \Box x_k \Box y \Box y_1 \Box \cdots \Box y_\ell) \\ &- \Psi(x_1 \Box \cdots \Box x_k \Box (x + y) \Box y_1 \Box \cdots \Box y_\ell) \\ &= \beta(x_1, \cdots, x_k, x, y_1, \cdots, y_\ell) + \beta(x_1, \cdots, x_k, y, y_1, \cdots, y_\ell) \\ &- \beta(x_1, \cdots, x_k, x + y, y_1, \cdots, y_\ell) \\ &= 0 \qquad (\text{because } \beta \text{ is } h\text{-linear}), \end{split}$$

and similarly we have (6), and then (4) is proved.

Thus, by (1) and (4),  $\Psi$  defines a linear mapping  $\psi$  of  $T_h = F_h/(F_h \cap \mathfrak{T})$ into N, such that  $\Psi = \psi \circ \varphi_h$  (here  $\varphi_h$  is the restriction of  $\varphi$  to  $F_h$ ). In diagrams this is represented by:



Moreover, for  $z_1, \dots, z_h$  in M, we have

$$\psi(z_1\cdots z_h)=\Psi(z_1\Box\cdots \Box z_h)=\beta(z_1,\cdots,z_h),$$

which proves our Theorem.

Now we shall define the *tensor product* of modules using the tensor algebra described above. A characteristic property of tensor products will be given later (cf. section 4).

**Definition 2.2.** Let M, N be two modules over A. We set  $P = M \oplus N$  (direct sum), and let T be the tensor algebra over P. The submodule Q of  $T_2$  spanned by all products  $\{xy \mid x \in M, y \in N\}$  is called the tensor product of M and N, and denoted by  $M \otimes N$ . The element xy of Q ( $x \in M, y \in N$ ) is also denoted by  $x \otimes y$ .

From Theorem 2.2, we deduce easily:

**Corollary.** Let there be given a bilinear (= 2-linear) mapping  $\beta$  of  $M \times N$  into a third module R. Then there exists a linear mapping  $\psi$  of  $M \otimes N$  into R, such that  $\psi(x \otimes y) = \beta(x, y)$  for every  $x \in M$  and  $y \in N$ .

We leave it to the reader to formulate a similar definition of the tensor product  $M_1 \otimes \cdots \otimes M_h$  of h modules  $M_i$  over A.

Example 2. If M has a base  $\{x_i\}_{i \in I} = B$ , then T is isomorphic to the free algebra on B. Therefore, a tensor is represented in the form  $a_{i_1 \dots i_h}$  once a base has been chosen.

*Proof.* Let U be the free algebra on B and again we use the notations  $\dot{+}, \dot{-}, \Box$  and  $\alpha \cdot x$  for the laws of composition in U to distinguish them from the ones in M.

Let  $\lambda: M \to U$  be the linear mapping which is the identity on B:

$$\lambda(a_1x_{i_1} + \cdots + a_nx_{i_n}) = a_1 \cdot x_{i_1} + \cdots + a_n \cdot x_{i_n}.$$

Then there is a homomorphism  $\theta: T \to U$  which extends  $\lambda$  by the property 2) of T. On the other hand, since  $B \subset M \subset T$ , the universality property of free algebra U asserts that there exists a homomorphism  $\theta': U \to T$  which is the identity on B. These relations are represented in the commutative diagram:



Then  $\theta' \circ \theta$  is an endomorphism of T and is the identity on B. Since B is a base of M,  $\theta' \circ \theta$  is also the identity on M, hence on the algebra T generated by M. Similarly  $\theta \circ \theta'$  is an endomorphism of U and is the identity on B, hence also on the algebra U generated by B. Therefore  $\theta$  and  $\theta'$  are isomorphisms which are reciprocal with each other. Also since  $\lambda$  maps M into  $U_1$  (submodule of elements homogeneous of degree 1 in U), T is isomorphic to U not only as an algebra, but also as a graded algebra, which proves our assertion. If  $\{x_i\}_{i \in I}$  is a base of M, every element in  $T_h$  is of the form

$$\sum_{1,\cdots,i_h\in I}a_{i_1\cdots i_h}x_{i_1}\cdots x_{i_h}$$

where  $a_{i_1 \cdots i_h} \in A$  are the components of the tensor in the familiar way.

# 3. Derivations in a Tensor Algebra

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Now, we consider a module M over A and the tensor algebra  $T = \Sigma_h T_h$  over M. We shall prove the following:

**Theorem 2.3.** If  $\lambda : M \to T_{\nu+1}$  is a linear mapping ( $\nu$  : any integer  $\geq -1$ ), then  $\lambda$  may be extended uniquely to a derivation in T (of degree  $\nu$ ).

Proof. Uniqueness is obvious since M generates T. So we prove the existence of an extension. Consider the free algebra F on the set M. Then we can write  $T = F/\mathfrak{T}$ ,  $T_{\nu+1} = F_{\nu+1}/(F_{\nu+1} \cap \mathfrak{T})$ , where  $\mathfrak{T}$  is the ideal in F generated by the elements of the forms

$$egin{array}{lll} x \dot{+} y \dot{-} (x+y) & (x,y \in M), \ lpha \cdot x \dot{-} (lpha x) & (lpha \in A, x \in M). \end{array}$$

Denote by  $\pi: F_{\nu+1} \to T_{\nu+1}$  the canonical map in the factorization  $T_{\nu+1} = F_{\nu+1}/(F_{\nu+1}\cap\mathfrak{T})$ . For each  $x \in M$ , we select an element  $\Lambda(x) \in F_{\nu+1}$  such that  $\lambda(x) = \pi(\Lambda(x))$ . This defines a map  $\Lambda: M \to F_{\nu+1}$  such that the diagram



is commutative. Since M is a system of free generators of F, according to Theorem 1.7 the map  $\Lambda: M \to F_{\nu+1}$  can be extended to a derivation D of F (of degree  $\nu$ ). Now we shall show that

$$(1) D(\mathfrak{T}) \subset \mathfrak{T}.$$

In fact, we have

$$D(x\dot+y\dot-(x+y))=D(x)\dot+D(y)\dot-D(x+y)\quad(x,y\in M),$$

so that

(2) 
$$\pi(D(x + y)) = \pi(D(x)) + \pi(D(y)) - \pi(D(x + y)).$$

But now, since x, y, x + y are in M, we have

$$D(x) = \Lambda(x), \quad D(y) = \Lambda(y), \quad D(x+y) = \Lambda(x+y).$$

Therefore the right hand side of the equality (2) can be rewritten as

$$\lambda(x) + \lambda(y) - \lambda(x+y),$$

which is zero, since  $\lambda$  is linear. This proves that D(x+y-(x+y)) lies in the kernel of  $\pi$ , and therefore in  $\mathfrak{T}$ . Likewise we obtain  $D(\alpha \cdot x - (\alpha x)) \in \mathfrak{T}$ , and according to Corollary 1 to Theorem 1.4 this proves (1). Thus D induces a derivation d of T in such a way that the diagram

$$\begin{array}{cccc} F & \stackrel{D}{\longrightarrow} & F \\ \pi \downarrow & & \downarrow \pi \\ T & \stackrel{d}{\longrightarrow} & T \end{array}$$

 $(\pi : F \to T \text{ canonical map})$  is commutative. To see that d is an extension of  $\lambda$ , let  $x \in M$ . Then  $x = \pi(x)$  and

$$d(x) = d(\pi(x)) = \pi(D(x)) = \pi(\Lambda(x)) = \lambda(x).$$

This proves Theorem 2.3.

**Tensor representation.** Next, we want to make the following observation. Let M, N be modules over A, T(M), T(N) their tensor algebras and  $\lambda : M \to N$  a linear map. Then, as a special case of the universality theorem for tensor algebras,  $\lambda$  extends uniquely to a homomorphism  $\Lambda : T(M) \to T(N)$ . In the special case where M = N, and where  $\lambda$  is an automorphism (i.e. an invertible linear mapping) of M,  $\lambda$  extends to an endomorphism  $\Lambda : T(M) \to T(M)$ . We assert that this endomorphism  $\Lambda$  is an automorphism. To prove this, let  $\lambda'$  be the inverse of  $\lambda$ . Then  $\lambda'$  extends also to an endomorphism  $\Lambda' : T(M) \to T(M)$  coincides with the identity on M, so that  $\Lambda \circ \Lambda' =$  identity on T(M) which is generated by M. The same is true for  $\Lambda' \circ \Lambda$ . Thus  $\Lambda$ , with its inverse  $\Lambda'$ , is an automorphism.

Now, the restriction of this automorphism  $\Lambda$  on the *h*-th part  $T_h(M)$  of T(M) gives an automorphism  $\Lambda_h$  of  $T_h(M)$ . The correspondence  $\lambda \to \Lambda_h$  is a homomorphism of the group of automorphisms of M into that of the module  $T_h(M)$ . This homomorphism we call the *tensor representation* of degree h.

*Remark.* Suppose M is a submodule of N, for which the injection map  $M \to N$  is denoted by  $\lambda$ . Then the homomorphism  $\Lambda : T(M) \to T(N)$ 

induced by  $\lambda$  is, in general, not an injection. However, in some special cases,  $\Lambda$  is an injection; for example, in case where N is the direct sum of M and some other module  $P: N = M \oplus P$ , or in case where both M, N are free modules.

The following provides an example in which  $\Lambda$  is not an injection. Let  $A = \mathbb{Z}$  be the ring of integers,  $N = \{0, 1, 2, 3\}$  the cyclic group of order 4, and let  $M = \{0, 2\}$  be the subgroup of N of index 2. Then  $\Lambda$  maps the non-zero element  $2 \otimes 2$  of  $M \otimes M = M$  upon the zero element of  $N \otimes N = N$ , for we have  $\Lambda(2 \otimes 2) = 2 \otimes 2 = 4(1 \otimes 1) = 0$ . This shows that  $\Lambda : T(M) \to T(N)$  is not an injection.

# 4. Preliminaries About Tensor Product of Modules

Before considering the tensor product of semi-graded algebras, we give here some preliminaries about tensor product of modules.

**Characterization.** Let  $M_1, \dots, M_h$  be modules over A. Then the tensor product  $P = M_1 \otimes \dots \otimes M_h$  can be characterized in the following manner:

1) P is a module over A into which there is a h-linear map

$$\alpha: M_1 \times \cdots \times M_h \to P$$

such that the elements  $\alpha(x_1, \dots, x_h) = x_1 \otimes \dots \otimes x_h$  (for  $x_i \in M_i$ ,  $i = 1, \dots, h$ ) span P.

Here we say that the map  $\alpha$  is *h*-linear if  $\alpha(x_1, \dots, x_h)$  depends linearly on each one of the entries  $x_1, \dots, x_h$  when the others are fixed.

2) If  $\beta$  is a h-linear mapping of  $M_1 \times \cdots \times M_h$  into a module Q, then there is a linear map  $\varphi : P \to Q$  such that  $\varphi \circ \alpha = \beta$ .

Associativity and commutativity. Let  $M_1, \dots, M_k, M_{k+1}, \dots, M_h$   $(1 \le k < h)$  be modules over A, and put  $P = M_1 \otimes \dots \otimes M_h$ ,  $P' = (M_1 \otimes \dots \otimes M_k) \otimes (M_{k+1} \otimes \dots \otimes M_h)$ . Then there is an isomorphism  $P \to P'$  which maps  $x_1 \otimes \dots \otimes x_k \otimes x_{k+1} \otimes \dots \otimes x_h$  upon  $(x_1 \otimes \dots \otimes x_k) \otimes (x_{k+1} \otimes \dots \otimes x_h)$  for any  $x_i \in M_i$   $(i = 1, \dots, h)$ .

Given the characteristic properties 1), 2) for the tensor product, we need only to prove 1) that  $(x_1 \otimes \cdots \otimes x_k) \otimes (x_{k+1} \otimes \cdots \otimes x_h) \in P'$   $(x_i \in M_i, i = 1, \dots, h)$  depends linearly on each argument, and P' is spanned by elements of the above form, and 2) that, given any multilinear<sup>4</sup> map  $\beta : M_1 \times \cdots \times M_h \to Q$ , then there is a linear map  $\varphi : P' \to Q$  such that

<sup>&</sup>lt;sup>4</sup> We say "multilinear" instead of "h-linear" when we don't want to mention explicitly h.

$$\varphi((x_1\otimes\cdots\otimes x_k)\otimes (x_{k+1}\otimes\cdots\otimes x_h))=\beta(x_1,\cdots,x_h).$$

1) is obvious. In order to construct the map  $\varphi: P' \to Q$ , we consider first the mapping

$$(x_1,\cdots,x_k) \rightarrow \beta(x_1,\cdots,x_k, x_{k+1},\cdots,x_h)$$

for each set of given values of  $x_{k+1}, \dots, x_h$ . This mapping is a k-linear map from  $M_1 \times \dots \times M_k$  into Q. Therefore, there is a linear map, say  $\psi_{x_{k+1},\dots,x_h}$ :  $M_1 \otimes \dots \otimes M_k \to Q$ , such that

$$\psi_{x_{k+1},\cdots,x_h}(x_1\otimes\cdots\otimes x_k)=\beta(x_1,\cdots,x_k,\ x_{k+1},\cdots,x_h).$$

Now, let t be any element in  $M_1 \otimes \cdots \otimes M_k$ . For this fixed t, we consider the mapping

$$(x_{k+1},\cdots,x_h) \rightarrow \psi_{x_{k+1},\cdots,x_h}(t).$$

We assert that this is a multilinear mapping. In fact, this is true if t is of the form  $t = x_1 \otimes \cdots \otimes x_k$ , because in that case we have

$$\psi_{x_{k+1},\cdots,x_h}(t) = \beta(x_1,\cdots,x_k, x_{k+1},\cdots,x_h).$$

Let now  $t = \sum \alpha_i t_i$ , where each  $t_i$  is of the form  $x_1 \otimes \cdots \otimes x_k$ . Since  $\psi_{x_{k+1}, \cdots, x_h}$ :  $M_1 \otimes \cdots \otimes M_k \to Q$  is linear, we obtain

$$\psi_{x_{k+1},\cdots,x_h}(t) = \sum_i lpha_i \psi_{x_{k+1},\cdots,x_h}(t_i).$$

Each summand  $\alpha_i \psi_{x_{k+1},\dots,x_h}(t_i)$  being multilinear in  $(x_{k+1},\dots,x_h)$ , we can conclude that  $\psi_{x_{k+1},\dots,x_h}(t)$  is multilinear in  $(x_{k+1},\dots,x_h)$ . Thus for given  $t \in M_1 \otimes \dots \otimes M_k$ , there is a linear map  $\gamma_t : M_{k+1} \otimes \dots \otimes M_h \to Q$  such that  $\gamma_t(x_{k+1} \otimes \dots \otimes x_h) = \psi_{x_{k+1},\dots,x_h}(t)$ .

Similarly, we can prove that, for any fixed element u in  $M_{k+1} \otimes \cdots \otimes M_h$ , the mapping  $t \to \gamma_t(u)$  is linear. Thus, the mapping  $(t, u) \to \gamma_t(u)$  is a bilinear map from  $(M_1 \otimes \cdots \otimes M_k) \times (M_{k+1} \otimes \cdots \otimes M_h)$  into Q and so, there is a linear map

$$\varphi: (M_1 \otimes \cdots \otimes M_k) \otimes (M_{k+1} \otimes \cdots \otimes M_h) \to Q$$

such that

$$\varphi(t\otimes u)=\gamma_t(u)\quad (t\in M_1\otimes\cdots\otimes M_k,\ u\in M_{k+1}\otimes\cdots\otimes M_h).$$

Thus, for  $t = x_1 \otimes \cdots \otimes x_k$ ,  $u = x_{k+1} \otimes \cdots \otimes x_h$ , we have

$$\varphi((x_1\otimes\cdots\otimes x_k)\otimes (x_{k+1}\otimes\cdots\otimes x_h))=eta(x_1,\cdots,x_k,\ x_{k+1},\cdots,x_h),$$

which proves 2). Thus our assertion is proved.

By identifying  $x_1 \otimes \cdots \otimes x_k \otimes x_{k+1} \otimes \cdots \otimes x_h$  with

$$(x_1 \otimes \cdots \otimes x_k) \otimes (x_{k+1} \otimes \cdots \otimes x_h)$$

we take

$$M_1 \otimes \cdots \otimes M_h = (M_1 \otimes \cdots \otimes M_k) \otimes (M_{k+1} \otimes \cdots \otimes M_h).$$

Let again  $M_1, \dots, M_h$  be modules over A, and let  $\pi$  be any permutation of  $\{1, \dots, h\}$ . Then there is an isomorphism  $\lambda_{\pi}$  of  $M_1 \otimes \dots \otimes M_h$  onto  $M_{\pi(1)} \otimes \dots \otimes M_{\pi(h)}$  such that

$$\lambda_{\pi}(x_1\otimes\cdots\otimes x_h)=x_{\pi(1)}\otimes\cdots\otimes x_{\pi(h)} \quad (x_i\in M_i,i=1,\cdots h).$$

In fact, since the mapping

$$(x_1,\cdots,x_h)\to x_{\pi(1)}\otimes\cdots\otimes x_{\pi(h)}$$

is *h*-linear, there exists a linear map  $\lambda_{\pi}: M_1 \otimes \cdots \otimes M_h \to M_{\pi(1)} \otimes \cdots \otimes M_{\pi(h)}$  such that

$$\lambda_{\pi}(x_1 \otimes \cdots \otimes x_h) = x_{\pi(1)} \otimes \cdots \otimes x_{\pi(h)}$$

So it remains only to prove that  $\lambda_{\pi}$  is invertible. Let  $\lambda'_{\pi} : M_{\pi(1)} \otimes \cdots \otimes M_{\pi(h)} \to M_1 \otimes \cdots \otimes M_h$  be the linear map obtained similarly from the *h*-linear mapping

 $(x_{\pi(1)},\cdots,x_{\pi(h)}) \rightarrow x_1 \otimes \cdots \otimes x_h.$ 

Then

$$\lambda'_{\pi}(x_{\pi(1)}\otimes\cdots\otimes x_{\pi(h)})=x_1\otimes\cdots\otimes x_h,$$

so that

 $\lambda_{\pi} \circ \lambda'_{\pi} =$ identity mapping of  $M_{\pi(1)} \otimes \cdots \otimes M_{\pi(h)}$ ,

 $\lambda'_{\pi} \circ \lambda_{\pi} = ext{identity mapping of } M_1 \otimes \cdots \otimes M_h.$ 

This proves that  $\lambda_{\pi}$ , with its inverse  $\lambda'_{\pi}$ , is an isomorphism.

Remark. Identification of  $(x_1 \otimes \cdots \otimes x_k) \otimes (x_{k+1} \otimes \cdots \otimes x_h)$  with  $x_1 \otimes \cdots \otimes x_h$ in the case of associativity does not cause any confusion, while identification will not be permitted in the case of commutativity. The reader must be careful not to make the following sort of mistakes. Consider the case  $M_1 = M_2 = M$ ,  $x_1, x_2$  in M. Can we identify  $x_2 \otimes x_1$  with  $x_1 \otimes x_2$  in  $M \otimes M$ ? No! These two elements are by no means identical in general.

# 5. Tensor Product of Semi-Graded Algebras

Let E, E' be semi-graded algebras over A:

$$E = E_+ \oplus E_-, \quad E' = E'_+ \oplus E'_-.$$

Now, we shall give  $E \otimes E'$ , the tensor product of the modules E, E', a structure of semi-graded algebra. To do this, we first define the multiplication in  $E \otimes E'$ , in terms of a bilinear map  $(E \otimes E') \times (E \otimes E') \rightarrow E \otimes E'$ .

Since  $(E \otimes E'_+) \oplus (E \otimes E'_-) = E \otimes E' = (E_+ \otimes E') \oplus (E_- \otimes E')$ , it suffices to define four bilinear maps:

 $\begin{array}{lll} (E\otimes E'_+)\times (E_+\otimes E') &\to & E\otimes E',\\ (E\otimes E'_+)\times (E_-\otimes E') &\to & E\otimes E',\\ (E\otimes E'_-)\times (E_+\otimes E') &\to & E\otimes E',\\ (E\otimes E'_-)\times (E_-\otimes E') &\to & E\otimes E', \end{array}$ 

which will be well defined as soon as 4-linear maps:

are given. The first three maps are defined by

$$(x, x', y, y') \rightarrow xy \otimes x'y' \begin{cases} x \in E, & y' \in E', \text{ and either} \\ & x' \in E'_+, y \in E_+ \\ \text{or} & x' \in E'_+, y \in E_- \\ \text{or} & x' \in E'_-, y \in E_+ \end{cases}$$

while the last one is defined by

$$(x,x',y,y') \rightarrow -(xy \otimes x'y') \quad (x \in E, x' \in E'_-, y \in E_-, y' \in E').$$

In this way, we obtain a bilinear multiplication  $(E \otimes E') \cdot (E \otimes E') \subset E \otimes E'$ . Now we assert that this *multiplication is associative*. Since every element of  $E \otimes E'$  is a linear combination of elements of the form  $x \otimes x'$ , where both x and x' are nonzero and homogeneous in the semi-gradations, it will be sufficient to check the associativity of the multiplication for elements of that form. For convenience's sake, we set, for  $x \neq 0$  in E,

$$arepsilon(x) = egin{cases} 0 & ext{if} \quad x \in E_+, \ 1 & ext{if} \quad x \in E_-, \end{cases}$$

where 0, 1 denote the elements of the gradation group  $\Gamma = \{0, 1\}$ . Then we have

$$\varepsilon(xy) = \varepsilon(x) + \varepsilon(y),$$

if both x, y are homogeneous, and x, y, xy are nonzero. Similarly we define  $\varepsilon'(x')$  for any nonzero homogeneous element x' in E'. Then as is easily seen, we have

(1) 
$$(x \otimes x') \cdot (y \otimes y') = (-1)^{\varepsilon'(x')\varepsilon(y)} (xy \otimes x'y')^5$$

<sup>&</sup>lt;sup>5</sup> See p. 12 for the definition of  $(-1)^{\nu\nu'}$ .

 $(x \in E, y' \in E', x' \text{ homogeneous in } E', y \text{ homogeneous in } E).$ 

Now we check the identity

(2) 
$$((x \otimes x') \cdot (y \otimes y')) \cdot (z \otimes z') = (x \otimes x') \cdot ((y \otimes y') \cdot (z \otimes z'))$$

for x, y, z nonzero and homogeneous in E and x', y', z' nonzero and homogeneous in E'.

Computing the left hand side of (2), we obtain

$$\begin{aligned} ((x \otimes x') \cdot (y \otimes y')) \cdot (z \otimes z') &= (-1)^{\varepsilon'(x')\varepsilon(y)} (xy \otimes x'y') \cdot (z \otimes z') \\ &= (-1)^{\varepsilon'(x')\varepsilon(y) + \varepsilon'(x'y')\varepsilon(z)} (xyz \otimes x'y'z') \\ &= (-1)^{\varepsilon'(x')\varepsilon(y) + \varepsilon'(x')\varepsilon(z) + \varepsilon'(y')\varepsilon(z)} (xyz \otimes x'y'z'), \end{aligned}$$

while the right hand side of (2) can be reduced as follows

$$\begin{aligned} (x \otimes x') \cdot ((y \otimes y') \cdot (z \otimes z')) &= (-1)^{\varepsilon'(y')\varepsilon(z)}(x \otimes x') \cdot (yz \otimes y'z') \\ &= (-1)^{\varepsilon'(y')\varepsilon(z)+\varepsilon'(x')\varepsilon(yz)}(xyz \otimes x'y'z') \\ &= (-1)^{\varepsilon'(y')\varepsilon(z)+\varepsilon'(x')\varepsilon(y)+\varepsilon'(x')\varepsilon(z)}(xyz \otimes x'y'z'). \end{aligned}$$

This proves the associativity of the multiplication. If 1, 1' are the multiplicative units in E, E' respectively, then it is clear that  $1 \otimes 1' \in E \otimes E'$  is the multiplicative unit in  $E \otimes E'$ .

Thus  $E\otimes E'$  is an associative algebra, which is semi-graded, namely, if we put

$$(E \otimes E')_+ = (E_+ \otimes E'_+) \oplus (E_- \otimes E'_-), (E \otimes E')_- = (E_+ \otimes E'_-) \oplus (E_- \otimes E'_+),$$

then

$$\begin{split} E\otimes E' &= (E\otimes E')_+ \oplus (E\otimes E')_-, \text{ and } \\ (E\otimes E')_+ \cdot (E\otimes E')_+ \subset (E\otimes E')_+, \\ (E\otimes E')_+ \cdot (E\otimes E')_- \subset (E\otimes E')_-, \\ (E\otimes E')_- \cdot (E\otimes E')_+ \subset (E\otimes E')_-, \\ (E\otimes E')_- \cdot (E\otimes E')_- \subset (E\otimes E')_+. \end{split}$$

Observe that, if E, E' are  $\Gamma$ -graded algebras and a fixed subgroup  $\Gamma_+$  of  $\Gamma$  of index 2 is given, then by the associated semi-gradations

$$\begin{split} E_+ &= \sum_{\gamma \in \Gamma_+} E_{\gamma}, E_- = \sum_{\gamma \in \Gamma_-} E_{\gamma}, \\ E'_+ &= \sum_{\gamma \in \Gamma_+} E'_{\gamma}, E'_- = \sum_{\gamma \in \Gamma_-} E'_{\gamma}, \end{split}$$

 $E\otimes E'$  is a semi-graded algebra. The associative algebra  $E\otimes E'$  also admits the following  $\varGamma\text{-}\text{gradation:}$ 

$$egin{aligned} E\otimes E'&=\sum_{eta\in \Gamma}(E\otimes E')_eta, \ \ ext{where} \ (E\otimes E')_eta&=\sum_{\gamma+\gamma'=eta}E_\gamma\otimes E'_{\gamma'}, \end{aligned}$$

of which the associated semi-gradation is just the semi-gradation of  $E\otimes E'$  given above. Direct definition of the multiplication in the  $\Gamma$ -graded algebra  $E\otimes E'$  is given by

$$(x\otimes x')\cdot(y\otimes y')=(-1)^{\gamma'\gamma}(xy\otimes x'y')\ \ (x\in E,x'\in E_{\gamma'}',\ y\in E_{\gamma},\ y'\in E').$$

# CHAPTER III. CLIFFORD ALGEBRAS

# 1. Clifford Algebras

A Clifford algebra is an algebra associated to a quadratic form f, and, roughly speaking, the one satisfying

(1)  $x^2 = f(x) \cdot 1.$ 

First we define a quadratic form without using any base of a module.

**Definition 3.1.** Let M be a module over the basic ring A. A quadratic form on M is a mapping  $f: M \to A$  such that

1)  $f(\alpha x) = \alpha^2 f(x)$  for all  $\alpha \in A$ ,  $x \in M$ ;

2) the mapping  $(x, y) \rightarrow f(x+y) - f(x) - f(y) = \beta(x, y)$  of  $M \times M$  into A is bilinear.

Then  $\beta$  is called the bilinear form associated to f.

It is obvious from the definition that  $\beta$  is symmetric:

$$\beta(x,y) = \beta(y,x)$$

and  $\beta(x, x) = 2f(x)$ .

Two elements x, y of M such that  $\beta(x, y) = 0$  are said to be orthogonal to each other. When M is a free module over A with a base  $x_1, \dots, x_n$  and

$$f(x) = f(\sum_{i=1}^{n} \xi_i x_i) = \xi_1^2 + \dots + \xi_n^2$$
, then we have $eta(x,y) = eta(\sum \xi_i x_i, \sum \eta_i x_i) = 2(\xi_1 \eta_1 + \dots + \xi_n \eta_n).$ 

Hence the above definition of orthogonality coincides with the ordinary one in the n-dimensional Euclidean space.

Hereafter we suppose given a quadratic form f on M.

**Definition 3.2.** Let T be the tensor algebra over M, and denote by  $\otimes$  the multiplication<sup>1</sup> in T. Let c be the ideal generated in T by the elements of the form

<sup>&</sup>lt;sup>1</sup> In this chapter, we denote it this way to distinguish it from the various other multiplications which will be considered later.

(2) 
$$x \otimes x - f(x) \cdot 1$$
,

for x in M, where 1 is the unit of T. The quotient algebra C = T/c is called the Clifford algebra associated to M and f.

If  $\pi: T \to C$  is the canonical mapping,  $\pi(M)$  is a submodule of C, which generates C as an algebra. Also we have

$$(\pi(x))^2=f(x)\cdot 1 \quad ext{if} \quad x\in M.$$

We remark that the kernel of  $\pi$  in M is not always 0, and we *cannot* identify M and  $\pi(M)$  in general. However, if we wish to construct an algebra satisfying (1), the universality leads to this definition as is shown in the following:

**Theorem 3.1.** Assume that we have a linear mapping  $\lambda$  of M into an algebra F such that  $(\lambda(x))^2 = f(x) \cdot 1$  for all x in M. Then there exists a homomorphism  $\varphi$  of C into F such that

$$\lambda(x) = \varphi(\pi(x)), \text{ for all } x \text{ in } M.$$

This is represented in the diagram:



*Proof.* The definition of the tensor algebra asserts the existence of a homomorphism  $\Lambda: T \to F$  which extends  $\lambda$ . For x in M, we have

$$\Lambda(x\otimes x - f(x)\cdot 1) = (\lambda(x))^2 - f(x)\cdot 1 = 0.$$

Thus the generators of c being mapped upon 0, we have  $\Lambda(c) = \{0\}$ , which proves that  $\Lambda$  defines a homomorphism  $\varphi$  of C into F satisfying  $\Lambda = \varphi \circ \pi$ . Theorem 3.1 follows since  $\lambda$  is the restriction of  $\Lambda$  to M.

There exists a quadratic form g on  $\pi(M)$  with values in the subring  $A \cdot 1$  of C, such that

$$y^2 = g(y) \cdot 1,$$

for all y in  $\pi(M)$ ; moreover  $f = g \circ \pi$ .

Semi-graded structure of Clifford algebras. We have shown in the previous chapter that the tensor algebra T is graded, and *a fortiori*, T is a

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semi-graded algebra. Since the element  $x \otimes x$  or  $f(x) \cdot 1$  is of degree 2 or 0 respectively, the elements (2) are homogeneous in the *semi-gradation* of T. Decomposing T into  $T_+ \oplus T_-$ , (2) belongs to  $T_+$ , and  $\mathfrak{c}$  is homogeneous in the semi-gradation of T, which proves that  $C = T/\mathfrak{c}$  is a *semi-graded algebra*. Putting  $C = C_+ \oplus C_-, C_+$  (resp.  $C_-$ ) is generated (as module over A) by the products of an even (resp. odd) number of elements of  $\pi(M)$ , because

$$C_+ = \sum_{h: \text{even}} \pi(T_h) \text{ and } C_- = \sum_{h: \text{odd}} \pi(T_h).$$

If we put  $\overline{x} = \pi(x)$  for  $x \in M$ , we have  $\overline{x}^2 = f(x) \cdot 1$ , and then

(3) 
$$\overline{x}\,\overline{y} + \overline{y}\,\overline{x} = (\overline{x} + \overline{y})^2 - \overline{x}^2 - \overline{y}^2$$
$$= f(x+y) \cdot 1 - f(x) \cdot 1 - f(y) \cdot 1 = \beta(x,y) \cdot 1.$$

Therefore, if x and y are orthogonal, we obtain  $\overline{x} \, \overline{y} + \overline{y} \, \overline{x} = 0$  that is:

(4) 
$$\overline{x}\,\overline{y} = -\overline{y}\,\overline{x}.$$

## 2. Exterior Algebras

**Definition 3.3.** When the quadratic form f reduces to 0, the Clifford algebra C associated to f = 0 is called the exterior algebra over M.

0

One proves easily, for x, y in M, the relations

and

(2) 
$$xy + yx = 0$$
, or  $xy = -yx$ ,

in the case of the exterior algebra. The generators of  $\mathfrak{c}$  reduce to  $x \otimes x \in T_2$  which are homogeneous not only in the semi-gradation of T, but also in the graded structure of T, so that the exterior algebra  $E = T/\mathfrak{c}$  has the structure of a graded algebra.

**Theorem 3.2.** In the case of the exterior algebra E over M, the canonical mapping  $\pi$  of T into E is injective on M, and identifying M with  $\pi(M)$ , we may embed M into E.

Proof. The elements of c are sums of elements of the form

$$u\otimes (x\otimes x)\otimes v$$

where  $x \in M$ , and u, v are homogeneous in T. If  $u \in T_h, v \in T_k$ , then  $u \otimes (x \otimes x) \otimes v$  belongs to  $T_{h+k+2}$  and this element has a degree not less than 2 or else is equal to 0. Therefore the homogeneous components of an element of  $\mathfrak{c}$  which are not 0 must be of degree  $\geq 2$ . On the other hand, the elements of M being of degree 1, we have  $\mathfrak{c} \cap M = \{0\}$ , which proves that  $\pi$  is an isomorphism of M onto  $\pi(M)$ .

Henceforth we identify M with its image under  $\pi$  in E. Then we have  $E_0 = A \cdot 1$ ,  $E_1 = M$ . For h > 1,  $E_h$  is spanned by the products of h elements of M, i.e., by the elements  $x_1 \cdots x_h$ , where  $x_i \in M$ .

# 3. Structure of the Clifford Algebra when M has a Base

Let M be a module over A and f a quadratic form on M. Let  $C = T/\mathfrak{c}$  be the Clifford algebra associated to M and f.

1°. First we consider the case  $M = A \cdot x$  (i.e., M is freely generated by a single element x). As we have already proved in Chap. II, 1, the tensor algebra T over  $M = A \cdot x$  is the polynomial ring A[x], and  $\mathfrak{c}$  is generated by  $x^2 - f(x) \cdot 1$ . If we denote by  $\xi$  the image of x under  $\pi$ ,  $C = T/\mathfrak{c}$  has the form  $A \oplus A \cdot \xi$  where  $\xi^2 = f(\xi) \cdot 1$ . Hence  $A \cdot \xi$  being a free module with a base  $\xi$ , the canonical mapping of M into C is an isomorphism  $A \cdot x \to A \cdot \xi \subset C$ . Therefore we may embed M into C in this case.

2°. Next we consider the case where  $M = N \oplus P$  (direct sum), and N and P are orthogonal with each other, i.e.,

$$\beta(x,y) = 0$$
 for all  $x \in N, y \in P$ .

By the orthogonality property, we have

(1) 
$$f(x+y) = f(x) + f(y)$$
 if  $x \in N$  and  $y \in P$ .

**Theorem 3.3.** Under such conditions, let  $C_M, C_N$  and  $C_P$  be the Clifford algebras over M, N and P associated to f or the restrictions of f on N and P respectively. Then we have

(2)  $C_M = C_N \otimes C_P$  (tensor product of semi-graded algebras).

*Proof.* Let  $T_M, T_N$  and  $T_P$  be the tensor algebras over M, N and P and  $\pi_M, \pi_N, \pi_P$  the canonical mappings of  $T_M$  into  $C_M, T_N$  into  $C_N, T_P$  into

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 $C_P$  respectively. By the definition of tensor algebra, the injection mapping  $\varphi: N \to M$  can be extended to a homomorphism  $\tilde{\varphi}: T_N \to T_M$ , and since

$$\widetilde{arphi}(x \mathop{\otimes}\limits_N x - f(x) \cdot 1) = x \mathop{\otimes}\limits_M x - f(x) \cdot 1, \quad ext{for} \quad x \in N,$$

 $\tilde{\varphi}$  defines a homomorphism of  $C_N$  into  $C_M$  which will be denoted also by  $\varphi$ . Similarly we have a homomorphism  $\psi$  of  $C_P$  into  $C_M$ , which extends the injection mapping  $\psi: P \to M$ .

N	$\xrightarrow{I}$	$T_N$	$\xrightarrow{\pi_N}$	$C_N$
$\varphi \downarrow$		$\widetilde{\varphi} \downarrow$		φ↓
M	$\xrightarrow{I}$	$T_M$	$\xrightarrow{\pi_M}$	$C_M$

The product  $\varphi(u)\psi(v)$  in  $C_M$  being bilinear with respect to  $u \in C_N$ ,  $v \in C_P$ , we have, by the characteristic property of tensor product, a linear mapping  $\theta$  of the module  $C_N \otimes C_P$  into  $C_M$  such that

(3) 
$$\theta(u \otimes v) = \varphi(u)\psi(v) \qquad (u \in C_N, v \in C_P).$$

By the orthogonality of N and P, we have for  $x \in N, y \in P$ ,

(4) 
$$\overline{x}\,\overline{y} = -\overline{y}\,\overline{x}$$

where  $\overline{x} = \pi_M(\varphi(x)) = \varphi(\pi_N(x))$  and  $\overline{y} = \pi_M(\psi(y)) = \psi(\pi_P(y))$ .

Now  $C_N = (C_N)_+ \oplus (C_N)_-$  (semi-graded), where  $(C_N)_+, (C_N)_-$  are spanned by the products of even or odd numbers of elements of  $\pi_N(N)$  respectively. Similarly we put  $C_P = (C_P)_+ \oplus (C_P)_-$ . By the anti-commutativity (4), we have

(5) 
$$\begin{cases} \varphi(u)\psi(v) = \psi(v)\varphi(u) & \text{if either } u \in (C_N)_+ \text{ or } v \in (C_P)_+, \\ \varphi(u)\psi(v) = -\psi(v)\varphi(u) & \text{if both } u \in (C_N)_- \text{ and } v \in (C_P)_-. \end{cases}$$

Here we shall show:

**Lemma 3.1.** The linear mapping  $\theta$  defined above is a homomorphism of  $C_N \otimes C_P$  into  $C_M$ , i.e.,  $\theta$  satisfies

(6) 
$$\theta((u \otimes v)(u' \otimes v')) = \theta(u \otimes v)\theta(u' \otimes v'), \quad for \quad u, u' \in C_N; \ v, v' \in C_P,$$

where the term in the parentheses in the left hand side of (6) is the product of  $u \otimes v$  and  $u' \otimes v'$  in  $C_N \otimes C_P$  which has been defined in Chap. II, 5.

*Proof.* It is sufficient to prove that (6) holds when u, v, u', v' are all homogeneous in the semi-graded structure.

Putting

$$\eta = \begin{cases} 0 & \text{if } v \in (C_P)_+ \\\\ 1 & \text{if } v \in (C_P)_-, \end{cases}$$
$$\varepsilon' = \begin{cases} 0 & \text{if } u' \in (C_N)_+ \\\\ 1 & \text{if } u' \in (C_N)_-, \end{cases}$$

and

we have  $(u \otimes v)(u' \otimes v') = (-1)^{\eta \varepsilon'} uu' \otimes vv'$  by the definition of the product in the tensor algebra (Chap. II, 5). Then we have

$$\begin{split} \theta((u \otimes v)(u' \otimes v')) &= (-1)^{\eta \varepsilon'} \theta(uu' \otimes vv') \\ &= (-1)^{\eta \varepsilon'} \varphi(uu') \psi(vv') \qquad \text{by (3)} \\ &= (-1)^{\eta \varepsilon'} \varphi(u) \varphi(u') \psi(v) \psi(v') \\ &\quad \text{since } \varphi \text{ and } \psi \text{ are homomorphisms.} \end{split}$$

On the other hand (5) is equivalent to

(5') 
$$\psi(v)\varphi(u') = (-1)^{\eta\varepsilon'}\varphi(u')\psi(v),$$

and then

$$\begin{aligned} \theta(u\otimes v)\theta(u'\otimes v') &= \varphi(u)\psi(v)\varphi(u')\psi(v') & \text{by (3)} \\ &= (-1)^{\eta\varepsilon'}\varphi(u)\varphi(u')\psi(v)\psi(v') & \text{by (5')}, \end{aligned}$$

which proves our assertion (6).

After having constructed a homomorphism  $\theta: C_N \otimes C_P \to C_M$ , we next construct a homomorphism in the opposite direction  $\lambda: C_M \to C_N \otimes C_P$ . First define a linear mapping  $\lambda_0: N \oplus P \to C_N \otimes C_P$  by

(7) 
$$\lambda_0(x+y) = \pi_N(x) \otimes 1 + 1 \otimes \pi_P(y) \quad (x \in N, y \in P),$$

where 1 is the unit in  $C_P$  or  $C_N$ . Since  $C_N \otimes C_P$  is an algebra, we have

$$\begin{aligned} (\lambda_0(x+y))^2 &= (\pi_N(x)\otimes 1)^2 + (1\otimes \pi_P(y))^2 + \pi_N(x)\otimes \pi_P(y) \\ &+ (1\otimes \pi_P(y)) \cdot (\pi_N(x)\otimes 1) \end{aligned}$$

and since  $\pi_N(x) \in (C_N)_-$ ,  $\pi_P(y) \in (C_P)_-$ , the last two terms cancel out with each other by the definition of the semi-graded tensor product. Also

$$(\pi_N(x)\otimes 1)^2 = (\pi_N(x))^2 \otimes 1 = f(x)(1\otimes 1),$$

and similarly  $(1 \otimes \pi_P(y))^2 = f(y)(1 \otimes 1)$ . Thus we have

$$egin{aligned} &(\lambda_0(x+y))^2 &= f(x)(1\otimes 1) + f(y)(1\otimes 1) \ &= f(x+y)(1\otimes 1) \ & ext{ by } (1), \end{aligned}$$

i.e., we obtain

(8) 
$$(\lambda_0(z))^2 = f(z)(1 \otimes 1) \quad (z \in M).$$

According to Theorem 3.1,  $\lambda_0$  can be extended to a homomorphism  $\lambda: C_M \to C_N \otimes C_P$  satisfying

(9) 
$$\lambda(\pi_M(z)) = \lambda_0(z)$$
 for all  $z \in M$ .

Let x in N. We remark that

(10) 
$$\theta(\pi_N(x)\otimes 1) = \varphi(\pi_N(x))\psi(1) = \pi_M(\varphi(x)) \cdot 1 = \pi_M(x)$$

by (3). Now we have by (10), (9) and (7),

$$(\lambda \circ \theta)(\pi_N(x) \otimes 1) = \lambda(\pi_M(x)) = \lambda_0(x) = \pi_N(x) \otimes 1,$$

and similarly  $(\lambda \circ \theta)(1 \otimes \pi_P(y)) = 1 \otimes \pi_P(y)$  for y in P. But since  $C_N \otimes C_P$ is generated as an algebra by elements of the forms  $\pi_N(x) \otimes 1$  and  $1 \otimes \pi_P(y)$ , the homomorphism  $\lambda \circ \theta$  is the identity on  $C_N \otimes C_P$ . On the other hand, we have by (9), (7) and (10)

$$( heta \circ \lambda)(\pi_M(x+y)) = heta(\lambda_0(x+y)) = heta(\pi_N(x) \otimes 1) + heta(1 \otimes \pi_P(y))$$
  
=  $\pi_M(x) + \pi_M(y) = \pi_M(x+y) \ (x \in N, y \in P),$ 

and since the elements  $\pi_M(x+y)$  generate  $C_M$ , the homomorphism  $\theta \circ \lambda$  is also the identity on  $C_M$ . Hence  $C_M$  and  $C_N \otimes C_P$  are isomorphic with each other, which proves our Theorem.

3°. When A is a field K of characteristic  $\neq 2$ , and M is of dimension 2 over K, it is well known that f is represented in the form

$$f(\xi x + \eta y) = a\xi^2 + b\eta^2 \qquad (a, b \in K),$$

by a suitable choice of base x, y. If we put  $N = K \cdot x$ ,  $P = K \cdot y$ , x and y are orthogonal, since f does not contain the term  $\xi \eta$ . Therefore we have  $C_M = C_N \otimes C_P$ , and since N or P is generated by only one element x or y respectively, the considerations in 1° give now

$$C_N = K \oplus Kx, \qquad C_P = K \oplus Ky.$$

Thus we obtain

$$C_M = (K \oplus Kx) \otimes (K \oplus Ky) = K \oplus K \otimes Ky \oplus Kx \otimes K \oplus Kx \otimes Ky,$$

which proves that  $C_M$  is spanned as a vector space by four linearly independent elements  $1 \otimes 1 = 1$ ,  $1 \otimes y$ ,  $x \otimes 1$ , and  $x \otimes y$ . The products between these basic elements are given by the following:

$$\begin{array}{l} (x \otimes 1)^2 = x^2 \otimes 1 = f(x) \cdot 1 = a \cdot 1, \\ (1 \otimes y)^2 = 1 \otimes y^2 = f(y) \cdot 1 = b \cdot 1, \\ (x \otimes 1)(1 \otimes y) = x \otimes y = -(1 \otimes y)(x \otimes 1), \\ (\text{since both } x \otimes 1 \text{ and } 1 \otimes y \text{ are of degree } 1). \end{array}$$

Putting  $x \otimes 1 = X, 1 \otimes y = Y$ , we have  $x \otimes y = XY$ , and the products are given by

$$X^2 = a, \quad Y^2 = b, \quad XY = -YX.$$

This is nothing but a generalized quaternion algebra over K. In the case where a = b = -1 and K is the real number field, this is the ordinary quaternion algebra of Hamilton.

 $4^{\circ}$ . Suppose that M has a base consisting of a finite number of elements  $x_1, \dots, x_n$  which are mutually orthogonal:

$$\beta(x_i, x_j) = 0, \quad (i \neq j).$$

It is well known in the theory of quadratic forms that, when A is a field of characteristic  $\neq 2$ , we can always find such a base.<sup>2</sup>

**Theorem 3.4.** Under such assumptions, M is identified with the submodule  $\pi(M)$  of the Clifford algebra  $C_M$  over M. Also  $C_M$  is spanned by the linearly independent elements  $x_{i_1} \cdots x_{i_h}$   $(i_1 < \cdots < i_h)$ .

Proof. Since this is proved when n = 1 in 1°, we proceed by induction on n, and assume that this statement has already been proved for n - 1. Put  $N = Ax_1 + \cdots + Ax_{n-1}$ , and  $P = Ax_n$ ; then N and P satisfy the assumptions of Theorem 3.3, so we have  $C_M \cong C_N \otimes C_P$ . Under this isomorphism,  $\pi_M(x+y)$  corresponds to  $\pi_N(x) \otimes 1 + 1 \otimes \pi_P(y)$   $(x \in N, y \in P)$ . By the inductive assumption, we can identify x with  $\pi_N(x)$  and y with  $\pi_P(y)$ . Also  $x \otimes 1 + 1 \otimes y$  being 0 if and only if x = y = 0, the correspondence  $M \ni (x+y) \to x \otimes 1 + 1 \otimes y = \pi_M(x+y)$  is an isomorphism. Thus Mmay be identified with  $\pi_M(M)$ . Next by our inductive assumption,  $C_N$  is spanned by the linearly independent elements  $x_{j_1} \cdots x_{j_k} (1 \le j_1 < \cdots < j_k \le n-1)$  and  $C_P$  is generated by 1 and  $x_n$ . Therefore the tensor product of the modules  $C_N$  and  $C_P$  is spanned by the linearly independent elements

<sup>&</sup>lt;sup>2</sup> In the case of characteristic 2, such a base exists only in the trivial case where the quadratic form f is the square of a linear form.

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 $x_{j_1}\cdots x_{j_k}$   $(1 \leq j_1 < \cdots < j_k \leq n-1)$  and  $x_{j_1}\cdots x_{j_k}x_n$ , i.e., by  $x_{i_1}\cdots x_{i_h}$  $(1 \leq i_1 < \cdots < i_h \leq n)$ , which proves our assertion.

5°. In particular when M has a finite base  $x_1, \dots, x_n$ , and f = 0, the exterior algebra E over M has a base consisting of the  $2^n$  elements  $x_{i_1} \cdots x_{i_h}$   $(i_1 < \dots < i_h)$ . In this case E is not only semigraded, but also graded, and if we denote by  $E = \sum_m E_m$  the decomposition into homogeneous components,  $E_m$  is spanned by the products of m elements  $x_{i_1} \cdots x_{i_m}$   $(i_1 < \dots < i_m)$ . We have  $E_m = \{0\}$  if m > n, and  $E_n$  is spanned by one element  $x_1 \cdots x_n \neq 0$ . This proves that n is uniquely determined by M. Therefore if we take another finite<sup>3</sup> base  $y_1, \dots, y_p$  of M, we have p = n, i.e., the number of the elements of the base is invariant.

#### 4. Canonical Anti-Automorphism

The notations  $A, M, f, \beta, T, \mathfrak{c}, C = T/\mathfrak{c} = C_+ \oplus C_-, \pi$  are all as before.

**Lemma 3.2.** For every linear form  $\lambda : M \to A$ , there exists a derivation  $d_{\lambda}$  in C of odd degree, i.e.,  $d_{\lambda}(C_{+}) \subset C_{-}$ , and  $d_{\lambda}(C_{-}) \subset C_{+}$ , which satisfies

(1) 
$$d_{\lambda}(\pi(x)) = \lambda(x) \cdot 1 \quad for \quad x \in M,$$

and

$$(2) d_{\lambda}^2 = 0.$$

Proof. Since  $\lambda$  may be considered as a linear mapping  $\lambda : T_1 \to T_0$ , there exists a derivation  $\delta_{\lambda}$  in T of degree -1 which extends  $\lambda$ , as was proved in the previous chapter (cf. Theorem 2.3). We have

$$egin{aligned} &\delta_\lambda(x\otimes x-f(x)\cdot 1)=\delta_\lambda(x\otimes x)\quad ( ext{since } \ \delta_\lambda(1)=0)\ &=\delta_\lambda(x)\otimes x-x\otimes\delta_\lambda(x)\quad (\delta_\lambda \ ext{ is of degree}-1)\ &=\lambda(x)\cdot 1\otimes x-\lambda(x)\cdot x\otimes 1=\lambda(x)(x-x)=0, \end{aligned}$$

<sup>&</sup>lt;sup>3</sup> If M has a finite base  $x_1, \dots, x_n$ , this property holds if we delete the word "finite" for the base (y).

hence  $\delta_{\lambda}(\mathbf{c}) = 0$ . Therefore  $\delta_{\lambda}$  defines a derivation  $d_{\lambda}$  in C, which satisfies the condition (1). Also  $\delta_{\lambda}^2$  is again a derivation since  $\delta_{\lambda}$  is of odd degree, and we have

$$\delta_\lambda^2(x)=\delta_\lambda(\delta_\lambda(x))=\delta_\lambda(\lambda(x)\cdot 1)=\lambda(x)\cdot\delta_\lambda(1)=0,$$

for x in M, which proves (2) since  $\pi(M)$  generates C as an algebra.

Now, if for any element  $x \neq 0$  of M, there is a linear form  $\lambda : M \to A$  such that  $\lambda(x) \neq 0$ , we obtain  $d_{\lambda}(\pi(x)) \neq 0$  and then  $\pi(x) \neq 0$ . When A is a field, every element  $x \neq 0$  of M satisfies this condition, and we obtain:

**Corollary.** If A is a field,  $\pi : M \to \pi(M) \subset C$  is an isomorphism, and we may identify M with  $\pi(M)$  in C.

**Canonical anti-automorphism.** Hereafter we assume that  $\pi : M \to \pi(M) \subset C$  is an *isomorphism*. The above corollary asserts that this assumption holds when A is a field.

**Theorem 3.5.** There is an anti-automorphism of C of order 2, i.e., a linear mapping  $u \to \overline{u}$  satisfying  $\overline{uv} = \overline{v}\overline{u}$ , and  $\overline{\overline{u}} = u$ , which leaves the elements of M fixed.

This mapping is called the canonical (or main) anti-automorphism of C.

Proof. Let C' be the "opposite algebra" of C, i.e., C' be an algebra with the same structure of A-module as C, and a multiplication given by  $u \times v =$  $vu \ (u, v \in C)$ . If  $x \in M$ , we have  $x \times x - f(x) \cdot 1 = xx - f(x) \cdot 1 = 0$  and then the injection of M into C' can be extended to a homomorphism  $C \ni u \to \overline{u} \in C$ by the universality of the Clifford algebra. This homomorphism is linear and satisfies

$$\overline{uv} = \overline{u} \times \overline{v} = \overline{v} \, \overline{u}$$

and also  $\overline{x} = x$ , for  $x \in M$ . Taking the mapping  $\overline{\phantom{x}}$  again on (3), we have  $\overline{uv} = \overline{vu} = \overline{u} \overline{v}$  which proves that  $u \to \overline{u}$  is a endomorphism of C. Since  $x = \overline{x}$  holds for  $x \in M$ , the map  $u \to \overline{u}$  is the *identity* of C, and then  $u \to \overline{u}$  is an involution. Hence  $u \to \overline{u}$  is an isomorphism of C onto C', i.e., an anti-automorphism of C.

For  $x_1, x_2, \dots, x_h$  in M, we have

(4) 
$$\overline{x_1x_2\cdots x_h} = \overline{x}_h\cdots \overline{x}_2\overline{x}_1 = x_h\cdots x_2x_1.$$

When f = 0 (the case of exterior algebra), we can interchange terms in the right hand side of (4) by the anti-commutativity xy = -yx, and then we obtain

$$\overline{x_1x_2\cdots x_h} = (-1)^{(h-1)+(h-2)+\cdots 2+1}x_1x_2\cdots x_h = (-1)^{h(h-1)/2}x_1x_2\cdots x_h.$$

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Now, since  $E_h$  is spanned by the elements  $x_1 \cdots x_h$ , we have

(5) 
$$\overline{u} = (-1)^{h(h-1)/2} u$$
 for all  $u \in E_h$ .

In the case of exterior algebra, (5) can be taken as the definition of the canonical anti-automorphism  $u \to \overline{u}$ . We can prove directly that  $u \to \overline{u}$  defined by (5) satisfies the conditions of the canonical anti-automorphism, using the property:

$$uv = (-1)^{hk}vu, \quad \text{for} \quad u \in E_h, \ v \in E_k.$$

# 5. Derivations in the Exterior Algebras; Trace

In the case of an exterior algebra, we have the decomposition into homogeneous components  $T = \sum_{h} T_{h}$ ,  $E = \sum_{h} E_{h}$  in the Z-gradation.

**Lemma 3.3.** If a linear mapping  $\varphi : M \to E_h$  can be decomposed as  $\pi \circ \psi$  with a linear mapping  $\psi : M \to T_h$ , and the canonical mapping  $\pi : T_h \to E_h$ , there exists a derivation d of degree h-1 in E, which extends  $\varphi$ . It is uniquely determined.

The above condition on  $\varphi$  is always satisfied when M is a free module, or when A is a field, or when h = 1 since  $T_1 = E_1$ .

*Proof.* The *uniqueness* follows from the fact that a derivation is uniquely determined by its effect on the generators of an algebra.

We shall prove the *existence*. Since  $M = T_1$ , there exists a derivation  $\delta$  in T of degree h - 1 which extends  $\psi$ , by Theorem 2.3. Let x be in M. We have then

(1) 
$$\delta(x \otimes x) = \delta(x) \otimes x + (-1)^{h-1} x \otimes \delta(x) = \psi(x) \otimes x - (-1)^h x \otimes \psi(x)$$

and operating by  $\pi$  on (1), we obtain

$$\pi(\delta(x\otimes x)) = \varphi(x) \cdot x - (-1)^h x \cdot \varphi(x) = 0$$

since  $\varphi(x) \in E_h$ ,  $x \in E_1$ , and  $\pi(x) = x$  for  $x \in M$ . Since the ideal c generated by  $x \otimes x$  ( $x \in M$ ) in T is the kernel of  $\pi$ , then  $\delta$  defines a derivation d of E, which extends  $\varphi$ .



**Corollary.** Any endomorphism of  $M = E_1$  can be extended to a uniquely determined derivation of degree 0 in E.

Now let  $\mathfrak{F}(M)$  be the set of all endomorphisms of M. Then  $\mathfrak{F}(M)$  is again a module over the basic ring A, and indeed it is also an algebra. For every element  $\varphi \in \mathfrak{F}(M)$ , we have a derivation  $d_{\varphi}$  of degree 0 in E by the above corollary.

**Lemma 3.4.** The derivation  $d_{\varphi}$  depends linearly on  $\varphi$ , i.e.,

(2) 
$$d_{a\varphi+b\varphi'} = ad_{\varphi} + bd_{\varphi'} \qquad (a, b \in A; \varphi, \varphi' \in \mathfrak{F}(M)),$$

and for the "bracket operation"  $[\varphi, \varphi'] = \varphi \varphi' - \varphi' \varphi$ , the following holds

$$(3) d_{[\varphi,\varphi']} = [d_{\varphi},d_{\varphi'}](=d_{\varphi}d_{\varphi'}-d_{\varphi'}d_{\varphi}).$$

Proof. Since the proof of (2) is similar, we shall prove (3) only. The right hand side of (3) is again a derivation of degree 0 in E, since  $d_{\varphi}$  is of degree 0. It is therefore sufficient to prove that both sides of (3) coincide on the generating set M of E. In fact, for x in M, we have

$$egin{aligned} d_{ert arphi,arphi'ert}(x) &= ert arphi,arphi'ert(x) = (arphi arphi' - arphi' arphi)(x) = arphi arphi'(x) - arphi' arphi(x) \ &= d_arphi(arphi'(x)) - d_{arphi'}(arphi(x)) = d_arphi d_{arphi'}(x) - d_{arphi'} d_arphi(x) \ &= (d_arphi d_{arphi'} - d_{arphi'} d_arphi)(x), \end{aligned}$$

which proves our assertion.

Now we assume that  $E_n$  is a free module of rank 1 for some integer n, and  $E_{n'} = \{0\}$  if n' > n. For example, this property holds if M is a free module with a base of n elements. Let  $\xi$  be a generator of  $E_n$ , that is  $E_n = A \cdot \xi$ . Since  $d_{\varphi}$  maps  $E_n$  into  $E_n$ , we have

$$d_{\varphi}\xi = s_{\varphi}\xi,$$

where  $s_{\varphi}$  is a uniquely determined element of A, which does not depend upon the special choice of  $\xi$ . **Definition 3.4.** The scalar  $s_{\varphi}$  is called the trace of the endomorphism  $\varphi$  of M and is denoted by Tr  $\varphi$ .

**Lemma 3.5.** The map  $\varphi \to \operatorname{Tr} \varphi$  is linear in  $\mathfrak{F}(M)$  and

(4) 
$$\operatorname{Tr} \varphi \varphi' = \operatorname{Tr} \varphi' \varphi$$

*Proof*. The former is evident from (2). For the latter, we have by definition,

$$d_arphi d_{arphi'} \xi = d_arphi(s_{arphi'} \xi) = s_{arphi'}(d_arphi \xi) = s_{arphi'} s_arphi \xi$$

and similarly

$$d_{\varphi'}d_{\varphi}\xi = s_{\varphi}s_{\varphi'}\xi.$$

But since we have assumed that A is *commutative*, we obtain

$$s_{\varphi}s_{\varphi'}=s_{\varphi'}s_{\varphi},$$

and therefore we have

$$(\operatorname{Tr}(\varphi \varphi' - \varphi' \varphi))\xi = d_{\varphi \varphi' - \varphi' \varphi}\xi = (d_{\varphi} d_{\varphi'} - d_{\varphi'} d_{\varphi})\xi$$
  
=  $(s_{\varphi'} s_{\varphi} - s_{\varphi} s_{\varphi'})\xi = 0$ 

which proves (4).

*Remark.* By (4) we have, for example,

$$\operatorname{Tr} \varphi \varphi' \varphi'' = \operatorname{Tr} \varphi'' \varphi \varphi' = \operatorname{Tr} \varphi' \varphi'' \varphi.$$

But an equation like  $\operatorname{Tr} \varphi \varphi' \varphi'' = \operatorname{Tr} \varphi' \varphi \varphi''$  is *false* in general. Also  $\varphi \to \operatorname{Tr} \varphi$  is not a homomorphism of algebras of  $\mathfrak{F}(M)$  into A.

When M is a free module with a base  $x_1, \dots, x_n$ , any element  $\varphi$  of  $\mathfrak{F}(M)$  is represented by a square matrix  $(a_{ji})$  of order n, such that

$$\varphi(x_i) = \sum_{j=1}^n a_{ji} x_j$$

We shall show that the trace defined above coincides with the classical one defined as the sum of diagonal elements of a matrix. In our present case, we have  $E_n = Ax_1 \cdots x_n$  so we may take  $\xi = x_1 \cdots x_n$ . Then

$$(\operatorname{Tr} \varphi)\xi = d_{\varphi}\xi = d_{\varphi}(x_{1} \cdots x_{n})$$
  
=  $(d_{\varphi}x_{1})x_{2} \cdots x_{n} + x_{1}(d_{\varphi}x_{2})x_{3} \cdots x_{n} + \dots + x_{1} \cdots x_{n-1}(d_{\varphi}x_{n})$   
=  $\sum_{k=1}^{n} x_{1} \cdots x_{k-1}\varphi(x_{k})x_{k+1} \cdots x_{n}$   
=  $\sum_{k=1}^{n} x_{1} \cdots x_{k-1}\left(\sum_{i=1}^{n} a_{ik}x_{i}\right)x_{k+1} \cdots x_{n},$ 

since  $d_{\varphi}$  is a derivation of degree 0. But, since  $xux = \pm xxu = 0$ , for  $x \in M$ , and u homogeneous in E, we have

$$x_1\cdots x_{k-1}\left(\sum_{i=1}^n a_{ik}x_i\right)x_{k+1}\cdots x_n=a_{kk}x_1\cdots x_k\cdots x_n=a_{kk}\xi,$$

which proves that

$$(\operatorname{Tr} \varphi)\xi = \left(\sum_{k=1}^n a_{kk}\right)\xi,$$

i.e.,  $\operatorname{Tr} \varphi = a_{11} + a_{22} + \dots + a_{nn}$ .

Our definition of the trace is intrinsic: it is evident that  $\operatorname{Tr} \varphi$  is determined by  $\varphi$  only and does not depend upon the special choice of a base.

# 6. Orthogonal Groups and Spinors (a Review)

Let K be a field of characteristic  $p(\geq 0)$ , and V a finite dimensional vector space over K. Also let f be a quadratic form on  $V, \beta$  the associated bilinear form. We assume that  $\beta$  is *non-degenerate*, i.e.,  $\beta(x, y_0) = 0$  for all  $x \in V$ , implies  $y_0 = 0$ . We denote by C the Clifford algebra associated to V and f.

**Definition 3.5.** An automorphism s of V is said to be orthogonal with respect to f if s leaves f invariant, i.e.,

$$f(sx) = f(x)$$
 for all  $x \in V$ .

We use the terminology "orthogonal transformation" instead of "orthogonal automorphism". The set of all orthogonal transformations is a group which is called the *orthogonal group* of f and denoted by O(f).

**Definition 3.6.** The set  $\Gamma$  of all u in C, such that u has an inverse  $u^{-1}$ and

$$uVu^{-1} \subset V, i.e., uxu^{-1} \in V$$
 for all  $x \in V$ ,

is a group under multiplication, which is called the Clifford group of f.

If u belongs to the Clifford group  $\Gamma$  of  $f, s_u : x \to uxu^{-1}$  is an orthogonal transformation, because

$$f(s_u(x)) \cdot 1 = (s_u(x))^2 = (uxu^{-1})^2 = ux^2u^{-1} = u(f(x) \cdot 1)u^{-1} = f(x) \cdot 1.$$

Hence the correspondence  $\chi : u \to s_u$  is a linear representation of  $\Gamma$ , which is called the *vector representation* of  $\Gamma$ . The kernel of this representation is the set of invertible elements in the center of C.

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If s is an automorphism of V, it is represented (in a given base of V) by a matrix whose determinant is taken as the determinant<sup>4</sup> of s. If s is orthogonal, we have det  $s = \pm 1$ . The set

$$\{s \in O(f) \mid \det s = 1\}$$

is a subgroup of O(f), which is of index 2 unless the characteristic p of K is 2. When p = 2, we have det s = 1 for all  $s \in O(f)$ .

Let  $C = C_+ \oplus C_-$  be the homogeneous decomposition of C in the semigraded structure and put  $\Gamma^+ = \Gamma \cap C_+$ . We define  $O^+(f)$  as follows:

(1) 
$$\begin{cases} \text{if} \quad p \neq 2, O^+(f) = \{s \in O(f) \mid \det s = 1\}, \\ \text{if} \quad p = 2, O^+(f) = \{\chi(u) \mid u \in \Gamma^+\}. \end{cases}$$

It can be proved that in both cases,  $\{\chi(u) \mid u \in \Gamma^+\}$  coincides with  $O^+(f)$ , and  $O^+(f)$  is a subgroup of O(f) of index 2.

Let  $u \to \overline{u}$  be the canonical anti-automorphism constructed in 4. We can prove that  $\overline{u} u \in K \cdot 1$  for every  $u \in \Gamma^+$ . Putting  $\overline{u} u = \lambda(u) \cdot 1$ ,  $\lambda$  is a homomorphism of  $\Gamma^+$  into  $K^*$ , where  $K^*$  is the multiplicative group of non-zero elements in K. The kernel  $\Gamma_0^+$  of this homomorphism  $\lambda$  is called the reduced Clifford group. Also we denote by  $\Omega$  the image of  $\Gamma_0^+$  under the vector representation  $\chi$ , and call it the reduced orthogonal group.

When K is  $\mathbb{R}$ , the real number field, and  $f(x) = f(\sum_{i=1}^{n} \xi_i x_i) = \xi_1^2 + \cdots + \xi_n^2$  (positive definite),  $O^+(f)$  is the ordinary special orthogonal group. It is well known that  $O^+(f)$  is not simply connected if  $n \ge 3$ ; the Poincaré group of  $O^+(f)$  is actually of order 2 when  $n \ge 3$ . Also we have  $\Omega = O^+(f)$  and  $\chi: \Gamma_0^+ \to \Omega = O^+(f)$  is a covering mapping.

We now return to the general case. A linear subspace W of V is called *totally singular* if the restriction of the quadratic form to W is the zero quadratic form on W. All maximal totally singular subspaces of V have the same dimension, and the common dimension is called the *index* of f. It is evident that f is of index 0 if and only if there is no  $x \neq 0$  with f(x) = 0. We quote without proof the main result about these groups:

If the index of f is not 0, we have <sup>5</sup>

(2) 
$$O^+(f)/\Omega \simeq K^*/(K^*)^2.$$

 $<sup>^{4}</sup>$  See chapter IV, 3 for an intrinsic definition of the determinant.

<sup>&</sup>lt;sup>5</sup>  $K^*$  denotes as above the multiplicative group of elements  $\neq 0$  in the field K, and  $(K^*)^2$  the subgroup of squares.

Moreover  $\Omega$  is the commutator subgroup of O(f) except when K has only two elements, dim V = 4 and f is of index 2. If furthemore  $n = \dim V \ge 3$ ,  $\Omega$ is the commutator subgroup of  $O^+(f)$ . Also when  $n = \dim V = 2$ ,  $O^+(f)$  is abelian, and its commutator subgroup consists only of  $\{e\}$ .

On the other hand, the structure of  $\Omega$  when the index of f is 0 is quite unknown.

Now we assume that V is of even dimension, namely 2n, and let  $x_1, \dots, x_n$ ,  $y_1, \dots, y_n$  be a base of V. Suppose that f can be reduced to the following form:

(3) 
$$f\left(\sum_{i}\xi_{i}x_{i}+\sum_{i}\eta_{i}y_{i}\right)=\sum_{i}\xi_{i}\eta_{i}.^{6}$$

When K is algebraically closed, every quadratic form whose associated bilinear form  $\beta$  is non-degenerate can be reduced to this form. On the contrary, if K is not algebraically closed, such a reduction is not always possible, as shown by the example of the quadratic form  $\xi^2 + \eta^2$  over the real number field. Under these assumptions, the Clifford algebra C is isomorphic to a full matric algebra and has the dimension  $2^{2n}$ , while  $C_+$  is of dimension  $2^{2n-1}$ . There is a minimal left ideal  $\mathfrak{U}$  in C, of dimension  $2^n$ . For  $u \in C$ ,  $\mathfrak{U}$  is stable under left multiplication by u and then the transformation  $\lambda_u : \xi \to u\xi$  is a representation of C. Moreover  $u \to \lambda_u$  induces a faithful representation of  $\Gamma(\subset C)$ . This is called the *spin representation of the group*  $\Gamma$ , and the elements of  $\mathfrak{U}$  are called *spinors*.

The origin of this name is as follows. When E. Cartan classified the simple representations of all simple Lie algebras, he discovered a new representation of the orthogonal Lie algebra. But he did not give a specific name to it, and much later, he called the elements on which this new representation operates *spinors*, generalizing the terminology adopted by the physicists in a special case for the rotation group of the three dimensional space.

The spin representation of  $\Gamma$  is simple except when K has only two elements, n = 1 and f is of index 1. Also the spin representation of  $\Gamma^+$  is the sum of two simple representations.

Assume now that  $\mathfrak{U}$  is homogeneous in the semi-graded structure of C, i.e.,

(4) 
$$\mathfrak{U} = \mathfrak{U}_+ \oplus \mathfrak{U}_-, \text{ where } \mathfrak{U}_\pm = \mathfrak{U} \cap C_\pm.$$

This corresponds to the decomposition of the spin representation of  $\Gamma^+$  into two simple ones, and each of them is called the *half spin representation*. Each half spin representation is of degree  $2^{n-1}$ .

 $<sup>\</sup>overline{^{6}}$  It is then customary to say that the quadratic form is *hyperbolic* (or split).

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When n > 2, the kernel of each half spin representation is of order 1 or 2. On the contrary, if n = 2, i.e., if V is of dimension 4, it is not so. This corresponds to the fact that the rotation group of dimension 4 is not simple. When n = 2, let  $\Delta_1, \Delta_2$  be the kernels of the two half spin representations of  $\Gamma_0^+$ ; we have

$$\Gamma_0^+ = \Delta_1 \cdot \Delta_2 \qquad (\text{direct product}),$$

and the spin representation of  $\Gamma_0^+$  splits into two parts. Then  $\Delta_1$  operates on  $\mathfrak{U}_+$  and fixes  $\mathfrak{U}_-$ , while  $\Delta_2$  operates on  $\mathfrak{U}_-$  and fixes  $\mathfrak{U}_+$ . The representation  $\lambda_u(u \in \Delta_1)$  produces all automorphisms of determinant 1 on  $\mathfrak{U}_+$ , and then each of  $\Delta_1$  and  $\Delta_2$  is isomorphic to the multiplicative group of two-by-two matrices of determinant 1.

Similar considerations hold for quadratic forms in an odd number of variables. For instance, consider a quadratic form in three variables of the type

(5) 
$$f(\xi x + \eta y + \zeta z) = \xi \eta + \zeta^2.$$

Then the corresponding reduced Clifford group is isomorphic to the group of two-by-two matrices of determinant 1, and covers the special orthogonal groups in three variables.

When K is  $\mathbb{R}$ , the real number field, a quadratic form cannot always be written in the form (3) as we have remarked above. But if we extend K to the complex number field  $\mathbb{C}$ , the representation as (3) is possible, and the real quadratic form f is extended to a complex quadratic form. This may be an answer to the question why the spinors in the Euclidean space are usually treated using the complex number field.

# CHAPTER IV. SOME APPLICATIONS OF EXTERIOR ALGEBRAS

## **1. Plücker Coordinates**

Let K be a field, V a finite n-dimensional vector space over K, and E the exterior algebra over V. The decomposition into homogeneous components of E is denoted by  $E = \sum_{m} E_m$ . If  $x_1, \dots, x_n$  is a base of V, the  $\binom{n}{m}$  elements  $x_{i_1} \cdots x_{i_m}$   $(i_1 < \cdots < i_m)$  form a base of  $E_m$ .

**Definition 4.1.** An element a of  $E_m$  is called decomposable if a is the product of m elements of V.

Any element in  $E_m$  is the sum of a finite number of decomposable elements. We remark that aa = 0 if a is decomposable.

Let W be an m-dimensional linear subspace of V with a base  $y_1, \dots, y_m$ . By the canonical mapping of W into V, the exterior algebra F of W is naturally isomorphic to the subalgebra of E generated by W, and the homogeneous component  $F_m$  of degree m in F is therefore contained in  $E_m$ . On the other hand,  $F_m$  is of dimension 1, spanned by  $y_1 \cdots y_m$ . Thus to any linear subspace W in V of dimension m, there corresponds a 1-dimensional subspace of  $E_m$ , namely  $F_m$ . Conversely, if  $F_m$  is a 1-dimensional subspace of  $E_m$  spanned by a decomposable element, we have an m-dimensional linear subspace W, such that the homogeneous component of degree m of the exterior algebra over W is  $F_m$ . Also we have  $xF_m = 0$  if, and only if  $x \in W$ . In fact, let  $y_1, \dots, y_m$  be a base of W. If  $x \in W$  and  $x \neq 0$ , we may take  $x = y_1$ , and by  $F_m = K\{y_1 \cdots y_m\}$  we have  $xy_1 \cdots y_m = 0$ , and then  $xF_m = 0$ . Conversely, if  $x \notin W$ , the m+1 elements  $x, y_1, \dots, y_m$  being linearly independent, they are part of a base of V, which proves  $xy_1 \cdots y_m \neq 0$ . Also we have:

**Theorem 4.1.** The elements  $x_1, \dots, x_m$  of V are linearly independent if and only if  $x_1 \dots x_m \neq 0$  in E.

Also the family of all *m*-dimensional linear subspaces of V, and the family of 1-dimensional subspaces of  $E_m$  which are spanned by decomposable elements, correspond in a one-to-one manner with each other. If we take a base  $x_1, \dots, x_n$  of V, we have

$$y_1 \cdots y_m = \sum_{i_1 < \cdots < i_m} \alpha_{i_1 \cdots i_m} x_{i_1} \cdots x_{i_m}, \ \alpha_{i_1 \cdots i_m} \in K$$

for a base  $y_1, \dots, y_m$  of W. The ratios of various  $\alpha_{i_1 \dots i_m}$ 's are invariant if we take another base  $y'_1, \dots, y'_m$  of W, since  $y_1 \dots y_m$  is a base of  $F_m$ .

**Definition 4.2.** These ratios of  $\alpha_{i_1 \cdots i_m}$ 's are called the Plücker coordinates of W.

Since the base of  $F_m$  is decomposable, the Plücker coordinates cannot be chosen freely, but must satisfy some identities. For example, if n = 4 and m = 2, the identity reads:

$$\alpha_{12}\alpha_{34} + \alpha_{31}\alpha_{24} + \alpha_{23}\alpha_{14} = 0.$$

# 2. Exponential Mapping

Let V be a finite dimensional vector space over the field K, n its dimension and E the exterior algebra of V. We shall define the exponential mapping in E. The ordinary exponential function is defined by the power series

(1) 
$$\exp x = 1 + x + \frac{x^2}{2!} + \dots + \frac{x^m}{m!} + \dots$$

For  $x \in E$ , we may consider the multiplication in E to define  $x^2, x^3, \dots$ , and if x is a homogeneous element of degree > 0, we have  $x^m = 0$  for sufficiently large m. But it will cause a difficulty to define  $\exp x$  by (1), because of the factor  $\frac{1}{m!}$ , unless the characteristic of K is 0. So, we shall proceed in another way. If x is decomposable, we have  $x^2 = 0$  and then  $\exp x$  may be defined simply by 1+x. If we restrict ourselves to elements  $a, b, \dots$  of even degree, we have the commutativity ab = ba, and we may expect the "addition theorem" of exponential function:

(2) 
$$\exp(a+b) = (\exp a)(\exp b).$$

Hence  $\exp x$  may be defined through decomposing x into a sum of decomposable elements. However, in order to assert the uniqueness of this definition, we shall begin with proving some lemmas.

**Lemma 4.1.** If  $x \in E_h$ ,  $h \ge 1$ ,  $x \ne 0$ , then there exist h derivations  $d_1, \dots, d_h$  of degree -1 in E such that  $d_1 \dots d_h(x) \ne 0$ .

Since K is a field, we may even assume that  $d_1 \cdots d_h(x) = 1$  by multiplying by a suitable scalar.

*Proof.* Let  $y_1, \dots, y_n$  be a base of V. Since the elements  $y_{i_1} \dots y_{i_h}$   $(i_1 < \dots < i_h)$  form a base of  $E_h$ , we can write

(3) 
$$x = \sum_{i_1 < \cdots < i_h} \alpha(i_1, \cdots, i_h) y_{i_1} \cdots y_{i_h}, \quad \alpha(i_1, \cdots, i_h) \in K.$$

Since  $x \neq 0$ , there is at least a sequence of indices  $(\bar{i}_1, \dots, \bar{i}_h)$  such that  $\alpha(\bar{i}_1, \dots, \bar{i}_h) \neq 0$ . Now for each  $\nu = 1, \dots, h$ , there exists a linear form  $\lambda_{\nu}$  on V such that

(4) 
$$\lambda_{\nu}(y_{\overline{i}_{\nu}}) = 1$$
 and  $\lambda_{\nu}(y_i) = 0$  for all  $i \neq \overline{i}_{\nu}$ .

By the extension theorem (see Lemma 3.3), there is a derivation  $d_{\nu}$  of degree -1 which extends  $\lambda_{\nu}$ . We have by the definition of a derivation,

$$d_{\nu}(y_{i_1}\cdots y_{i_h}) = (d_{\nu}(y_{i_1}))y_{i_2}\cdots y_{i_h} - y_{i_1}(d_{\nu}(y_{i_2}))y_{i_3}\cdots y_{i_n} + \cdots + (-1)^{h-1}y_{i_1}\cdots y_{i_{h-1}}(d_{\nu}(y_{i_h})).$$

But (4) shows that  $d_{\nu}(y_i) = \lambda_{\nu}(y_i) \neq 0$  only if  $i = \overline{i}_{\nu}$ , and then we obtain

$$d_{\nu}(y_{i_1}\cdots y_{i_h})=0 \quad ext{if} \quad \overline{i}_{\nu} \notin \{i_1,\cdots,i_h\},$$

When  $\bar{i}_{\nu} \in \{i_1, \cdots, i_h\}$ , namely  $\bar{i}_{\nu} = i_r$ , we have

$$d_{\nu}(y_{i_1}\cdots y_{i_h})=(-1)^{r-1}y_{i_1}\cdots \widehat{y}_{i_r}\cdots y_{i_h},$$

where the symbol  $\hat{}$  above  $y_{i_r}$  means that this factor should be omitted from the product. Then we have

$$d_{\nu}(x) = \sum \pm lpha(i_1, \cdots, i_h) y_{i_1} \cdots \widehat{y}_{\overline{i}_{\nu}} \cdots y_{i_h},$$

where the summation is taken over the family of indices such that

$$i_1 < \cdots < i_h, \qquad \overline{i}_\nu \in \{i_1, \cdots, i_h\}$$

By successive applications of  $d_{\nu}$ , we have

$$d_1 \cdots d_h(x) = \pm \alpha(\overline{i}_1, \cdots, \overline{i}_h),$$

by using (3), since  $d_1 \cdots d_h(y_{i_1} \cdots y_{i_h})$  vanishes unless  $(i_1, \cdots, i_h)$  contains all  $\overline{i_1}, \cdots, \overline{i_h}$ . This proves our assertion since we have assumed that

$$\alpha(\overline{i}_1,\cdots,\overline{i}_h)\neq 0.$$

**Lemma 4.2.** An element  $x \in E$  has the property that d(x) = 0 for every derivation d of degree -1 in E, if and only if  $x \in E_0$ .

Proof. It is evident that  $x \in E_0$  implies d(x) = 0 for every derivation d of degree -1. For the converse, we shall prove the contraposition, i.e., the proposition that if  $x \notin E_0$ , then there exists a derivation of degree -1 such that  $d(x) \neq 0$ . Let  $x = \sum_h x_h$  be the homogeneous decomposition of x. Since  $x \notin E_0$ , we have an integer  $h \geq 1$  such that  $x_h \neq 0$  and  $x_i = 0$  for i > h. By the above Lemma 4.1, we have a derivation d of degree -1, such that  $d(x_h) \neq 0$ . Since  $d(x_0) = 0$  and  $d(x) = d(x_1) + \cdots + d(x_h)$  is the homogeneous decomposition of d(x), we have  $d(x) \neq 0$  from  $d(x_h) \neq 0$ , which proves our statement.

**Lemma 4.3.** If a is decomposable of degree  $\geq 2$ , and d is a derivation of degree -1, we have ad(a) = 0.

*Proof.* Putting a = xb, where  $x \in V$  and b is again a decomposable element of degree  $\geq 1$ , we have d(a) = d(x)b - xd(b), and then

$$ad(a) = xbd(x)b - xbxd(b) = d(x)xbb \pm xxbd(b) = 0,$$

since xx = 0, bb = 0.

If the degree of a is even and the characteristic of K is not 2, this lemma can also be proved from d(aa) = 0.

**Lemma 4.4.** Let  $a_1, \dots, a_k$  be decomposable elements of strictly positive even degree, such that  $a_1 + \dots + a_k = 0$ . Then we have

(5) 
$$\sum_{i_1 < \cdots < i_m} a_{i_1} a_{i_2} \cdots a_{i_m} = 0,$$

for every integer m such that  $1 \le m \le k$ .

*Proof*. We first remark that the case m = 2 is easily settled unless the characteristic of K is 2. In fact, we have  $a_i^2 = 0$ , and  $a_i a_j = a_j a_i$ , because the  $a_i$ 's are decomposable elements of even degree. Hence we obtain

$$0 = (a_1 + \dots + a_k)^2 = \sum_i a_i^2 + \sum_{i \neq j} a_i a_j = 2 \sum_{1 < j} a_i a_j,$$

and then the constant factor 2 can be removed, provided that the characteristic is not 2.

But we shall give a proof which is valid in the general case. Putting

$$u=\sum_{i_1<\cdots< i_m}a_{i_1}\cdots a_{i_m},$$

it is sufficient by Lemma 4.2 to show that d(u) = 0 for every derivation d of degree -1. Since the  $a_i$ 's are all of even degree, they commute with any element in E. Thus we have

$$d(u) = \sum_{i_1 < \dots < i_m} [d(a_{i_1})a_{i_2} \cdots a_{i_m} + a_{i_1}d(a_{i_2})a_{i_3} \cdots a_{i_m} + \dots + a_{i_1} \cdots a_{i_{m-1}}d(a_{i_m})]$$

$$= \sum_{i_1 < \dots < i_m} [a_{i_2} \cdots a_{i_m}d(a_{i_1}) + a_{i_1}a_{i_3} \cdots a_{i_m}d(a_{i_2}) + \dots + a_{i_1} \cdots a_{i_{m-1}}d(a_{i_m})]$$

$$= \sum_{\substack{j_1 < \dots < j_{m-1} \\ i \notin \{j_1, \dots, j_{m-1}\}}} a_{j_1} \cdots a_{j_{m-1}}d(a_i)$$

$$= \left(\sum_{\substack{j_1 < \dots < j_{m-1} \\ i \in \{j_1, \dots, j_{m-1}\}}} a_{j_1} \cdots a_{j_{m-1}}d(a_i)\right)$$

$$- \sum_{\substack{j_1 < \dots < j_{m-1} \\ i \in \{j_1, \dots, j_{m-1}\}}} a_{j_1} \cdots a_{j_{m-1}}d(a_i).$$

But since  $\sum d(a_i) = d(\sum a_i) = 0$  by our assumption, and  $a_i d(a_i) = 0$  by Lemma 4.3, we have d(u) = 0 which proves our statement.

Now we shall give the definition of the exponential mapping on the space F of elements with homogeneous components of even degree:

$$F = E_2 \oplus E_4 \oplus \cdots \oplus E_{2h} \oplus \cdots$$

First we define  $\exp a = 1 + a$  if a is *decomposable*. For any element  $u \in F$ , it is possible in at least one way to represent u in the form  $u = a_1 + \cdots + a_k$  where each  $a_i$  is decomposable and of even degree, because each  $E_{2h}$  has a base consisting of decomposable elements. Then we define

(6) 
$$\exp u = (1+a_1)(1+a_2)\cdots(1+a_k)$$

While the decomposition  $u = a_1 + \cdots + a_k$  into decomposable elements is not unique,  $\exp u$  is determined uniquely by u. Precisely speaking, if we represent u in two ways

$$u = a_1 + \cdots + a_k = b_1 + \cdots + b_\ell,$$

where  $a_i$  and  $b_j$  are decomposable, we have

(7) 
$$(1+a_1)(1+a_2)\cdots(1+a_k) = (1+b_1)(1+b_2)\cdots(1+b_\ell).$$

In fact, putting  $a_{k+1} = -b_1, \dots, a_{k+\ell} = -b_\ell$  we have  $a_1 + a_2 + \dots + a_{k+\ell} = 0$ , where  $a_1, \dots, a_{k+\ell}$  are all decomposable. Then we have by Lemma 4.4 that

(5) 
$$\sum_{i_1 < \dots < i_m} a_{i_1} \cdots a_{i_m} = 0 \quad \text{for} \quad 1 \le m \le k + \ell.$$
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The expression  $(1+a_1)(1+a_2)\cdots(1+a_{k+\ell})$  can be expanded by the "polynomial theorem" since the  $a_i$ 's are mutually commutative, and all terms except 1 vanish because of (5). Thus we obtain,

(8) 
$$(1+a_1)\cdots(1+a_k)(1-b_1)\cdots(1-b_\ell) = (1+a_1)(1+a_2)\cdots(1+a_{k+\ell}) = 1.$$

On the other hand we have  $(1 + b_j)(1 - b_j) = 1 - b_j^2 = 1$ , since  $b_j$  is decomposable. Multiplying by  $(1 + b_1)(1 + b_2) \cdots (1 + b_\ell)$  both sides of (8), we have (7), since  $a_i, b_j$  are mutually commutative.

**Definition 4.3.** The mapping  $u \to \exp u$  defined above is called the exponential mapping of F into E.

It is evident from the definition that  $\exp u$  satisfies

(2') 
$$\exp(a+b) = (\exp a)(\exp b) \qquad (a,b \in F).$$

In particular when the dimension of V is even, namely 2m, we take a base  $y_1, \dots, y_{2m}$ . Let  $\Gamma$  be a homogeneous element of degree 2. The homogeneous component of degree 2m of exp  $\Gamma$  is a multiple of  $y_1 \dots y_{2m}$ , namely

$$(\exp \Gamma)_{2m} = P_{\Gamma} \cdot y_1 \cdots y_{2m}, \qquad P_{\Gamma} \in K.$$

**Definition 4.4.**  $P_{\Gamma}$  is called the Pfaffian of  $\Gamma \in E_2$ .

If  $\Gamma$  is represented as a sum of m decomposable elements<sup>1</sup> of degree 2, putting  $\Gamma = a_1 + \cdots + a_m$ , we have

$$\exp \Gamma = (1+a_1)\cdots(1+a_m),$$

and expanding the right hand side by the polynomial theorem, the term of degree 2m is merely  $a_1 \cdots a_m$ . On the other hand, using the polynomial theorem for  $\Gamma^m = (a_1 + \cdots + a_m)^m$ , and noticing that  $a_i^2 = 0$ , we have  $\Gamma^m = m!a_1 \cdots a_m$ , which proves

(9) 
$$m!(\exp \Gamma)_{2m} = \Gamma^m.$$

If the characteristic of K is 0 or relatively prime to m!, we obtain

(9') 
$$(\exp \Gamma)_{2m} = \Gamma^m / m!.$$

# 3. Determinants

Let V be a finite n-dimensional vector space over K. Any endomorphism s of V is extended uniquely to an endomorphism  $S_s$  of the exterior algebra E,

<sup>&</sup>lt;sup>1</sup> This condition is always satisfied according to the theory of skew-symmetric forms, but here we merely assume it.

which is homogeneous of degree 0. Since  $E_n$  is of dimension 1 and  $S_s(E_n) \subset E_n$ , there exists a uniquely determined scalar  $\Delta_s$  such that

(1) 
$$S_s z = \Delta_s z$$
 for  $z \in E_n$ .

**Definition 4.5.** This  $\Delta_s$  is called the determinant of the endomorphism s and denoted by det s.

The classical properties of the determinant are easily proved from this definition. For example, we shall show:

**Theorem 4.2.**  $1^{\circ} (\det s)(\det s') = \det(s \circ s')$ .  $2^{\circ}$ . det  $s \neq 0$  if and only if s is an automorphism of V.

*Proof.* 1°. Let s, s' be two endomorphisms of V. Then  $S_s \circ S_{s'}$  is an endomorphism of E which coincides with  $S_{s \circ s'}$  in V, and thus we have  $S_s \circ S_{s'} = S_{s \circ s'}$  since V generates E. Therefore, for  $z \in E_n$ , we obtain

$$\Delta_{s\circ s'} z = S_{s\circ s'} z = (S_s \circ S_{s'}) z = S_s(\Delta_{s'} z) = \Delta_{s'}(S_s z) = \Delta_{s'} \Delta_s z,$$

which proves our assertion, since K is commutative.

2°. If  $x_1, \dots, x_n$  is a base of V,  $E_n$  is spanned by  $x_1 \dots x_n$  and we have

(2) 
$$\Delta_s x_1 \cdots x_n = S_s(x_1 \cdots x_n) = S_s(x_1) \cdots S_s(x_n) = s(x_1) \cdots s(x_n),$$

since  $S_s$  is a homomorphism. Therefore by Theorem 4.1, det  $s \neq 0$  if and only if  $s(x_1), \dots, s(x_n)$  are linearly independent, and in turn this is equivalent to the fact that s is an automorphism of V.

Now, if we write

$$s(x_i) = \sum_{j=1}^n a_{ji} x_j,$$

we have

$$\Delta_s x_1 \cdots x_n = s(x_1) \cdots s(x_n) = (\sum_{i_1, \dots, i_n} a_{i_1 1} \cdots a_{i_n n} x_{i_1} \cdots x_{i_n})$$
$$= \sum_{i_1, \dots, i_n} a_{i_1 1} \cdots a_{i_n n} x_{i_1} \cdots x_{i_n}.$$

But  $x_{i_1} \cdots x_{i_n} = 0$  if there exists a pair of indices such that  $i_{\mu} = i_{\nu} \ (\mu \neq \nu)$ , and when the indices  $(i_1, \cdots, i_n)$  are all distinct, we have  $x_{i_1} \cdots x_{i_n} = \operatorname{sgn}(i_1, \cdots, i_n)(x_1 \cdots x_n)$ , where  $\operatorname{sgn}(i_1, \cdots, i_n)$  is +1 or -1 according as  $(i_1, \cdots, i_n)$  is an even or odd permutation of  $(1, \cdots, n)$ . Thus we obtain

$$\Delta_s x_1 \cdots x_n = \sum_{i_1, \cdots, i_n} a_{i_1 1} \cdots a_{i_n n} \operatorname{sgn}(i_1, \cdots, i_n) x_1 \cdots x_n$$

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which proves that

(3) 
$$\det s = \det(a_{ji}) = \sum \operatorname{sgn}(i_1, \cdots, i_n) a_{i_1 1} \cdots a_{i_n n},$$

where the summation is taken over all the sequences  $(i_1, \dots, i_n)$  such that  $i_1, \dots, i_n$  are all distinct. This shows that det s may be expressed as a polynomial with coefficients  $\pm 1$  in the  $a_{ii}$ 's.

Now, let U be a vector space of 2n dimensions over K; we assume that U is given as the direct sum of two n-dimensional linear subspaces V and  $W: U = V \oplus W$ . Let  $x_1, \dots, x_n$  and  $y_1, \dots, y_n$  be bases of V and W respectively. Taken together they form a base of U. We define a bilinear form  $\beta$  on  $U \times U$  by setting

(4) 
$$\beta(x_i, x_j) = \beta(y_i, y_j) = 0, \quad \beta(x_i, y_j) = \beta(y_j, x_i) = \delta_{ij} \quad (i, j = 1, \dots, n).$$

Then  $\beta$  is a symmetric non-degenerate bilinear form on  $U \times U$ , satisfying  $\beta(V, V) = \beta(W, W) = \{0\}.$ 

The set of all linear forms on V is again an n-dimensional vector space over K which is called the *dual space* of V and denoted by  $V^*$ . In our present case, for any  $y \in W$ , the functional over V defined by

(5) 
$$\lambda_y(x) = \beta(x,y)$$
 for  $x \in V$ ,

is linear, and belongs to  $V^*$ . Since  $\lambda_{y_j}(x_i) = \delta_{ij}$ , the mapping  $\lambda : y \to \lambda_y$  is a linear isomorphism of W onto  $V^*$ . Therefore we may identify W and  $V^*$  with each other.

If s is an automorphism of V, we can define an automorphism  ${}^{t}s$  of  $V^{*}$  by

$$({}^{t}s\lambda)(x) = \lambda(sx).$$

We have easily  $({}^{t}s)^{-1} = {}^{t}(s^{-1})$  and this automorphism of  $V^*$  is denoted by  $\hat{s}$ . Since  $V^*$  is identified with W,  $\hat{s}$  is also an automorphism of W. Then there exists an automorphism  $H_s$  of U which coincides with s on V and  $\hat{s}$  on W respectively. We shall prove the following:

**Theorem 4.3.** We have det  $H_s = 1$ .

We first prove the following:

Lemma 4.5. Consider

$$\Theta = \sum_{i=1}^n x_i \otimes y_i$$

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which is an element of degree 2 in the tensor algebra over U. Then  $H_s$  extends to an automorphism of the tensor algebra over U, and this extended automorphism leaves  $\Theta$  fixed.

Proof of Lemma 4.5. What we have to prove is the identity

(6) 
$$\sum_{i=1}^{n} sx_i \otimes \widehat{s}y_i = \sum_{i=1}^{n} x_i \otimes y_i.$$

Since we have identified  $V^*$  with W, putting

$$sx_i = \sum_{k=1}^n a_{ki} x_k,$$

we have by (4) and (5)

$$\begin{split} \beta(x_i, {}^t\!sy_k) &= ({}^t\!s\lambda_{y_k})(x_i) = \lambda_{y_k}(sx_i) = \beta(sx_i, y_k) \\ &= a_{ki} = \beta(x_i, \sum_{j=1}^n a_{kj}y_j). \end{split}$$

This implies

(7) 
$${}^{t}sy_{k} = \sum_{j=1}^{n} a_{kj}y_{j},$$

which proves that the matrix corresponding to  ${}^{t}s$  is the *transposed matrix* of the matrix corresponding to s. Applying  $\hat{s}$  to (7), we have

$$y_k = \sum_{i=1}^n a_{ki}(\widehat{s}y_i),$$

and then

$$\sum_{i=1}^{n} sx_i \otimes \widehat{s}y_i = \sum_{i,k} a_{ki}x_k \otimes \widehat{s}y_i = \sum_{k=1}^{n} \left( x_k \otimes \sum_{i=1}^{n} a_{ki}(\widehat{s}y_i) \right)$$
$$= \sum_{k=1}^{n} x_k \otimes y_k,$$

which proves (6).

Now we return to the proof of det  $H_s = 1$ . Since the exterior algebra  $E_U$  over U was defined as a quotient of the tensor algebra over U (see Chap. III, 2), we denote the canonical image of  $\Theta$  in  $E_U$  by  $\Gamma$ . Then  $\Gamma$  is represented by

$$\Gamma = \sum_{i=1}^n x_i y_i.$$

By Lemma 4.5, the automorphism  $\Sigma_s$  of  $E_U$  which extends  $H_s$  leaves  $\Gamma$  fixed. Then  $\Sigma_s$  leaves exp  $\Gamma$  invariant, because the exponential mapping is defined intrinsically in the exterior algebra. More precisely, since  $x_iy_i$  and  $\Sigma_s(x_iy_i) = s(x_i)\hat{s}(y_i)$  are decomposable and sum to  $\Gamma$ , we have

$$\begin{split} \exp \, \Gamma &= (1+x_1y_1)(1+x_2y_2)\cdots(1+x_ny_n) \\ &= (1+\Sigma_s(x_1y_1))(1+\Sigma_s(x_2y_2))\cdots(1+\Sigma_s(x_ny_n)) \\ &= \Sigma_s((1+x_1y_1)(1+x_2y_2)\cdots(1+x_ny_n)) = \Sigma_s(\exp \, \Gamma). \end{split}$$

Hence  $\Sigma_s$  leaves also invariant the component  $(\exp \Gamma)_{2n}$  of the highest dimension of  $\exp \Gamma$ . On the other hand,  $\Gamma$  being the sum of *n* decomposable elements, we have

$$(\exp \Gamma)_{2n} = x_1 y_1 x_2 y_2 \cdots x_n y_n,$$

as we remarked at the end of section 2, and this is a basic element in  $(E_U)_{2n}$ . Therefore we have by the definition of the determinant

$$(\det H_s)(x_1y_1\cdots x_ny_n)=\Sigma_s(x_1y_1\cdots x_ny_n)\ =x_1y_1\cdots x_ny_n,$$

which proves  $\det H_s = 1$ .

**Theorem 4.4.** Let U, V, W be as before. If  $\sigma$  is an automorphism of U, which leaves V and W invariant, and if we denote by  $\sigma_V, \sigma_W$  the restrictions of  $\sigma$  to V and W respectively, then

$$\det \sigma = (\det \sigma_V)(\det \sigma_W).$$

*Proof.* This theorem follows from  $E_U \cong E_V \otimes E_W$ , but we shall give a simpler proof. Let  $x_1, \dots, x_n$  and  $y_1, \dots, y_n$  be bases of V and W respectively. We denote by  $\Sigma$  the automorphism of  $E_U$  which extends  $\sigma$ . By definition of the determinant, we have

$$\Sigma(x_1\cdots x_n) = (\det \sigma_V)(x_1\cdots x_n),$$

since  $E_V$  is generated by  $x_1, \dots, x_n$  in  $E_U$  and  $\Sigma(E_V) \subset E_V$ . Similarly we have

$$\Sigma(y_1\cdots y_n)=(\det \sigma_W)(y_1\cdots y_n),$$

and then

$$(\det \sigma)(x_1 \cdots x_n y_1 \cdots y_n) = \Sigma(x_1 \cdots x_n y_1 \cdots y_n)$$
  
=  $\Sigma(x_1 \cdots x_n)\Sigma(y_1 \cdots y_n) = (\det \sigma_V)(x_1 \cdots x_n)(\det \sigma_W)(y_1 \cdots y_n)$   
=  $(\det \sigma_V)(\det \sigma_W)(x_1 \cdots x_n y_1 \cdots y_n),$ 

which proves our statement.

**Corollary.** The determinant of an automorphism s of V is equal to the determinant of its transposed one: det  ${}^{t}s = det s$ .

*Proof.* The automorphism  $H_s$  of U which coincides with s on V and  $\hat{s}$  on W satisfies the conditions of Theorem 4.4. Then we have, from the two theorems above, that

$$(\det s)(\det \widehat{s}) = \det H_s = 1.$$

On the other hand  $(\det \hat{s})(\det {}^{t}s) = 1$ , because  $\hat{s} = ({}^{t}s)^{-1}$ , which proves our assertion.

# 4. An Application to Combinatorial Topology

As an application of the theory of exterior algebras, we shall give a proof of a fundamental property of combinatorial topology: that the boundary of a boundary is 0.

Let  $\{P_{\alpha}\}$  be a set of "vertices". We construct a vector space V of which the  $P_{\alpha}$ 's form a base. Any element of V is a 0-dimensional chain in the homology theory. Now a simplex  $\sigma$  is ordinarily defined as a set of a finite number of vertices, namely  $\sigma = (P_{\alpha_1}, \dots, P_{\alpha_h})$  with an orientation which makes  $\sigma$  a skew-symmetric symbol. This law of orientation is quite the same one as in the exterior algebra; it is appropriate to represent the simplex  $\sigma = (P_{\alpha_1}, \dots, P_{\alpha_h})$  by the element  $P_{\alpha_1} \dots P_{\alpha_h}$  in the exterior algebra  $E_V$ over V. A p-dimensional simplex is of degree p+1 in  $E_V$ . Next we define the boundary operation. There exists a linear form  $\delta$  on V such that  $\delta P_{\alpha} = 1$  for all  $\alpha$ . Then we have a derivation d of degree -1 in  $E_V$  which extends  $\delta$ . If we apply d to a simplex  $\sigma = (P_{\alpha_1}, \dots, P_{\alpha_h})$ , we have

$$d\sigma = (dP_{\alpha_1})P_{\alpha_2}\cdots P_{\alpha_h} - P_{\alpha_1}(dP_{\alpha_2})P_{\alpha_3}\cdots P_{\alpha_h}$$
$$+\cdots + (-1)^{h-1}P_{\alpha_1}\cdots P_{\alpha_{h-1}}(dP_{\alpha_h})$$
$$= P_{\alpha_2}\cdots P_{\alpha_h} - P_{\alpha_1}P_{\alpha_3}\cdots P_{\alpha_h} + \cdots + (-1)^{r-1}P_{\alpha_1}\cdots \widehat{P}_{\alpha_r}\cdots P_{\alpha_h}$$
$$+\cdots + (-1)^{h-1}P_{\alpha_1}\cdots P_{\alpha_{h-1}}.$$

This expression coincides with the ordinary definition of the boundary operation. So, we define the boundary operation by d. Then d being a derivation of odd degree,  $d^2$  is again a derivation and the property

$$d^2(P_\alpha) = d(dP_\alpha) = d(1) = 0,$$

proves  $d^2 = 0$ . Hence the boundary of a boundary is 0.

#### SOME APPLICATIONS OF EXTERIOR ALGEBRAS

Although there are many other interesting applications of the exterior algebras, we omit them because of limitation of time. We only mention an application to physics; the equations of Maxwell in the theory of electromagnetism may be represented elegantly using the exterior algebra of differential forms.<sup>2</sup>

<sup>&</sup>lt;sup>2</sup> See Erich Kähler, Bemerkungen über die Maxwellschen Gleichungen, Hamburg Abhandlungen, **12** (1938), pp. 1-28.

# The Algebraic Theory of Spinors

# INTRODUCTION

When E. Cartan classified the simple representations of all simple Lie algebras, he discovered a hitherto unknown representation of the orthogonal Lie algebra g, which could not be obtained from the representation on the vectors on which g operates by the classical operations of constructing tensor products and decomposing them into simple (or irreducible) representation spaces. Cartan did not give a specific name to this representation; it was only later that, generalizing the terminology adopted in a special case by the physicists, he called the elements on which this new representation operates spinors. The simplest case of a spin representation is the one which presents itself for the orthogonal Lie algebra in 3 variables; this Lie algebra is well known to be isomorphic to the special unitary Lie algebra on 2 variables, which shows that it has a faithful representation of degree 2: this is its spin representation. Similarly, the fact that the orthogonal Lie algebra in 6 variables is isomorphic to the special unitary algebra in 4 variables reflects a special property of the spin representation of the first one of these algebras.

In his book, Leçons sur la théorie des spineurs,<sup>1</sup> Cartan recognized the connection between the spinors for a quadratic form Q and the maximal linear varieties of the quadratic cone of equation Q = 0. This connection is similar to the one which exists between subspaces of a vector space V and certain elements (the decomposable ones) of the exterior algebra over V: while every maximal linear variety on the cone Q = 0 is represented by a spinor, determined up to a scalar factor, not every spinor is correlated in this manner to a linear variety. Those which are we call "pure spinors"; in his book, Cartan indicates that it is possible to construct quadratic equations in the coefficients of an arbitrary spinor which give necessary and sufficient conditions for the spinor to be pure.

<sup>1</sup>E. Cartan, Leçons sur la théorie des spineurs (Paris: Hermann et Cie., 1938), 2 volumes.

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The construction of the notion of spinor given by Cartan was rather complicated. In their paper,<sup>2</sup> R. Brauer and H. Weyl gave a much simpler presentation of the theory, based on the use of Clifford algebras. We follow their method in the present book, but we complete it by a simple construction of the pure spinors and of their relation with linear varieties on the cone Q = 0. In particular, we obtain a parametric representation of the pure spinors which is valid for all basic fields, while their characterization by the quadratic equations of Cartan breaks down for fields of characteristic 2.

The present book is oriented towards the algebraic and geometric applications of the theory of spinors; the author's lack of competence is the main reason for the complete absence of any application to physical theory. One of the most elegant purely mathematical applications is the one to the principle of triality in 8-dimensional space; we have devoted to it the last chapter of the present book, including a construction of the Cayley-Dickson algebra of octonions. We have not, however, included the description of the close connection which exists between the principle of triality on the one hand and, on the other hand, the exceptional Jordan algebra of dimension 27 and the five exceptional Lie groups; interesting as they are, these topics would have taken us too far away from the main subject of this book. In Chapter I, we establish those basic results in the theory of orthogonal groups which are to be of use in the remainder of the book; however, we have not included there the main result of the theory, namely, that the factor group of the commutator group of the orthogonal group by its center is simple when the index of the form is > 0; for this result we refer the reader to the book Sur les groupes classiques by J. Dieudonné.<sup>3</sup>

<sup>2</sup>R. Brauer and H. Weyl, "Spinors in *n* Dimensions," American Journal of Mathematics, 57 (1935), 425.

<sup>8</sup>J. Dieudonné, Sur les groupes classiques (Paris: Hermann et Cie., 1948).

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### 1. Terminology

Throughout this book, with the exception of Section 4.2, we shall use the following conventions. The word "algebra" will mean "algebra with a unit element"; the symbol 1 will be used freely to denote the unit elements of the various algebras encountered (although unit elements may sometimes be denoted by specific symbols other than 1). We shall say that an algebra A is generated by a subset S of A when no proper subalgebra of A contains S and 1, i.e., when  $\{1\} \cup S$  is a set of generators of A in the usual sense. By a homomorphism of an algebra A into an algebra B, we shall mean a homomorphism in the usual sense which, furthermore, maps the unit element of A upon that of B.

A representation of an algebra A (respectively: of a group G) on a vector space M is a homomorphism of A (respectively: G) into the algebra (respectively: group) of endomorphisms (respectively: automorphisms) of M. We say that  $\rho$  is simple if  $M \neq \{0\}$  and if the only subspaces of M which are mapped into themselves by all operations of  $\rho(A)$ (respectively:  $\rho(G)$ ) are  $\{0\}$  and M. If, in addition, it is true that the only endomorphisms of M which commute with all operations of  $\rho(A)$ (respectively:  $\rho(G)$ ) are the scalar multiples of the identity, then  $\rho$  is called absolutely simple. If M can be represented as a direct sum of subspaces  $\neq \{0\}$ , each of which is mapped into itself by the operations of  $\rho(A)$  (respectively:  $\rho(G)$ ), and is minimal with respect to this property, then  $\rho$  is called semi-simple. If this is the case, and M is finite-dimensional, then M may also be represented as the direct sum of subspaces  $M_1, \dots, M_n$  $M_{k}$  such that, for each *i*, the restrictions to  $M_{i}$  of the operations of  $\rho(A)$  (respectively:  $\rho(G)$ ) give a simple representation  $\rho_i$  of A (respectively: G). We shall then say that  $\rho$  is equivalent to the "sum" of the simple representations  $\rho_i$ , and we write  $\rho \cong \rho_1 + \cdots + \rho_h$ . If h > 1, then we say that  $\rho$  "splits" into the representations  $\rho_1$ , ...,  $\rho_h$ . If  $\rho'$ is any simple representation of A (respectively: G), then the number of

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indices *i* such that  $\rho_i$  is equivalent to  $\rho'$  is uniquely determined, and the sum of the spaces  $M_i$  relative to these indices is uniquely determined. In particular, if the representations  $\rho_i$  are all inequivalent to each other, then the spaces  $M_i$  are uniquely determined.

When s is an operation on a set M, we shall frequently denote by  $s \cdot x$  (instead of s(x)) the transform by s of an  $x \in M$ .

# 2. Associative Algebras

We shall make a frequent use of the theory of finite-dimensional associative algebras; for an exposition of these results and their proofs, we refer the reader to a book by Jacobson.<sup>1</sup>

### 3. Exterior Algebras

We shall make use of a certain number of results on exterior algebras, which we indicate here.

Let M be a vector space over a field K, and E the exterior algebra<sup>2</sup> of M. For any  $h \ge 0$ , the products of h elements of M span a subspace  $E_h$  of E, and E is the direct sum of the spaces  $E_h$   $(h = 0, 1, \cdots)$ . The elements of  $E_h$  are called *homogeneous of degree* h; those among them which are representable as products of h elements of M are called *decomposable*. Assume now that M is of finite dimension m; then  $E_m$ is of dimension 1 and  $E_h = \{0\}$  for h > m; if  $(x_1, \dots, x_m)$  is a base of M, then  $x_1 \land \dots \land x_m$  is a base of  $E_m$ . If  $h \le m$ , then the products

$$x_{i_1} \wedge \cdots \wedge x_{i_k}$$
,  $i_1 < \cdots < i_k \leq m$ ,

form a base of  $E_h$ . Any ideal  $I \neq \{0\}$  of E contains  $E_m$ . For, let  $u = u_h + u_{h+1} + \cdots + u_m$  be an element  $\neq 0$  of I, with  $u_h \in E_h$ ,  $u_h \neq 0$ ; write

$$u_h = \sum c(i_1, \cdots, i_h) x_{i_1} \wedge \cdots \wedge x_{i_h}$$

where  $(i_1, \dots, i_h)$  runs over the strictly increasing sequences of h integers between 1 and m, and let  $(j_1, \dots, j_h)$  be a sequence such that  $c(j_1, \dots, j_h) \neq 0$ . Let  $k_1, \dots, k_{m-h}$  be all integers between 1 and m not occurring among  $j_1, \dots, j_h$ ; then it is easily seen that

$$\begin{aligned} x_{k_1} \wedge \cdots \wedge x_{k_{m-k}} \wedge u \\ &= c(j_1, \cdots, j_k) x_{k_1} \wedge \cdots \wedge x_{k_{m-k}} \wedge x_{j_1} \wedge \cdots \wedge x_{j_k} \end{aligned}$$

<sup>1</sup>N. Jacobson, *The Theory of Rings* (New York: The American Mathematical Society, 1943).

<sup>2</sup>See N. Bourbaki, *Eléments de mathématique*, Paris: Hermann et Cie., Algèbre Chapter III (1947); or C. Chevalley, *Théorie des groupes de Lie* (Paris: Hermann et Cie., 1951), II, Chapter I. [Editor's note] see also the first part in this volume.

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and the right side is an element  $\neq 0$  of  $E_m$  because

$$(x_{k_1}, \cdots, x_{k_{m-k}}, x_{j_1}, \cdots, x_{j_k})$$

is a base of M.

Any linear mapping f of M into a vector space M' over K may be extended, in a unique manner, to a homomorphism F of E into the exterior algebra E' of M'; and F maps  $E_h$  into the space  $E'_h$  of homogeneous elements of degree h of E'. In particular, any endomorphism f of M may be extended to a homomorphism F of E into itself. If dim  $M = m, e \in E_m$ , then we have  $F(e) = (\det f)e$ .

Let g be a linear form on M. Then there exists a uniquely determined antiderivation  $\delta_{\sigma}$  of E such that  $\delta_{\sigma} \cdot x = g(x) \cdot 1$  for all  $x \in M$ . The operation  $\delta_{\sigma}$  is homogeneous of degree -1; i.e., it maps any  $E_h$  into  $E_{h-1}$ , and 1 upon {0}. We have  $\delta_{\sigma}^2 = 0$ ; if g, g' are linear forms and a, a' scalars, then we have  $\delta_{ag} = a\delta_{g}$ ,  $\delta_{g+g'} = \delta_{g} + \delta_{g'}$ ,  $\delta_{\sigma}\delta_{g'} + \delta_{g'}$ ,  $\delta_{\sigma} = 0$ .

Let  $M^*$  be the dual space of M, and  $E^*$  the exterior algebra of  $M^*$ . Then, for each h, there exists a canonical bilinear form  $(u, u^*) \rightarrow \langle u, u^* \rangle$  on  $E_h \times E_h^*$ , which defines an isomorphism of  $E_h^*$  with the dual of  $E_h$ . Let s be any endomorphism of M, and 's the transpose of s, which is an endomorphism of  $M^*$  and maps any linear form  $x^* \in M^*$  upon the linear form  $x \to \langle x^*, s \cdot x \rangle = x^*(s \cdot x)$ . Let  $S_h$ ,  $S_h^*$  be the restriction to  $E_h$ ,  $E_h^*$  of the homomorphisms of the algebras E,  $E^*$  into themselves which extend s,  $s^*$ ; then we have  $\langle u^*, S_h \cdot u \rangle = \langle S_h^* \cdot u^*, u \rangle$  for any  $u \in E_h$ ,  $u^* \in E_h^*$ .

# CHAPTER I

# QUADRATIC FORMS

# 1.1. Bilinear Forms

Let M and N be vector spaces over the same field K. A bilinear form on  $M \times N$  is by definition a mapping B of  $M \times N$  into K with the following property: for every  $x \in M$ , the partial function  $\lambda_x: y \to B(x, y)$ is a linear function on N; for every  $y \in N$ , the partial function  $\mu_y: x \to B(x, y)$  is a linear function on M.

This being the case, we see immediately that the mapping  $\lambda: x \to \lambda_x$ is a linear mapping of M into the dual space  $N^*$  of N, while the mapping  $\mu: y \to \mu_y$  is a linear mapping of N into the dual space  $M^*$  of M. We shall say that  $\lambda$  and  $\mu$  are the *linear mappings associated to B on the left and on the right.* Every linear mapping  $\lambda$  of M into  $N^*$  is associated to the left to a uniquely determined bilinear form B, given by

$$B(x, y) = (\lambda(x))(y).$$

Similarly, any linear mapping of N into  $M^*$  is associated to the right to a uniquely determined bilinear form.

Let P be a subspace of M. Then the set of elements  $y \in N$  such that B(x, y) = 0 for all  $x \in P$  is obviously a subspace P' of N, which is called the *right conjugate space* of P (with respect to B). Similarly, if Q is any subspace of N, the set of elements  $x \in M$  such that B(x, y) = 0 for all  $y \in Q$  is a subspace Q' of M, called the *left conjugate space* of Q. The following relations are obvious:

 $P \subset (P')'$  for any subspace P of M,  $Q \subset (Q')'$  for any subspace Q of N,  $(P_1 + P_2)' = P'_1 \cap P'_2$  if  $P_1$ ,  $P_2$  are subspaces of M,  $(Q_1 + Q_2)' = Q'_1 \cap Q'_2$  if  $Q_1$ ,  $Q_2$  are subspaces of N.

The form B is called *nondegenerate* if we have  $M' = \{0\}, N' = \{0\}$ ; this amounts to saying that the linear mappings  $\lambda$ ,  $\mu$  introduced above are one-to-one.

If B is any bilinear form on  $M \times N$ , and  $x \in M$ ,  $y \in N$ , then the value of B(x, y) depends only on the classes  $\overline{x}$  of x modulo N' and  $\overline{y}$  of ymodulo M'; if we set  $\overline{B}(\overline{x}, \overline{y}) = B(x, y)$ , then  $\overline{B}$  is obviously a nondegenerate bilinear form on the product  $(M/N') \times (N/M')$ .

Now assume that M and N are both finite-dimensional and denote their dimensions by m, n. If B is nondegenerate, then  $\lambda$  is an isomorphism of M with a subspace of  $N^*$ , and  $\mu$  an isomorphism of N with a subspace of  $M^*$ . But  $N^*$  is of dimension n, and  $M^*$  of dimension m; it follows that  $m \leq n, n \leq m$ , whence n = m. Now, if we drop the assumption that B is nondegenerate, then we see that M/N' and N/M' have the same dimension; their dimension is called the rank of the bilinear form B.

I.1.1. Let B be a nondegenerate bilinear form on the product of two m-dimensional vector spaces M and N. If P is a p-dimensional subspace of M, then its conjugate P' is of dimension m - p, and (P')' = P; if Q is a q-dimensional subspace of N, then Q' is of dimension m - q and (Q')' = Q.

The linear mapping  $\lambda$  associated to the left to *B* is an isomorphism of *M* with the dual  $N^*$  of *N*, and *P'* is the set of solutions of the linear equations  $\lambda_x(y) = 0$  for all  $x \in P$ . Since  $\lambda$  maps *P* upon a *p*-dimensional subspace of  $N^*$ , *P'* is of dimension m - p. We prove in the same way that *Q'* is of dimension m - q; in particular, (P')' is of dimension m - (m - p) = p and contains *P*, whence (P')' = P; we see in the same way that (Q')' = Q.

We shall be mainly interested in bilinear forms B on the product  $M \times M$  of a finite-dimensional vector space M by itself. Let  $(x_1, \dots, x_m)$  be a base of M, and set  $b_{ij} = B(x_i, x_j)$ . Then, clearly, we have

$$B\left(\sum_{i=1}^{m} a_{i}x_{i}, \sum_{i=1}^{m} a'_{i}x_{i}\right) = \sum_{i,j=1}^{m} b_{ij}a_{j}a'_{j}.$$

The matrix  $B = (b_{ij})$  is called the *matrix of the form* B with respect to the base  $(x_1, \dots, x_m)$ ; its determinant is called the *discriminant* of B with respect to the base  $(x_1, \dots, x_m)$ . It is clear that any  $(n \times n)$ -square matrix with coefficients in K is the matrix of some uniquely determined bilinear form on  $M \times M$ . Let  $(x^*_1, \dots, x^*_m)$  be the base of the dual  $M^*$  of M dual to the base  $(x_1, \dots, x_m)$  of M. The notation being as above, we have

$$\mu_{x_i}(x_i) = b_{ii},$$

whence

$$\mu_{x_i} = \sum_{i=1}^m b_{ii} x^*_i;$$

B is therefore also the matrix which represents  $\mu$  with respect to the bases  $(x_1, \dots, x_m)$  of M and  $(x_1^*, \dots, x_m^*)$  of  $M^*$ . Since the rank r of B is the rank of the linear mapping  $\mu$ , r is equal to the rank of B. Then, a necessary and sufficient condition for B to be nondegenerate is for its discriminant (with respect to any base) to be  $\neq 0$ .

Let  $(y_1, \dots, y_m)$  be any other base of M. Write

$$y_i = \sum_{j=1}^m t_{ji} x_j ,$$

and let **T** be the matrix  $(t_{ij})$ . Then we see immediately that the matrix of *B* with respect to the base  $(y_1, \dots, y_m)$  is '**T**.**B**.**T**., where '**T** is the transpose of **T**. Its determinant is the product (det **B**) (det **T**)<sup>2</sup>.

We shall be interested mainly in bilinear forms B which are symmetric, i.e., such that

$$B(x, y) = B(y, x)$$

for any x, y in M. Moreover, in the case where K is of characteristic 2, we shall be interested only in those bilinear forms B for which

$$B(x, x) = 0$$

for all  $x \in M$ . Such a form is usually called *alternating*. In the case of characteristic 2, the condition of being alternating implies the symmetry, for the relations B(x, x) = B(y, y) = B(x + y, x + y) = 0 imply B(x, y) + B(y, x) = 0. We shall make the convention in that case to call symmetric only those bilinear forms which are alternating.

If B is symmetric, the distinction between left and right conjugates disappears, and we shall therefore simply speak of the *conjugate* of a subspace of M.

A subspace P of M is called *isotropic* if it has an element  $\neq 0$  in common with its conjugate P', and *totally isotropic* if  $P \subset P'$ . To say that P is isotropic is to say that the restriction  $B_P$  of B to  $P \times P$  is degenerate; to say that P is totally isotropic is to say that  $B_P = 0$ . An element  $x \in M$  is called isotropic if B(x, x) = 0. If P is a subspace of M, every element of  $P \cap P'$  is isotropic; if K is of characteristic 2, every element of M is isotropic.

I.1.2. Let B be a nondegenerate symmetric bilinear form on  $M \times M$ , M of finite dimension. If P is a nonisotropic subspace of M, P' is nonisotropic and M is the direct sum of P and P'.

Let *m* and *p* be the dimensions of *M* and *P*; then *P'* is of dimension m - p. We have  $P \cap P' = \{0\}$  and (P')' = P, which shows that *P'* is not isotropic; since dim  $P + \dim P' = \dim M$  and  $P \cap P' = \{0\}$ , *M* is the direct sum of *P* and *P'*.

### 1.2. Quadratic Forms

Let M be a vector space over a field K. A quadratic form on M is by definition a mapping Q of M into K which has the following properties:

(a) 
$$Q(ax) = a^2 Q(x)$$
  $(a \in K, x \in M).$ 

(b) The mapping  $(x, y) \rightarrow Q(x + y) - Q(x) - Q(y)$  is a bilinear form B on  $M \times M$ .

We shall say that B is the bilinear form associated to Q. It is clear from the definition that B(y, x) = B(x, y) for any  $x, y \in M$ . Moreover, we have Q(2x) = 4Q(x) by (a), whence, by (b),

$$B(x, x) = 2Q(x).$$

It follows that B(x, x) = 0 if K is of characteristic 2; B is therefore symmetric.

If there is given a quadratic form Q on M, we shall call conjugate of a subspace P of M the conjugate of P relative to the bilinear form B associated to Q; and we define similarly the notions of isotropic spaces, totally isotropic spaces, and isotropic elements.

The restriction of the mapping Q to a subspace N of M is a quadratic form on N whose associated bilinear form is the restriction of B to  $N \times N$ . If this restriction is the zero quadratic form on N, then we say that N is totally singular. Any  $x \in M$  such that Q(x) = 0 is a called singular.

I.2.1. Any totally singular subspace N of M is totally isotropic. If the characteristic of K is  $\neq 2$ , any totally isotropic subspace is totally singular.

If x,  $y \in N$ , we have  $x + y \in N$  and

$$B(x, y) = Q(x + y) - Q(x) - Q(y) = 0,$$

which proves the first assertion. The second one follows immediately from the formula B(x, x) = 2Q(x).

Let M' be the conjugate of the whole space M. If K is not of characteristic 2, M' is totally singular. If K is of characteristic 2, we have Q(x + y) = Q(x) + Q(y) for  $x, y \in M'$ , from which it follows immediately that the set  $M'_0$  of singular vectors contained in M' is a vector subspace of M'. Assume that M is finite-dimensional; let  $m, m', m'_0$  be the dimensions of  $M, M', M'_0$ . Then m - m' is the rank of B. The number  $m - m'_0$  is called the rank of Q, and  $m' - m'_0$  is called its *defect*. The defect is 0 if K is not of characteristic 2.

We shall now assume that M is finite dimensional.

I.2.2. Let  $B_0$  be any bilinear form on  $M \times M$ . Then  $x \to B_0(x, x)$  is a quadratic form on Q, and any quadratic form may be represented in this manner.

We have  $B_0(ax, ax) = a^2 B_0(x, x)$  if  $a \in K$ , and  $B_0(x + y, x + y) - B_0(x, x) - B_0(y, y) = B_0(x, y) + B_0(y, x)$ , which proves the first assertion. Now, let Q be any quadratic form on M, B its associated bilinear form, and  $(x_1, \dots, x_m)$  a base of M. Let  $b_{ij} = B(x_i, x_j)$ ; then, clearly, we have

$$Q\left(\sum_{i=1}^{m} a_{i}x_{i}\right) = \sum_{i=1}^{m} a_{i}^{2}Q(x_{i}) + \sum_{i< i} a_{i}a_{i}b_{i} .$$
(1)

We may define a bilinear form  $B_0$  on  $M \times M$  by the formula

$$B_0\left(\sum_{i=1}^m a_i x_i, \sum_{i=1}^m a'_i x_i\right) = \sum_{i=1}^m a_i a'_i Q(x_i) + \sum_{i < j} a_i a'_j b_{ij}.$$

It is then clear that  $Q(x) = B_0(x, x)$ .

If K is not of characteristic 2, we may take, in I.2.2,  $B_0 = \frac{1}{2}B$ .

I.2.3. Let K' be an overfield of K,  $M^{\kappa'}$  the vector space over K' deduced from M by extending the basic field to K' and Q a quadratic form on M. Then there exists a uniquely determined quadratic form on  $M^{\kappa'}$  which extends Q. Its associated bilinear form is an extension of that of Q.

Let  $B_0$  be a bilinear form on  $M \times M$  such that  $Q(x) = B_0(x, x)$ . Then  $B_0$  may be extended to a bilinear form  $B'_0$  on  $M^{K'} \times M^{K'}$ . For, let  $(x_1, \dots, x_m)$  be a base of M; then it suffices to take for  $B'_0$  the bilinear form on  $M^{K'} \times M^{K'}$  whose matrix with respect to  $(x_1, \dots, x_m)$ is the same as that of  $B_0$ . The formula  $Q'(x) = B'_0(x, x)$  then defines a quadratic form on  $M^{K'}$  which extends Q. If a quadratic form  $Q'_1$  on  $M^{K'}$  extends Q, its associated bilinear form clearly extends B. Making use of formula (1) above, applied to  $Q'_1$ , where  $a_1, \dots, a_m$  are allowed to run over K', we see that there exists only one quadratic form over  $M^{K'}$  which extends Q.

Two quadratic forms  $Q, Q_1$  on vector spaces  $M, M_1$  over K are called *equivalent* when there is an isomorphism  $\sigma$  of M with  $M_1$  such that

 $Q_1(\sigma \cdot x) = Q(x)$  for all  $x \in M$ . This clearly implies that  $B_1(\sigma \cdot x, \sigma \cdot y) = B(x, y)$  for any  $x, y \in M$ , if  $B, B_1$ , are the associated bilinear forms to  $Q, Q_1$ .

# 1.3. Special Bases

We shall denote by Q a quadratic form on a finite dimensional vector space M, and by B the bilinear form associated to Q. Two vectors x, y in M are called *orthogonal* to each other if B(x, y) = 0.

I.3.1. If K is not of characteristic 2, then M has a base composed of mutually orthogonal vectors.

We prove this by induction on the dimension m of M. If m = 0, there is nothing to prove. Assume that the statement is true for spaces of dimension m - 1. If Q = 0, then the statement is trivial. Assume that  $Q \neq 0$ , and let  $x_1$  be a vector such that  $Q(x_1) \neq 0$ ; let N be the conjugate space of  $Kx_1$ . It is clear that N is of dimension  $\geq m - 1$ ; since  $Q(x_1) \neq 0$  and K is not of characteristic 2,  $B(x_1, x_1) \neq 0$ , and  $x_1$  is not in N. We conclude that M is the direct sum of  $Kx_1$  and N, and that dim N = m - 1. Thus, there is a base  $(x_2, \dots, x_m)$  of Ncomposed of mutually orthogonal vectors. Then  $(x_1, x_2, \dots, x_m)$  is a base of M composed of mutually orthogonal vectors.

Any base of M whose vectors are mutually orthogonal is called an *orthogonal base*.

I.3.2. Assume that the bilinear form B is nondegenerate. Let N be a totally isotropic subspace of M of dimension r. Then there exists a totally isotropic subspace P of dimension r such that  $N \cap P = \{0\}$  and N + P is not isotropic. If  $(x_1, \dots, x_r)$  is a base of N, and P has the properties stated above, there is a base  $(y_1, \dots, y_r)$  of P such that  $B(x_i, y_i) = \delta_i$ ,  $(1 \leq i, j \leq r)$ . If N is totally singular, then P may be taken to be totally singular. Let R be the conjugate space of N + P. If N is maximal in the set of totally singular subspaces, then we have  $Q(x) \neq 0$  for every  $x \neq 0$  in R.

Let p be any integer  $\geq 0$  and  $\langle r;$  suppose that we have already constructed p vectors  $y_1, \dots, y_p$  with the following properties:  $B(x_i, y_i) = \delta_{ii}$  for  $1 \leq i \leq r, 1 \leq j \leq p$ ; the space spanned by  $y_1, \dots, y_p$  is totally isotropic and is totally singular in case N is. The conjugate of the space spanned by the  $x_i$ 's for  $i \neq p+1$  is of dimension m-r+1, if  $m = \dim M$  (by I.1.1.), while the conjugate of N is of dimension m-r. Thus, there is a vector y such that  $B(y, x_i) = 0$  for  $i \neq p+1$ ,  $B(y, x_{p+1}) \neq 0$ , and we may obviously assume that  $B(y, x_{p+1}) = 1$ . Since N is totally isotropic, any  $y' \in y + N$  has the same properties as y. Let  $b_i = B(y, y_i)$  for  $i \leq p$  and

$$y' = y - \sum_{i=1}^{p} b_i x_i ;$$

then y' has the same properties as y and is further orthogonal to  $y_1, \dots, y_p$ . If  $c \in K$ , then we have

$$B(y' - cx_{p+1}, y' - cx_{p+1}) = B(y', y') - 2c,$$
  

$$Q(y' - cx_{p+1}) = Q(y') + c^2 Q(x_{p+1}) - c.$$

If K is of characteristic  $\neq 2$ , then we may choose c such that  $y' - cx_{p+1}$  is isotropic. If K is of characteristic 2, any vector is isotropic. Thus, we may always select c in such a way that  $y_{p+1} = y' - cx_{p+1}$  is isotropic. If K is not of characteristic 2, this implies that  $Q(y_{p+1}) = 0$ . If K is of characteristic 2 and N totally singular, then  $Q(x_{p+1}) = 0$  and we may take c such that  $Q(y_{p+1}) = 0$ . It is clear that

$$B(x_i, y_{p+1}) = \delta_{i,p+1} \qquad (1 \le i \le r)$$

and that

$$B(y_i, y_i) = 0$$
  $(1 \le i, j \le p + 1),$ 

which shows that the space spanned by  $y_1, \dots, y_{p+1}$  is totally isotropic. If N is totally singular, then  $Q(y_i) = 0$   $(1 \le i \le p+1)$ , and the space spanned by  $y_1, \dots, y_{p+1}$  is totally singular.

At the end of this construction, we obtain r vectors  $y_1, \dots, y_r$  such that  $B(x_i, y_j) = \delta_{ij}$   $(1 \le i, j \le r)$  and the space  $P = Ky_1 + \dots + Ky_r$  is totally isotropic; moreover, P is totally singular if N is. We have

$$B\left(x_i,\sum_{j=1}^r a_j y_j\right) = a_i \qquad (1 \le i \le r),$$

which implies that  $y_1, \dots, y_r$  are linearly independent and that P has only 0 in common with the conjugate N' of N; this in turn obviously implies that N + P is not isotropic. Let R be its conjugate; then M is the direct sum of N + P and R (I.1.2). If N is totally singular and Rcontains a  $z \neq 0$  such that Q(z) = 0, then we have B(z, x) = 0 for every  $x \in N$ , whence Q(x + z) = Q(x) + Q(z) + B(z, x) = 0 and N + Kz is totally singular. This concludes the proof of I.3.2.

I.3.3. Assume that B is nondegenerate and that there is an  $x \neq 0$  in M such that Q(x) = 0. Then for any  $a \in K$ , there is a  $z \in M$  such that Q(z) = a.

It follows from I.3.2, applied to N = Kx, that there is a  $y \in M$  such that Q(y) = 0, B(x, y) = 1. We then have Q(x + ay) = a.

I.3.4. Assume K is algebraically closed. Denote by m the dimension of M and by N a maximal totally singular subspace of M. If B is nondegenerate, N is of dimension [m/2] (integral part of m/2). If we assume further that K is of characteristic 2, then m is even.

The notation being as I.3.2, assume that N is maximal totally singular. Let z, z' be vectors in R; since K is algebraically closed, we can find elements a, a' not both 0 of K such that

$$Q(az + a'z') = a^2Q(z) + aa'B(z, z') + a'^2Q(z') = 0.$$

It follows that az + a'z' = 0, and that R is of dimension 0 or 1, whence m = 2r or m = 2r + 1. Assume that m = 2r + 1, and let z be an element  $\neq 0$  of R. Since z belongs to the conjugate of N + P but not to that of M, we have  $B(z, z) \neq 0$ , and K is not of characteristic 2.

Still assuming that K is algebraically closed, we see that, if m is even, M has a base  $(x_1, \dots, x_r, y_1, \dots, y_r)$  such that

$$Q\left(\sum_{i=1}^{r} (a_{i}x_{i} + b_{i}y_{i})\right) = \sum_{i=1}^{r} a_{i}b_{i} , \qquad (1)$$

while, if m is odd, M has a base  $(x_1, \dots, x_r, y_1, \dots, y_r, z)$  such that

$$Q\left(\sum_{i=1}^{r} (a_i x_i + b_i y_i) + cz\right) = \sum_{i=1}^{r} a_i b_i + c^2.$$
 (2)

These results are valid under the assumption that Q is of maximal rank m equal to the dimension of M and has defect 0 if K is of characteristic 2.

#### 1.4. The Orthogonal Group

We shall denote by Q a quadratic form on a finite-dimensional vector space M over a field K; we shall assume that the associated bilinear form B of Q is nondegenerate.

A linear mapping s of M into itself is called *orthogonal* (relative to Q) if we have  $Q(s \cdot x) = Q(x)$  for all  $x \in M$ . It follows immediately that  $B(s \cdot x, s \cdot y) = B(x, y)$  for all  $x, y \in M$ . Thus, if  $s \cdot x = 0$ , then we have B(x, y) = 0 for every  $y \in M$ , whence x = 0; this shows that any orthogonal mapping is an automorphism of M. It is clear that the orthogonal mappings form a group; this group is called the *orthogonal group* of Qand will be denoted by G.

A vector-space isomorphism s of a subspace N of M with a subspace P is called a Q-isomorphism if  $Q(s \cdot x) = Q(x)$  for every  $x \in N$ ; this implies that  $B(s \cdot x, s \cdot y) = B(x, y)$  for  $x, y \in N$ .

I.4.1. The assumptions and notation being as stated above, every Q-isomorphism of a subspace N of M with a subspace P may be extended to an operation of the group G.

We proceed by induction on the dimension n of N. Our statement is obvious for n = 0. Assume that n > 0 and that the statement is true for subspaces of dimension n - 1. Let U be an (n - 1)-dimensional subspace of N. The restriction of s to U may be extended to an operation  $s_0 \in G$ . Let  $s'(x) = s_0^{-1}(s(x))$  for  $x \in N$ . Then s' is a Q-isomorphism of N which leaves the elements of U fixed. If s' extends to an operation  $s'_0 \in G$ , then  $s_0 s'_0$  is an element of G which extends s. Thus, we see that we may assume without loss of generality that s leaves the elements of U fixed. Let  $\mathfrak{V}$  be the set of subspaces V of M with the following property: s may be extended to a Q-isomorphism of V + N, leaving the elements of V fixed. Let  $V_1$  be a maximal element of  $\mathfrak{V}$ , and  $s_1$  the Q-isomorphism of  $N_1 = V_1 + N$  which extends s and leaves the elements of  $V_1$  fixed. Let  $P_1 = s_1(N_1)$ ,  $U_1 = V_1 + U$ ; if  $U_1 = N_1$ , then  $s_1$  is the identity and the statement is obvious. If not, let  $x_1$  be an element of  $N_1$  not in  $U_1$ , and  $y_1 = s_1 \cdot x_1$ , whence  $N_1 = U_1 + K x_1$ ,  $P_1 = U_1 + K y_1$ ,  $Q(x_1) = U_1 + K y_1$  $Q(y_1)$ .

Assume that we have elements  $z, z' \in M$  with the following properties: z is not in  $N_1$ , z' is not in  $P_1$ , z' - z is in the conjugate space  $U'_1$  of  $U_1$ ,  $B(z', y_1) = B(z, x_1), Q(z) = Q(z')$ . Then we may extend  $s_1$  to an isomorphism  $s_2$  of  $N_1 + Kz$  with  $P_1 + Kz'$  which maps z upon z'. We shall see that  $s_2$  is a Q-isomorphism. Any  $x \in N_1$  is of the form  $u + ax_1$ ,  $u \in U_1$ ,  $a \in K$ , and  $s_1 \cdot x = u + ay_1$ . Since  $B(z' - z, u) = 0, B(z, x_1) =$   $B(z', y_1)$ , we have  $B(z, x) = B(z', s_1 \cdot x)$ ; on the other hand, we have  $Q(x) = Q(s_1 \cdot x)$ , whence, for  $b \in K$ ,

$$Q(bz + x) = b^{2}Q(z) + bB(z, x) + Q(x)$$
  
=  $b^{2}Q(z') + bB(z', s_{1} \cdot x) + Q(s_{1} \cdot x) = Q(bz' + s_{1} \cdot x),$ 

which proves that  $s_2$  is a *Q*-isomorphism.

Let *H* be the conjugate of the space  $K(x_1 - y_1)$ ; if  $z \in H$ , then we have  $B(z, x_1) = B(z, y_1)$ . Applying the above considerations with z' = z, we see that it follows from the maximal character of  $V_1$  that zlies in  $N_1$  or in  $P_1$ , whence  $H = (H \cap N_1) \cup (H \cap P_1)$ . Were  $H \cap N_1$ and  $H \cap P_1$  both  $\neq H$ , then there would exist elements  $z_1 \in H \cap N_1$ ,  $z'_1 \in H \cap P_1$  such that  $z_1$  is not in  $H \cap P_1$  and  $z'_1$  not in  $H \cap N_1$ ; z = $z_1 + z'_1$  would then be an element of *H* not belonging to  $N_1 \cup P_1$ , which is impossible. Thus, *H* is contained in one of the spaces  $N_1$ ,  $P_1$ . If  $N_1 = M$ , then we are through. If not, we see that *H*, which is of

dimension dim M - 1, is identical with one of the spaces  $N_1$  or  $P_1$ , which shows that  $x_1 - y_1$  is orthogonal to at least one of  $x_1$ ,  $y_1$ . But we have  $B(x_1, x_1) = B(y_1, y_1)$ ; thus,  $B(x_1, x_1 - y_1) = B(y_1 - x_1, y_1)$ and both  $x_1$  and  $y_1$  are in H. It follows immediately that  $N_1 = P_1 = H$ . Let z be an element of M not in H, whence  $B(z, x_1 - y_1) \neq 0$ . Then it is clear that  $M = H + Kz = N_1 + Kz$ . We shall construct an element z' with the properties stated above;  $s_2$  will then be an operation of G extending s. It is clear that  $y_1$  is not in  $U_1$ ; the conjugate of  $U'_1$  being  $U_1$ ,  $U'_1$  contains a vector which is not orthogonal to  $y_1$ , and therefore also a vector u such that  $B(u, y_1) = B(z, x_1 - y_1)$ . Since  $B(x_1 - y_1, y_1)$ = 0, u is not in  $K(x_1 - y_1)$ ; i.e., u is not in the conjugate of H; since  $u \in U'_1$ ,  $H = N_1 = U_1 + Kx_1$ , we have  $B(u, x_1) \neq 0$  and  $B(z + u, z_1)$  $x_1 - y_1 = B(u, x_1) \neq 0$ , which shows that z + u is not in  $P_1 = H$ . Let c be any element of K; since  $x_1 - y_1 \in P_1$ ,  $z + u + c (x_1 - y_1)$  is not in  $P_1$ . Since  $x_1 - y_1$  is in the conjugate of H and  $U_1 \subset H$ , (z + u + u) $c(x_1 - y_1)) - z$  is in  $U'_1$ . We have

$$Q(x_1 - y_1) = Q(x_1) + Q(y_1) - B(x_1, y_1) = 2Q(x_1) - B(x_1, y_1)$$
  
=  $B(x_1, x_1) - B(x_1, y_1) = 0.$ 

It follows that

$$Q(z + u + c(x_1 - y_1)) = Q(z + u) + cB(z + u, x_1 - y_1).$$

Since  $B(z + u, x_1 - y_1) \neq 0$ , c may be determined in such a way that  $Q(z + u + c(x_1 - y_1)) = Q(z)$ . If we set  $z' = z + u + c(x_1 - y_1)$ , z and z' have the required properties, and I.4.1 is proved.

I.4.2. Let N be a totally singular subspace of M. Then every automorphism of N may be extended to an operation of G.

This follows immediately from I.4.1.

I.4.3. All maximal totally singular subspaces of M have the same dimension and are permuted transitively among themselves by the operations of G.

It follows immediately from I.4.1 that, if N and P are totally singular subspaces of the same dimension, there is an operation s of G which transforms N into P. If  $P_1$  is a totally singular space containing P,  $s^{-1}(P_1)$  is a totally singular space containing N. Assume that N is maximal totally singular of dimension r; every subspace of a totally singular space being totally singular, it is clear that there cannot exist any totally singular subspace of dimension > r in M. The common dimension of all totally singular maximal subspaces of M is called the *index* of Q. Let r be its value. Then it follows from I.3.2 that  $r \leq \lfloor m/2 \rfloor$ . Moreover, if m is even and  $r = \lfloor m/2 \rfloor$ , there is a base of M with respect to which Q has the expression (1) of I.3; if K is of characteristic 2, m is necessarily even.

I.4.4. The notations and assumptions being as above, let s be an operation of G, L the set of elements of M left fixed by s, and u the linear mapping  $x \rightarrow s \cdot x - x$  of M into itself. Then u(M) is the conjugate space of L.

If  $x \in M$ ,  $y \in L$ , then  $B(y, s \cdot x) = B(s \cdot y, s \cdot x) = B(y, x)$  and y is orthogonal to  $s \cdot x - x$ . Let  $\nu$  be the dimension of L; since L is the kernel of u, u(M) is of dimension  $m - \nu$  (where  $m = \dim M$ ); being contained in the conjugate of L, it is identical to it.

I.4.5. The notations and assumptions being as above, assume further that Q is of index m/2 = r, and let N be a maximal totally singular subspace of M. Let H be the group of orthogonal mappings which leave all points of N fixed. If  $x \in M$ ,  $s \in H$ , then  $s \cdot x - x$  belongs to N. Let P be a totally singular subspace of M such that M = N + P. If  $s \in H$ ,  $y \in P$ ,  $y' \in P$ , set  $\Gamma_{\bullet}(y, y') = B(y, s \cdot y')$ ; then  $\Gamma_{\bullet}$  is an alternating bilinear form on  $P \times P$ , and  $s \to \Gamma_{\bullet}$  is an isomorphism of H with the additive group of all alternating bilinear forms on  $P \times P$ . The rank of  $\Gamma_{\bullet}$  is the dimension of the image of M under the mapping  $x \to s \cdot x - x$ . If s, s' are elements of H such that  $\Gamma_{\bullet}$  and  $\Gamma_{\bullet'}$  have the same rank, then s and s' are conjugate to each other in G.

If  $x \in M$ ,  $s \cdot x - x$  is in the conjugate N' of N by I.4.4; but N' contains N and is of dimension m - r = r, whence N' = N and  $s \cdot x - x \in N$ . The function  $\Gamma_{\bullet}$  is obviously bilinear. If  $y \in P$ , then we have 0 = Q(y) = $Q(s \cdot y) = Q(y + (s \cdot y - y)) = B(y, s \cdot y - y) = \Gamma_s(y, y), \text{ since } Q(s \cdot y - y)$ = 0; it follows that  $\Gamma_s$  is alternating. If s, s' are in H, then  $s \cdot (s' \cdot y - y)$  $= s' \cdot y - y$  and therefore we have  $ss' \cdot y - y = (s \cdot y - y) + (s' \cdot y - y)$ ,  $\Gamma_{ss'} = \Gamma_s + \Gamma_{ss'}$ . If  $\Gamma_s = 0$ , then for any  $y' \in P$ ,  $s \cdot y' - y'$  is in N and also in the conjugate of P, which is P, whence  $s \cdot y' = y'$ , and s is the identity. Conversely, let  $\Gamma$  be any alternating bilinear form on  $P \times P$ . For any  $x \in N$ , let  $\lambda_x$  be the linear form  $y \to B(x, y)$  on P; then  $x \to \lambda_x$ is a linear mapping of N into the dual  $P^*$  of P. We have B(x, x') = 0if  $x' \in N$ ; thus,  $\lambda_x = 0$  implies B(x, z) = 0 for all  $z \in M$ , whence x = 0, and  $x \to \lambda_x$  is an isomorphism of N with  $P^*$ . It follows that, for every  $y' \in P$ , there is a unique  $u(y') \in N$  such that  $B(y, u(y')) = \Gamma(y, y')$  for all  $y \in P$ ; u is obviously a linear mapping of P into N. The formula s(x + y) = x + y + u(y) (x  $\varepsilon N$ , y  $\varepsilon P$ ) defines an automorphism of

the vector space M. Since Q(x) = Q(y) = Q(u(y)) = 0, B(x, u(y)) = 0,  $B(y, u(y)) = \Gamma(y, y) = 0$ , we have Q(x + y + u(y)) = B(x, y) = Q(x + y) and  $s \in G$ . It is clear that  $\Gamma_{\bullet} = \Gamma$ . The rank of  $\Gamma$  is even; let it be  $2\rho$ . Then it is well known that there is a base  $(y_1, \dots, y_r)$  of P such that  $\Gamma(y_i, y_i) = 1$  if i = 2k - 1, j = 2k,  $k \leq \rho$ , -1 if i = 2k, j = 2k - 1,  $k \leq \rho$  and 0 otherwise. Let  $x_{2k-1} = u(y_{2k})$ ,  $x_{2k} = -u(y_{2k-1})$  if  $k \leq \rho$ ; then we have  $B(x_i, y_i) = \delta_{ij}$  if  $1 \leq i, j \leq 2\rho$ . It follows easily that we may include  $x_1, \dots, x_{2\rho}$  in a base  $(x_1, \dots, x_r)$  of N such that  $B(x_i, y_i) = \delta_{ij}$   $(1 \leq i, j \leq r)$ . We have

$$s \cdot y_{2k-1} = y_{2k-1} - x_{2k}$$
,  $s \cdot y_{2k} = y_{2k} + x_{2k-1}$   $(k \le \rho)$ 

and  $s \cdot y_i = y_i$  if  $i > 2\rho$ . Now, let s' be an operation of H such that  $\Gamma_i$ . is of rank  $2\rho$ ; let  $(x'_1, \dots, x'_r, y'_1, \dots, y'_r)$  be determined from  $\Gamma_i$ . as  $(x_1, \dots, x_r, y_1, \dots, y_r)$  have been from  $\Gamma$ . Since  $Q(x_i) = Q(x'_i) = Q(y_i) = Q(y'_i) = 0$ ,  $B(x_i, y_i) = B(x'_i, y'_i)$ , the automorphism t of M which maps  $x_i$  upon  $x'_i$  and  $y_i$  upon  $y'_i$   $(1 \le i \le r)$  is in G, and it is clear that  $tst^{-1} = s'$ .

### 1.5. Symmetries

We denote by Q a quadratic form on a vector space M of finite dimension m over a field K; we assume that the associated bilinear form B of Q is nondegenerate. We denote by G the orthogonal group of Q.

Let H be a hyperplane whose conjugate contains a nonsingular vector z. Let Q(z) = a, and, for  $x \in M$ ,

$$s \cdot x = x - a^{-1}B(x, z)z.$$

Then s is an endomorphism of M, and an easy computation shows that  $Q(s \cdot x) = Q(x)$ ; i.e., s is orthogonal. It is clear that s does not change if we replace z by  $kz, k \neq 0$ ; the conjugate of H being Kz, s depends only on H and is called the symmetry with respect to H. It is clear that s leaves the points of H and only these invariant; since B(z, z) = 2Q(z), we have  $s \cdot z = -z$ . The operation s is the only orthogonal operation distinct from the identity which leaves the points of H fixed. For, let s' be an operation with these properties. Clearly, if x is not in  $H, s' \cdot x \neq x$  and  $s' \cdot x - x$  is in the conjugate of H (by I.4.4), whence  $s' \cdot x = x + kz$ . Since  $Q(s' \cdot x) = Q(x)$ , we have  $kB(x, z) + k^2a = 0$ , and, since  $k \neq 0$ , we have  $k = -a^{-1}B(x, z)$ , whence s' = s. If  $t \in G$ , then, clearly,  $tst^{-1}$  is the symmetry with respect to the hyperplane t(H). Moreover  $s = s^{-1}$ .

I.5.1 (Cartan, Dieudonné). Except in the case where K has only 2 elements, M is of dimension 4 and Q of index 2, every operation of G

belongs to the group G' generated by the symmetries with respect to the hyperplanes whose conjugates contain nonsingular vectors.

If  $s \in G$ , denote by L(s) the set of fixed points of s, by  $\nu(s)$  its dimension, and by  $u_s$  the linear mapping  $x \to s \cdot x - x$ . Assume that  $u_s(M)$  contains a nonsingular vector  $z = s \cdot y - y$ , and let t be the symmetry with respect to the conjugate hyperplane H of Kz. Then we have

$$Q(z) = Q(s \cdot y) + Q(y) - B(s \cdot y, y) = 2Q(y) - B(s \cdot y, y)$$
  
=  $B(y, y) - B(s \cdot y, y) = -B(z, y)$ 

and  $t \cdot y = y + z = s \cdot y$ , whence  $t(s \cdot y) = y$ , and  $y \in L(ts)$ . On the other hand,  $L(s) \subset H$  (by I.4.4), whence  $L(s) + Ky \subset L(ts)$  and  $\nu(ts) > \nu(s)$ . Now assume that s' is one of the elements of the coset G's for which  $\nu(s')$  is the largest possible: then we see that  $u_{s'}(M)$  is totally singular. Let us call singular those  $s \in G$  for which  $u_s(M)$  is totally singular; if s is singular, we call index of s the dimension  $\rho(s) = m - \nu(s)$  of  $u_s(M)$ .

Now we shall prove that any two maximal totally singular spaces N, N' may be transformed into each other by an operation of G'. It is clearly sufficient to prove that, if  $N \cap N'$  is of dimension  $l < \dim N$ , there exists a hyperplane H whose conjugate contains a nonsingular vector such that the symmetry t with respect to H transforms N' into a space t(N') such that dim  $(N \cap t(N')) > l$ . Since dim  $(N + N') > \dim N, N + N'$  contains a nonsingular vector z = x + x' ( $x \in N, x' \in N'$ ). Since  $Q(z) = B(x, x') \neq 0, x$  does not belong to N'. We take for H the conjugate of Kz; then we have

$$t(x') = x' - (B(x, x'))^{-1}B(x, x')z = -x \varepsilon N.$$

On the other hand, if  $x'' \in N \cap N'$ , we have B(x, x'') = B(x', x'') = B(z, x'') = 0 and  $x'' \in t(N')$ ; thus, we have  $N \cap t(N') \supset N \cap N' + Kx$ , which proves our assertion.

This being said, let s be a singular operation of G; then  $u_{\bullet}(M)$  is contained in a maximal totally singular space N; since the conjugate of N is in the conjugate of  $u_{\bullet}(M)$ , it is in L(s). Let  $H_N$  be the group of operations of G which leave fixed all points of the conjugate of N. There is a maximal totally singular space P such that N + P is not isotropic (I.3.2); let R be the conjugate of this space. If  $r = \dim N$ , then the conjugate of N, which is of dimension m - r, contains N + R, also of dimension m - r; this conjugate is therefore N + R. Any Q-automorphism of N + P may obviously be extended to an operation of G, leaving the points of R fixed. Thus, it follows from I.4.5 that  $H_N$ is an abelian group which is isomorphic under a mapping  $s \to \Gamma_{\bullet}$  to the additive group of alternating bilinear forms on  $P \times P$ , and the rank of  $\Gamma_{\bullet}$  is the index of s. Thus, two operations of same index of  $H_N$  are conjugate in G.

Every singular operation s' may be transformed into an operation of  $H_N$  by some operation of G'. For, let N' be a maximal totally singular space containing  $u_{s'}(M)$  and t an operation of G' such that t(N') = N. Since s' leaves the elements of the conjugate of N' fixed,  $ts't^{-1}$  is in  $H_N$ .

Now, it is clear that G' is a normal subgroup of G. It follows from what we have just said that  $G = H_N G'$ ; G/G' is therefore abelian. Moreover, if s, s' are singular operations with the same index, then they are conjugate in G, which shows that their classes  $\bar{s}$ ,  $\bar{s}'$  modulo G' are equal. Thus, if there are singular operations s, s' such that s, s', and ss' have the same index, then  $\bar{s} = \bar{s}' = \bar{s}\bar{s}'$ , and s, s'  $\varepsilon$  G'. If K has more than 2 elements, let a be  $\neq 0, -1$  in K, and s  $\epsilon H_N$ . Then  $\Gamma_{\epsilon}$ ,  $a\Gamma_{\epsilon}$ , and  $(1 + a) \Gamma_{\epsilon}$  have the same rank, whence  $s \in G'$ . If K has two elements, let r be the index of Q; if r = 0 or 1, then  $H_N$  contains only the identity (the rank of any alternating bilinear form being even). Assume that  $r \ge 2, m > 4$ . Every alternating form is obviously representable as a sum of forms of rank 2. It will therefore be sufficient to prove that  $s \in G'$  when s is singular of index 2 in  $H_N$ . We can then find two linearly independent vectors  $y_1$ ,  $y_2$  of P and two linearly independent vectors  $x_1$ ,  $x_2$  of N such that  $s \cdot y_1 = y_1 + x_2$ ,  $s \cdot y_2 = y_2 + x_1$ . The space  $X_0$ spanned by  $x_1$ ,  $x_2$ ,  $y_1$ ,  $y_2$  is not isotropic of dimension 4; the conjugate  $R_0$  of  $X_0$  is therefore not isotropic and of dimension > 0. Its elements are left fixed by s, and it contains some nonsingular vector z. Let  $t_1$ ,  $t_2$ ,  $t_3$ ,  $t_4$  be the symmetries with respect to the conjugates of z,  $z + x_1 + x_2$ ,  $z + x_2$ ,  $z + x_1$ , and let  $t = t_1 t_2 t_3 t_4$ . Since K is of characteristic 2, we have

$$t_4 \cdot y_1 = y_1 + z + x_1$$
,  
 $t_3 t_4 \cdot y_1 = y_1 + z + x_1$ ,  
 $t_2 t_3 t_4 \cdot y_1 = y_1 + x_2$ ,  
 $t \cdot y_1 = y_1 + x_2$ .

We see in the same way that  $t \cdot y_2 = y_2 + x_1$ . It is clear that  $t_i$  leaves  $x_1$  and  $x_2$  fixed (i = 1, 2, 3, 4) and that its restriction to  $R_0$  is the same as that of  $t_1$ , which shows that t leaves the elements of  $R_0$  fixed. Thus, we have t = s, which completes the proof.

It is easily verified that the case where K has 2 elements, dim M = 4 and Q is of index 2 is actually exceptional. The group G' is then of index 2 in G.

#### 1.6. Representation of G on the Multivectors

We make the same assumptions as in Section 5.

Let *E* be the exterior algebra over the space *M*. Then every operator  $\sigma \in G$ , being an automorphism of the vector space *M*, may be extended to an automorphism  $\zeta(\sigma)$  of the algebra *E*;  $\zeta$  is clearly a faithful representation of *G* by automorphisms of *E*. For any *h*, let *E<sub>h</sub>* be the space of homogeneous elements of degree *h* of *E*. The operations  $\zeta(\sigma)$ ,  $\sigma \in G$ , are homogeneous of degree 0; let  $\zeta_h(\sigma)$  be the restriction of  $\zeta(\sigma)$  to *E<sub>h</sub>*. Then  $\zeta_h$  is a representation of *G*, which is called the *representation on the h-vectors*.

Let also  $M^*$  be the dual of the space M. To any  $\sigma \in G$  there is associated an automorphism  ${}^t\sigma$  of  $M^*$ , the transpose of  $\sigma$ : if f is any linear form on M, then  ${}^t\sigma \cdot f$  is the linear form  $x \to f(\sigma \cdot x)$ . Let  $\sigma^* = {}^t\sigma^{-1}$ ; then  $\sigma \to \sigma^*$  is a representation of G. Let  $E^*$  be the exterior algebra over  $M^*$ ; then  $\sigma^*$  may be extended to an automorphism  $\zeta^*(\sigma)$  of  $E^*$ , which is homogeneous of degree 0. Let  $\zeta_h^*(\sigma)$  be the restriction of  $\zeta^*(\sigma)$  to the space  $E^*_h$  of homogeneous elements of degree h of  $E^*$ ; then  $\zeta_h^*$  is a representation of G, which is called the *representation on the h-covectors*.

The representations  $\zeta_h$ ,  $\zeta_h^*$  are equivalent to each other. For, there is associated to B an isomorphism  $\varphi$  of M into  $M^*$  which assigns to every  $x \in M$  the linear form  $y \to B(x, y)$  on M. Let  $\sigma$  be in G; then, for  $x, y \in M$ , we have

$$\begin{aligned} (\sigma^* \cdot \varphi(x))(y) &= (\varphi(x))(\sigma^{-1} \cdot y) = B(x, \ \sigma^{-1} \cdot y) \\ &= B(\sigma x, \ y) = (\varphi(\sigma \cdot x))(y), \end{aligned}$$

since B is invariant under  $\sigma$ ; thus,  $\sigma^* = \varphi \circ \sigma \circ \varphi^{-1}$ . The isomorphism  $\varphi$  may be extended to an isomorphism  $\Phi$  of E with  $E^*$ ;  $\Phi \circ \zeta(\sigma) \circ \Phi^{-1}$  is an automorphism of  $E^*$  which extends  $\sigma^*$ , whence  $\zeta^*(\sigma) = \Phi \circ \zeta(\sigma) \circ \Phi^{-1}$ . It is clear that  $\Phi(E_h) = E^*_h$ ; if  $\Phi_h$  is the restriction of  $\Phi$  to  $E_h$ , then  $\zeta_h^*(\sigma) = \Phi_h \circ \zeta_h(\sigma) \circ \Phi_h^{-1}$ , which proves that  $\zeta_h$ ,  $\zeta_h^*$  are equivalent to each other.

Let  $\lambda$  be any representation of a group  $\Lambda$  on a finite-dimensional vector space V; let V\* be the dual of V and, for any  $\sigma \in \Lambda$ , let  $(\lambda(\sigma))$  be the transpose of  $\lambda(\sigma)$ , which is an automorphism of V\*. Let  $\lambda^*(\sigma) = (\lambda(\sigma))^{-1}$ ; then  $\lambda^*$  is again a representation of  $\Lambda$ . Any representation  $\mu$  of  $\Lambda$  which is equivalent to  $\lambda^*$  is said to be *contragredient* to  $\lambda$ . Let W be the space of  $\mu$ . In order for  $\lambda$ ,  $\mu$  to be contragredient to each other, it is necessary and sufficient that there should exist a nondegenerate bilinear form  $\beta$  on  $V \times W$  with the property that

$$\beta(\lambda(\sigma) \cdot x, \, \mu(\sigma) \cdot y) \,=\, \beta(x, \, y) \tag{1}$$

for all  $\sigma \in \Lambda$ ,  $x \in V$ ,  $y \in W$ .

For, assume first that  $\lambda$  and  $\mu$  are contragredient to each other, and let  $\varphi$  be an isomorphism of W with  $V^*$  such that  $\varphi(\mu(\sigma) \cdot y) = \lambda^*(\sigma) \cdot \varphi(y)$ for all  $y \in W$ . Then the bilinear form  $\beta$ :  $(x, y) \to (\varphi(y))(x)$  satisfies condition (1), as can be verified immediately, and this bilinear form is nondegenerate because  $\varphi$  is an isomorphism. Conversely, assume that there exists a nondegenerate bilinear form  $\beta$  for which (1) is true. Then the mapping  $\varphi$  which assigns to every  $y \in W$  the linear form  $x \to \beta(x, y)$ is an isomorphism of W with  $V^*$  and we verify immediately that  $\varphi(\mu(\sigma) \cdot y) = \lambda^*(\sigma) \cdot \varphi(y)$  for  $\sigma \in \Lambda$ ,  $y \in W$ , which shows that  $\mu$  is equivalent to  $\lambda^*$ .

If  $\mu$  is contragredient to  $\lambda$ , then  $\lambda$  is to  $\mu$ . Two representations which are both contragredient to a third one are equivalent to each other.

The representations  $\zeta_{h}$ ,  $\zeta_{h}^{*}$  of G are not only equivalent but also contragredient to each other, as follows from the duality theory of exterior algebras.<sup>1</sup>

I.6.1. Let  $G^+$  be the group of operations of determinant 1 in G, and let  $\zeta_h$  be the representation of G on the h-vectors  $(0 \le h \le m)$ . Then the representations of  $G^+$  induced by  $\zeta_h$  and  $\zeta_{m-h}$  are equivalent to each other.

Let e be a basic element of the one-dimensional space  $E_m$ . For any  $\sigma \in G$ , we have  $\zeta(\sigma) \cdot e = (\det \sigma)e$ , whence  $\zeta(\sigma) \cdot e = e$  if  $\sigma \in G^+$ . If  $u \in E_h$ ,  $v \in E_{m-h}$ ,  $u \wedge v$  is in  $E_m$ ; set  $u \wedge v = \beta(u, v)e$ . Then  $\beta$  is a bilinear form on  $E_h \times E_{m-h}$ . It is well known that, for any nonzero  $u \in E_h$ , there is a  $v \in E_{m-h}$  such that  $u \wedge v = e$ , which shows that  $\beta$  is nondegenerate. If  $\sigma \in G^+$ ,  $u \in E_h$ ,  $v \in E_{m-h}$ , then we have

$$\zeta(\sigma) \cdot u \wedge v = (\zeta_h(\sigma) \cdot u) \wedge (\zeta_{m-h}(\sigma) \cdot v).$$

Since  $\zeta(\sigma) \cdot e = e$ , we have

$$\beta(\zeta_h(\sigma)\cdot u, \zeta_{m-h}(\sigma)\cdot v) = \beta(u, v);$$

this shows that the representations of  $G^+$  induced by  $\zeta_h$ ,  $\zeta_{m-h}$  are contragredient to each other. Since  $\zeta_h$  is contragredient to itself, I.6.1 is proved.

I.6.2. Assume that the characteristic of K is  $\neq 2$ . Then the representations  $\zeta_{h}$  of G on the spaces of h-vectors ( $0 \leq h \leq m$ , where  $m = \dim M$ ) are all simple, except in the following case: K has only 3 elements, m = 2,

<sup>1</sup>N. Bourbaki ,op. cit., Algèbre III: (1947), Corollary to Proposition 1, Section 8, No. 2.

h = 1, and Q is of index 1. Let  $G^+$  be the group of operations of determinant 1 in G and  $\zeta_h^+$  the representation of  $G^+$  induced by  $\zeta_h$ . If  $2h \neq m$ , then  $\zeta_h^+$  is simple. If 2h = m, then  $\zeta_h^+$  is either simple or equivalent to the sum of two simple representations; if  $\zeta_h^+$  is not simple and if we are not considering the exceptional case mentioned above, then the two simple representations into which  $\zeta_h^+$  splits are inequivalent to each other and also inequivalent to all  $\zeta_h^+$  for  $k \neq h$ .

Since K is not of characteristic 2, M has a base  $(x_1, \dots, x_m)$  composed of mutually orthogonal vectors. For any subset A of  $\{1, \dots, m\}$  composed of h elements  $i_1, \dots, i_h$  with  $i_1 < \dots < i_h$ , set

$$\xi(A) = x_{i_1} \wedge \cdots \wedge x_{i_k};$$

the elements  $\xi(A)$  form a base of  $E_h$ . Let H be the group of all automorphisms  $s(\epsilon_1, \dots, \epsilon_m)$  of M, where

$$s(\epsilon_1, \cdots, \epsilon_m) \cdot x_i = \epsilon_i x_i$$
  $(1 \le i \le m),$ 

the  $\epsilon_i$ 's being  $\pm 1$ . It is clear that  $H \subset G$  and that  $H \cap G^+$  is composed of the  $s(\epsilon_1, \dots, \epsilon_m)$  for which

$$\prod_{i=1}^{m} \epsilon_i = 1.$$

We have

$$\zeta_h(\mathfrak{s}(\epsilon_1, \cdots, \epsilon_m)) \cdot \xi(A) = \chi_A(\mathfrak{s}(\epsilon_1, \cdots, \epsilon_m))\xi(A),$$

where

$$\chi_A(\mathfrak{s}(\epsilon_1, \cdots, \epsilon_m)) = \prod_{i \in A} \epsilon_i$$

This shows that the representation  $(\zeta_h)^H$  of H induced by  $\zeta_h$  is equivalent to the sum of C(m, h) representations of degree 1, say  $\theta_{h,A}$ . If A and A' are two distinct sets of h elements, then the functions  $\chi_A$ ,  $\chi_{A'}$  are distinct; moreover, their restrictions to  $H^+$  are distinct except in the case where h = m/2 and A, A' are complementary to each other. For, if i is in A but not in A', and if we set  $\epsilon_i = -1$ ,  $\epsilon_j = 1$  for  $j \neq i$ , then we have

$$\chi_A(s(\epsilon_1, \cdots, \epsilon_m)) \neq \chi_{A'}(s(\epsilon_1, \cdots, \epsilon_m)),$$

which shows that  $\chi_A \neq \chi_{A'}$ . Except in the case where h = m/2 and A, A' are complementary to each other, it is easily seen that we can find an index k which either belongs to both A and A' or does not belong to either of them; i being selected as above, set  $\epsilon_i = \epsilon_k = -1$ ,  $\epsilon_i = 1$  for  $k \neq i, j$ ; then  $s(\epsilon_1, \dots, \epsilon_m)$  is in  $H^+$  and  $\chi_A$   $(s(\epsilon_1, \dots, \epsilon_m)) \neq \chi_{A'}$ .

 $(s(\epsilon_1, \cdots, \epsilon_m))$ , which proves that the restrictions of  $\chi_A$ ,  $\chi_A$ , to  $H^+$  are distinct. Thus, we see that  $(\zeta_h)^H$  splits into mutually inequivalent representations of H, and that the same is true of the representation  $(\zeta_h^*)^{H^+}$  of  $H^+$  induced by  $\zeta_h$  if  $h \neq m/2$ . It follows that any subspace of  $E_h$  which is mapped into itself by the operations of  $\zeta_h(H)$  is spanned by a certain number of the elements  $\xi(A)$ , and that the same is true if we assume only that the space is mapped into itself by the operations of  $\zeta_h(H^+)$  and that  $h \neq m/2$ .

Now, let U be a subspace  $\neq \{0\}$  of  $E_h$  which is mapped into itself by the operations of  $\zeta_h(G^+)$ ; if h = m/2, assume further that U is mapped into itself by the operations of  $\zeta_h(G)$ . Then, for any base  $(x'_1, \cdots, x'_m)$  of M composed of mutually orthogonal vectors, U has a base composed of elements of the form

$$x'_{i_1} \wedge \cdots \wedge x'_{i_k}$$
.

Assume that  $\xi(A) \in U$  for some  $A = \{i_1, \dots, i_k\}$ , and suppose first that K has more than 3 elements. Let *i* be an index belonging to A and *j* an index not belonging to A. Then, for  $a \in K$ , we have  $Q(x_i + ax_i) =$  $Q(x_i) + a^2Q(x_i)$ , and, since K has more than 3 elements, we may select  $a \neq 0$  such that  $Q(x_i + ax_i) \neq 0$ . It is then clear that we can find a  $b \neq 0$  in K such that  $x_i + bx_i$  is orthogonal to  $x_i + ax_i$ . Let  $x'_k = x_k$ if  $k \neq i, j, x'_i = x_i + ax_i, x'_i = x_i + bx_i$ ; then  $(x'_1, \dots, x'_m)$  is a base of M composed of mutually orthogonal vectors, and  $x_i = cx'_i + dx'_i$ with  $c \neq 0, d \neq 0$ ; we have  $\xi_A = c\xi' + d\xi''$ , where

$$\xi' = x'_{i_1} \wedge \cdots \wedge x'_{i_k}$$

and  $\xi''$  is the product derived from  $\xi'$  by replacing in it the factor  $x'_i$  by  $x'_i$ . From what we have said above, it follows that  $\xi'$ ,  $\xi''$  are in U. Let B be the set obtained from A by replacing i by j; since  $x_i$  is a linear combination of  $x'_i$ ,  $x'_i$ ,  $\xi(B)$  is a linear combination of  $\xi'$ ,  $\xi''$ , whence  $\xi(B) \in U$ . Thus, if  $\xi(A) \in U$ , then  $\xi(B) \in U$  whenever B is obtained from A by replacing one of its elements by an index not occurring in it. It follows immediately that every  $\xi(A)$  belongs to U, whence  $U = E_h$ . This proves that  $\zeta_h$  is simple and that  $\zeta_h^{+1}$  is simple if  $h \neq m/2$ . Suppose now that K has 3 elements, and set  $a_i = Q(x_i) = \pm 1$ . If  $a_i = a_i = -1$ , then the space spanned by  $x_i$ ,  $x_i$  is also spanned by  $x_i + x_i$ ,  $x_i - x_i$ , which are orthogonal to each other, and  $Q(x_i + x_i) = Q(x_i - x_i) = 1$ . It follows that, by a suitable choice of the base  $(x_1, \dots, x_m)$ , we may assume that at most 1 of the elements  $a_i$  is -1. Moreover, the same argument as above shows that, if  $\xi(A) \in U$ , and if  $i \in A, j$  is not in A, and  $a_i = a_i$ , then  $\xi(B) \in U$ , where B is the set deduced from A by

replacing in it *i* by *j*. Thus, we have  $U = E_h$  if all  $a_i$  are equal to +1. If not, let  $a_i = +1$  for i < m,  $a_m = -1$ . Let  $a_1$  be the set of those subsets *A* of  $\{1, \dots, m\}$  with *h* elements which contain *m*, and  $a_2$  the set of those which do not. If  $\xi(A) \in U$  for some  $A \in a_i$ , then the same is true for any other  $A' \in a_i$  (i = 1, 2). Assume further in this case that  $m \ge 3$ , and set  $x'_{m-2} = x_{m-2} + x_{m-1}$ ,  $x'_{m-1} = x_{m-2} - x_{m-1}$ ,  $x'_k = x_k$  for  $k \neq m - 1$ , m - 2. Then  $(x'_1, \dots, x'_m)$  is a base of *M* composed of mutually orthogonal vectors and  $Q(x'_i) = 1$  if i < m - 2,  $Q(x'_i) =$ -1 if  $i \ge m - 2$ . If  $A = \{i_1, \dots, i_k\}, i_1 < \dots < i_k$ , set

$$\xi'(A) = x'_{i_1} \wedge \cdots \wedge x'_{i_k}.$$

Assume that  $m \in A$  implies  $\xi(A) \in U$ ; then clearly,  $m \in A$  also implies  $\xi'(A) \in U$ . If h = m, then  $U = E_h$ . If not, let A be a set containing m but not m - 1, and let B be the set obtained by replacing m by m - 1in A. Since  $Q(x'_{m-1}) = Q(x'_m)$  and  $\xi'(A) \in U$ , we have  $\xi'(B) \in U$ . But B does not contain m, and  $\xi'(B)$  is a linear combination of the  $\xi(B')$ for  $B' \in \mathfrak{a}_2$ . It follows that U must contain some  $\xi(B')$  with  $B' \in \mathfrak{a}_2$ , and therefore that  $U = E_h$ . Similarly, if  $A \in \mathfrak{a}_2$  implies  $\xi(A) \in U$ , then we have also  $\xi'(A) \in U$  if  $A \in \mathfrak{a}_2$ . Let, then, A be a set of  $\mathfrak{a}_2$  containing m-1and B the set obtained by replacing m - 1 by m in A; then  $\xi'(B) \in U$ , and it follows that  $U = E_h$ . If  $m = 2, a_1 = 1, a_2 = -1$ , then Q is of index 1, since  $x_1 + x_2$  is singular. The cases m = 0, 1 being trivial, we see that  $\zeta_h$  is always simple unless we are considering the exceptional case of the statement I.6.2 and that  $\zeta_h^+$  is simple if  $h \neq m/2$ . Assume now that m = 2r, h = r and that  $\zeta_h(s)$  maps U into itself for all  $s \in G^+$ . Disregarding the obvious case m = 0, there is an operation t in G but not in  $G^+$ , and G is the union of  $G^+$  and  $G^+t$ . Since  $t^2 \in G^+$ , it is clear that U + t(U) is mapped into itself by all operations of  $\zeta_h(G)$ . If we are not considering the exceptional case, this implies that  $U + t(U) = E_r$ . Assume further that U has been taken of the smallest possible dimension among the spaces  $\neq \{0\}$ , which are mapped into themselves by the operations of  $\zeta_r(G^+)$ . Since  $G^+t = tG^+$ , t(U) is mapped into itself by the operations of  $\zeta_h(G^+)$ , and so is  $U \cap t(U)$ . The latter space is therefore either  $\{0\}$  or U. If it is  $\{0\}$ , then  $E_r$  is the direct sum of U and t(U); the representation of  $G^+$  on the space U being simple by construction of U, the same is true of its representation on t(U), and  $\zeta_{r}^{+}$  is equivalent to the sum of two simple representations. If  $U \cap t(U) = U$ , then we have t(U) = U and  $U = U + t(U) = E_r$ , in which case  $\zeta_r^+$  is simple. In the exceptional case, we have m = 2, Khas 3 elements,  $Q(x_1) \neq Q(x_2)$ , and the only nonsingular vectors are  $\pm x_1$ ,  $\pm x_2$ . Since  $Q(x_1) \neq Q(x_2)$ , the group G is then identical to the group H introduced above, and  $Kx_1$ ,  $Kx_2$  are mapped into themselves by all operations of G. These spaces give two inequivalent representations of G, but two equivalent simple representations of  $G^+$ .

It remains to prove that, if  $\zeta_r^+$  is not simple and if we are not in the exceptional case, then the two simple representations of which  $\zeta$ ,<sup>+</sup> is composed are inequivalent to each other and to all representations  $\zeta_k^+, k \neq r$ . Let  $\Re$  be the algebra of endomorphisms of  $E_r$  which commute with every  $\zeta_r(s)$ ,  $s \in G$ , and  $\Re^+$  the algebra of those which commute with every  $\zeta_r(s)$ ,  $s \in G^+$ . Let  $\sigma$  be in  $\Re$ ; then  $\sigma$  commutes in particular with the operations of  $\zeta_r(H)$ . Now we have seen that the representation  $(\zeta_r)^H$  splits into mutually inequivalent representations, whose spaces are spanned by the elements  $\xi(A)$ . It follows that  $\sigma \cdot \xi(A) = \lambda_A \xi(A)$ , where  $\lambda_A$  is a scalar. For any scalar  $\lambda$ , let  $U_{\lambda}$  be the space spanned by those  $\xi \in E_r$  such that  $\sigma \cdot \xi = \lambda \xi$ ; since  $\sigma$  commutes with the operations of  $\zeta_r(G)$ , these operations map  $U_{\lambda}$  into itself, whence  $U_{\lambda} = \{0\}$  or  $E_r$ , since  $\zeta_r$  is simple. It follows that the  $\lambda_A$ 's are all equal and that  $\Re = K \cdot I$ , where I is the identity mapping of  $E_r$ . Now, let a and  $a^+$  be the algebras of endomorphisms generated by  $\zeta_r(G)$  and  $\zeta_r(G^+)$ , respectively. These algebras are semi-simple, since  $\zeta_r$ ,  $\zeta_r^+$  are semi-simple. It follows that

 $[\mathfrak{a}:K\cdot I]\cdot [\mathfrak{R}:K\cdot I] = [\mathfrak{a}^+:K\cdot I]\cdot [\mathfrak{R}^+:K\cdot I] = (\dim E_r)^2.$ 

On the other hand, let t be in G but not in  $G^+$ . Then we have  $tG^+t^{-1} = G^+$ , from which it follows that  $\zeta_r(t) a^+ \zeta_r(t^{-1}) = a^+$ , and therefore that  $a^+ + \zeta_r(t)a^+$  is an algebra. Since  $G = tG^+$ , this algebra contains  $\zeta_r(G)$ and is therefore identical to a. We conclude that  $[a:K \cdot I] = 2[a^+:K \cdot I]$ , whence  $[\Re^+: K \cdot I] = 2[\Re:K \cdot I] = 2$ . Thus,  $\Re^+$  is a commutative algebra of dimension 2. If  $E_r = U + U'$ , where U, U' are of dimension  $\frac{1}{2} \dim E_r$ and mapped upon themselves by the operations of  $\zeta_r(G^+)$ , then the endomorphism  $\tau$  which leaves the elements of U fixed but maps those of U' upon 0 is in  $\Re^+$ , and  $\Re^+$  has zero divisors. Thus,  $\Re^+$  is not simple, while it is well known that, were the representations of  $G^+$  on U, U' equivalent to each other, then  $\Re^+$  would be simple.

To every subset A of  $\{1, \dots, m\}$  we have associated above a homomorphism  $\chi_A$  of the group  $H^+$  into K. If A has r elements and A' has k elements, we have  $\chi_A \neq \chi_{A'}$ , for it is then always possible to find an index j, which is either in both A and A' or neither in A nor in A', and, proceeding as we did above, we can find an  $s \in H^+$  such that  $\chi_A(s) \neq$  $\chi_{A'}(s)$ . It follows that none of the representations of degree 1 of  $H^+$ into which  $\zeta_r^+$  splits are equivalent to any of those into which  $\zeta_k^+$ splits. Therefore, the two simple representations of  $G^+$  into which  $\zeta_r^+$ splits are inequivalent to all  $\zeta_k^+$ ,  $k \neq r$ , and I.6.2 is proved. *Remark.* The proof of the fact that  $\Re = K \cdot I$  does not make use of the fact that h = r. Thus, we see that, barring the exceptional case, the representations  $\zeta_h$  are not only simple, but actually absolutely simple. We would see in the same way that  $\zeta_h^+$  is absolutely simple if  $2h \neq m$ ; if m = 2r, h = r, and if  $\zeta_r^+$  splits into two simple representations, then these representations are absolutely simple.

We shall now determine under which condition  $\zeta_r^+$  is not simple. In order to do this, we shall construct a linear automorphism  $\sigma$  of the vector space (not the algebra!) E which commutes with all operations of  $\zeta(G^+)$ . If  $x \in M$ , then  $y \to \frac{1}{2} B(x, y)$  is a linear function on M; it follows that there exists an antiderivation  $\delta(x)$  of E such that  $\delta(x) \cdot y = \frac{1}{2}$  $B(x, y) \cdot 1$  for all  $y \in M$ . The operations  $\delta(x)$  are homogeneous of degree - 1, and  $(\delta(x))^2 = 0$ . Let  $\mathfrak{E}$  be the algebra of endomorphisms of the vector space E. Since  $(\delta(x))^2 = 0$ , the linear mapping  $x \to \delta(x)$  of M into  $\mathfrak{E}$  may be extended to a homomorphism of E into  $\mathfrak{E}$ ; we shall denote the image of a  $\xi \in E$  under this homomorphism by  $\delta(\xi)$ . Since B is nondegenerate,  $x \to \delta(x)$  is an isomorphism of the vector space M into  $\mathfrak{E}$ ; it follows that  $\xi \to \delta(\xi)$  is an isomorphism of E. Let e be a basic element of the one-dimensional space  $E_m$ ; set  $\sigma(\xi) = \delta(\xi) \cdot e$ . If  $\xi =$  $x_1 \wedge \cdots \wedge x_h$ ,  $x_i \in M$ , then  $\delta(\xi) = \delta(x_1) \cdots \delta(x_h)$  is homogeneous of degree -h (i.e., it maps  $E_k$  into  $E_{k-k}$  for any k); it follows that  $\sigma$  maps  $E_{k}$  into  $E_{m-k}$ . Let s be in G; then  $\zeta(s)$  is an automorphism of E which maps each  $E_{h}$  onto itself. If  $x \in M$ ,  $\xi$ ,  $\eta \in E$ , we have  $\delta(x) \cdot \xi \wedge \eta =$  $(\delta(x)\cdot\xi) \wedge \eta + J(\xi) \wedge (\delta(x)\cdot\eta)$ , where J is the main involution of E. Applying this formula to  $\zeta(s) \cdot \xi$ ,  $\zeta(s) \cdot \eta$  instead of  $\xi$ ,  $\eta$ , and observing that  $\zeta(s)$  commutes with J, we see immediately that  $\zeta(s) \delta(x)(\zeta(s))^{-1}$  is an antiderivation. If  $y \in M$ , this antiderivation maps  $\zeta(s) \cdot y = s \cdot y$  upon  $\frac{1}{2} B(x, y) \cdot 1 = \frac{1}{2} B(s \cdot x, s \cdot y) \cdot 1$ ; it follows that  $\zeta(s) \delta(x) (\zeta(s))^{-1} =$  $\delta(s \cdot x)$ . It follows immediately that  $\zeta(s) \ \delta(\xi) \ (\zeta(s))^{-1} = \ \delta(\zeta(s) \cdot \xi)$  for any  $\xi \in E$ . Assume now that  $s \in G^+$ ; then  $\zeta(s) \cdot e = (\det s)e = e$ , and we have  $\sigma(\zeta(s) \cdot \xi) = \zeta(s) \cdot \sigma(\xi)$ , which shows that  $\sigma$  commutes with  $\zeta(s)$ . Let us now determine the operation  $\sigma^2$ . Let  $(x_1, \dots, x_m)$  be a base of M composed of mutually orthogonal vectors and assume that  $e = x_1$  $\wedge \cdots \wedge x_m$ ; set  $a_i = Q(x_i)$  and define the elements  $\xi(A)$ , for all subsets A of  $\{1, \dots, m\}$ , as in the proof of I.6.2. We have  $\delta(x_i) \cdot x_i = 0$  if  $i \neq j$ ;  $\delta(x_i) \cdot x_i = a_i \cdot 1$ . An easy computation then gives

$$\sigma(\xi(A)) = (-1)^{\sum_{k \in A} (k-1)} (\prod_{k \in A} a_k) \xi(A'),$$

where A' is the complementary set of A. Let

$$D = \prod_{i=1}^{m} a_i ;$$

 $2^{2^r}D$  is the discriminant of B with respect to the base  $(x_1, \dots, x_m)$ . We then have

$$\sigma^{2}(\xi) = (-1)^{\frac{1}{2}m(m+1)-m} D\xi = (-1)^{\frac{1}{2}m(m-1)} D\xi.$$

Assume now that m = 2r, and let  $\sigma_r$  be the restriction of  $\sigma$  to  $E_r$ ,  $I_r$  the identity mapping of  $E_r$ . It is clear that  $\sigma_r$ ,  $I_r$  are linearly independent and therefore form a base of the algebra denoted by  $\Re^+$  in the proof of I.6.2. The representation  $\zeta_r^+$  splits or not according as to whether  $\Re^+$  has zero divisors  $\neq 0$  or not. Since  $(-1)^{\frac{1}{2}m(m-1)} = (-1)^r$ , we obtain:

I.6.3. Let  $\Delta$  be the discriminant of B with respect to some base of M. Assume that m = 2r. Then  $\zeta_r^+$  is simple if  $(-1)' \Delta$  is not a square in K and splits into two simple representations if  $(-1)' \Delta$  is a square in K.

I.6.4. If m = 2r and Q is of maximal index r, then  $\zeta_r^+$  splits into two simple representations.

For, in that case, M has a base  $(x_1, \dots, x_m)$  such that  $B(x_i, x_j) = 1$  if i = 2k - 1, j = 2k or i = 2k, j = 2k - 1 (where  $1 \le k \le r$ ) and is 0 otherwise. The discriminant of B with respect to this base is  $(-1)^r$ , which proves I.6.4.

We propose now to investigate the representation  $\zeta'_{h}$  of the commutator subgroup G' of G induced by  $\zeta_{h}$ . In order to do this, we need some auxiliary results.

Let P be a nonisotropic plane (2-dimensional subspace) in M. An operation  $s \in G^+$  which leaves all elements of the conjugate of P fixed is called a *plane rotation* of plane P.

I.6.5. The field K being of characteristic  $\neq 2$ , the group  $G^+$  of operations of determinant 1 in G is generated by the plane rotations.

This is obvious if the dimension m of M is 1 or 2; assume m > 2. Let  $\mathfrak{H}$  be the set of hyperplanes whose conjugates contain nonsingular vectors; if  $H \mathfrak{e} \mathfrak{H}$ , let  $t_H$  be the symmetry with respect to H. Then G is generated by the operations  $t_H$  (I.5.1), and det  $t_H = -1$ , which shows that  $G^+$  is generated by the products  $t_H t_{H'}$ , H, H' in  $\mathfrak{H}$ . If  $H \cap H'$  is not isotropic, let P be its conjugate; then  $t_H t_{H'}$  is a rotation of plane P. Assume now that  $H \cap H'$  is isotropic. Let z be a vector  $\neq 0$  in the intersection of  $H \cap H'$  and its conjugate, and let z' be a singular vector in H such that B(z, z') = 1 (observe that, K not being of characteristic 2, H is not isotropic). Let P be the conjugate of Kz + Kz' with respect to the restriction of B to  $H \times H$ . Then, since Kz + Kz' is not isotropic, P is a nonisotropic subspace of dimension m - 3 of  $H \cap H'$ . Let N be its conjugate, which is of dimension 3; then  $N \cap H$  and  $N \cap H'$  (which
are the conjugates of P with respect to the restrictions of B to  $H \times H$ and  $H' \times H'$  are nonisotropic subspaces of N; we have  $N \cap H =$  $Kz + Kz', z \in N \cap H'$ . Let  $x'_1$  be a nonsingular vector in  $N \cap H'$ . If  $B(x'_1, z') \neq 0$ , set  $x' = x'_1$ ; if not, let k be an element  $\neq 0$  of K such that  $Q(x'_1 + kz) = Q(x'_1) + kB(x'_1, z) \neq 0$  (there exists such an element, since  $Q(x'_1) \neq 0$  and K has more than 2 elements); then set  $x' = x'_1 + kz_1$ , whence  $B(x', z') = k \neq 0$ . The element x' is a nonsingular element of  $N \cap H'$ , and  $N \cap H' = Kz + Kx'$ ; since  $N \cap H'$  is not isotropic, we have  $B(z, x') \neq 0$ . The conjugate of Kx' has a vector  $x \neq 0$  in common with  $N \cap H$ . Since  $B(x', z) \neq 0$ ,  $B(x', z') \neq 0$ , x is not in Kz or Kz'. But it is clear that the only singular elements of  $N \cap H$  are those of  $Kz \cup Kz'$ ; thus, x is not singular. Let H'' = P + Kx + Kx'. Since  $Q(x) \neq 0$ ,  $Q(x') \neq 0$ , B(x, x') = 0, Kx + Kx' is a nonisotropic subspace of the conjugate of P, and H'' is a nonisotropic hyperplane, whence  $H'' \in \mathfrak{H}$ . The spaces  $H \cap H'' = P + Kx$ ,  $H' \cap H'' = P + Kx'$ are not isotropic. Now, we may write  $t_H t_{H'} = (t_H t_{H''}) (t_{H''} t_{H'})$ , and from what was said above,  $t_H t_{H'}$ , and  $t_{H'} t_{H'}$  are plane rotations, which shows that  $t_H t_{H'}$  is a product of two plane rotations; I.6.5 is thereby proved.

Consider now the case where m = 3. We shall establish that the representation  $\zeta'_1$  of G' is then simple. The notations  $\mathfrak{H}$ ,  $t_H$  being as in the proof of I.6.5, we observe that, if  $s \in G$ ,  $H \in \mathfrak{H}$ , then  $t_{\mathfrak{s}(H)}t_H \in G'$ , for it is clear that  $t_{\bullet(H)} = st_H s^{-1}$ . Were  $\zeta'_1$  not simple, then there would exist a one-dimensional subspace N of M which would be mapped into itself by the operations of  $\zeta'_1(G')$ . For, if  $N_1$  is a 2-dimensional subspace of M which is invariant by the operations of  $\zeta'_1(G')$ , then so is the conjugate N of  $N_1$ . Assume for a moment that this is the case. Let x be a basic vector of N. If Q(x) = 0, let x' be a singular vector such that B(x, x') = 1, and H = Kx + Kx', whence  $H \in \mathfrak{H}$ . Let x'' be an element  $\neq 0$  of the conjugate of H, whence  $Q(x'') \neq 0$ . Then H contains a vector y such that Q(y) = Q(x'') (by I.3.3). Let s be an operation of G such that  $s \cdot x'' = y$ . Then we have  $t_H x = x$ , but we see immediately that  $t_{\bullet(H)}x$  is not in Kx = N; thus,  $t_{\bullet(H)}t_H \cdot x$  is not in N, which results in a contradiction. If  $Q(x) \neq 0$ , let  $H_0$  be the conjugate of Kx. Since  $\zeta_1$ induces a simple representation of G, there is an s  $\varepsilon$  G such that  $s(H_0)$  $\neq H_0$ . If x is not in  $s(H_0)$ , this nonisotropic plane contains at least one nonsingular vector not in  $H_0 \cap s(H_0)$ , which is of dimension 1 (as follows immediately from the fact that K has more than 2 elements). If K has more than 3 elements and  $Kx \subset s(H_0)$ , it is easily seen that  $s(H_0)$  contains a nonsingular vector which is neither in Kx nor in  $H_0$ . In that case,  $H_0$  contains a nonsingular vector y such that  $s \cdot y$  is neither

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in Kx nor in  $H_0$ . Let H be the conjugate hyperplane of Ky; then  $t_H \cdot x = x$ , but  $t_{\bullet(H)} \cdot x$  is not in Kx, and we again have a contradiction. Assume now that K has only 3 elements. In that case, it is easily seen that Q takes all values  $\neq 0$  (i.e., 1 and -1) in  $H_0$ . On the other hand, since s does not transform  $H_0$  into itself and is a product of symmetries with respect to hyperplanes in  $\mathfrak{H}$ , there is at least one  $H' \in \mathfrak{H}$  such that  $t_{H'}$ .  $(H_0) \neq H_0$ , whence  $t_{H'}(Kx) \neq Kx$ . If y' is a vector  $\neq 0$  in the conjugate of H', then there is a  $y \in H_0$  such that Q(y) = Q(y'); thus, there is an  $s' \in G$  such that s'(y) = y'. If H is the conjugate of Ky, then H' = s'(H) and  $t_{\bullet'(H)}t_H$  does not transform Kx into itself. Thus, our assertion that  $\zeta'_1$  is simple if m = 3 is proved.

Still assuming that m = 3, let  $\mathfrak{E}$  be the algebra of all endomorphisms of M and  $\mathfrak{E}'$  the subalgebra of  $\mathfrak{E}$  generated by G'. Since  $\mathfrak{E}'$  admits a faithful simple representation of degree 3,  $\mathfrak{E}'$  is a simple algebra. The dimension of  $\mathfrak{E}'$  over its center is the square of a number which divides 3; thus,  $\mathfrak{E}'$  is either  $\mathfrak{E}$  or a commutative subfield of  $\mathfrak{E}$ . Now, let H be in  $\mathfrak{H}$  and s an operation of G such that  $s(H) \neq H$ ; then  $t_{\mathfrak{e}(H)}t_H = \mathfrak{s}'$  is in G', is distinct from the identity I, and leaves invariant any vector zin  $\mathfrak{s}(H) \cap H$ . Since  $\mathfrak{s}(H) \cap H$  is of dimension 1,  $\mathfrak{s}' - I$ , which is an element  $\neq 0$  of  $\mathfrak{E}'$ , is not invertible (because  $(\mathfrak{s}' - I) \cdot z = 0$ ); it follows that  $\mathfrak{E}'$  is not a field, whence  $\mathfrak{E}' = \mathfrak{E}$ .

The space  $\mathfrak{E}''$  spanned by the elements  $s_1 - s_2$ ,  $s_1$ ,  $s_2 \in G'$ , is obviously an ideal in  $\mathfrak{E}'$ , and  $\mathfrak{E}' = \mathfrak{E}'' + KI$ . Since  $\mathfrak{E}'$  is simple, we have  $\mathfrak{E}'' = \mathfrak{E}$ . It follows that, if L is a linear function on  $\mathfrak{E}$  which remains constant on G', then L = 0.

Assume now that m is  $\geq 3$ , but otherwise arbitrary. If Z is any 3-dimensional nonisotropic subspace of M, we denote by  $H_z$  the group of operations in G which leave invariant the elements of the conjugate of Z, by  $H_z^+$  the group  $H_z \cap G^+$ , and by  $H_z'$  the group  $H_z \cap G'$ . The restrictions to Z of the operations of  $H_z$  (respectively:  $H_z^+$ ,  $H_z'$ ) include all operations of the orthogonal group of the restriction of Q to Z (respectively: all operations of determinant 1 in this group, all operations of the commutator subgroup of this group). We select a base in Z, and if s  $\varepsilon H_z$ , we denote by  $\sum$  (s) the matrix which represents the restriction of s to Z with respect to this base. Let  $\theta$  be a linear representation of  $G^+$  on a vector space T; assume that the following condition is satisfied: for any choice of Z in M (satisfying the conditions indicated above) and for any  $u \in T$ , the coefficients of the expression of  $\theta(s) \cdot u$ , where  $s \in H_z^+$ , as a linear combination of the elements of a base of T may be expressed as polynomials of degrees  $\leq 1$  in the coefficients of  $\sum$  (s). Let U be a subspace of T which is mapped into itself by all operations of  $\theta(G')$ ; then we shall see that U is mapped into itself by all operations of  $\theta(G^+)$ . Making use of I.6.5 and observing that any nonisotropic plane is contained in some nonisotropic 3-dimensional space, we see that it will be sufficient to prove that U is mapped into itself by all operations of  $\theta(H_z^+)$  when Z is any nonisotropic 3-dimensional subspace of M. Let u be in U and let L be a linear function on T which vanishes on U. Then we may write  $L(\theta(s) \cdot u) =$  $L_1(s) + a_1$  for  $s \in H_z^+$ , where  $L_1$  is a linear form on  $\mathfrak{E}$  and  $a_1$  is a constant. We have  $L(\theta(s) \cdot u) = 0$  if  $s \in H_z'$ ; thus,  $L_1$  remains constant on  $H_z'$ , whence  $L_1 = 0$ , as we have proved above. Since L(u) = 0, we have  $a_1 = 0$ , and  $L(\theta(s) \cdot u) = 0$  for all  $s \in H_z^+$ . This being true for any linear function on T which vanishes on U, we have  $\theta(s) \cdot u \in U$ , which proves our assertion.

We apply this to the case where  $\theta = \zeta_h$ , for some h > 0. We shall prove that the condition indicated above is satisfied. Let  $(x_1, x_2, x_3, x_3)$  $\cdots$ ,  $x_m$ ) be a base of M composed of mutually orthogonal vectors such that  $(x_1, x_2, x_3)$  is a base of Z. Let E be the subalgebra of E generated by  $x_4$ ,  $\cdots$ ,  $x_m$  and  $\overline{E}_k$  the space of homogeneous elements of degree k of E; the elements of E are invariant by the operations of  $\zeta_{\lambda}(H_z)$ . If  $\alpha \in E_{k-3}$ , let  $A(\alpha)$  be the space spanned by  $x_1 \wedge x_2 \wedge x_3 \wedge \alpha$ ; if  $\alpha \in E_{k-2}$ , let  $B(\alpha)$  be the space spanned by  $x_1 \wedge x_2 \wedge \alpha$ ,  $x_2 \wedge x_3 \wedge \alpha$ ,  $x_3 \wedge x_1 \wedge \alpha$ ; if  $\alpha \in E_{k-1}$ , let  $C(\alpha)$  be the space spanned by  $x_1 \wedge \alpha$ ,  $x_2 \wedge \alpha$ ,  $x_3 \wedge \alpha$ ; if  $\alpha \in E_h$ , let  $D(\alpha) = K\alpha$ . Then  $E_h$  is the sum of the spaces  $A(\alpha)$ ,  $B(\alpha)$ ,  $C(\alpha)$ ,  $D(\alpha)$  (for all possible  $\alpha$ ) and the direct sum of some of these spaces. Identifying  $H_z^+$  to the group of operations of determinant 1 of the orthogonal group of the restriction of Q to Z, let  $\rho_k$  (k = 0, 1, 2, 3)be the representation of this group on the k-vectors. Then we see that the representation of  $H_z^+$  induced by  $\zeta_h$  is the sum of a certain number of representations each of which is equivalent to some  $\rho_k$ . But we know that  $\rho_0$  and  $\rho_3$  are trivial representations (they map every element of  $H_{z}^{+}$  upon the identity) and that  $\rho_{1}$  is equivalent to  $\rho_{2}$ . It follows immediately that, for any  $u \in E_h$ , the coefficients of the expression of  $\theta(s) \cdot u$  (for  $s \in H_z^+$ ) as linear combination of a base of  $E_h$  may be expressed as polynomials of degrees  $\leq 1$  in the coefficients of  $\sum (s)$ .

I.6.6. Assume that M is of dimension  $\geq 3$  and that K is not of characteristic 2. Let G, G<sup>+</sup>, and G' be the orthogonal group of Q, the group of operations of determinant 1 in G and the commutator subgroup of G. Let  $\zeta_h$  be the representation of G on the h-vectors, and  $\zeta_h^+$ ,  $\zeta'_h$  the representations of G<sup>+</sup>, G' induced by  $\zeta_h$ . If  $2h \neq m$ , then  $\zeta'_h$  is simple. If 2h = m and  $\zeta_h^+$  is simple, then  $\zeta'_h$  is simple. If 2h = m and  $\zeta_h^+$  is not simple, let  $\zeta_{h,i}^+$  and  $\zeta_{h,i}^+$  be the two simple representations of which it is the sum; then the representations  $\zeta'_{h,\bullet}$ ,  $\zeta'_{h,\bullet}$  of G' induced by  $\zeta_{h,\bullet}^+$  and  $\zeta_{h,\bullet}^+$  are simple. These representations are inequivalent to each other and to all  $\zeta'_{\star}$  for all  $k \neq h$ .

Any subspace of  $E_h$  which is invariant by the operations of  $\zeta'_h(G')$  is likewise invariant by those of  $\zeta_h^+(G^+)$ . It follows that  $\zeta'_h$  is simple whenever  $\zeta_h^+$  is. If  $\zeta_h^+$  is not simple, then  $\zeta_{h,e}^+$  and  $\zeta_{h,i}^+$  are inequivalent to each other; the spaces  $T_e$ ,  $T_i$  of these representations, together with  $\{0\}$  and  $E_h$ , are therefore the *only* subspaces of  $E_h$  which are invariant by the operations of  $\zeta_h^+(G^+)$ ; they are also the only ones invariant by the operations of  $\zeta'_h(G')$ , which shows that  $\zeta'_{h,e}$ ,  $\zeta'_{h,i}$  are inequivalent to each other. A similar argument, applied to the representation  $\theta =$  $\zeta_h + \zeta_k$  of G on  $E_h + E_k(k \neq h)$ , shows that  $\zeta'_{h,e}$ ,  $\zeta'_{h,i}$  are inequivalent to  $\zeta'_k$  if  $k \neq h$ .

Consider now the case where m = 2. Assume that M contains a 1-dimensional space Kx which is invariant by all operations of G'. Suppose first that  $Q(x) \neq 0$ ; then let H be the hyperplane Kx and  $t_H$  be the symmetry with respect to H. Let s be any operation in G and  $t_{\epsilon(H)}$  the symmetry with respect to s(H). Then  $t_{\epsilon(H)}t_H$  transforms Kxinto itself, whence  $t_{\epsilon(H)} \cdot x \in Kx$ , which shows that  $s \cdot x$  is either in Kxor in its conjugate. If K has more than 3 elements or if Q is of index 0, there is an  $s \in G$  such that  $y = s \cdot x$  is not in Kx. Then we have B(x, y) = 0and  $Q(ax + by) = \alpha(a^2 + b^2)$  if  $\alpha = Q(x)$ ; moreover, any vector z with Q(z) = Q(x) is either in Kx or in Ky, which shows that  $ab \neq 0$  implies  $a^{2} + b^{2} \neq 1$ . Setting  $a = 2uv/(u^{2} + v^{2}), b = (u^{2} - v^{2})/(u^{2} + v^{2})$ , we see that, if  $u, v \neq 0$  in K, then  $v^2$  is  $\pm u^2$ , which implies that K has 3 or 5 elements. Moreover, if K has 5 elements, then -1 is a square in K and Q is of index 1. It follows that, if Q is of index 0 and K has more than 3 elements,  $\zeta'_1$  is simple. If Q is of index 1, then M = Kz + Kz', with singular vectors z, z', and it is easily seen that Kz, Kz' are mapped into themselves by all operations of G';  $\zeta'_1$  is then not simple.

In the case where the basic field is of characteristic 2, it is easy to see that the representation of G on the *h*-vectors is in general not simple (not even semi-simple) if h > 1. In the case where h = 1, we have the following results:

I.6.7. Assume that K is of characteristic 2. The representation of G on the space M is then simple except in the following case: K has 2 elements, dim M = 2, and Q is of index 1. The representation of the commutator subgroup G' of G on M is simple except in the following cases: (a) dim M = 2 and Q is of index 1; (b) K has 2 elements, dim M = 4, and Q is of index 2.

Let N be a subspace of M distinct from  $\{0\}$  and M which is mapped into itself by every operation of G'; then the conjugate N' of N is likewise mapped into itself by every operation of G'.

We shall first discuss the case where N contains some nonsingular vector x. Let s be any element of G and  $y = s \cdot x$ ; denote by  $t_x$  and  $t_y$  the symmetries with respect to the conjugates of Kx and Ky. Then  $t_y = st_x s^{-1}$ , whence  $t_y t_x = t_y t_x^{-1} \in G'$ , and therefore  $t_y t_x(N) = N$ . Since  $x \in N$ , we have  $t_x(N) = N$ , whence  $t_y(N) = N$ . If  $z \in N$ , then  $t_y \cdot z = z - (Q(y))^{-1} B(z, y)y$ . If, for some  $z \in N$ , we have  $B(z, y) \neq 0$ , then y is in N (since z and  $t_y \cdot z$  are in N); if not, then y is in N'. Let U be the space spanned by all vectors  $s \cdot x$ ,  $s \in G$ ; then it is clear that U is mapped into itself by every operation of G, and it follows from what we have just said that  $U \subset N + N'$ . Let U' be the conjugate of U, and let v be any nonsingular vector in M; then the symmetry  $t_y$  with respect to the conjugate of Kv maps U into itself, from which it follows in the same manner as above that v lies either in U or in U'. Let V = U + U', and let W be a subspace of M supplementary to V.

Assume first that  $W \neq \{0\}$ . Let w be an element  $\neq 0$  in W, and vany element in V. Since all nonsingular elements of M are in V, we have Q(w) = 0, and 0 = Q(v + w) = Q(v) + B(v, w), or Q(v) = B(v, w). The restriction of Q to V is therefore linear; in particular, if  $k \in K$ ,  $k^2Q(v) = Q(kv) = kQ(v)$ ; taking v such that  $Q(v) \neq 0$ , we see that  $k^2 = k$ ; i.e., K has only 2 elements. If z is any singular element  $\neq 0$  of M, there is an  $s \in G$  such that  $s \cdot z = w$  (I.4.1); since V = U + U' is mapped into itself by the operations of G, z cannot be in V, which shows that  $Q(v) = B(v, w) \neq 0$  for all  $v \neq 0$  in V. Were V of dimension > 1, it would contain at least one vector  $v \neq 0$  such that B(v, w) = 0, which is impossible. Thus, dim V = 1, whence dim  $U = \dim U' = 1$ , and, since dim  $U' = \dim M - \dim U$ , we have dim M = 2. Since Q(w) = 0, Q is of index 1.

Assume now that  $W = \{0\}$ , whence U + U' = M. We shall see that  $U' = \{0\}$  in that case. For, assume for a moment that U' contains an element  $x' \neq 0$ . Taking x to be  $\neq 0$  in U, x + x' is neither in U nor in U', whence Q(x + x') = 0. Since B(x, x') = 0, we have Q(x) + Q(x') = 0, Q(x) = Q(x'). But this implies the existence of an  $s \in G$  such that  $s \cdot x = x'$ , in contradiction to the assumption that s(U) = U. Thus, we have  $U' = \{0\}$ , whence U = N + N' = M. Since dim  $N' = \dim M - \dim N$ , it follows that  $N \cap N' = \{0\}$ . Since B(x, x) = 0 for every x, this implies dim N > 1. Let x again be nonsingular in N, and let x' be  $\neq 0$  in N'. Since  $N \cap N' = \{0\}$ , the restriction of B to  $N \times N$  is nondegenerate, and N contains a vector y such that  $b = B(x, y) \neq 0$ .

We assert that Q(x') = Q(y). Were this not the case, if we set  $a = b(Q(y) + Q(x'))^{-1}$ , then

$$Q(x + a(y + x')) = Q(x) + a^2Q(y) + ab + a^2Q(x') = Q(x)$$

and there would exist an  $s \in G$  such that  $s \cdot x = x + a(y + x')$ . But a would be  $\neq 0$ , and  $s \cdot x$  would lie neither in N nor in N', which is impossible. Thus, Q is constant on the set of elements  $\neq 0$  in N'. This constant is  $\neq 0$ , while, otherwise, B would be 0 on N'  $\times$  N' and N' would be contained in its conjugate N. Since Q(kx') = Q(x') for every  $k \in K, k \neq 0$ , we have  $k^2 = 1$ , and K has only 2 elements. Let x' and x'' be linearly independent elements in N'; then we have 1 = Q(x' + x'')= Q(x') + Q(x'') + B(x', x'') = B(x', x''); were N' of dimension > 2, then it would clearly contain two linearly independent vectors orthogonal to each other, which is not the case. Thus, dim  $N = \dim N' = 2$ , and dim M = 4. Exchanging the roles played by N and N', we see that Q(x) = 1 for all  $x \neq 0$  in N and B(x, y) = 1 if x, y are linearly independent in N. Let  $(x_1, x_2)$  be a base of N and  $(x'_1, x'_2)$  a base of N'. Set  $z_1 = x_1 + x'_1$ ,  $z_2 = x_2 + x'_2$ ; then we have  $Q(z_1) = Q(z_2) = B(z_1, z_2)$ = 0, and Q is of index 2.

Assume now that N is totally singular. Were N' not totally singular, we could replace N by N' in the preceding argument. Assume now that N and N' are totally singular, and let  $r = \dim N$ . Then N and N' are totally isotropic, and each one is contained in the other, whence N = N'. Since dim N' = dim M - r, we have dim M = 2r, and M is the direct sum of N and of a totally singular space P. Let  $N_1$  be any subspace of N and  $P_1$  the intersection of P with the conjugate of  $N_1$ ; then  $N_2 = N_1$  $+ P_1$  is totally singular of dimension r, and there exists an  $s \in G$  such that  $s(N) = N_2$  (I.4.1). Since G' is a normal subgroup of G, it is clear that  $N_2$  is still mapped into itself by every operation of G'; the same is therefore true of  $N_1 = N \cap N_2$  and of the conjugate  $N'_1$  of  $N_1$ . If r > 1, then we may take  $N_1$  to be  $\neq \{0\}$  and N; then  $N'_1$  is  $\neq \{0\}$ , M and is of dimension > r, which implies that it contains a nonsingular vector, and we are reduced to the previous case. If r = 1, then dim M = 2and Q is of index 1.

If dim M = 2 and Q is of index 1, then we have M = Kx + Ky, with Q(x) = Q(y) = 0, B(x, y) = 1. The only singular vectors of M are those of  $Kx \cup Ky$ , which shows that the operations of G permute Kxand Ky among themselves. This permutation gives rise to a representation of G on the group of permutations of the set  $\{Kx, Ky\}$ , which is abelian; the kernel of this representation contains G', which shows that the operations of G' map Kx and Ky upon themselves. The auto-

morphism of M which exchanges x and y is obviously in G, and neither of the spaces Kx, Ky is mapped into itself by the operations of G. If K has more than 2 elements, then no non totally singular subspace of M can be mapped into itself by every operation of G', and, a fortiori, of G. If K has only 2 elements, then M has only one non totally singular space of dimension 1, namely, K(x + y), and this space is mapped into itself by every operation of G. Assume now that K has 2 elements, that dim M = 4, and that Q is of index 2. Then M has a base  $(x_1, x_2, y_1, y_2)$ composed of singular vectors such that  $B(x_i, x_j) = B(x_i, y_j) =$  $B(y_i, y_i) = 0$  if  $i \neq j$ ,  $B(x_i, y_i) = 1$ . Set  $u = x_1 + y_1$ ,  $v = x_1 + x_2 + y_2$  $y_2$ , N = Ku + Kv; then the restriction of Q to N is of index 0, the conjugate N' of N is spanned by  $u' = x_2 + y_2$ ,  $v' = x_2 + x_1 + y_1$ , and the restriction of Q to N' is of index 0. If  $z \in N$ ,  $z' \in N'$ ,  $z \neq 0$ ,  $z' \neq 0$ , then we have Q(z + z') = 1 + 1 = 0; thus, every nonsingular vector is either in N or in N'. Conversely, let P be a 2-dimensional subspace which contains no singular vector  $\neq 0$ . Then we have  $P \subset N \cup N'$ , from which it follows easily that P is either Nor N'. Thus, the operations of G permute N and N' among themselves, and, by the same argument as above, those of G' map both N and N' upon themselves. Moreover, it follows from our analysis that N and N' are the only subspaces  $\neq$  {0}, M which are mapped into themselves by all operations of G'. Since Q(u) = Q(u'), there is an  $s \in G$  which maps u upon u', whence s(N) = N'; this shows that the representation of G on M is then simple.

# CHAPTER II

# THE CLIFFORD ALGEBRA

In this chapter, Q will denote a quadratic form on a vector space M of finite dimension m over a field K; B will denote the associated bilinear form of Q.

### 2.1. Definition of the Clifford Algebra

Let T be the tensor algebra of the vector space M, and I the ideal generated in T by the elements  $x \otimes x - Q(x) \cdot 1$  for all  $x \in M$ . Then the factor algebra C = T/I is called the *Clifford algebra* of the quadratic form Q.

The algebra T is a graded algebra; let  $T^{h}$  be the space of homogeneous elements of degree h of T. Denote by  $T_{+}$  the sum of all spaces  $T^{h}$  for h even, by  $T_{-}$  the sum of the spaces  $T^{h}$  for h odd; T is then the direct sum of  $T_{+}$  and  $T_{-}$ , and we have

$$T_+T_+ \subset T_+ ; \quad T_+T_- \subset T_- ; \quad T_-T_+ \subset T_- ; \quad T_-T_- \subset T_+ .$$

The ideal I is generated by elements belonging to  $T_+$ . Since T has a base composed of homogeneous elements, it is clear that every element of I may be written as a sum of elements of  $I \cap T_+$  and  $I \cap T_-$ . Let  $C_+$  and  $C_-$  be the vector spaces  $T_+/(I \cap T_+)$  and  $T_-/(I \cap T_-)$ . Then, clearly, C is the direct sum of  $C_+$  and  $C_-$  and

$$C_+C_+ \subset C_+ ; \quad C_+C_- \subset C_- ; \quad C_-C_+ \subset C_- ; \quad C_-C_- \subset C_+ .$$

The elements of  $C_+$  are called *even*, those of  $C_-$  odd; the even elements form a subalgebra of C. The linear mapping J of C onto itself defined by J(u) = u if  $u \in C_+$ , J(u) = -u if  $u \in C_-$  is an automorphism of C, called the *main involution*. If K is of characteristic 2, J is the identity.

Now, let *h* be any integer  $\geq 0$ . The mapping  $(x_1, \dots, x_h) \to x_h \otimes \dots \otimes x_1$  of  $M^h$  (the product of *h* times *M* by itself) into  $T^h$  is clearly multilinear. It follows that there exists a linear mapping  $\alpha_h^T$  of  $T^h$  into itself such that  $\alpha_h^T (x_1 \otimes \dots \otimes x_h) = x_h \otimes \dots \otimes x_1$  whenever  $x_1$ ,  $\dots$ ,  $x_h$  are in *M*. Let  $\alpha^T$  be the linear mapping of *T* onto itself which

extends all the mappings  $\alpha_h^T$ . It is clear that  $(\alpha^T)^2$  is the identity. If  $x_1, \dots, x_h, y_1, \dots, y_k$  are in  $M, t = x_1 \otimes \dots \otimes x_h, t' = y_1 \otimes \dots \otimes y_k$ , then we have

$$\alpha^{T}(t \otimes t') = y_{k} \otimes \cdots \otimes y_{1} \otimes x_{k} \otimes \cdots \otimes x_{1} = \alpha^{T}(t') \otimes \alpha^{T}(t),$$

which proves that  $\alpha^T$  is an antiautomorphism of T. This antiautomorphism leaves the elements of  $T^0 + T^1$  fixed; it maps upon themselves the generators  $x \otimes x - Q(x) \cdot 1$  of I. Now, I is the set of all elements which are sums of products of the form  $t \otimes (x \otimes x - Q(x) \cdot 1)$  $\otimes t'$ , with  $t, t' \in T, x \in M$ ; it follows immediately that  $\alpha^T(I) = I$ . Thus,  $\alpha^T$  defines in a natural manner a linear mapping  $\alpha$  of C = T/I onto itself. It is clear that  $\alpha$  is an antiautomorphism of C, whose square is the identity; it is called the main antiautomorphism of C.

Let C' be an algebra over K. Assume that we have a linear mapping  $\varphi$  of M onto a subspace M' of C' such that  $(\varphi(x))^2 = Q(x) \cdot 1$  for all  $x \in M$ . Let  $\pi$  be the natural mapping of T onto C = T/I. Then there is a homomorphism  $\psi$  of C into C' such that  $\psi(\pi(x)) = \varphi(x)$  for  $x \in M$ . For, we know that  $\varphi$  may be extended to a homomorphism  $\Phi$  of T into C'. If  $x \in M$ , then  $\Phi(x \otimes x - Q(x) \cdot 1) = (\varphi(x))^2 - Q(x) \cdot 1 = 0$ ; this shows that the kernel of  $\Phi$  contains I. Thus,  $\Phi$  may be factored in the form  $\Phi = \psi \circ \pi$ , where  $\psi$  is a homomorphism of C into C' with the required property. If M' generates C', then, clearly, we have  $\psi(C) = C'$ .

We shall now construct such an algebra C'. We start with the exterior algebra E on M, in which the multiplication will be denoted by the sign  $\wedge$ . We know that,  $\lambda$  being any linear function on M, there exists an antiderivation  $\delta$  of E such that  $\delta x = \lambda(x) \cdot 1$  for  $x \in M$ ;  $\delta$  is homogeneous of degree -1 and  $\delta^2 = 0$ . There exists a bilinear form  $B_0$  on  $M \times M$  such that  $B_0(x, x) = Q(x)$  for all  $x \in M$  (I.2.2). We denote by  $\delta_x$  the antiderivation of E such that

$$\delta_x \cdot y = B_0(x, y) \cdot 1 \qquad (y \in M).$$

Let  $L_x$  be the operator  $u \to x \land u$  of left multiplication by x in E; set  $L'_x = L_x + \delta_x$ . Then  $x \to L'_x$  is a linear mapping  $\varphi$  of M into the algebra  $\mathfrak{E}$  of endomorphisms of the vector space E. If  $x \in M$ , we have  $\delta_x^2 = 0$  and

$$L_x \delta_x + \delta_x L_x = Q(x) \cdot I,$$

where *I* is the identity mapping. For, if  $u \in E$ , we have  $\delta_x L_x \cdot u = \delta_x$  $(x \wedge u) = (\delta_x x) \wedge u - x \wedge (\delta_x u) = Q(x)u - L_x \delta_x \cdot u$ . Since  $x \wedge x = 0$ , we have  $L_x^2 = 0$ . It follows that  $L'_x^2 = Q(x)I$ . This shows that there is a homomorphism  $\psi$  of *C* into  $\mathfrak{E}$  such that  $\psi(\pi(x)) = L'_x$  for  $x \in M$ . If  $x \in M$ , then  $L'_x \cdot 1 = x$ , since  $\delta_x \cdot 1 = 0$ , and  $x \to L'_x$  is an isomorphism of M. It follows immediately that  $\pi$  induces an isomorphism of M into C. We shall henceforth identify the elements of M with their images in C under M. Thus, M will be considered as a subspace of C. We have

$$x^2 = Q(x) \cdot 1 \quad \text{if} \quad x \in M. \tag{1}$$

Applying this to x, y, and x + y (where x,  $y \in M$ ) and remembering that Q(x + y) - Q(x) - Q(y) = B(x, y), we obtain

$$xy + yx = B(x, y) \cdot 1 \qquad (x, y \in M). \tag{2}$$

The result established above becomes

II.1.1. Let  $\varphi$  be a linear mapping of M into an algebra C' over K. Assume that  $(\varphi(x))^2 = Q(x) \cdot 1$  for  $x \in M$ . Then  $\varphi$  may be extended to a homomorphism  $\psi$  of C into C'. If  $\varphi(M)$  generates C', then  $\psi(C) = C'$ .

Let us now return to the homomorphism  $\psi$  of C into  $\mathfrak{E}$  considered above. Set  $\theta(u) = \psi(u) \cdot 1$  for  $u \in C$ . Then  $\theta$  is a linear mapping of Cinto E. We remind the reader that an element  $\Lambda \in \mathfrak{E}$  is called homogeneous of degree d if  $\Lambda$  transforms any homogeneous element of degree h of E into a homogeneous element of degree h + d. If  $\Lambda_1, \dots, \Lambda_h$ are homogeneous of respective degrees  $d_1, \dots, d_h$ , then  $\Lambda_1 \dots \Lambda_h$  is homogeneous of degree  $d_1 + \dots + d_h$ . For any  $x \in M$ ,  $L'_x$  is homogeneous of degree + 1 and  $\delta_x$  of degree - 1. Let  $x_1, \dots, x_h$  be in M. Then we have

$$\psi(x_1 \cdots x_h) = (L_{x_1} + \delta_{x_1}) \cdots (L_{x_h} + \delta_{x_h}),$$

and this may be written as

$$\psi(x_1\cdots x_h) = L_{x_1}\cdots L_{x_h} + \sum_{d=-h}^{h-1} \Lambda_d , \qquad (3)$$

where  $\Lambda_d$  is homogeneous of degree d. It follows that

$$\theta(x_1 \cdots x_h) = x_1 \wedge \cdots \wedge x_h + \sum_{d=0}^{h-1} \xi_d , \qquad (4)$$

where  $\xi_d$  is homogeneous of degree *d*. For any *h*, let  $E^h$  be the space of homogeneous elements of degree *h* of *E* and  $F_h = \sum_{d < h} E^d$ . The space  $E^h$  is spanned by the products of *h* elements of *M*. Thus, it follows from (4) that  $E_h \subset \theta(C) + F_h$ . We have  $F_0 = \{0\}$ . It follows immediately by induction on *h* that  $E_h \subset \theta(C)$  for every *h*, whence  $\theta(C) = E$ . We conclude that *C* is of dimension at least equal to the dimension  $2^m$  of *E*.

II.1.2. Let  $(x_1, \dots, x_m)$  be a base of M. If  $\sigma = (i_1, \dots, i_h)$  is a strictly increasing sequence of integers  $i_1, \dots, i_h$  between 1 and m, let  $P(\sigma)$  be the product  $x_{i_1} \dots x_{i_h}$  in C. Then the elements  $P(\sigma)$  form a base of C, which is of dimension  $2^m$ .

(Observe that, among the sequences  $\sigma$ , we include the empty sequence  $\sigma_0$ ;  $P(\sigma_0)$  is 1.) For any  $h \ge 0$ , let  $C_h$  be the space spanned by the  $P(\sigma)$  for the sequences  $\sigma$  of length h, and set  $D_h = \sum_{h' \le h} C_h$ . It is clear that  $C_0 = D_0 = K \cdot 1$ ,  $C_1 = M$ . We shall prove that, if  $\sigma = (i_1, \dots, i_h)$ , then  $x_i P(\sigma)$  is a linear combination of the elements  $P(\sigma')$ , where  $\sigma'$  runs over the sequences  $(j_1, \dots, j_{h'})$  such that  $h' \le h + 1$  and  $j_1 \ge \min \{i, i_1, \dots, i_h\}$ . This is true if h = 0. Assume that it is true for h - 1, h being > 0. If  $i < i_1$ , then we have  $x_i \cdot P(\sigma) = P(\sigma')$  with  $\sigma' = (i, i_1, \dots, i_h)$ . If  $i = i_1$ , then we have  $x_i P(\sigma) = Q(x_i)P((i_2, \dots, i_h))$  by formula (1) above. If  $i > i_1$ , let  $\sigma_1 = (i_2, \dots, i_h)$ ; then it follows from formula (2) above that

$$x_i P(\sigma) = B(x_i, x_{i_1}) P(\sigma_1) - x_{i_1} x_i P(\sigma_1),$$

and it follows from our inductive assumption that  $x_i P(\sigma_1)$  is a linear combination of the  $P(\sigma'')$  for the sequences  $\sigma'' = (k_1, \dots, k_{h''})$  such that  $h'' \leq h, k_1 \geq \min \{i, i_2, \dots, i_h\} > i_1$ . For any such sequence,  $\sigma' = (i_1, k_1, \dots, k_{h''})$  is strictly increasing and

$$x_{i}P(\sigma'') = P(\sigma'),$$

and this proves our assertion for h. It follows that  $x_i D_m \subset D_m$  for all i, whence  $xD_m \subset D_m$  for all  $x \in M$ . Since M generates C, we have  $vD_m \subset D_m$  for all  $v \in C$ , whence  $v = v \cdot 1 \in D_m$  and  $D_m = C$ . There are exactly  $2^m$  sequences  $\sigma$ ; thus,  $D_m$  is of dimension  $\leq 2^m$ . But we know already that  $D_m$  is of dimension  $\geq 2^m$ . It follows that the elements  $P(\sigma)$ , which generate the vector space  $D_m$ , are linearly independent, which proves II.1.2.

II.1.3. Let the notation be as in II.1.1. If  $\varphi(M)$  generates C' and C' is of dimension  $\geq 2^m$ , then  $\psi$  is an isomorphism of C with C'.

For we have  $\psi(C) = C'$  and C is of dimension  $2^m$ .

II.1.4. Let N be a subspace of M. Then the subalgebra of C generated by N is isomorphic to the Clifford algebra of the restriction of Q to N.

Let  $(x_1, \dots, x_m)$  be a base of M containing a base  $(x_1, \dots, x_n)$  of N. The products  $x_{i_1} \dots x_{i_k}$ , where  $i_1 < \dots < i_k \leq n$  are linearly independent in the algebra D generated by N, whence dim  $D \geq 2^n$ ; II.1.4. then follows from II.1.3.

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II.1.5. Let K' be an overfield of K,  $M^{\kappa'}$  and  $C^{\kappa'}$  the vector space and the algebra deduced from M and C, respectively, by extension to K' of the basic field, and Q' the quadratic form on  $M^{\kappa'}$  which extends Q. Then  $C^{\kappa'}$  is isomorphic to the Clifford algebra of Q'.

Let  $(x_1, \dots, x_m)$  be a base of M and  $a_1, \dots, a_m$  elements of K'. We have

$$\left(\sum_{i=1}^{m} a_{i}x_{i}\right)^{2} = \sum a_{i}^{2}x_{i}^{2} + \sum_{i < i} a_{i}a_{i}(x_{i}x_{i} + x_{i}x_{i})$$
$$= \sum_{i=1}^{m} a_{i}^{2}Q(x_{i}) + \sum_{i < i} a_{i}a_{i}B(x_{i}, x_{i}) = Q'\left(\sum_{i=1}^{m} a_{i}x_{i}\right).$$

Since  $C^{\kappa'}$  is of dimension  $2^{m}$ , II.1.5 follows from II.1.3.

Let us now return to the consideration of the linear mapping  $\theta$  of C onto E introduced above. Since dim  $C = 2^m = \dim E$ ,  $\theta$  is a linear isomorphism which coincides with the identity on  $K \cdot 1$  and on M. We shall generally identify the underlying vector space of C with that of E by means of  $\theta$ . If u, v are in E, uv will denote their product in C, while  $u \wedge v$  will denote their product in E. It should be kept in mind, however, that our identification depends on the choice of a bilinear form  $B_0$  such that  $B_0(x, x) = Q(x)$ .

We observe that, in formula (3) above,  $\Lambda_d$  can only be  $\neq 0$  if  $d \equiv h$  (mod 2), because a product of h - r operators  $L_{x_i}$  and r operators  $\delta_{x_i}$  is of degree h - 2r. On the other hand,  $C_+$  (respectively:  $C_-$ ) is obviously spanned by the products of an even (respectively: odd) number of factors in M. It follows that  $C_+$  (respectively:  $C_-$ ) is the set of elements of E whose homogeneous components  $\neq 0$  are all of even (respectively: odd) degree. This shows that the main involution of C is the same as that of E. On the other hand, we see that

$$x_1 \cdots x_h \equiv x_1 \wedge \cdots \wedge x_h \pmod{\sum_{h' \leq h-2} E_{h'}}$$

for any  $x_1$ ,  $\cdots$ ,  $x_h$  in M. We have therefore obtained the following results:

II.1.6. Let there be given a bilinear form  $B_0$  on  $M \times M$  such that  $Q(x) = B_0(x, x)$  for  $x \in M$ . We can then identify the underlying vector space of the Clifford algebra C with that of the exterior algebra E of M in such a way that, for any  $x \in M$ , the operator of left multiplication by x in C is  $L_x + \delta_x$ , where  $L_x$  is the operator of left multiplication by x in E and  $\delta_x$  the antiderivation of E such that  $\delta_x \cdot y = B_0(x, y) \cdot 1$  for  $y \in M$ . Let  $C_h$  be the subspace of C spanned by the products of at most h elements of

M and  $E_h$  the space of homogeneous elements of degree h of E; then we have  $C_h = \sum_{h' \leq h} E_{h'}$ . If  $x_1, \dots, x_h$  are in M, then we have, for  $h \geq 2$ ,  $x_1 \dots x_h \equiv x_1 \wedge \dots \wedge x_h \pmod{C_{h-2}}$ . We have  $C_+ = \sum_{h \text{ oven }} E_h$ ,  $C_- = \sum_{h \text{ odd }} E_h$ .

#### 2.2. Structure of the Clifford Algebra

II.2.1. Assume that M is of even dimension 2r and that Q is of rank m and defect 0. Then the Clifford algebra C of Q is a central simple algebra. If Q is furthermore of index r, then C is isomorphic to the algebra of all matrices of degree 2' with coefficients in K.

Let K' be an algebraically closed overfield of K,  $M^{K'}$  the vector space deduced from M by extension to K' of the basic field, and Q' the quadratic form on  $M^{K'}$  which extends Q. Then Q' is still of rank m and defect 0, and is of index r (by I.3.4). Taking II.1.5. into account, we see that it suffices to prove II.2.1 in the case where Q is of index r. Assume that this is the case. Let N and P be two totally singular subspaces of M which are supplementary to each other and of dimension r. Let  $(x_1, \dots, x_r)$  and  $(y_1, \dots, y_r)$  be bases of N and P such that  $B(x_i, y_i) = \delta_{ij}$   $(1 \le i, j \le r)$ . Let  $B_0$  be the bilinear form on  $M \times M$ defined by the conditions

$$B_0(x_i, x_j) = B_0(y_i, y_j) = B_0(x_i, y_j) = 0;$$
  

$$B_0(y_i, x_j) = \delta_{ij} \qquad (1 \le i, j \le r).$$

It is easily seen that  $B_0(x, x) = Q(x)$  for all  $x \in M$ . The form  $B_0$  vanishes on  $N \times N$ , on  $N \times P$ , and on  $P \times P$ , and its restriction to  $P \times N$  is nondegenerate. Using  $B_0$ , we identify the space C to the underlying vector space of the exterior algebra E on M, as explained in II.1.6. Let  $E^N$  and  $E^P$  be the subalgebras of E generated by N and P, respectively. We use the same notation as in Section 1. If  $x \in N$ , then  $\delta_x(N) = \{0\}$ ; since  $\delta_x$  is an antiderivation,  $\delta_x(E^N) = \{0\}$  and it follows that  $xu = x \wedge u$  for all  $u \in E^N$ . This shows that  $E^N$  is identical (as an algebra) with the subalgebra of C generated by N. We see in the same way that  $E^P$  is a subalgebra of C. We set  $f = y_1 \cdots y_r = y_1 \wedge \cdots \wedge y_r$ , and we consider the left ideal Cf of C. The elements  $x_{i_1} \cdots x_{i_p} y_{i_1} \cdots y_{i_q}$ , where  $i_1 < \cdots < i_p \leq r, j_1 < \cdots < j_q \leq r$ , form a base of C (by II.1.2); and we have  $y_i f = y_i \wedge f = 0$   $(1 \le i \le r)$ . It follows immediately that the elements  $x_{i_1} \cdots x_{i_n} f$  form a base of Cf, i.e., that  $u \to uf$  $(u \in E^N)$  is a linear isomorphism of  $E^N$  with Cf; Cf is therefore of dimension 2'. To every element  $w \in C$  we may associate the endomorphism  $\rho(w)$  of  $E^{N}$  defined by the condition that

$$wuf = (\rho(w) \cdot u)f$$

for all  $u \in E^N$ . It is clear that  $\rho$  is a linear representation. If  $w \in E^N$ , it is clear that  $\rho(w)$  is the operator of left multiplication by w in  $E^N$ . Since  $B_0$  vanishes on  $N \times P$ , we see that, if  $x \in N$ ,  $\delta_x$  maps P, and therefore also  $E^P$ , upon  $\{0\}$ . It follows that  $xv = x \wedge v$  if  $v \in E^P$ , whence  $uf = u \wedge f$  for all  $u \in E^N$ . Now, let y be in P. Then we have yuf = $y \wedge uf + \delta_v(uf)$ . The first term is  $y \wedge (u \wedge f) = 0$ , since y divides f. We have  $\delta_v(uf) = (\delta_v u) \wedge f + J(u) \wedge \delta_v f$ , but  $\delta_v f = 0$ , since  $B_0$  vanishes on  $P \times P$ , whence  $yuf = (\delta_v u)f$ . Since  $\delta_v$  maps N into  $K \cdot 1$ , it maps  $E^N$  into itself. It follows that

$$\rho(y) \cdot u = \delta_y \cdot u \qquad (y \in P, u \in E^N).$$

The operation  $\delta_{y_i}$  maps  $x_i$  upon 1,  $x_j$  upon 0 if  $j \neq i$ . Let  $e = x_r \cdots x_1$ . Since  $\delta_{y_i}$  is an antiderivation, we see easily that  $\delta_{y_{k+1}} \cdots \delta_{y_r}$  maps  $x_r \cdots x_{k+1}$  upon 1 for any h, whence  $\rho(f) \cdot e = 1$ . On the other hand,  $\rho(f)$ , which is homogeneous of degree -r, maps any homogeneous element of degree < r of  $E^N$  upon 0. Let  $\sum$  be the set of strictly increasing sequences of integers between 1 and r; if  $\sigma = (i_1, \cdots, i_h)$ , set

$$\xi(\sigma) = x_{i_1} \cdots x_{i_k} .$$

We shall see that, given  $\sigma$  and  $\sigma_1$  in  $\sum$ , there is a  $w \in C$  such that  $\rho(w) \cdot \xi(\sigma) = \xi(\sigma_1), \rho(w) \cdot \xi(\sigma') = 0$  if  $\sigma' \neq \sigma$ . If  $\sigma$  is of length h, let  $\tau$  be the strictly increasing sequence formed by the integers not appearing in  $\sigma$ . Then  $\rho(\xi(\tau)) \cdot \xi(\sigma')$  is  $\epsilon e$  (with  $\epsilon = \pm 1$ ) if  $\sigma' = \sigma$ , is 0 if the length of  $\sigma'$  is at least equal to the length of  $\sigma$  and  $\sigma' \neq \sigma$ , and is homogeneous of degree < r if the length of  $\sigma'$  is strictly less than that of  $\sigma$ . It follows that  $\rho(\epsilon f \xi(\tau))$  maps  $\xi(\sigma)$  upon 1 and  $\xi(\sigma')$  upon 0 if  $\sigma' \neq \sigma$ ; thus,  $w = \epsilon \xi(\sigma_1) f \xi(\tau)$  has the required properties. Since the  $\xi(\sigma)$  form a base of  $E^N$ , it follows immediately that  $\rho(C)$  is the algebra of all vector-space endomorphisms of  $E^N$ , i.e., that  $\rho(C)$  is of dimension  $2^{2r} = 2^m$  equal to that of C. We conclude that  $\rho$  is a faithful representation of C, and II.2.1 is proved.

Moreover, the proof shows that the ideal Cf is a minimal left ideal of C and is identical to  $E^N f$ . If we observe that the elements  $y_{i_1} \cdots y_{i_e} x_{i_1} \cdots x_{i_p}$   $(i_1 < \cdots < i_p \leq r, j_1 < \cdots < j_e \leq r)$  also form a base of C, we see that  $fC = fE^N$  is a minimal right ideal.

We gather in the following statement the supplementary information we have obtained in the proof:

II.2.2. The notation being as in II.2.1, assume further that Q is of index r = m/2. Let N and P be two supplementary totally singular sub-

spaces of M, and let  $C^N$  and  $C^P$  be the subalgebras of C generated by Nand P, which may be identified to the exterior algebras of these spaces. Let f be the product of the elements of a base of P. Then Cf and fC are, respectively, a minimal left ideal and a minimal right ideal; we have  $Cf = C^N f$ ,  $fC = fC^N$ . Let u be in  $C^N$ : if  $x \in N$ , then  $x(uf) = (xu)f = (x \wedge u)f$ ; if  $y \in P$ , then  $y(uf) = (\delta_y \cdot u)f$ , where  $\delta_y$  is the antiderivation of  $C^N$  such that  $\delta_y \cdot x = B(x, y)$  for  $x \in N$ .

Now we prove the following statement:

II.2.3. The notation and assumptions being as in II.2.1, assume further that  $M \neq \{0\}$ ; then the algebra  $C_+$  is either simple or the direct sum of two simple ideals. The center Z of  $C_+$  is of dimension 2; it is either a quadratic separable extension of K or the direct sum of two fields isomorphic with K. Assume that K is not of characteristic 2 and let D be the discriminant of B with respect to a base of M; then Z is spanned by 1 and by an element z such that  $z^2 = (-1)^r D$ , and z anticommutes with every element of  $C_-$ .

Let S be a minimal left ideal of C; let  $\rho$  be the representation of C which assigns to every  $u \in C$  the mapping  $v \to uv$  of S into itself; then  $\rho$  is simple. Let  $\rho^+$  be the representation of  $C_+$  induced by  $\rho$ ; among all subspaces  $\neq \{0\}$  of S which are mapped into themselves by the operations of  $\rho(C_+) = \rho^+(C_+)$ , let S' be one of smallest possible dimension. Let x be a nonsingular element of M; then x is odd and invertible, from which it follows immediately that  $C_{-} = xC_{+} = C_{+}x$ ,  $C_{+} = C_{-}x = xC_{-}$ . Let S'' be the transform of S' by  $\rho(x)$ ; then it is clear from the preceding equalities that S'' is mapped into itself by all operations of  $\rho^+(C_+)$  and that S' + S'' is mapped into itself by every operation of  $\rho(C)$ . Since  $\rho$  is simple, S' + S'' is the whole of S. If  $S' \cap S'' \neq \{0\}$ , then  $S' \cap S'' =$ S' in virtue of the minimal character of S'; since S'' has the same dimension as S', this implies S'' = S' = S. If  $S' \cap S'' = \{0\}$ , then S is the direct sum of S', S''. Thus,  $\rho^+$  is either simple or the sum of two simple representations. Since it is a faithful representation,  $C_+$  is semisimple and, since any simple representation of  $C_+$  "occurs" in any faithful representation,  $C_+$  is simple or the sum of two simple ideals. The algebra  $C_+$  is not central simple, because its dimension  $2^{2r-1}$  is not a square; therefore,  $Z \neq K \cdot 1$ . Let K' be an algebraically closed overfield of K, let  $M^{\kappa'}$ ,  $C^{\kappa'}$ ,  $C^{\kappa'}$ ,  $Z^{\kappa'}$  be the vector space and the algebras deduced from  $M, C, C_+$ , Z by extension to K' of the basic field, and let Q' be the quadratic form on  $M^{K'}$  which extends Q. Then we may regard  $C^{K'}$  as the Clifford algebra of Q'; it is clear that  $C_{+}^{K'}$  is the algebra of even elements of  $C^{K'}$  and  $Z^{K'}$  the center of  $C_{+}^{K'}$ . Apply

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the results we have just proved to  $C_+^{K'}$ : since  $Z^{K'} \neq K' \cdot 1$ ,  $C_+^{K'}$  is the sum of two simple ideals and  $[Z^{K'}: K' \cdot 1] = 2$ , since every simple algebra over K' is central simple. This proves that  $[Z: K \cdot 1] = 2$ . If Z is a field, then it is separable over K, since  $Z^{K'}$  is semi-simple; if not, it is the direct sum of two fields isomorphic to K.

Assume now that K is not of characteristic 2. Let  $(x_1, \dots, x_m)$  be a base of M composed of mutually orthogonal vectors; set  $a_i = Q(x_i)$ ,  $z' = x_1 \cdots x_m$ . We have  $x_i x_i + x_i x_i = 0$  if  $i \neq j$ ; since m - 1 is odd, z' anticommutes with each  $x_i$ . It follows that z' anticommutes with every element of  $C_-$  and commutes with every element of  $C_+$ . Since m is even, z' is in  $C_+$  but obviously not in  $K \cdot 1$ , whence  $Z = K \cdot 1 + K \cdot z'$ . We easily compute  $z'^2$  to be  $(-1)^{m(m-1)/2} a_1 \cdots a_m = (-1)^r a_1 \cdots a_m$ . The discriminant of B with respect to the base  $(x_1, \dots, x_m)$  is  $2^{2r} a_1 \cdots$  $a_m$ ; if **B'**, **B** are the matrices which represent B with respect to  $(x_1, \dots, x_m)$  and to any other base  $(y_1, \dots, y_m)$  of M, then there exists an invertible matrix **T** such that  ${}^t\mathbf{T}\cdot\mathbf{B'}\cdot\mathbf{T} = \mathbf{B}$  and the discriminant D of B with respect to  $(y_1, \dots, y_m)$  is  $(\det T)^2 2^{2r} a_1 \cdots a_m$ . Thus, there is an  $a \neq 0$  in K such that  $(az')^2 = (-1)^r D$ , and z = az' has the required properties.

*Remark.* The representation  $\rho$  of C which has been used in the proof of II.2.3 is simple. Since C is simple, all simple representations of C are equivalent to  $\rho$ . Thus, we see that the representation of  $C_+$  induced by a simple representation of C is either simple or the sum of two simple representations.

II.2.4. The notation being as in II.2.3, assume further that K is not of characteristic 2 and that  $C_+$  is not simple. Then Z is spanned by 1 and by an element  $z_1$  of square 1 which anticommutes with every element of  $C_-$ ; the two simple ideals of  $C_+$  are  $C_+$   $(1 - z_1)$  and  $C_+$   $(1 + z_1)$ .

Since  $Z = K \cdot 1 + K \cdot z$  is not a field and  $z^2 \in K \cdot 1$ , we have  $z^2 = a^2 \cdot 1$ ,  $a \in K$ ; set  $z_1 = a^{-1}z$ . Then  $z_1^2 = 1$ , and  $\epsilon_1 = (1 - z_1)/2$ ,  $\epsilon_2 = (1 + z_1)/2$  are central idempotents of  $C_+$  such that  $\epsilon_1 \epsilon_2 = 0$ ,  $\epsilon_1 + \epsilon_2 = 1$ . It is clear that  $\epsilon_1 \neq 0$ ,  $\epsilon_2 \neq 0$ ; since  $C_+$  is the sum of two simple ideals, these ideals are  $C_+\epsilon_1$  and  $C_+\epsilon_2$ .

II.2.5. Let the space M be represented as the direct sum of two spaces N, P each of which is in the conjugate space of the other. Let  $C^N$ ,  $C^P$  be the subalgebras of C generated by N and P. Then there is a vector space isomorphism  $\theta$  of the space  $C^N \otimes C^P$  with C such that  $\theta(u \otimes v) = uv$  for  $u \in C^N$ ,  $v \in C^P$ . If K is of characteristic 2, then  $\theta$  is also an isomorphism with respect to multiplication. Assume further that N is not isotropic and of even dimension 2r, and let D be the discriminant of the restriction

of B to  $N \times N$  with respect to some base of N. Then C is isomorphic as an algebra to the tensor product of  $C^N$  by the Clifford algebra of the restriction of  $(-1)^{\prime}DQ$  to P.

Let  $(x_1, \dots, x_n, y_1, \dots, y_p)$  be a base of M composed of a base  $(x_1, \dots, x_n)$  of N and a base  $(y_1, \dots, y_p)$  of P. Then the elements

$$x_{i_1} \cdots x_{i_k} = \xi(i_1, \cdots, i_k) \qquad (i_1 < \cdots < i_k \le n)$$

form a base of  $C^N$ , the

$$y_{j_1} \cdots y_{j_q} = \eta(j_1, \cdots, j_q) \qquad (j_1 < \cdots < j_q \leq p)$$

a base of  $C^P$ , and the products  $\xi(i_1, \dots, i_k) \eta(j_1, \dots, j_q)$  a base of C. It follows that there is a vector-space isomorphism  $\theta$  of  $C^N \otimes C^P$  with C such that  $\theta(u \otimes v) = uv$  whenever u is of the form  $\xi(i_1, \dots, i_k)$  and v of the form  $\eta(j_1, \dots, j_q)$ ; the formula  $\theta(u \otimes v) = uv$  is then true in general by linearity. Let

$$C_{+}^{N} = C^{N} \cap C_{+} C_{-}^{N} = C^{N} \cap C_{-} C_{+}^{P} = C^{P} \cap C_{+} C_{-}^{P} = C^{P} \cap C_{-}.$$

We know that we may regard  $C^N$  and  $C^P$  as the Clifford algebras of the restrictions of Q to N and P;  $C_+^N$  and  $C_-^N$  are then the sets of even and odd elements of  $C^{N}$ , and we have similar statements for  $C^{P}$ . If  $x \in N, y \in P$ , then we have B(x, y) = 0, whence xy + yx = 0. It follows that every element of  $C_{+}^{N}$  commutes with every element of  $C^{P}$ , while an element of  $C_{-}^{N}$  anticommutes with the elements of  $C_{-}^{P}$  and commutes with those of  $C_{+}^{P}$ . If K is of characteristic 2, then every element of  $C^N$  commutes with every element of  $C^P$ , and  $\theta$  is an isomorphism of algebras. Assume now that N is even-dimensional and not isotropic. Then the center of  $C_{+}^{N}$  contains an element z such that  $z^{2} = (-1)^{r}D$ which anticommutes with every element of  $C_{-}^{N}$ . It is then clear that every element of the vector space  $C' = C_+^P + z C_-^P$  commutes with every element of  $C^{N}$ . Since z commutes with every element of  $C^{P}$ , we see immediately that C' is a subalgebra of C, which is generated by the space zP (for z is invertible). If  $y \in P$ , then we have  $(zy)^2 =$  $(-1)^{r}D \cdot Q(y) \cdot 1$ ; it follows that there exists a homomorphism  $\varphi$  of the Clifford algebra C'' of the restriction of (-1)'DQ to P onto C'. But C' and C'' are clearly both of dimension  $2^{p}$ ;  $\varphi$  is therefore an isomorphism. On the other hand, there is a homomorphism  $\theta'$  of the tensor product  $C^N \otimes C'$  into the algebra C such that  $\theta'(u \otimes v') = uv'$  for  $u \in C^N$ ,  $v' \in C'$ . The algebra  $\theta'(C^N \otimes C')$  contains  $C^N$  and zP; it contains therefore Nand  $P = z^{-1}(zP)$ ; since M = N + P, we have  $M \subset \theta'(C^N \otimes C')$  and  $\theta'(C^N \otimes C') = C$ . But  $C^N \otimes C'$  is of dimension  $2^n \cdot 2^p = 2^m = \dim C$ , and  $\theta'$  is therefore an isomorphism.

II.2.6. Assume that m = 2r + 1 is odd and that B is nondegenerate; let D be the discriminant of B with respect to a base of N. Then the center Z of C is of dimension 2; it is spanned by 1 and by an odd element z such that  $z^2 = 2(-1)'D$ . The algebra  $C_+$  is central simple, and C is isomorphic to  $Z \otimes C_+$ ; C is either simple or the direct sum of two simple ideals.

Since B is nondegenerate and m odd, K is not of characteristic 2. Let  $x_0$  be any nonsingular vector in M and N the conjugate of  $Kx_0$ ; then  $B(x_0, x_0) \neq 0$  and N is not isotropic. Since  $x_0$  is invertible,  $y \rightarrow 0$  $x_0y$  (y  $\in N$ ) is a linear isomorphism of N with a subspace N' of  $C_+$ . Let  $C_{+}'$  be the subalgebra of  $C_{+}$  generated by N'. If  $y \in N$ , then we have  $x_0y + yx_0 = 0$ , whence  $(x_0y)^2 = -Q(x_0) Q(y)$ . Let C'' be the Clifford algebra of the restriction of the quadratic form  $-Q(x_0) Q$  to N; then there is a homomorphism  $\varphi$  of C'' onto  $C_+$  such that  $\varphi(y) = x_0 y$  for  $y \in N$  (by II.1.1). But C'' is simple;  $\varphi$  is therefore an isomorphism. We have dim  $C_{+}' = \dim C'' = 2^{m-1}$ . On the other hand,  $u \to x_0 u$  is obviously a linear isomorphism of  $C_+$  onto  $C_-$ ; since  $C = C_+ + C_-$  (direct),  $C_+$ is of dimension  $2^{m-1}$ . Thus,  $C_+ = C_+'$  is isomorphic to C'' and is central simple. We may include  $x_0$  in a base  $(x_0, x_1, \dots, x_{2r})$  of M composed of mutually orthogonal vectors; set  $a_i = Q(x_i), z_0 = x_0 x_1 \cdots x_{2r}$ . We have  $x_i x_i = -x_i x_i$  if  $i \neq j$ ; since m is odd, it follows that  $z_0$  commutes with every  $x_i$ , which proves that z is in the center of C. The discriminants of B with respect to any two bases of M differing from each other by a square factor, D is of the form  $2b^2a_0 \cdots a_{2r}$ ,  $b \in K$ . Set  $z = 2bz_0$ ; then we easily see that  $z^2 = 2(-1)^r D$ . Moreover, 1 and z are linearly independent;  $Z = K \cdot 1 + Kz$  is therefore a subalgebra of dimension 2 of the center of C, and there is a homomorphism  $\theta$  of  $Z \otimes C_+$  into C such that  $\theta(u \otimes v)$ = uv for  $u \in Z$ ,  $v \in C_+$ . Since z is odd and invertible, we obviously have  $zC_+ = C_-$ . Thus,  $\theta(Z \otimes C_+)$  contains  $C_+$  and  $C_-$  and is the whole of C. We have dim  $Z \otimes C_+ = 2 \cdot 2^{m-1} = \dim C$ , and  $\theta$  is therefore an isomorphism. Since  $C_+$  is central simple, it follows immediately that Z is the whole center of C. If 2(-1)'D is not a square in K, Z is a field and C is simple: if 2(-1)'D is a square in K, then, since K is not of characteristic 2, Z is the direct sum of two fields isomorphic to  $K \cdot 1$  and C is the direct sum of two simple ideals.

II.2.7. Let M' be the conjugate of M, P the set of singular vectors of M', and N a subspace of M supplementary to P. The ideal  $\mathfrak{p}$  generated by P in C is in the radical of C, and  $C/\mathfrak{p}$  is isomorphic to the Clifford algebra of the restriction of Q to N.

Since M' is totally isotropic, P is a subspace of M' and P = M' when K is not of characteristic 2. Let y be an element of P. Then B(x, y) = 0

for every  $x \in M$ , whence yx = -xy. It follows that y anticommutes with the elements of  $C_{-}$  and commutes with the elements of  $C_{+}$ . On the other hand, we have  $y^2 = Q(y) \cdot 1 = 0$ . If  $u = u_+ + u_-$ ,  $u_+ \in C_+$ ,  $u_- \in C_-$ , we have  $uy = y(u_+ - u_-)$ , whence  $(yu)^2 = 0$ . The elements of the left ideal yC being nilpotent, this ideal is in the radical of C, and  $\mathfrak{p}$  is in the radical. Let  $C_N$  be the subalgebra of C generated by N; then  $C_N + \mathfrak{p}$  is obviously a subalgebra of C containing N + P = M, whence  $C_N + \mathfrak{p} =$ C. II.2.7 will therefore be proved if we show that  $C_N \cap \mathfrak{p} = \{0\}$ . If K is of characteristic  $\neq 2$ , then P = M' and the restriction of B to  $N \times N$ is nondegenerate. Thus, it follows from II.2.1 and II.2.6 that  $C_N$  is semi-simple, whence  $C_N \cap \mathfrak{p} = \{0\}$ , since  $\mathfrak{p}$  is in the radical of C. Moreover, we see that p is then exactly the radical of C. Assume that K is of characteristic 2; let  $C_P$  be the algebra generated by P. Since Q is zero on P,  $C_P$  is obviously isomorphic to the exterior algebra of P and is the direct sum of  $K \cdot 1$  and of the ideal  $\mathfrak{p}_0$  generated by P in  $C_P$ . On the other hand, there is an isomorphism  $\theta$  of  $C_N \otimes C_P$  with C such that  $\theta(u \otimes v) = uv$  for  $u \in C_N$ ,  $v \in C_P$  (II.2.5). Now,  $C_N \otimes C_P$  is the direct sum of  $C_N \otimes K \cdot 1$  and  $C_N \otimes \mathfrak{p}_0$ , and the latter set is the ideal generated by  $\mathfrak{p}_0$  in C. It follows immediately that  $\theta(C_N \otimes \mathfrak{p}_0) = \mathfrak{p}$  and that C is the direct sum of  $C_N$  and p. This ends the proof of II.2.7.

The notation being as in II.2.7, let R be a subspace of M' supplementary to P in M'. We may assume that  $R \subset N$ ; let S be a subspace of N supplementary to R. Then M is the direct sum of S and M'. Assume that K is of characteristic 2 (otherwise  $R = \{0\}$ ). The restriction of B to  $S \times S$  being nondegenerate, S is even-dimensional and the algebra  $C_S$  generated by S is central simple. If  $C_R$  is the algebra generated by R,  $C_N$  is isomorphic to  $C_S \otimes C_R$ . Let us now consider the structure of  $C_R$ . Let  $\{x_1, \dots, x_d\}$  be a base of R, and  $Q(x_i) = a_i$   $(1 \leq i \leq r)$ . We have  $x_i x_i + x_i x_i = B(x_i, x_i) \cdot 1 = 0$ , and  $C_R$  is a commutative algebra. Let L be the subfield of an algebraic closure of K obtained by adjunction of  $a_1^{1/2}, \dots, a_d^{1/2}$  to K. We may assume that  $L = K(a_1^{1/2}, \dots, a_d^{1/2})$ , e being an integer  $\leq d$ , and that  $[L:K] = 2^e$ . Each  $a_i$   $(1 \leq i \leq d)$  is the square of an element  $a_i^{1/2}$  of L; if  $u_1, \dots, u_d$  are in K, we have, in L,

$$\left(\sum_{i=1}^{d} u_{i} a_{i}^{1/2}\right)^{2} = \sum_{i=1}^{d} u_{i}^{2} a_{i} = Q\left(\sum_{i=1}^{d} u_{i} x_{i}\right).$$

Therefore, it follows from II.1.1 that there exists a homomorphism  $\psi$  of  $C_R$  onto L such that  $\psi(x_i) = a_i^{1/2}$   $(1 \le i \le d)$ . Let  $R' = Kx_1 + \cdots + Kx_s$ , and let  $C_R$  be the algebra generated by R'; thence  $C_R$  is of dimension  $2^{\circ} = [L:K]$ , and  $\psi(C_R)$ , which contains  $a_i^{1/2}$  for  $1 \le i \le e$ ,

is the whole of L. This shows that  $\psi$  is an isomorphism of  $C_R$ , with L. Let us assume from now on that  $C_R$ , = L. If i > e, then there is a  $z_i \in L$ such that  $z_i^2 = x_i^2 = a_i \cdot 1$ ; it follows that  $(x_i - z_i)^2 = 0$ . The elements  $x_i - z_i$  (i > e) generate a nilpotent ideal  $\Re$  of  $C_R$ , and it is clear that every element of  $C_R$  is congruent modulo  $\Re$  to some element in L. This shows that the kernel of  $\psi$  is  $\Re$  and that  $\Re$  is the radical. Thus, we see that the quotient of  $C_R$  by its radical is a field, purely inseparable of exponent 1 over K.

# 2.3. The Group of Clifford

We shall now assume that the bilinear form B is nondegenerate, i.e., that Q is of rank m and defect 0. In particular, if K is of characteristic 2, m is even. We denote by C the Clifford algebra of Q and by G the orthogonal group of Q.

We shall call Clifford group of G, and denote by  $\Gamma$ , the group of invertible elements s of C such that  $sxs^{-1} \in M$  for every  $x \in M$ . If  $s \in \Gamma$ , we shall denote by  $\chi(s)$  the linear automorphism  $x \to sxs^{-1}$  of M. It is clear that  $\chi$  is a linear representation of  $\Gamma$ ; we shall call it the vector representation of  $\Gamma$ , to distinguish it from the spin representation to be introduced later.

Let s be in  $\Gamma$ . Then we have, for  $x \in M$ ,  $Q(sxs^{-1}) \cdot 1 = (sxs^{-1})^2 = sx^2s^{-1} = Q(x) \cdot 1$ . It follows that  $\chi$  maps  $\Gamma$  into the orthogonal group G of Q.

II.3.1. If m is even, then  $\chi(\Gamma) = G$ . If m is odd, then  $\chi(\Gamma)$  is the group  $G^+$  of operations of determinant 1 in G. If x is any nonsingular element of M, then  $x \in \Gamma$  and  $\chi(x)$  is the mapping  $y \to -\tau \cdot y$ , where  $\tau$  is the symmetry with respect to the conjugate hyperplane of Kx. Let  $Z^*$  be the multiplicative group of invertible elements of the center Z of C; then  $Z^*$  is the kernel of  $\chi$  and, except in the case where K is a field with 2 elements, dim M = 4 and Q is of index 2,  $Z^* \cup (\Gamma \cap M)$  is s set of generators of the group  $\Gamma$ .

Let  $\sigma$  be any operation in G. Then we have  $(\sigma \cdot x)^2 = Q(\sigma x) \cdot 1 = Q(x) \cdot 1$  for  $x \in M$ , and it follows from II.1.1 that  $\sigma$  may be extended to an automorphism  $\sigma'$  of the algebra C. If  $\sigma'$  leaves the elements of the center Z of C fixed, then  $\sigma'$  is an inner automorphism. This follows from the Noether-Skolem theorem if C is simple. If not, then C is the direct sum of two simple ideals  $a_1$  and  $a_2$ ;  $a_i$  is generated by a central idempotent  $e_i$  and is central simple. It follows that  $\sigma'$  transforms  $a_i$  into itself and that its restriction to  $a_i$  is an inner automorphism produced

by an element  $s_i$  of  $a_i$  which is invertible in  $a_i$ ; since  $s_1s_2 = 0$ ,  $s = s_1 + s_2$  is invertible in C and  $\sigma'$  is the inner automorphism produced by s.

Now, if m is even, C is central simple, and  $\sigma'$  is an inner automorphism  $u \to sus^{-1}$ , s being invertible in C. Since  $\sigma'(M) = M$ , s belongs to  $\Gamma$  and  $\chi(s) = \sigma$ .

Let  $\zeta$  be the mapping  $x \to -x$  ( $x \in M$ ), and  $\zeta'$  the automorphism of C which extends  $\zeta$ . It is clear that  $\zeta'(u) = -u$  for any  $u \in C_-$ . Now, if m is odd, then Z contains an odd element  $z \neq 0$  (II.2.6) and K is not of characteristic 2. Since  $\zeta'(z) = -z$ ,  $\zeta'$  does not leave the elements of Z fixed. Were  $\zeta$  in  $\chi(\Gamma)$ , there would exist an  $s \in \Gamma$  such that  $\zeta' \cdot x = sxs^{-1}$  for all  $x \in M$ . Since M generates C, we would have  $\zeta' \cdot u = sus^{-1}$  for all  $u \in C$ , which is not the case. Thus, if m is odd,  $\zeta$  does not belong to  $\chi(\Gamma) \neq G$ .

Let x be a nonsingular element of M. Then x is invertible, and  $x^{-1} = (Q(x))^{-1}x$ . We have  $xy + yx = B(x, y) \cdot 1$  for  $y \in M$ , whence

$$xyx^{-1} = (Q(x))^{-1} B(x, y)x - y = -\tau \cdot y,$$

where  $\tau$  is the symmetry with respect to the conjugate of  $K \cdot x$ . It follows that  $x \in \Gamma$  and that  $\chi(x) = -\tau$ . It is clear that  $Z^* \subset \Gamma$ , and that  $Z^*$ is in the kernel of  $\chi$ . Conversely, if  $s \in \Gamma$ ,  $\chi(s) = 1$ , then s commutes with every element of M, and  $s \in Z \cap \Gamma = Z^*$ . Assume that we are not considering the exceptional case mentioned in the statement. Any operation  $\sigma$  of G may be written as a product  $\tau_1 \cdots \tau_k$  of symmetries with respect to hyperplanes whose conjugates contain nonsingular vectors  $x_1, \dots, x_k$  (by I.5.1). Thus, since  $\zeta^2 = 1$ , we have  $\sigma = \zeta^k \chi(x_1, \dots, x_k)$ . If m is odd, then we have det  $\tau_i = -1$ , det  $\zeta = -1$ , and, if  $\sigma \in G^+$ , then we have  $h \equiv 0 \pmod{2}$  and  $\sigma = \chi(x_1 \cdots x_k)$ ; if  $\sigma = \chi(s)$ ,  $s \in \Gamma$ , then  $s = s_0 x_1 \cdots x_h$  with  $s_0 \in \mathbb{Z}^*$ . If m is even, then  $\zeta$  belongs to the group generated by  $\Gamma \cap M$ . This is obvious if K is of characteristic 2,  $\zeta$  being then the identity; if not, let  $(y_1, \dots, y_m)$  be a base of M composed of mutually orthogonal vectors. Then  $\chi(y_i) \cdot y_i$  is  $-y_i$  if  $i \neq j$ , and  $\chi(y_i) \cdot y_i = y_i$ , whence  $\zeta = \chi(y_1 \cdots y_m)$ . Thus, it follows in the same way as above that any s  $\varepsilon$   $\Gamma$  belongs to the group generated by  $\Gamma \cap M$ and  $Z^*$ .

II.3.2. Every  $s \in \Gamma$  may be written in the form zs', where z is in the center of C and s' is an element of  $\Gamma$  which is either even or odd. If m is even, s is either even or odd.

If we are not in the exceptional case of II.3.1, then s is the product of an element of  $Z^*$  by a certain number of elements of  $\Gamma \cap M$ , which proves our assertion in that case. If m is even, we may also use the following argument, which applies even in the exceptional case. If  $\sigma = \chi(s)$ , then we have  $sx = (\sigma \cdot x)s$  for all  $x \in M$ . Let  $s = s_+ + s_-$ ,  $s_+ \in C_+$ ,  $s_- \in C_-$ . Since x and  $\sigma \cdot x$  are odd, we have  $s_+x = (\sigma \cdot x)s_+$ , and  $s^{-1}s_+$  commutes with every  $x \in M$  and belongs therefore to the center  $K \cdot 1$  of C. If  $s_+ = as$ ,  $a \in K$ , and if  $a \neq 0$  then s is even; if a = 0, then  $s = s_-$  is odd.

We shall denote by  $\Gamma^+$  the group  $\Gamma \cap C_+$ , and set  $G^+ = \chi(\Gamma^+)$ .

II.3.3. If m > 0, the group  $\chi(\Gamma^+)$  is a subgroup of index 2 of G. If K is not of characteristic 2, then  $\chi(\Gamma^+)$  is the group of operations of determinant 1 in G.

Since m > 0, M contains a nonsingular vector x; x is an odd element of  $\Gamma$ , whence  $\Gamma \neq \Gamma^+$ . If m is even, then the center of C is  $K \cdot 1$ , which is in  $C_+$ ; it follows immediately that  $\chi(\Gamma^+)$  is then of index 2 in G. If m is odd, then the center of C contains an invertible odd element (by II.2.6); this element is in  $\Gamma$  but not in  $\Gamma_+$ . Thus, it follows from II.3.2 that every element of  $\Gamma$  is the product of an element of the center of C by an element of  $\Gamma^+$ , whence  $\chi(\Gamma) = \chi(\Gamma^+)$ . This group is the group of operations of determinant 1 in G. The determinant of any element of G is  $\pm 1$ , and G contains an operation of determinant -1, for instance, the mapping  $x \to -x$ . It follows that  $\chi(\Gamma^+)$  is of index 2 in G. Now, assume that m is even and that the characteristic of K is  $\neq 2$ . Any  $s \in \Gamma$  is representable in the form  $cx_1 \cdots x_h$ ,  $c \in K$ ,  $x_i \in \Gamma \cap M$  $(1 \le i \le h)$ , and it is easily seen that det  $\chi(x_i) = -1$   $(1 \le i \le h)$ , whence det  $\chi(s) = (-1)^h$ . Thus, det  $\chi(s)$  is 1 or -1 according as to whether s is in  $\Gamma^+$  or not, and this completes the proof of II.3.3.

The group  $\Gamma^+$  will be called the special Clifford group of Q; the group  $\chi(G^+)$  will be called the special orthogonal group<sup>\*</sup> of Q or also the group of rotations (its elements being called rotations). If K is of characteristic 2, then every operation of G is of determinant 1, but  $G^+$  is then still of index 2 in G.

II.3.4. If m is even > 0, the group  $\Gamma^+$  is generated by the products of two nonsingular elements of M except in the case where K has 2 elements, m = 4, and Q is of index 2. If m is odd, let z be an odd invertible element of the center of C. Then  $\Gamma^+$  is generated by the products xz, where x runs over the nonsingular elements of M.

The assertion relative to the case m even follows immediately from II.3.1. Assume m odd; it is clear that, for any nonsingular  $x \in M$ , xz belongs to  $\Gamma^+$ . Let, conversely, s be in  $\Gamma^+$ ; then, by II.3.1, s may be written as  $s = \zeta x_1 \cdots x_k$ , where  $\zeta$  is in the center of C and  $x_i \in M$ . \* It follows from II.2.1 (m even) and II.2.6 (m odd) that  $Z \cap C_+ = K \cdot 1$  where Z is the center of C. Therefore the kernel of the homemorphism from  $\Gamma_+^+$  to  $C_+^+$ 

Z is the center of C. Therefore the kernel of the homomorphism from  $\Gamma^+$  to  $G^+$  induced by  $\chi$  is equal to  $K \cdot 1$  [Editor's note].

The center of C is  $K \cdot 1 + K \cdot z$  (II.2.6); if h is even, then  $\zeta \in K \cdot 1$ , while, if h is odd,  $\zeta \in K \cdot z$ . The element  $z^2$ , which is an even element of the center of C, is of the form  $a \cdot 1$ ,  $a \in K$ ,  $a \neq 0$ . If h = 2h', then we may write

$$s = (\zeta a^{-h'} x_1 z)(x_2 z) \cdots (x_h z);$$

if h = 2h' + 1, let  $\zeta = cz$ . Then we have

$$s = a^{-h'}(cx_1z) \cdots (x_{h-1}z)(x_hz);$$

this concludes the proof of II.3.4.

It is easily seen that the case where K has 2 elements, m = 4, and Q is of index 2 is actually an exceptional case.

We shall now take into consideration the main antiautomorphism  $\alpha$  of the algebra C which has been introduced in II.1. We know that  $\alpha(x) = x$  for  $x \in M$ ; it follows that  $\alpha(C_+) = C_+$ ,  $\alpha(C_-) = C_-$ .

II.3.5. If s is any element of the Clifford group  $\Gamma$ , then  $\alpha(s) \in \Gamma$  and  $\alpha(s)s$  is an element of the center of C; if  $s \in \Gamma^+$ , then  $\alpha(s)s \in K \cdot 1$ .\*

Let  $\sigma = \chi(s)$ ; then, for  $x \in M$ , we have  $sx = (\sigma \cdot x)s$ , whence  $x\alpha(s) = \alpha(s)\sigma \cdot x$  and  $\alpha(s)sx = x\alpha(s)s$ , which shows that  $\alpha(s)s$  is in the center of C. This element being obviously invertible, it follows that  $\alpha(s) \in \Gamma$ . If s is even, then so is  $\alpha(s)s$ , and  $\alpha(s)s \in K \cdot 1$ .\*

Let s and t be in  $\Gamma$ . Then we have  $\alpha(st)st = \alpha(t)\alpha(s)st = \alpha(s)s\alpha(t)t$ , which shows that  $s \to \alpha(s)s$  is a homomorphism of  $\Gamma$  into the multiplicative group of invertible elements of the center of C. Whenever  $\alpha(s)s$  is in  $K \cdot 1$ , we shall set  $\alpha(s)s = \lambda(s) \cdot 1$ ,  $\lambda(s) \in K$ . This always happens if  $s \in \Gamma^+$ . It also happens if  $s \in \Gamma \cap M$ , for then  $\lambda(s) = Q(s)$ ; thus,  $\lambda(s)$ is always defined for all  $s \in \Gamma$  if m is even. We have

$$\begin{split} \lambda(c \cdot 1) &= c^2, & \text{if} \quad c \in K, \quad c \neq 0; \\ \lambda(x) &= Q(x), & \text{if} \quad x \in M, \ Q(x) \neq 0. \end{split}$$

The element  $\lambda(s)$  (when it is defined) will be called the norm of s, and  $\lambda$  will be called the norm homomorphism.

We shall denote by  $\Gamma_0$  the group of elements  $s \in \Gamma$  such that  $\alpha(s)s = 1$ , and by  $\Gamma_0^+$  the group  $\Gamma_0 \cap \Gamma^+$ . We shall call  $\Gamma_0^+$  the reduced Clifford group of  $\Gamma$ ; the group  $\chi(\Gamma_0^+)$  will be called the reduced orthogonal group of Q and will be denoted by  $G_0^+$ .

The group  $\Gamma/\Gamma_0$  is clearly abelian, which shows that the commutator subgroup  $\Gamma'$  of  $\Gamma$  is contained in  $\Gamma_0$ . If s is an element of  $\Gamma$  such that  $\chi(s)$  is not in  $G^+$ , then  $\chi(\Gamma)$  is generated by  $\chi(\Gamma^+)$  and  $\chi(s)$ , and  $\Gamma$  is generated by  $\Gamma^+$ , s, and its center. It follows that  $\Gamma/\Gamma^+$  is abelian and that \* see footnote p.115.  $\Gamma' \subset \Gamma^+$ , whence  $\Gamma' \subset \Gamma_0^+$ . If *m* is even, then  $G = \chi(\Gamma)$  and we see that the commutator subgroup G' of *G* is in  $G_0^+$ . If *m* is odd, then the center of *G* contains an element  $\zeta$  not in  $G^+$  (namely, the mapping  $x \to -x$ ), and  $G = G^+ \cup (\zeta G^+)$ , which shows that G' is also the commutator subgroup of  $G^+$ ; since  $G^+ = \chi(\Gamma^+)$ , we see that, here again,  $G' \subset G_0^+$ .

III.3.6. Let H be the subgroup of the multiplicative group K. of elements  $\neq 0$  in K which is generated by the products Q(x)Q(y), x and y running over the nonsingular elements of M, and let K.<sup>2</sup> be the group of squares of elements of K. If m > 0, the group  $G^+/G_0^+$  is isomorphic to H/K.<sup>2</sup>.

The kernel of the restriction<sup>\*</sup> of  $\chi$  to  $\Gamma^+$  is  $K_{\bullet}\cdot 1$ . Since any element of this kernel is an even element of the center of C,  $G^+/G_0^+$  is isomorphic to  $\Gamma^+/K_{\bullet}\Gamma_0^+$ , i.e., also to  $\lambda(\Gamma^+)/\lambda(K_{\bullet})$ . If K has only 2 elements, then  $H = K_{\bullet} = K_{\bullet}^2 = \lambda(\Gamma^+)$  and II.3.6 is obvious. Assume that this is not the case. We have  $\lambda(K_{\bullet}) = K_{\bullet}^2$ ; if m is even, then  $\Gamma^+$  is generated by the products xy, for  $x, y \in \Gamma \cap M$ , whence  $\lambda(\Gamma^+) = H$ . Assume m odd, and let  $(x_1, \cdots, x_m)$  be a base of M composed of mutually orthogonal vectors. Then  $z = x_1 \cdots x_m$  is an odd invertible element of the center of C, and  $\Gamma^+$  is generated by the products xz, with  $x \in \Gamma \cap M$  (II.3.4). We have  $\lambda(xz) = \lambda(zx) = (Q(x_1) Q(x_2)) \cdots (Q(x_{m-2}) Q(x_{m-1})) (Q(x_m) Q(x))$  and  $\lambda(\Gamma^+) = H$ ; II.3.6 is thereby proved.

II.3.7. If the index of Q is > 0, then  $G^+/G_0^+$  is isomorphic to  $K_*/K_*^2$ .

This follows from II.3.6, since Q then assumes all values in K (by I.3.3).

II.3.8. Assume that the index of Q is > 0 and that we are not in the following exceptional case: K has 2 elements, dim M = 4, and Q is of index 2. Then  $G_0^+$  is the group of commutators of G.

Since Q is of index > 0, there exist two singular vectors x, y such that B(x, y) = 1. The plane P = Kx + Ky is not isotropic; let P' be its conjugate. We shall prove that every  $\sigma \in G$  is the product of an element of the commutator subgroup G' of G and of an operation which leaves the elements of P' fixed. We first consider the case where  $\sigma$  is the symmetry with respect to a hyperplane H whose conjugate contains a nonsingular vector z. Let  $z_1 = x + Q(z)y$ , whence  $Q(z) = Q(z_1)$ . There is a  $\tau \in G$  such that  $\tau \cdot z = z_1$  (I.4.1); we may write  $\sigma = (\sigma \tau \sigma^{-1} \tau^{-1})$  ( $\tau \sigma \tau^{-1}$ ), and  $\tau \sigma \tau^{-1}$  is the symmetry with respect to the conjugate H<sub>1</sub> of  $Kz_1$ . Since  $P' \subset H_1$ ,  $\tau \sigma \tau^{-1}$  leaves the elements of P' fixed, which proves our assertion in that case. To establish it in the general case, it will be \* see footnote p.115.

sufficient (in virtue of I.5.1) to show that, if our assertion is true of  $\sigma$ ,  $\sigma'$ , then it is also true of  $\sigma\sigma'$ . We have  $\sigma = \sigma_1 \sigma_2$ ,  $\sigma' = \sigma'_1 \sigma'_2$ , where  $\sigma_1$ ,  $\sigma'_1 \in G'$  and  $\sigma_2$ ,  $\sigma'_2$  leave the elements of P' fixed; thus, we have  $\sigma\sigma' = (\sigma_1 \sigma_2 \sigma'_1 \sigma_2^{-1}) (\sigma_2 \sigma'_2)$ , which proves our assertion for  $\sigma\sigma'$ . Now, let  $\sigma = \sigma_1 \sigma_2$  be in  $G_0^+$ ,  $\sigma_1 \in G'$ ,  $\sigma_2$ , leaving the elements of P' fixed. Since  $G' \subset G_0^+$ , we have  $\sigma_2 \in G_0^+$ . The only singular vectors of P are those of Kx and Ky; thus,  $\sigma_2 \cdot x$  is either in Kx or in Ky. Moreover, it is clear that any operation of  $G_0^+$  which leaves x and the elements of P' fixed is the identity. We shall see that it is impossible that  $\sigma_2 \cdot x = ay$ ,  $a \in K$ . For, let then  $\tau$  be the symmetry with respect to the conjugate hyperplane of K(x - ay). (We have  $a \neq 0$ , whence  $Q(x - ay) \neq 0$ .) Then it is easily seen that  $\tau \cdot x = ay$ , and  $\tau$  leaves the element of P' fixed. Since  $\tau$  is not in  $G^+$ ,  $\sigma_2 \neq \tau$ , which proves our assertion. Therefore, we have  $\sigma_2 \cdot x = ax$ ,  $a \in K$ , whence  $\sigma_2 \cdot y = a^{-1}y$ . Let  $s = a \cdot 1 + (1 - a)yx$ ; any element of P' anticommutes with every element of P and commutes therefore with s. We have

$$(a \cdot 1 + (1 - a)yx) (a \cdot 1 + (1 - a)xy) = a \cdot 1,$$

so that

$$s^{-1} = a^{-1} (a \cdot 1 + (1 - a)xy).$$

We have  $sxs^{-1} = ax$  and, since s = 1 - (1 - a)xy,  $sys^{-1} = a^{-1}y$ . This shows that  $s \in \Gamma^+$  and  $\chi(s) = \sigma_2$ . On the other hand, there is an  $s' \in \Gamma_0^+$ such that  $\chi(s') = \sigma_2$ ; it follows that  $s's^{-1}$  is in the center of  $\Gamma^+$ , i.e., that s = cs', c a scalar.<sup>\*</sup> We have  $\alpha(s) = a \cdot 1 + (1 - a)xy$ , whence  $c^2 = \lambda(s) = a$ . Now let  $\sigma_3$  be the operation of G which maps x upon cx, yupon  $c^{-1}y$ , and the elements of P' upon themselves, and let  $\tau$  be the operation of G which exchanges x and y and maps the elements of P'upon themselves. Then we see that  $\tau\sigma_3^{-1}\tau^{-1}\sigma_3 \cdot x = c^2x = \sigma_2 \cdot x$ , whence  $\sigma_2 = \tau\sigma_3^{-1}\tau^{-1}\sigma_3 \in G'$ . Thus, we have proved that  $G_0^+ \subset G'$ . Since  $G' \subset G_0^+$ , II.3.8 is proved.

II.3.9. The assumptions being as in II.3.8, assume furthermore that dim M > 2. Then  $G_0^+$  is also the commutator subgroup of  $G^+$ .

We have only to prove that the commutator subgroup G' of G is contained in the commutator subgroup H of  $G^+$ . It is clear that H is a normal subgroup of G. Let  $\sigma_1$ ,  $\sigma_2$ ,  $\tau$  be in G; the formula

$$(\sigma_1\sigma_2)\tau(\sigma_1\sigma_2)^{-1}\tau^{-1} = \sigma_1(\sigma_2\tau\sigma_2^{-1}\tau^{-1})\sigma_1^{-1}(\sigma_1\tau\sigma_1^{-1}\tau^{-1})$$

shows that, if the commutators of  $\sigma_1$ ,  $\tau$  and of  $\sigma_2$ ,  $\tau$  are in H, then so is the commutator of  $\sigma_1 \sigma_2$ ,  $\tau$ . Every element of G is a product of symmetries with respect to hyperplanes (whose conjugates contain \* see footnote p.115. nonsingular vectors). It will therefore be sufficient to prove that, if  $\sigma$ is such a symmetry and  $\tau \in G$ , then  $\sigma \tau \sigma^{-1} \tau^{-1} \in H$ , or, which amounts to the same, that  $\tau \sigma \tau^{-1} \sigma^{-1} \epsilon H$ . Decomposing  $\tau$  into symmetries, we are reduced to consider the case where  $\sigma$  and  $\tau$  are symmetries with respect to hyperplanes whose conjugates contain nonsingular vectors x and y. The conjugate of Ky is obviously not totally singular; let y' be a nonsingular vector of this conjugate and  $\sigma'$  the symmetry with respect to the conjugate hyperplane of Ky'. Let  $\zeta = \sigma\sigma'$ ; then  $\sigma = \zeta\sigma'$  and, since  $\sigma'$  commutes with  $\tau$ , it follows from the formula written above that it is sufficient to show that  $\zeta \tau \zeta^{-1} \tau^{-1} \epsilon H$ . Assume first that the conjugate space P of Kx + Ky' is not totally singular, and then let x' be a nonsingular vector of P and let  $\tau'$  be the symmetry with respect to the conjugate of Kx'. Then  $\tau'$  commutes with  $\sigma$  and  $\sigma'$ , and therefore with  $\zeta$ . We write  $\tau = \tau \tau' \cdot \tau'$ ; since  $\tau'$  commutes with  $\zeta$  and  $\tau \tau' \in G^+$ , the commutators of  $\zeta$  and  $\tau'$  and of  $\zeta$  and  $\tau\tau'$  are in H, which shows that the commutator of  $\zeta$  and  $\tau$  is in *H*. Assume now that *P* is totally singular. Then we have  $P \subset Kx + Ky'$  and, since Kx is not singular,  $P \neq Kx$ + Ky'. If  $m = \dim M$ , then P is of dimension m - 2. Since m > 2, P is of dimension 1 and m = 3. But, if m is odd, then the center of G contains an element not in  $G^+$  (namely, the mapping  $x \to -x$ ), from which it follows immediately that G and  $G^+$  have the same commutator subgroup.

# 2.4. Spinors (Even Dimension)

We assume that the space M is of even dimension m = 2r, and that B is nondegenerate. We denote by G the orthogonal group of Q, by C its Clifford algebra, by  $C_+$ ,  $C_-$  the spaces of even and odd elements of C, by  $\Gamma$  the Clifford group of Q, by  $\Gamma^+$  its special Clifford group, and by  $\Gamma_0^+$  its reduced Clifford group.

We know that all simple representations of the simple algebra C are equivalent. We select one of them, say  $\rho$ , and we call the space S of this representation the space of spinors of Q. The representation  $\rho$  of C is called the spin representation of C; the representation  $\rho^+$  of  $C_+$  induced by  $\rho$  is called the spin representation of  $C_+$ . The representation  $\rho$  of Cinduces a representation of  $\Gamma$ , which will still be denoted by  $\rho$ ; it also induces representations of  $\Gamma^+$  and  $\Gamma_0^+$  which are denoted by  $\rho^+$ ,  $\rho_0^+$ ; all these representations are also called *spin representations*.

II.4.1. Except in the case where K has 2 elements, m = 2, and Q is of index 1,  $\Gamma$  is a set of generators of the algebra C and the spin representation of  $\Gamma$  is simple.

We first establish the following:

Lemma 1. Let R be a finite-dimensional vector space over a field K and  $Q_1$  a quadratic form on R whose associated bilinear form  $B_1$  is nondegenerate. Let  $x_1$  be any element  $\neq 0$  of R and N the subspace of R spanned by all vectors x such that  $Q(x) = Q(x_1)$ . Then we have N = R unless R is of dimension 2, Q is of index 1, and K has either 2 or 3 elements.

It is obvious that N is mapped into itself by the operations of the orthogonal group  $G_1$  of the form  $Q_1$ . Lemma 1 therefore follows from I.6.2 and I.6.7.

Now,  $\Gamma$  contains every nonsingular vector of M. If m = 2 and K has 3 elements, we see immediately that there exist two linearly independent nonsingular vectors in M. Thus, if we are not considering the exceptional case of II.4.1, then M is spanned by  $\Gamma \cap M$ , which shows that  $\Gamma$  generates C. Since the spin representation of C is simple, so is the spin representation of  $\Gamma$ . If we are considering the exceptional case, then M is spanned by two singular vectors x and y such that B(x, y) = 1. Then  $\Gamma = \{1, x + y\}$ , and it is easily seen that the spin representation of  $\Gamma$ is not simple.

Consider now the representation  $\rho^+$  of  $C_+$ . This representation is either simple or the sum of two simple representations (see the remark which follows the proof of II.2.3). If  $C_+$  is not simple, then  $C_+$  has two *inequivalent* simple representations, and both must occur in  $\rho^+$ , since  $\rho^+$  is faithful. In that case,  $\rho^+$  is the sum of two inequivalent simple representations. It follows that S may be represented in one and only one way as the sum of two subspaces each of which yields a simple representation of  $C_+$ . These two spaces are then called the spaces of *half-spinors*, and the corresponding representations of  $C_+$  the *half-spin representations*. The representations of  $\Gamma^+$ ,  $\Gamma_0^+$  induced by the half-spin representations of  $C_+$  are called the half-spin representations of these groups.

II.4.2. The spin representation  $\rho^+$  of  $\Gamma^+$  is either simple or the sum of two simple representations. If  $C_+$  is not simple and if we are not in the exceptional case of II.4.1, then the half-spin representations of  $\Gamma^+$  are simple and inequivalent to each other.

We have seen in the proof of II.4.1 that, if we are not considering the exceptional case, M is spanned by its nonsingular vectors. On the other hand,  $C_+$  is generated by all products of 2 elements of M and therefore also (outside the exceptional case) by the products of two nonsingular vectors of M. But these products are in  $\Gamma^+$ , and  $\Gamma^+$  is therefore a set of

generators of the algebra  $C_+$ . In the exceptional case of II.4.1, we have  $\Gamma^+ = \{1\}$  and the spin representation splits into two simple representations; II.4.2 is thereby proved.

II.4.3. The spin representation  $\rho_0^+$  of  $\Gamma_0^+$  is either simple or the sum of two simple representations; if the spin representation of  $\Gamma^+$  is simple, then so is  $\rho_0^+$ . If  $C_+$  is not a simple algebra, then the half-spin representations of  $\Gamma_0^+$  are simple; they are inequivalent to each other except if m = 2, Q is of index 1, and K has either 2 or 3 elements.

We may assume  $M \neq \{0\}$ ; let  $x_1$  be a nonsingular vector in M and  $a_1 = Q(x_1)$ . Assume that M is spanned by the set of all vectors x such that  $Q(x) = Q(x_1)$ . Since  $C_+$  is generated by all products of two elements of M, it is also generated by the elements of the form  $a_1^{-1}xy$ , where x, y are vectors such that  $Q(x) = Q(y) = a_1$ . But  $a_1^{-1}xy$  then belongs to  $\Gamma_0^+$ , since  $\lambda(a_1^{-1}xy) = a_1^{-2}Q(x)Q(y)$ ; thus,  $\Gamma_0^+$  is in that case a set of generators of  $C_+$ . If the set of vectors x such that Q(x) = a does not span M, then m = 2, Q is of index 1, and K has either 2 or 3 elements. In these cases, it is easily seen that the representation  $\rho^+$  of  $\Gamma^+$  is never simple: it splits into two representations of degree 1. Since every representation of degree 1 is simple, II.4.3 is proved.

## 2.5. Spinors (Odd Dimension)

We assume now that the space M is of odd dimension m = 2r + 1 and that B is nondegenerate. Otherwise, we use the same notation as in Section 4.

The algebra  $C_+$  is now central simple (II.2.6), and its simple representations are all equivalent to each other. We select one, say  $\rho^+$ , which we call the *spin representation*; the space S of this representation will be called the *space of spinors*. The representations of  $\Gamma^+$ ,  $\Gamma_0^+$  induced by  $\rho^+$  are called the *spin representations* of these groups.

II.5.1. The group  $\Gamma_0^+$  is a set of generators of the algebra  $C_+$ ; the spin representations of  $\Gamma^+$ ,  $\Gamma_0^+$  are simple.

Let  $x_1$  be a nonsingular vector in M. Then M is spanned by the vectors Q(x) such that  $Q(x) = Q(x_1)$  (Lemma 1, II.4). It follows as in the proof of II.4.3 that  $\Gamma_0^+$  is a set of generators of the algebra  $C_+$ . The second assertion of II.5.1 follows immediately from the first.

II.5.2. If the algebra C is not simple, then it is possible in exactly two ways to extend the spin representation of  $C_+$  to a representation of the algebra C.

The center Z of C is spanned by 1 and by an odd element z such that  $z^2 \in K \cdot 1$ . Since C is not simple,  $z^2$  must be a square in K, and we may

assume without loss of generality that  $z^2 = 1$ . Any  $u \in C$  is uniquely representable in the form  $u = u_1 + u_2 z$ , where  $u_1$ ,  $u_2$  are in  $C_+$ . Since z is in the center of C, the mappings  $\varphi: u \to u_1 + u_2$  and  $\varphi': u \to u_1 - u_2$ are homomorphisms of C into  $C_+$ ; the representations  $\rho = \rho^+ \circ \varphi$ ,  $\rho' = \rho^+ \circ \varphi'$  are representations of C which extend  $\rho^+$ . Conversely, let  $\rho''$  be any representation of C which extends  $\rho^+$ . Let  $\sigma = \rho''(z)$ ; then  $\sigma^2$  is the identity mapping I of the space S of spinors, and  $\sigma$  commutes with every operation of  $\rho^+(C_+)$ . The space S is the sum of the space  $S_1$ of elements w such that  $\sigma \cdot w = w$  and of the space  $S_2$  of elements w'such that  $\sigma \cdot w' = -w'$ . But these spaces are mapped into themselves by the operations of  $\rho^+(C_+)$ . Since  $\rho^+$  is simple, one of  $S_1$ ,  $S_2$  is S and the other  $\{0\}$ , whence  $\sigma = \pm I$ . It follows that  $\rho''$  is one of the representation  $\rho$ ,  $\rho'$ .

If C is not simple, then the two representations of C which extend  $\rho^+$  are called the two *spin representations of* C; the representations of  $\Gamma$  induced by these spin representations are called the *spin representations of*  $\Gamma$ .

# 2.6. Imbedded Spaces

We shall assume that B is nondegenerate. We shall denote by  $\overline{M}$  a nonisotropic subspace of M, by  $\overline{Q}$  the restriction of Q to  $\overline{M}$ , by C,  $\overline{C}$  the Clifford algebras of Q,  $\overline{Q}$ , by  $C_+$ ,  $\overline{C}_+$  the algebras of even elements of C,  $\overline{C}$ , by  $\Gamma$ ,  $\overline{\Gamma}$  the Clifford groups of Q,  $\overline{Q}$ , by  $\Gamma^+$ ,  $\overline{\Gamma}^+$  their special Clifford groups, by  $\Gamma_0^+$ ,  $\overline{\Gamma}_0^+$  their reduced Clifford groups.

We shall identify  $\overline{C}$  to the subalgebra of C generated by  $\overline{M}$ ; we then have  $\overline{C}_+ = \overline{C} \cap C_+$ .

II.6.1. The group  $\overline{\Gamma}^+$  is a subgroup of  $\Gamma^+$ ; if  $\overline{s} \in \overline{\Gamma}^+$ , then the norm of  $\overline{\overline{s}}$  is the same whether we consider  $\overline{s}$  as an element of  $\Gamma^+$  or of  $\overline{\Gamma}^+$ , and  $\overline{\Gamma}_0^+ = \overline{\Gamma}^+ \cap \Gamma_0^+$ . If  $\overline{M}$  is of even dimension, then  $\overline{\Gamma} \subset \Gamma$  and any element of  $\overline{\Gamma}$  has the same norm in  $\Gamma$  as in  $\overline{\Gamma}$ .

Let N be the conjugate space of  $\overline{M}$ . If  $y \in N$ , then y anticommutes with every element of  $\overline{M}$ ; it follows that y anticommutes with every odd element of  $\overline{C}$  and commutes with every element of  $\overline{C}_+$ . If  $\overline{s} \in \overline{\Gamma}$  is either even or odd, then we have  $\overline{sys}^{-1} = \pm y$  and  $\overline{sNs}^{-1} = N$ . Since  $M = \overline{M} \pm N$ ,  $\overline{sMs}^{-1} = \overline{M}$ ,  $\overline{s}$  is in  $\Gamma$ . This shows that  $\overline{\Gamma}^+ \subset \Gamma^+$  and that  $\overline{\Gamma} \subset \Gamma$  if  $\overline{M}$  is of even dimension (see II.3.2). It is obvious that the main antiautomorphism of C induces the main antiautomorphism of  $\overline{C}$ ; the remaining statements of II.6.1 follow immediately from this observation. II.6.2. Let  $\chi$  and  $\overline{\chi}$  be the vector representations of  $\Gamma$  and  $\overline{\Gamma}$ ; if  $\overline{s} \in \Gamma \cap \overline{\Gamma}$ , then  $\overline{\chi}(\overline{s})$  is the restriction of  $\chi(\overline{s})$  to  $\overline{M}$ , and, if  $\overline{s} \in \overline{\Gamma}^+$ , then  $\chi(\overline{s})$  leaves fixed the elements of the conjugate space of  $\overline{M}$ . The representation of  $\overline{\Gamma}^+$ induced by the spin representation of  $\Gamma^+$  is the sum of a certain number of representations equivalent to the spin representation of  $\overline{\Gamma}^+$ . Assume now that  $C_+$  is not simple and that  $\overline{M} \neq M$ . Then the representation of  $\overline{\Gamma}^+$  induced by a half-spin representation of  $\Gamma^+$  is the sum of a certain number of representations equivalent to the spin representation of  $\overline{\Gamma}^+$ .

If  $\bar{s} \in \Gamma \cap \overline{\Gamma}$ , then we have  $\chi(\bar{s}) \cdot x = \bar{s}x\bar{s}^{-1}$  for all  $x \in M$ , which shows that the restriction of  $\chi(\bar{s})$  to  $\overline{M}$  is  $\bar{\chi}(\bar{s})$ . Every element y of the conjugate space of  $\overline{M}$  anticommutes with every element of  $\overline{M}$  and therefore commutes with every element of  $\overline{C}_{+}$ , which shows that  $\chi(\overline{s}) \cdot y = y$  if  $\bar{s} \in \overline{\Gamma}_+$ . We have  $\overline{C}_+ \subset C_+$ ; if  $\overline{C}_+$  is simple, then the representation of  $\overline{C}_+$  induced by a representation of  $C_+$  is the sum of a certain number of representations all equivalent to the spin representation of  $\overline{C}_{+}$ . (Observe that the unit element of  $\overline{C}_+$  is also unit element of  $C_+$ .) This shows that the representation of  $\overline{\Gamma}^+$  induced by the spin representation of  $\Gamma^+$ (or by a half-spin representation of  $\Gamma^+$ , if  $C_+$  is not simple) is the sum of a certain number of representations equivalent to the spin representation of  $\overline{\Gamma}^+$ . Assume now that  $\overline{C}_+$  is not simple but that  $C_+$  is, and let  $\tau$  be the representation of  $\overline{C}_+$  induced by the spin representation of  $C_{+}$ . Let  $\omega_1$  and  $\omega_2$  be the two half-spin representations of  $\overline{C}_{+}$ ; then the spin representation of  $\overline{C}_{+}$  is  $\omega_{1} + \omega_{2}$ . The representation  $\tau$  is the sum of a certain number of simple representation of  $\overline{C}_{+}$ , each one of which is equivalent to  $\omega_1$  or  $\omega_2$ ; we wish to prove that  $\omega_1$  and  $\omega_2$  occur the same number of times in  $\tau$ . We may obviously assume  $\overline{M} \neq M$ . The regular representation of  $C_{+}$  on itself is the sum of a certain number of representations all equivalent to the spin representation; it will therefore be sufficient to prove that  $\omega_1$  and  $\omega_2$  occur the same number of times in the representation  $\theta$  of  $\overline{C}_{+}$  induced by the regular representation of  $C_{+}$ .

The algebra  $\overline{C}_+$  is the sum of two simple ideals  $\overline{\mathfrak{a}}_1$  and  $\overline{\mathfrak{a}}_2$ . Let  $\overline{x}$  be a nonsingular element of  $\overline{M}$ ; then  $\overline{u} \to \overline{x}\overline{u}\overline{x}^{-1}$  is an automorphism j of  $\overline{C}_+$ . We assert that j exchanges the ideals  $\overline{\mathfrak{a}}_1$  and  $\overline{\mathfrak{a}}_2$ . We may write  $\overline{\mathfrak{a}}_i = \overline{C}_+\epsilon_i$ , where  $\epsilon_i$  is a central idempotent of  $\overline{C}_+$ . If we had  $j(\mathfrak{a}_1) = \mathfrak{a}_1$ , then we would have  $\epsilon_1 = \overline{x}\epsilon_1\overline{x}^{-1}$ ; but it is clear that  $\overline{C} = \overline{C}_+ + \overline{C}_+\overline{x}$ ; since  $\epsilon_1$  is in the center of  $\overline{C}_+$ , it would be in the center of  $\overline{C}$ . But this is impossible, since,  $\overline{C}_+$  not being simple,  $\overline{M}$  is of even dimension and  $\overline{C}$  central simple. It follows that  $j(\mathfrak{a}_1) = \mathfrak{a}_2$ ,  $j(\mathfrak{a}_2) = \mathfrak{a}_1$ . Since  $\overline{M} \neq M$ , the conjugate space of  $\overline{M}$  contains some nonisotropic vector y; since y anticommutes with every element of  $\overline{M}$ , it commutes with every element of  $\overline{C}_+$ . The element  $\overline{x}y$  is an invertible element of  $C_+$ ; let j' be the mapping  $u \rightarrow du$  $(\overline{x}y)u(\overline{x}y)^{-1}$  of  $C_+$  into itself. Then j' extends j. Let  $\mathfrak{M}_i$  be the set of elements  $u \in C_+$  such that  $\epsilon_i u = 0$  (i = 1, 2); then it is clear that  $C_+$ is the direct sum of  $\mathfrak{M}_1$  and  $\mathfrak{M}_2$  (because  $\epsilon_1 + \epsilon_2 = 1$ ,  $\epsilon_1 \epsilon_2 = 0$ ). Since  $j' \cdot \epsilon_1 = \epsilon_2$ ,  $j' \cdot \epsilon_2 = \epsilon_1$ , j' transforms  $\mathfrak{M}_1$  into  $\mathfrak{M}_2$ , and  $\mathfrak{M}_1$ ,  $\mathfrak{M}_2$  have the same dimension. It is clear that  $\overline{u}\mathfrak{M}_i \subset \mathfrak{M}_i$  (i = 1, 2) for any  $\overline{u} \in C_+$ ; denote by  $\theta_i(\overline{u})$  the restriction of  $\theta(\overline{u})$  to  $\mathfrak{M}_i$ . Then  $\theta$  is the sum of the representations  $\theta_1$  and  $\theta_2$ , which are of the same degree. One of the representations  $\omega_1$ ,  $\omega_2$  map  $\epsilon_1$  upon 0 and  $\epsilon_2$  upon the identity, and the other maps  $\epsilon_2$  upon 0 and  $\epsilon_1$  upon the identity; we may assume that  $\omega_i(\epsilon_i) = 0$ . It is then clear that  $\theta_i$  is the sum of a certain number of representations equivalent to  $\omega_i$  (i = 1, 2). Since  $\theta_1$ ,  $\theta_2$  are of the same degree and  $\omega_1$ ,  $\omega_2$  of the same degree, it is clear that  $\omega_1$  occurs as many times in  $\theta_1$  as  $\omega_2$  in  $\theta_2$ , and therefore that  $\omega_1$  and  $\omega_2$  occur the same number of times in  $\theta$  and in  $\tau$ . This shows that  $\tau$  is the sum of a certain number of representations equivalent to the spin representation of  $C_+$ .

Assume now that  $C_+$  is not simple. Let  $\rho_1$  be a half-spin representation of  $C_+$  and  $\tau'$  the representation of  $\overline{C}_+$  induced by  $\rho_1$ . We shall see that  $\omega_1$ ,  $\omega_2$  also occur the same number of times in  $\tau'$ . The algebra  $C_+$  is the sum of two simple ideals of which one, say  $\mathfrak{a}$ , is represented faithfully under  $\rho_1$ , while the other one is mapped upon  $\{0\}$ . Let  $\sigma_1$  be the representation of  $C_+$  which assigns to every  $u \in C_+$  the mapping  $w_1 \rightarrow uw_1$  of a into itself. Then  $\sigma_1$  is the sum of a certain number of representations equivalent to  $\rho_1$ , and the representations equivalent to  $\tau'$ : it will be sufficient to prove that  $\omega_1$  and  $\omega_2$  occur the same number of times in  $\theta'$ . The automorphism j' defined above is an inner automorphism of  $C_+$  and therefore transforms a into itself. The proof the goes exactly as above, decomposing a into the direct sum  $\mathfrak{M}'_1 + \mathfrak{M}'_2$  of the spaces  $\mathfrak{M}'_* = \mathfrak{M}_* \cap \mathfrak{a}$ , which are transformed into each other by j'.

### 2.7. Extension of the Basic Field

Let M be a finite-dimensional vector space of dimension m over a field K, and Q a quadratic form on M whose associated bilinear form is nondegenerate. Let K' be an overfield of K, let M' be the vector space over K' which is deduced from M by extending to K' the basic field, and let Q' be the quadratic form on M' which extends Q. Then the Clifford algebra C' of Q' may be identified to the algebra deduced from C by extending the basic field to K' (II.1.5). It is clear that  $C_+ =$  $C'_+ \cap C$  and that the main antiautomorphism of C is the restriction to C of the main antiautomorphism of C'. Let  $\Gamma$ ,  $\Gamma^+$ ,  $\Gamma_0^+$  be the Clifford group, the special Clifford group, and the reduced Clifford group of Q, and  $\Gamma'$ ,  ${\Gamma'}^+$ ,  ${\Gamma'}_0^+$  those of Q'. Then it is clear that

$$\Gamma \subset \Gamma' \qquad \Gamma^+ \subset {\Gamma'}^+ \qquad \Gamma_0^+ \subset {\Gamma'}_0^+.$$

Let  $\chi$ ,  $\chi'$  be the vector representations of  $\Gamma$ ,  $\Gamma'$ . Then, for  $s \in \Gamma$ ,  $\chi(s)$ is obviously the restriction of  $\chi'(s)$  to M. If M is even-dimensional, let  $\rho$  and  $\rho'$  be the spin representations of C and C'. If S is the space of spinors for Q, then  $\rho$  may be extended to a representation  $\rho^{K'}$  of C' on the space  $S^{K'}$  deduced from S by extension to K' of the basic field. Since  $\rho^{K'}(1)$  is the identity,  $\rho^{K'}$  is the sum of a certain number of representations of C' equivalent to the spin representation. It follows that, for any one of the groups  $\Gamma$ ,  $\Gamma^+$ ,  $\Gamma_0^+$ , the representation deduced from the spin representation by extension of the basic field is the sum of a certain number of representations all equivalent to the one induced by the spin representation of the corresponding group  $\Gamma'$ ,  ${\Gamma'}^+$ , or  ${\Gamma'}_0^+$ . If  $C_+$  is not simple, then the same is true of  $C'_+$  and the simple ideals of  $C'_{+}$  are those generated by the simple ideals of  $C_{+}$ . This shows that the representation of  $\Gamma^+$  or  $\Gamma_0^+$  deduced by extension of the basic field of a half-spin representation is the sum of a certain number of representations all equivalent to the one induced by a suitable half-spin representation of the corresponding group  ${\Gamma'}^+$  or  ${\Gamma'}_0^+$ .

If M is odd-dimensional, we see in the same way that the representation of  $\Gamma^+$  or  $\Gamma_0^+$  deduced by extending the basic field from the spin representation is the sum of a certain number of representations all equivalent to the one induced by the spin representation of  ${\Gamma'}^+$  or  ${\Gamma'}_0^+$ .

#### 2.8. The Theorem of Hurwitz

Let M be a vector space of finite dimension m over a field K and Q a quadratic form on M whose associated bilinear form B is nondegenerate. In certain cases, it is possible to find a bilinear mapping  $\varphi$  of  $M \times M$  into M which satisfies the identity

$$Q(\varphi(x, y)) = Q(x)Q(y) \qquad (x, y \in M). \tag{1}$$

For instance, if m = 1 and Q takes the value 1, let  $x_1$  be such that  $Q(x_1) = 1$ . If  $x, y \in M$ , set  $x = ax_1, y = bx_1$ ; then the mapping defined by  $\varphi(x, y) = abx_1$  has the required property.

Now, assuming that K is not of characteristic 2, let Z be a commutative algebra of dimension 2 over K with a base  $(x_1, x_2)$  such that  $x_1$  is the unit element and  $x_2^2 = ax_1$ , a being an element  $\neq 0$  in K. Then there is an automorphism  $z \rightarrow \overline{z}$  of order 2 of Z such that  $\overline{x}_2 = -x_2$ ; if  $x = ux_1 + vx_2 \in Z$  (with  $u, v \in K$ ), then we have  $x\overline{x} = (u^2 - av^2)x_1$ ; set  $Q(x) = u^2 - av^2 = x\overline{x}$ . Then the associated bilinear form of Q is clearly nondegenerate. We have

$$Q(xy)x_1 = xy\overline{x}\overline{y} = x\overline{x}y\overline{y} = Q(x)Q(y)x_1$$
,

whence Q(xy) = Q(x)Q(y).

The algebra Z defined above may be imbedded into a "generalized quaternion algebra L" which is generated by Z and by an element  $x_3$  such that  $x_3^2 = bx_1$ ,  $b \in K$ ,  $b \neq 0$ , and  $x_3x_2x_3^{-1} = -x_2 = \overline{x}_2$ , whence  $x_3zx_3^{-1} = \overline{z}$  for every  $z \in Z$ . The elements  $x_1$ ,  $x_2$ ,  $x_3$ ,  $x_2x_3 = x_4$  form a base of L. If

$$x = \sum_{i=1}^{4} u_i x_i \in L,$$

set

$$\bar{x} = u_1 x_1 - \sum_{i=2}^4 u_i x_i$$
.

We then have  $\overline{x}_1 = x_1$ ,  $\overline{x}_i = -x_i$  if i > 1. The multiplication in L is defined by the formulas

$$\begin{aligned} x_1x_i &= x_ix_1 = x_i \ (i = 1, 2, 3, 4) \quad x_2^2 = ax_1 \quad x_3^2 = bx_1 \quad x_4^2 = -abx_1 \ , \\ x_2x_3 &= -x_3x_2 = x_4 \quad x_2x_4 = ax_3 = -x_4x_2 \quad x_4x_3 = bx_2 = -x_3x_4 \ . \end{aligned}$$

It follows immediately that the mapping  $x \to \overline{x}$  is an antiautomorphism of L. The only elements left fixed by this antiautomorphism are those of  $Kx_1$ . The conjugate of  $x\overline{x}$  being  $x\overline{x}$  itself, we have  $x\overline{x} = Q(x)x_1$ , Q(x) a scalar. An easy computation gives

$$Q\left(\sum_{i=1}^{4}u_{i}x_{i}\right) = u_{1}^{2} - au_{2}^{2} - bu_{3}^{2} + abu_{4}^{2}, \qquad Q(xy) = Q(x)Q(y).$$

Were K of characteristic 2, we could construct similar examples by taking for Z a commutative algebra of dimension 2 over K which is either the sum of two fields isomorphic to K or a separable quadratic extension of K.

On the other hand, if M is of dimension 8 and Q of index 4, we shall construct in  $IV \cdot 5$  a mapping  $\varphi$  which has the property (1).

We shall now prove a result due to Hurwitz,<sup>1</sup> which states that, if

<sup>1</sup>A. Hurwitz, "Über die Komposition der quadratischen Formen von beliebig vielen Variabeln," Nachrichten von der Königlichen Gesellschaft der Wissenschaften zu Göttingen, 1898, p. 309, or Mathematische Werke, (Basel: Birkhauser, 1932), II, p. 565; see also "Über die Komposition der quadratischen Formen," Mathematische Annalen, 88 (1923), p. 1, or Mathematische Werke, II, p. 641.  $m \neq 1, 2, 4, 8$ , there exists no bilinear mapping  $\varphi$  of  $M \times M$  into M for which (1) holds.

Assume that we have a bilinear mapping  $\varphi$  for which (1) is true. Let x, y, z be elements of M; we then have  $\varphi(x, y + z) = \varphi(x, y) + \varphi(x, z)$ ; applying (1) by replacing successively y by y, z and y + z, we easily obtain the formula

$$B(\varphi(x, y), \varphi(x, z)) = Q(x)B(y, z).$$
<sup>(2)</sup>

Replacing x by x, x' and x + x' in (2), we easily obtain

$$B(\varphi(x, y), \varphi(x', z)) + B(\varphi(x', y), \varphi(x, z)) = B(x, x')B(y, z).$$
(3)

Conversely, assume that we have a subset S of M such that the formulas (1), (2), (3) are valid whenever x, x', y, z are in S. If S spans the vector space M, then (1) is valid for all  $x, y \in M$ . For, let first y be in S and x any element of M; write

$$x = \sum_{i=1}^{h} a_i x_i$$

with  $a_i \in K$ ,  $x_i \in S$ . Then we have

$$\begin{aligned} Q(\varphi(x, y)) &= Q(\sum_{i=1}^{h} a_i \varphi(x_i, y)) \\ &= \sum_{i=1}^{h} a_i^2 Q(\varphi(x_i, y)) + \sum_{i < j} a_i a_i B(\varphi(x_i, y), \varphi(x_i, y)) \\ &= \sum_{i=1}^{h} a_i^2 Q(x_i) Q(y) + \sum_{i < j} a_i a_i B(x_i, x_i) Q(y) \\ &= Q(x) Q(y), \end{aligned}$$

which shows that (1) is true if  $x \in M$ ,  $y \in S$ . On the other hand, if y,  $z \in S$ , we have, by using (2), (3),

$$B(\varphi(x, y), \varphi(x, z)) = \sum_{i,i=1}^{h} a_i a_i B(\varphi(x_i, y), \varphi(x_i, z))$$
  
= 
$$\sum_{i=1}^{h} a_i^2 Q(x_i) B(y, z) + \sum_{i< i} a_i a_i B(x_i, x_i) B(y, z)$$
  
= 
$$Q(x) B(y, z),$$

which shows that (2) is true if  $x \in M$ ,  $y, z \in S$ . Now let x and y be arbitrary in M; writing y as a linear combination of elements of S, we see by a computation similar to the one made above that  $Q(\varphi(x, y)) = Q(x)Q(y)$ .

This being said, let K' be an overfield of K. Denote by M' the vector space over K' deduced from M by extending the basic field and by Q' the quadratic form on M' which extends Q. It is easily seen that  $\varphi$  may be extended to a bilinear mapping  $\varphi'$  of  $M' \times M'$  into M'. Since M spans M', it follows from what we have just said that  $Q'(\varphi'(x, y)) =$ Q'(x)Q'(y) for all x, y  $\varepsilon$  M'. This shows that, in proving Hurwitz's Theorem, we may replace K by any larger field. In particular, we may assume that Q has its maximal possible index r (i.e., m = 2r or m =2r + 1). We shall also assume that m > 2. The space M contains at least one element  $x_1$  such that  $Q(x_1) = 1$ , for, if x, y are such that Q(x) = Q(y) = 0, B(x, y) = 1, then  $x_1 = x + y$  has the required property. The mapping  $\sigma_1: y \to \varphi(x_1, y)$  then belongs to the orthogonal group G of Q. Set  $\psi(x, y) = \sigma_1^{-1}$ . ( $\varphi(x, y)$ ). Then it is clear that  $Q(\psi(x, y))$ = Q(x)Q(y) and  $\psi(x_1, y) = y$  for all  $y \in M$ . For any  $x \in M$ , we denote by  $L_x$  the mapping  $y \to \psi(x, y)$ . We first prove that m is even. Let  $x \neq 0$  be any singular vector; then we have  $Q(L_x \cdot y) = 0$  for all  $y \in M$ , and  $L_x(M)$  is totally singular. The dimension  $\rho$  of this space is therefore  $\leq r$ . On the other hand, if y is a nonsingular vector, then the mapping  $z \rightarrow \psi(z, y)$  is one-to-one. For, we easily see (in the same way that we proved formula (2)) that  $B(\psi(z, y), \psi(z', y)) = B(z, z')Q(y)$  for all z,  $z' \in M$ . Thus, the condition  $\psi(z', y) = 0$  implies B(z, z') = 0 for all  $z \in M$ , whence z' = 0. This shows that the kernel of  $L_z$  is totally singular. The dimension of this kernel is  $m - \rho$ ; thus, we have  $m \leq \rho + r = 2r$ , which shows that  $m \neq 2r + 1$  and that m is even.

Let x be any element of M such that  $B(x, x_1) = 0$ . Then  $Q(\psi(x_1 + x, y))$  is equal on the one hand to

$$Q(x_1 + x)Q(y) = (1 + Q(x))Q(y)$$

and on the other hand to

$$Q(y + L_x \cdot y) = Q(y) + Q(x)Q(y) + B(y, L_x \cdot y);$$

thus, we have  $B(y, L_x \cdot y) = 0$ . Replacing y by y, z and y + z in this formula, we obtain immediately

$$B(y, L_x \cdot z) + B(z, L_x \cdot y) = 0.$$

Replacing z by  $L_x \cdot z$ , we obtain

$$B(y, L_x^2 \cdot z) = -Q(x)B(y, z),$$

or

$$B(y, (L_x^2 + Q(x)I) \cdot z) = 0,$$

where I is the identity mapping. We conclude that

$$L_x^2 = -Q(x) \cdot I$$
 if  $B(x, x_1) = 0.$  (4)

Let *H* be the conjugate hyperplane of  $Kx_1$ . If *K* is of characteristic 2, let *N* be a subspace of *H* supplementary to  $Kx_1$ ; if not, let *N* be any (m-2)-dimensional nonisotropic subspace of *H*. Let *C'* be the Clifford algebra of the restriction of -Q to *N*, and let  $\mathfrak{M}$  be the algebra of all endomorphisms of *M*. It follows from (4) and from II.1.1 that the linear mapping  $x \to L_x$  of *N* into  $\mathfrak{M}$  may be extended to a homomorphism  $\theta$  of *C'* into  $\mathfrak{M}$ . The space *N* is not isotropic; since *N* is of even dimension m-2, *C'* is central simple and  $\theta$  is an isomorphism. The algebra *C'* is of dimension  $2^{2(r-1)}$ ; its simple representations are therefore all equivalent, and their degrees are multiples of  $2^{r-1}$ . Since  $\theta(C')$  contains the identity, m = 2r must be a multiple of  $2^{r-1}$ , and *r* is a multiple of  $2^{r-2}$ . It is easily seen that this can happen only for r = 2 or 4 (since m > 2 by assumption), which proves Hurwitz's Theorem.

## 2.9. Quadratic Forms over the Real Numbers

Let M be a vector space of finite dimension m over the field of real numbers, and Q a quadratic form of rank m over M. If x is not singular in M, then there is a real number a such that  $Q(ax) = \pm 1$ . Thus, Mhas a base  $(x_1, \dots, x_m)$  composed of mutually orthogonal vectors  $x_i$ such that  $Q(x_i) = \pm 1$ . We may assume that  $Q(x_{2k-1}) = 1$ ,  $Q(x_{2k}) =$ -1 for  $1 \le k \le \nu$ ,  $\nu$  being some integer  $\le m/2$ , while the  $Q(x_i)$  are all equal to each other for  $i > 2\nu$ ; let  $\epsilon$  be their common value. Then we have

$$Q\left(\sum_{i=1}^{m} a_{i}x_{i}\right) = \sum_{k=1}^{r} (a_{2k-1}^{2} - a_{2k}^{2}) + \epsilon \sum_{i=2r+1}^{m} a_{i}^{2},$$

where  $\epsilon = \pm 1$ . If we denote by N the space spanned by the elements  $x_{2k-1} + x_{2k}$   $(1 \le k \le \nu)$ , by P the space spanned by the elements  $x_{2k-1} - x_{2k}$   $(1 \le k \le \nu)$ , and by R the space spanned by  $x_{2\nu+1}, \dots, x_m$ , then M = N + P + R, N, and P are totally singular and the restriction of Q to R is definite (positive if  $\epsilon > 0$ , negative if  $\epsilon < 0$ ). The conjugate of N is N + R, and the only singular vectors of this space are those of N, which shows that  $\nu$  is the index of Q. If we denote by p the number of indices i such that  $Q(x_i) = 1$  and by q the number of indices *i* for which  $Q(x_i) < 0$ , then  $\nu$  is the smallest of p, q, while p + q = m;  $\epsilon$  is + 1 if p > q, -1 if p < q. Let  $(x'_1, \dots, x'_m)$  be any other base with the same properties as  $(x_1, \dots, x_m)$ , and let N', P', R', p', q',  $\epsilon'$ be determined for this new base as N, P, R, p, q,  $\epsilon$  have been for  $(x_1,$  $\cdots$ ,  $x_m$ ). The restrictions of Q to N + P, N' + P' are equivalent; the same is therefore true of its restrictions to R, R', whence  $\epsilon = \epsilon'$ (if  $2 \nu \neq m$ ). We have p' + q' = p + q, min  $\{p, q\} = \min \{p', q'\}$ , and p > q if and only if p' > q'; it follows that p = p', q = q'. This is the famous law of inertia.
Let us now determine the structure of the Clifford algebra C of Q. The discriminant of the restriction of B to  $(N + P) \times (N \times P)$  is  $(-1)^{r}2^{2r}$ . Thus, C is isomorphic to the tensor product of the Clifford algebras  $C_0$ ,  $C_1$  of the restrictions of Q to N + P and R (II.2.5), and  $C_0$  is isomorphic to a full matrix algebra (II.2.1). Let us now assume that Q is of index 0, and suppose first that m = 2r is even. There is only one central division algebra  $\neq K$  over the field K of real numbers (up to isomorphism), and this is the algebra  $\Omega$  of quaternions. Thus, C is either isomorphic to a full matrix algebra over K or to a full matrix algebra over  $\Omega$ . Set  $\zeta(Q) = +1$  in the first case,  $\zeta(Q) = -1$  in the second case. Also set  $\epsilon(Q) = +1$  or -1, according to whether Q is positive or negative definite. If m = 2, then C has a base  $(1, x_1, x_2, \dots, x_n)$  $x_1x_2$ ) such that  $x_1^2 = \epsilon \cdot 1$ ,  $x_2^2 = \epsilon \cdot 1$ ,  $(x_1x_2)^2 = -1$ . If  $\epsilon = +1$ , then C has zero divisors (for instance,  $x_1 - 1$ ); if  $\epsilon = -1$ , then we recognize the classical base of  $\Omega$ ; thus,  $\zeta(Q) = \epsilon(Q)$  if m = 2. If m > 2, let N be a nonisotropic subspace of dimension m - 2 of M, and N' the conjugate of N; then C is isomorphic to the tensor product of the Clifford algebra of the restriction  $Q_N$  of Q to N by that of  $(-1)^{r-1}Q_{N'}$ , where  $Q_{N'}$  is the restriction of Q to N' (II.2.5, observing that the discriminant of the restriction of B to  $N \times N$  is a square). Since  $\Omega \otimes \Omega$  is a full matrix algebra, we have

$$\zeta(Q) = \zeta(Q_N)\zeta((-1)^{r-1}Q_{N'}) = (-1)^{r-1}\zeta(Q_N)\epsilon.$$

It follows immediately that

$$\zeta(Q) = (-1)^{r(r-1)/2} \epsilon^{r}(Q).$$

Moreover,  $C_+$  is simple if r is odd, and is the direct sum of two ideals if r is even (by II.2.3).

Suppose now that m = 2r + 1 is odd. Let  $x_0$  be any element  $\neq 0$  in M, and N the conjugate of  $Kx_0$ . Then  $C_+$  is isomorphic to the Clifford algebra of the restriction of  $-\epsilon Q$  to N (see the proof of II.2.6). Thus,  $C_+$  is isomorphic to a full matrix algebra over K if  $(-1)^{r(r+1)/2} = 1$ , over  $\Omega$  if  $(-1)^{r(r+1)/2} = -1$ . Moreover, C is simple if  $(-1)^r \epsilon = -1$ , but is the sum of two simple ideals if  $(-1)^r \epsilon = +1$ .

Returning now to the general case, we observe that the Clifford group  $\Gamma$  of Q is a closed subgroup of the multiplicative group of invertible elements of C (where C is given its natural vector-space topology);  $\Gamma$  is therefore a Lie group. We shall determine its Lie algebra. For any  $X \in C$ , let L(X) be the operator of left multiplication by X in C. Then L(X) is an endomorphism of the finite-dimensional vector space C; as such, it has an exponential

$$\exp L(X) = \sum_{k=0}^{\infty} (k!)^{-1} (L(X))^{k}.$$

We may write this as

$$\lim_{n\to\infty} L\bigg(\sum_{k=0}^n (k!)^{-1} X^k\bigg).$$

It is clear that  $u \to L(u)$  is a homeomorphism of C with a subspace of the vector space of endomorphisms of the vector space structure of C. We conclude that

$$\sum_{k=0}^{n} (k!)^{-1} X^{k}$$

tends to a limit in C as n increases indefinitely. We denote this limit by exp X, and we then have exp  $L(X) = L(\exp X)$ . We know that the exponential of a matrix depends continuously on this matrix; it follows that  $X \to \exp X$  is a continuous mapping of C into itself. We have exp  $(X + Y) = (\exp X) (\exp Y)$  if XY = YX; in particular,  $\exp X$  is invertible, and  $(\exp X)^{-1} = \exp(-X)$ . Let C\* be the multiplicative group of invertible elements of C. Then  $t \to \exp tX$  ( $t \in K$ ) is a oneparameter subgroup of C\*; thus, we see that L(C) is in the Lie algebra of  $L(C^*)$ . But  $L(C^*)$  is obviously of dimension  $\leq 2^m$  and L(C) is of dimension  $2^m$ . Thus, L(C) is the full Lie algebra of  $L(C^*)$ . If we set [X, Y] = XY - YX for X, Y in C, we have L([X, Y]) = [L(X), L(Y)]; thus, we see that we may regard C as the Lie algebra of C\*, C being made into a Lie algebra by means of the law of composition  $(X, Y) \to [X, Y]$ .

If  $u \in C^*$ , denote by  $\chi(u)$  the mapping  $w \to uwu^{-1}$  of C into itself;  $\chi$  is a linear representation of  $C^*$ . Regarding C as the Lie algebra of  $C^*$ ,  $\chi$  is clearly the adjoint representation of  $C^*$ . If we denote by A(X)the mapping  $Y \to [X, Y]$ , then  $\chi(\exp X) = \exp A(X)$ . Now,  $\Gamma$  is the group of all  $u \in C^*$  such that  $(\chi(u))(M) = M$ ; for X to belong to the Lie algebra of  $\Gamma$ , it is necessary and sufficient that  $\exp tA(X)$  should map M into itself for all real t, i.e., that A(X) should map M into itself. We propose now to determine the elements X with this property.

Let x, y be in M; then we have, for  $z \in M$ ,

$$xyz - zxy = xB(y, z) - (xz + zx)y$$
$$= B(y, z)x - B(x, z)y$$

and xy belongs to the Lie algebra of  $\Gamma$ . If m is odd, then the center Z of C is spanned by 1 and by an odd element z, and A(Z) = 0. Let c be the

space spanned by the elements xy  $(x, y \in M)$  and by z (the last one only if m is odd). Then c is clearly of dimension 1 + m(m-1)/2 if m is even, 2 + m (m - 1)/2 if m is odd. Now, the image of  $\Gamma$  under its vector representation is G or  $G^+$  (depending on the parity of m), and it is well known that G and  $G^+$  are of dimension m(m - 1)/2. The representation  $\chi$  is continuous, and its kernel is the intersection of  $\Gamma$  with the center of Z; this kernel is of dimension 1 if m is even, 2 if m is odd. This shows that  $\Gamma$  is of dimension 1 + m(m - 1)/2 if m is even, 2 + m(m - 1)/2 if m is odd. This shows that c is the full Lie algebra of  $\Gamma$ . The Lie algebra of  $\Gamma^+$ is obviously the space c<sup>+</sup> spanned by the products xy, x,  $y \in M$ . If  $\alpha$  is the main antiautomorphism of C, then, clearly,  $\alpha$  (exp X) = exp  $\alpha(X)$  $(X \in C)$ . It follows immediately that the Lie algebra  $c_0^+$  of  $\Gamma_0^+$  is the set of  $X \in c^+$  such that  $\alpha(X) + X = 0$ . This is easily seen to be the space spanned by all products xy, where x, y are vectors of M orthogonal to each other.

If Q is definite (either positive or negative), then it is well known that  $G^+$  is a connected group. In that case, we have  $G_0^+ = G^+$  in virtue of II.3.6. The kernel of the vector representation  $\chi$  of  $\Gamma_0^+$  is composed of 1 and -1. If m > 1, then -1 belongs to the connected component of 1 in  $\Gamma_0^+$ . For, let x and y be two vectors of M orthogonal to each other, such that  $Q(x) = Q(y) = \pm 1$ . Then we have  $(xy)^2 = -1$  and  $\exp txy = \cos t + (\sin t)xy$ , whence  $\exp \pi xy = -1$ ; since  $\exp txy \in \Gamma_0^+$  for all real t, -1 belongs to the connected component of 1. It follows easily that  $\Gamma_0^+$  is a connected group, which "covers"  $G^+$  exactly twice. If m > 1, then it is known that the Poincaré group of  $G^+$  is of order 2;  $\Gamma_0^+$  is then the simply connected covering group of  $G^+$ .

If, however, Q is of index  $\neq 0$ , then  $G_0^+$  is of index 2 in  $G^+$  (by II.3.6). Every element  $\sigma$  sufficiently near the identity in the Lie group  $G^+$ belongs to a one-parameter subgroup and is therefore a square in  $G^+$ , whence  $\sigma \in G_0^+$ . It follows that  $G_0^+$  is an open subgroup of  $G^+$  and contains the connected component of the identity in  $G^+$ . We shall establish that  $G_0^+$  is connected. If x is a nonsingular vector in M, then there is a scalar a such that  $Q(ax) = \pm 1$ . Thus, an element  $s \in \Gamma^+$  may be represented in the form  $s = cx_1 \cdots x_{2h}$ , where  $x_i \in M$ ,  $Q(x_i) = \pm 1$  ( $1 \leq i$  $\leq 2h$ ), and  $c \in K$ . If p is the number of indices i such that  $Q(x_i) = -1$ , then we have  $\lambda(s) = (-1)^p c^2$ ; thus, s belongs to  $\Gamma_0^+$  if and only if  $c = \pm 1$  and p is even. Moreover, we may assume that  $Q(x_1) = \cdots =$  $Q(x_p) = -1$ ,  $Q(x_{p+1}) = \cdots = Q(x_{2h}) = +1$ . For, if  $Q(x_i) = 1$ ,  $Q(x_{i+1}) = -1$ , we may write

$$x_i x_{i+1} = x_{i+1} (x_{i+1}^{-1} x_i x_{i+1})$$

and  $Q(x_{i+1}^{-1}x_ix_{i+1}) = +1$ ; by a succession of transformations of this kind, we may bring all factors  $x_i$  with  $Q(x_i) < 0$  in front of the product. Thus,  $\Gamma_0^+$  is generated by  $\pm 1$  and by the products xy, where x, y are vectors such that  $Q(x) = Q(y) = \pm 1$ . Consider now any such product xy. If x, y are linearly dependent, then we have  $xy = \pm 1$ . If not, then they span a plane P. Let D be the set of vectors  $x' \in P$  such that Q(x') =Q(x). We shall see that y belongs either to the connected component of x or to that of -x in D. If P is isotropic, then P = Kx + Kz, where z is a singular vector such that B(x, z) = 0, and D consists of all vectors  $\pm x + az$ ,  $a \in K$ , which proves our assertion in that case. If P is not isotropic and the restriction of Q to P is of index 1, then we have P = Kz + Kz', where z, z' are singular vectors such that B(z, z') = 1. In that case, D is the set of all vectors of the form  $kz + Q(x)k^{-1}z'$ , with  $k \neq 0$ ; D has two components (corresponding to the cases where k > 0, k < 0), one of which contains x and the other -x. If the restriction of Q to P is of index 0, then D is clearly connected. It follows that xybelongs either to the component of 1 or to that of -1 in  $\Gamma_0^+$ . Thus,  $\Gamma_0^+$  has at most two connected components, and, if it has two, then one of them contains 1 and the other -1. It follows immediately that  $G_0^+ = \chi(\Gamma_0^+)$  is connected. It is easily seen that  $\Gamma_0^+$  itself is connected if m > 2, but not if m = 2.

# CHAPTER III

# FORMS OF MAXIMAL INDEX

We shall denote by M a vector space of finite dimension m over a field K and by Q a quadratic form on M whose associated bilinear form B is nondegenerate. We shall furthermore assume that Q is of maximal index, i.e., of index m/2 if m is even, (m - 1)/2 if m is odd. We shall denote by G the orthogonal group of Q, by  $G^+$  its group of rotations, by  $G_0^+$  its restricted orthogonal group, by C its Clifford algebra, by  $C_+$  and  $C_-$  the spaces of even and odd elements of C, by  $\Gamma$  the Clifford group of Q, by  $\chi$  the vector representation of  $\Gamma$ , by  $\Gamma^+$  the special Clifford group of Q, by  $\Gamma_0^+$  its reduced Clifford group, by  $\rho$ ,  $\rho^+$ ,  $\rho_0^+$  the spin representations of  $\Gamma$ ,  $\Gamma^+$ ,  $\Gamma_0^+$  (the first one only in the case m even), by  $\lambda$  the norm homomorphism, and by  $\alpha$  the main antiautomorphism of C.

Except in Section 3.8, we shall assume that m is even and we shall set m = 2r. We shall then denote by N and P fixed totally singular r-dimensional subspaces of M such that M = N + P, by  $C^N$  and  $C^P$  the subalgebras of C generated by N and P, and by f the product of the elements of some base of P. Then Cf is a minimal left ideal of C, and we have  $Cf = C^N f$  (II.2.2). There is a representation  $\rho$  of C on  $C^N$  such that  $vuf = (\rho(v) \cdot u)f$  if  $v \in C$ ,  $u \in C^N$ . Since Cf is a minimal left ideal,  $\rho$  is simple. We may therefore take the space S of spinors to be  $C^N$ ,  $\rho$  being the spin representation. We shall always assume that S has been defined in this manner.

The space  $C^N$  may be identified to the exterior algebra of N. For any integer h, let  $C_h^N$  be the space of homogeneous elements of degree h of  $C^N$ ;  $C^N \cap C_+$  is then the sum of the spaces  $C_h^N$  for h even, while  $C^N \cap C_-$  is the sum of the spaces  $C_h^N$  for h odd; we shall denote these spaces by  $C_+^N$ ,  $C_-^N$ .

If  $x \in N$ , then  $\rho(x)$  is the operation of left mutiplication by x in  $C^N$ , while, if  $y \in P$ ,  $\rho(y)$  is the homogeneous antiderivation of degree -1 of  $C^N$  such that  $\rho(y) \cdot x = B(x, y) \cdot 1$  for  $x \in N$  (II.2.2). It follows immediately that, if  $z \in M$ , then  $\rho(z)$  maps  $C_+^N$  into  $C_-^N$  and  $C_-^N$  into  $C_+^N$ . We conclude that, if  $u \in C_+$ , then  $\rho(u)$  maps each one of the spaces  $C_+^N$ ,  $C_-^N$  into itself; we denote by  $\rho_p^+(u)$ ,  $\rho_i^+(u)$  the restrictions of  $\rho(u) =$ 

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 $\rho^+(u)$  to  $C_+{}^N$ ,  $C_-{}^N$ . Thus, we see that the spin representation  $\rho^+$  of  $C_+$  is not simple. We shall deduce from this that  $C_+$  itself is not simple. The algebra  $C_+$  is of dimension  $2^{m-1}$ , while the algebra of all endomorphisms of the vector space  $C^N$  is of dimension  $2^m$ . Since  $C_+$  is semisimple, the algebra  $\mathfrak{Z}$  of vector-space endomorphisms of  $C^N$  which commute with all operations of  $\rho(C_+)$  is of dimension  $2^m/2^{m-1} = 2$ . The center Z of  $C_+$  is of dimension 2 (II.2.3), and  $\mathfrak{Z} \supset \rho(Z)$ , whence  $\mathfrak{Z} = \rho(Z)$ . Were  $C_+$  simple, then Z would be a field; the same would be true of  $\mathfrak{Z}$ , and, by a well-known theorem,  $\rho^+$  would be simple, which is not the case.

Thus, the spaces of half-spinors are  $C_{+}^{N}$  and  $C_{-}^{N}$ ; we shall denote  $C_{+}^{N}$  (respectively:  $C_{-}^{N}$ ) by  $S_{p}$  (respectively:  $S_{i}$ ) and call it the space of even (respectively: odd) half-spinors. The half-spin representations of  $\Gamma^{+}$ ,  $\Gamma_{0}^{+}$  on the spaces  $S_{p}$ ,  $S_{i}$  will be denoted by  $\rho_{p}^{+}$ ,  $\rho_{i}^{+}$  for  $\Gamma^{+}$ , and by  $\rho_{0,p}^{+}$ ,  $\rho_{0,i}^{+}$  for  $\Gamma_{0}^{+}$ .

## 3.1. Pure Spinors

Let Z be any totally singular subspace of dimension r of M,  $C^z$  the subalgebra of C generated by Z, and  $f_z$  the product of the elements of some base of Z. Then  $f_z$  is determined by Z up to a scalar factor  $\neq 0$  (as follows from the fact that  $C^z$  may be identified to the exterior algebra of Z), and  $f^z C$  is a minimal right ideal of C (by II.2.2).

III.1.1. The intersection of any minimal left ideal of C with any minimal right ideal is a vector space of dimension 1 over K.

The algebra  $\rho(C)$  is the algebra of all endomorphisms of S. Let a be a minimal left ideal of C: then we have a = Ce, where e is an idem-- potent. The operation  $\rho(e)$ , being idempotent, is a projection; let H be its kernel and  $H' = (\rho(e))(S)$ . It is clear that for any  $v \in C$ ,  $\rho(ve)$  maps H upon {0}. Conversely, let v' be in C and such that  $\rho(v')$  maps H upon {0}; it is then clear that v' = v'e (since  $\rho(e)$  maps the elements of H'upon themselves). Thus, a is the set of all elements  $v \in C$  such that  $\rho(v)$  maps H upon  $\{0\}$ . Conversely, if  $H_1$  is any subspace of S, the set  $a_1$  of elements  $v \in C$  such that  $\rho(v)$  maps  $H_1$  upon  $\{0\}$  is a left ideal, and, if  $H_1 \supset H_1$ , then  $a_1 \subset a$ . Since a is minimal, it follows immediately that H is a hyperplane. Let now b be a minimal right ideal; then b = e'C, where e' is an idempotent. The operation  $\rho(e')$  is a projection; let D be its kernel and  $D' = (\rho(e'))(S)$ ; then the operations of b map S into D'. Conversely, let v be in C and such that  $(\rho(v))(S) \subset D'$ . Since  $\rho(e')$  is the identity on D', we have  $v = e'v \varepsilon b$ . Conversely, for any subspace  $D_1'$  of S, the set of  $v \in C$  such that  $\rho(v)$  maps S into  $D_1'$  is a right ideal

 $\mathfrak{b}_1$ , and  $D_1' \subset D'$  implies  $\mathfrak{b}_1 \subset \mathfrak{b}$ . Since  $\mathfrak{b}$  is minimal, D' must be of dimension 1. Now,  $\mathfrak{a} \cap \mathfrak{b}$  is the set of  $v \in C$  such that  $\rho(v)$  maps H upon  $\{0\}$  and S into D'; if x is in S but not in H and  $y \neq 0$  in D', then  $v \in \mathfrak{a} \cap \mathfrak{b}$  implies that  $\rho(v) \cdot x = ay$ ,  $a \in K$ , and v is uniquely determined when a is given. It follows that  $\mathfrak{a} \cap \mathfrak{b}$  is of dimension 1.

This being said, let us return to the notation used above. The space  $Cf \cap f_z C$  is one-dimensional, and may therefore be written in the form  $S_z f$ , where  $S_z$  is a one-dimensional subspace of S. Any element  $\neq 0$  of this space is called a *representative spinor* of Z. Any element of S which is representative of some *r*-dimensional totally singular space is called a *pure spinor*.

III.1.2. Let Z be a totally singular r-dimensional subspace of M. Then there exists an  $s \in \Gamma$  such that  $sPs^{-1} = Z$ ; for any such s,  $\rho(s) \cdot 1$  is a representative spinor for Z.

Any vector-space isomorphism of P with Z may be extended to an operation  $\sigma$  of G (by I.4.1); there is an  $s \in \Gamma$  such that  $\chi(s) = \sigma$  (by II.3.1). It is clear that  $sPs^{-1} = Z$ ; it follows that  $f_z = sfs^{-1}$  is  $\neq 0$  and is the product of the elements of a base of Z. We have  $sf \in Cf$ ,  $sf = f_z s \in f_z C$ ; thus, sf spans  $Cf \cap f_z C$  and  $\rho(s) \cdot 1$  is a representative spinor for Z.

III.1.3. Let Z be a totally singular r-dimensional subspace of M and  $u_z$  a representative spinor for Z. If  $s \in \Gamma$ , then  $\rho(s) \cdot u_z$  is a representative spinor for the space  $sZs^{-1}$ .

This follows immediately from III.1.2.

III.1.4. Let Z be a totally singular r-dimensional subspace of M and  $u_z$  a representative spinor for Z. Then Z is the set of elements  $x \in M$  such that  $\rho(x) \cdot u_z = 0$ . If  $u \in S$  is such that  $\rho(x) \cdot u = 0$  for all  $x \in Z$ , then  $u = au_z$  with some  $a \in K$ .

If  $u \in S$ ,  $x \in M$ ,  $s \in \Gamma$ , the conditions  $\rho(x)u = 0$ ,  $\rho(s^{-1}xs) \cdot (\rho(s^{-1}) \cdot u) = 0$ are equivalent. It is therefore sufficient to prove the first assertion of III.1.4 in the case where Z = P; in that case, we may obviously assume that  $u_z = 1$ . If  $x' \in N$ ,  $x'' \in P$ , then  $\rho(x') \cdot 1 = x'$  and  $\rho(x'')$  is an antiderivation of  $C^N = S$ , which maps 1 upon 0, whence  $\rho(x' + x'') \cdot 1 = x'$ . This is 0 if and only if x' = 0, i.e.,  $x \in P$ . Since Z may be transformed into N by an operation of G, it is sufficient to prove the second assertion in the case where Z = N. Let then u be an element of  $C^N$  such that  $\rho(x) \cdot u = 0$ for all  $x \in N$ ; since  $C^N$  is the exterior algebra of N and  $\rho(x) \cdot u = xu =$  $x \land u$ , it is well known that this implies that u is a scalar multiple of a basic element e of  $C_r^N$ ; it follows that  $Ku_N = Ku_q$  and III.1.4 is proved. It follows from III.1.4 that a totally singular r-dimensional subspace of M is uniquely determined when any representative spinor of it is given.

III.1.5. A representative spinor of any totally singular r-dimensional subspace of M is always a half-spinor (i.e., either even or odd). If m > 0, there are both even and odd pure spinors.

We know that any  $s \in \Gamma$  is either even or odd (II.3.2), and that, if m > 0, then there are even and odd elements in  $\Gamma$ ; III.1.5 therefore follows from III.1.2.

We shall call even (respectively: odd) those maximal totally isotropic subspaces of M whose representative half-spinors are even (respectively: odd).

III.1.6. Let Z and Z' be maximal totally singular subspaces of M. A necessary and sufficient condition for Z and Z' to be transformable into each other by an operation of  $G^+$  is that Z and Z' be both even or both odd.

We know that Z may be transformed into Z' by an operation of G, i.e., that there is an  $s \in \Gamma$  such that  $Z' = sZs^{-1}$ ; III.1.6 then follows immediately from III.1.2.

Let  $(x_1, \dots, x_r)$  be a base of N. If  $u = \sum_{i < i} a_{ii} x_i x_i$  is an element of  $C_2^N$ , we set  $\exp u = \prod_{i < i} (1 + a_{ii} x_i x_i)$  (observe that the elements of  $C_2^N$  are in the center of  $C^N$ ). Since  $(x_i x_i)^2 = 0$ , it is clear that

$$\exp(u + u') = (\exp u)(\exp u')$$

for any u, u' in  $C_2^N$ . If  $u = ax_k x$ ,  $x = \sum_{i=1}^r a_i x_i$ , then we have exp u = 1 + u, as follows immediately from the fact that  $(x_k x_i) (x_k x_i) = 0$ . Using the formula exp  $(u + u') = (\exp u) (\exp u')$  and observing that  $(x_k x) (x_i x) = 0$ , we see immediately that  $\exp u = 1 + u$  whenever u = yx is a decomposable element. This proves that our definition of exp u does not depend on the special base we have selected in N. We have  $\exp 0 = 1$ ; it follows that  $\exp u$  is always invertible and that  $(\exp u)^{-1} = \exp(-u)$ . For any  $u \in C_2^N$ ,  $\exp u$  is in  $C_+^N$  and differs from 1 + u by an element of  $\sum_{k>1} C_{2k}^N$ .

III.1.7. If  $u \in C_2^N$ , then exp u belongs to  $\Gamma_0^+$ , and  $\chi(\exp u)$  leaves the elements of N fixed. Any operation of G which leaves all elements of N fixed is in  $G_0^+$  and may be written in the form  $\chi(\exp u)$ ,  $u \in C_2^N$ . If Z, Z', Z'' are maximal totally singular subspaces of M such that  $Z' \cap Z = Z'' \cap Z$ , then there is an operation of  $G_0^+$  which leaves all points of Z fixed and which transforms Z' into Z''.

The elements  $\exp u$ ,  $u \in C_2^N$ , obviously form a group H, image of the additive group of  $C_2^N$  under the homomorphism  $u \to \exp u$ . Every element of  $C_2^N$  being a sum of decomposable elements, in order to prove that  $\exp u \in \Gamma$ , it will be sufficient to show that  $\exp (x_1x_2) \in \Gamma$  if  $x_1$ ,  $x_2$  are elements of N. We then have  $x_2x_1 = -x_1x_2$ ,  $x_1^2 = x_2^2 = 0$ , and, for  $y \in M$ ,

$$x_iy + yx_i = B(x_i, y) \cdot 1$$
 (i = 1, 2),

whence, if  $u = x_1 x_2$ ,

$$(\exp u)y(\exp u)^{-1} = (1 + x_1x_2)y(1 - x_1x_2)$$
  
= y + B(x<sub>2</sub>, y)x<sub>1</sub> - B(x<sub>1</sub>, y)x<sub>2</sub>. (1)

This shows that  $\exp u \in \Gamma$ . It is obvious that  $\exp u \in \Gamma^+$ . If  $x_1$ ,  $x_2 \in N$ , then we have  $\alpha(x_1x_2) = x_2x_1 = -x_1x_2$ ; thus, if u is decomposable, we have  $\alpha(\exp u) = \exp((-u) = (\exp u)^{-1}$ , whence  $\exp u \in \Gamma_0^+$ ; the same is therefore true for all  $u \in C_2^N$ . Since  $\exp u$  commutes with every element of N,  $\chi(\exp u)$  leaves the elements of N fixed.

Coming back to formula (1), we observe that, if  $y \in P$ , then  $\rho(y)$  is an antiderivation of  $C^N$  which maps any  $x \in N$  upon  $B(x, y) \cdot 1$ , whence  $\rho(y) \cdot x_1 x_2 = B(x_1, y) x_2 - B(x_2, y) x_1$ . Thus, (1) may be written as

$$\chi(\exp u) \cdot y = y - \rho(y) \cdot u \qquad (y \in P, u = x_1 x_2).$$

Now, if  $u = u_1 + \cdots + u_k$ , each  $u_i$  being decomposable, then

$$\exp u = \prod_{i=1}^{k} (\exp u_i)$$

and  $\chi$  (exp  $u_i$ ) leaves the elements of N fixed. It follows immediately that the formula

$$\chi(\exp u) \cdot y = y - \rho(y) \cdot u \qquad (y \in P)$$

is valid for every  $u \in C_2^N$ . Now, let  $\sigma$  be any operation of G which leaves all elements of N fixed. We have seen in the proof of I.4.5 that there exist bases  $(x_1, \dots, x_r)$  of N and  $(y_1, \dots, y_r)$  of P such that  $B(x_i, y_i)$  $= \delta_{ij} (1 \le i, j \le r)$  and  $\sigma \cdot y_{2k-1} = y_{2k-1} - x_{2k}$ ,  $\sigma \cdot y_{2k} = y_{2k} + x_{2k-1}$ for  $k \le \rho$ ,  $\rho$  being an integer  $\le r/2$ , while  $\sigma \cdot y_i = y_i$  for  $i > 2\rho$ . If we set  $u = x_1x_2 + \cdots + x_{2\rho-1}x_{2\rho}$ , then  $\sigma \cdot y = \chi (\exp u) \cdot y$  for  $y \in P$ , whence  $\sigma = \chi (\exp u)$ , since both sides leave the elements of N fixed and M = N + P.

In order to prove the last assertion, we first observe that there is a  $\tau \in G$  such that  $\tau(Z) = N$  (I.4.1). Set  $Z'_1 = \tau(Z')$ ,  $Z''_1 = \tau(Z'')$ ; then

 $Z'_1$ ,  $Z''_1$  are maximal totally singular spaces which have the same intersection with N. If there is a  $\sigma_1 \in G_0^+$  which leaves the points of N fixed and transforms  $Z'_1$  into  $Z''_1$ , then  $\tau^{-1}\sigma_1\tau$  is in  $G_0^+$  (for  $G_0^+$  is a normal subgroup of G), transforms Z' into Z'' and leaves the elements of Z fixed. Thus, we see that we may assume that Z = N.

Let  $(x_1, \dots, x_k)$  be a base of  $Z' \cap N$  and  $(x_1, \dots, x_r)$  a base of N containing  $x_1, \dots, x_k$ . Let  $(y_1, \dots, y_r)$  be a base of P such that  $B(x_i, y_i) = \delta_{ii}$   $(1 \le i, j \le r)$  and let  $P_1$  be the subspace of P spanned by  $y_{h+1}$ ,  $\cdots$ ,  $y_r$ . It is clear that  $P_1$  is the intersection of P with the conjugate of  $Z' \cap N$ , and that  $Z'_2 = (Z' \cap N) + P_1$  is a maximal totally singular subspace of M such that  $Z'_2 \cap N = Z' \cap N$ . It will be sufficient to prove that Z', Z'' may be transformed into  $Z'_2$  by operations of G leaving the points of N fixed, for we know that these operations will then belong to  $G_0^+$ . It will furthermore be sufficient to present the argument in the case of Z'. We may represent Z' as the direct sum of  $Z' \cap N$  and of a space U' of dimension r - h. If  $z \in U'$ , we write z = f(z) + g(z),  $f(z) \in N$ ,  $g(z) \in P$ . Since  $U' \cap N = \{0\}$ , g is a linear isomorphism of U' with a subspace of P. If  $x \in Z' \cap N$ , then we have B(x, z) = 0 and B(x, f(z)) = 0 because N and Z' are totally isotropic. It follows that B(x, g(z)) = 0, whence  $g(z) \in P_1$ ; since g(U')and  $P_1$  are of dimension r - h, g is a linear isomorphism of U' with  $P_1$ . The sum N + U' is direct; let  $\overline{g}$  be the linear mapping of N + U'into M which coincides with the identity on N and with g on U'; then  $\overline{g}(Z') = Z'_2$ . Moreover,  $\overline{g}$  is a Q-isomorphism. For, let x be in N and z in U'; then  $Q(\overline{g}(x+z)) = Q(x+g(z)) = B(x,g(z)) = B(x,z)$  because B(x, f(z)) = 0; but Q(x + z) is also B(x, z), since Q(x) = Q(z) = 0, which proves our assertion. Thus,  $\overline{q}$  may be extended to an operation  $\sigma \in G$  (I.4.1);  $\sigma$  leaves the elements of N fixed and maps Z' onto  $Z'_{2}$ , which concludes the proof.

III.1.8. Let  $x_1, \dots, x_k$  be linearly independent elements of N. Denote by A the space spanned by  $x_1, \dots, x_k$  and by A' the intersection of P with the conjugate of A. Then Z' = A + A' is a maximal totally singular subspace of M, and  $x_1 \dots x_k$  is a representative spinor for Z'.

It is clear that Z' is totally singular. We have dim A = h and dim A' = r - h, since the restriction of B to  $N \times P$  is nondegenerate; since  $A \cap A' = \{0\}$ , we have dim Z' = r. If  $x \in A$ , then we have  $\rho(x) \cdot x_1 \cdots x_h = xx_1 \cdots x_h = 0$ . If  $y \in A'$ , then  $\rho(y)$  is an antiderivation of  $C^N$  which maps  $x_i$  upon  $B(x_i, y) \cdot 1 = 0$  ( $1 \le i \le h$ ), whence  $\rho(y) \cdot x_1 \cdots x_h = 0$ . Thus, we have  $\rho(z) \cdot x_1 \cdots x_h = 0$  for all  $z \in Z'$ , which shows (by III.1.4) that  $x_1 \cdots x_h$  is a representative spinor for Z'. III.1.9. A necessary and sufficient condition for a spinor u to be pure is that u be representable in the form  $c(\exp v)x_1 \cdots x_h$ , where  $x_1, \cdots, x_h$ are linearly independent elements of N,  $c \in K$ ,  $c \neq 0$ , and  $v \in C_2^N$ . If u is representative for the maximal totally singular space Z, then  $x_1, \cdots, x_h$ form a base of  $Z \cap N$ .

If  $x_1, \dots, x_h$  are linearly independent, then  $x_1 \dots x_h$  is pure and representative for a space  $Z_1$  such that  $Z_1 \cap N = Kx_1 + \dots + Kx_h$ (III.1.8). If  $v \in C_2^N$ , then  $\exp v \in \Gamma \cap C^N$ , and  $\rho(\exp v)$  is the operation of multiplication by  $\exp v$  in  $C^N$ . Thus,  $(\exp v)x_1 \dots x_h$  is representative for the space  $(\chi(\exp v))(Z_1)$ , whose intersection with N is the same as that of  $Z_1$ , since  $\chi(\exp v)$  leaves the elements of N fixed. Conversely, let Z be any maximal totally singular subspace of M, and  $(x_1, \dots, x_h)$ a base of  $Z \cap N$ . Define A, A', Z' as in III.1.8. Then it follows from III.1.7 that there is a  $v \in C_2^N$  such that  $\chi(\exp v)$  transforms Z' into Z;  $(\exp v)x_1 \dots x_h$  is therefore a representative spinor for Z.

III.1.10. Let Z, Z' be maximal totally singular subspaces of M, and  $h = \dim (Z \cap Z')$ . If  $h \equiv r \pmod{2}$ , then Z, Z' are of the same kind (both even or both odd); if not, then Z, Z' are of opposite kinds.

There is an operation  $\sigma$  of G which transforms Z into N, and it is clear that Z and Z' are of the same kind if and only if  $\sigma(Z)$  and  $\sigma(Z')$ are (for, if  $\tau \in G$  transforms Z into Z', then  $\sigma\tau\sigma^{-1}$  transforms  $\sigma(Z)$  into  $\sigma(Z')$ , and the conditions  $\tau \in G^+$ ,  $\sigma\tau\sigma^{-1} \in G^+$  are equivalent to each other). It is therefore sufficient to prove III.1.10 in the case where Z = N. A representative spinor for Z is then the product of the elements of a base of N, and is homogeneous of degree r. A representative spinor for Z' is of the form  $u' = c(\exp v)x_1 \cdots x_h$ , with  $v \in C_2^N, x_1, \cdots, x_h$  forming a base of  $Z' \cap N$ . Thus, u' is even or odd according as to whether h is even or odd, which proves III.1.10.

III.1.11. Any totally singular subspace U of dimension r-1 of M is contained in exactly one even and exactly one odd maximal totally singular subspace of M.

We may transform U into a subspace of N by an operation of G. It will therefore be sufficient to prove III.1.11 when  $U \subset N$ . Let  $(x_1, \dots, x_{r-1})$  be a base of U. Let Z be a maximal totally singular space containing U. If Z is of the same kind as N, then dim  $(Z \cap N) \equiv r \pmod{2}$  and dim  $(Z \cap N) \geq r - 1$ , whence Z = N. If not, we see in the same way that  $Z \cap N = U$ . Let then u be a representative spinor for Z; then we have  $u = ax_1 \cdots x_{r-1} + bx_1 \cdots x_r$ , where  $x_r$  is an element of N not in U. Since u is even or odd,  $u = ax_1 \cdots x_{r-1}$ , and Z is uniquely determined. Conversely,  $x_1 \cdots x_{r-1}$  is a pure spinor and represents a maximal totally singular space not of the same kind as N and containing U.

III.1.12. Let u, u' be pure spinors which are representative for distinct maximal totally singular space Z, Z'. A necessary and sufficient condition for u + u' to be pure is that dim  $(Z \cap Z') = r - 2$ . If this is so, then the linear combinations  $\neq 0$  of u, u' are representative spinors for all maximal totally singular spaces Z'' such that  $Z \cap Z'' = Z \cap Z'$  or Z'' = Z.

Since Z may be transformed into N by an operation of G, it is easily seen that it suffices to prove III.1.12 in the case where Z = N and uis the product of the elements of a base of N. Write  $u' = c(\exp v)$  $(x_1 \cdots x_h)$ , where  $v \in C_2^N$  and  $(x_1, \cdots, x_h)$  is a base of  $Z' \cap N$ . If u + u'is pure, it is representative for a space Z'' such that  $Z'' \cap N = Z' \cap N$ . For, we have  $\rho(x) \cdot u = 0$  for all  $x \in N$ , which shows that the conditions  $\rho(x) \cdot u' = 0$ ,  $\rho(x) \cdot (u + u') = 0$  are equivalent to each other. Thus, we have

$$u + u' = c'(\exp v')x_1 \cdots x_h$$
,  $c' \in K$ ,  $v' \in C_2^N$ .

We have h < r and u is homogeneous of degree r; writing that u', u + u' have the same homogeneous component of degree h, we obtain c = c'. The homogeneous components of degree h + 2 of u', u + u'are  $cvx_1 \cdots x_h$ ,  $cv'x_1 \cdots x_h$ . Were h < r - 2, then we would have  $vx_1 \cdots x_h = v'x_1 \cdots x_h$ , from which it would easily follow that  $(\exp v)x_1 \cdots x_h = (\exp v')x_1 \cdots x_h$ , u' = u + u', which is impossible. Thus, we have  $h \ge r - 2$ . Since u + u' is even or odd, we have  $h \equiv r$ (mod 2) and therefore h = r - 2. Conversely, assume that h = r - 2. Then we have  $u = x_1 \cdots x_{r-2}x_{r-1}x_r$ , where  $x_{r-1}$ ,  $x_r$  are suitably selected elements of N, and

$$u + u' = c(\exp v + c^{-1}x_{r-1}x_r)x_1 \cdots x_{r-2};$$

but this clearly equal to  $c(\exp(v + c^{-1}x_{r-1}x_r))x_1 \cdots x_{r-2}$ , and u + u'is pure. Let Z'' be any maximal totally singular subspace of M such that  $Z'' \cap N = Z' \cap N$ , and u'' a representative spinor for Z''. Then u'' is a multiple of  $x_1 \cdots x_{r-2}$ , and its homogeneous component of degree r - 2 is of the form  $ax_1 \cdots x_{r-2}$ ,  $a \in K$ , while its homogeneous component of degree r - 1 is 0. Thus,  $u'' - ac^{-1}u'$  is homogeneous of degree r and therefore a scalar multiple of u.

### 3.2. A Bilinear Invariant

Let  $\alpha$  be the main antiautomorphism of C. If Z is any subspace of M,  $\alpha$  clearly transforms into itself the subalgebra  $C^{z}$  generated by Z. If

Z is totally singular, then  $C^{Z}$  is isomorphic to the exterior algebra of Z; if  $z_1, \dots, z_k \in Z$ , then we have

$$\alpha(z_1 \cdots z_h) = z_h \cdots z_1 = (-1)^{h(h-1)/2} z_1 \cdots z_h .$$

It follows that  $\alpha$  multiplies every homogeneous element of degree h of  $C^{z}$  by  $(-1)^{h(h-1)/2}$ .

We shall apply this to the case where Z = N. If  $u, v \in C^N$ , then we have

$$\alpha(uf)vf = \alpha(f)\alpha(u)vf = (-1)^{r(r-1)/2}f\alpha(u)vf,$$

since f is homogeneous of degree r in  $C^P$ . We have  $\alpha(u)v \in C^N$  and  $f\alpha(u)vf = (\rho(f) \cdot \alpha(u)v)f$ . Let us now determine the operation  $\rho(f)$ . Let e be the product of the elements of a base  $(x_1, \dots, x_r)$  of N, and let  $(y_1, \dots, y_r)$  be the base of P such that  $B(x_i, y_i) = \delta_{ii}$ . Since  $\rho(y_i)$  is a homogeneous operator of degree -1,  $\rho(f)$  is of degree -r and maps upon 0 every homogeneous element of degree < r of  $C^N$ . On the other hand,  $\rho(y_i)$  maps  $x_i$  upon 1 and  $x_i$  upon 0 if  $i \neq j$ ; it follows easily, since each  $\rho(y_i)$  is an antiderivation, that  $\rho(y_1 \cdots y_r)$  maps e upon  $(-1)^{r(r-1)/2} \cdot 1$ . We have  $f = cy_1 \cdots y_r$ , c a scalar  $\neq 0$ . Thus, we see that, if de is the homogeneous component of degree r of  $\alpha(u)v$ , then

$$\rho(f) \cdot \alpha(u)v = (-1)^{r(r-1)/2} cd \cdot 1.$$

We may obviously select e in such a way that c = 1. This being done, we denote by  $\beta(u, v)e$  the homogeneous component of degree r of  $\alpha(u)v$ , whence

$$\alpha(uf)vf = \beta(u, v)f. \tag{1}$$

It is clear that  $\beta$  is a bilinear form on  $S \times S$  ( $S = C^N$  being the space of spinors).

III.2.1. Let  $\lambda$  be the norm homomorphism of the Clifford group  $\Gamma$ . Then we have, for  $s \in \Gamma$ ,  $u, v \in S$ ,

$$\beta(\rho(s) \cdot u, \rho(s) \cdot v) = \lambda(s)\beta(u, v).$$

For we have  $(\rho(s) \cdot u)f = suf$ ,  $(\rho(s) \cdot v)f = svf$ , and

$$\alpha(suf)svf = \alpha(uf)\alpha(s)svf = \lambda(s)\alpha(uf)vf.$$

III.2.2. Let x be in M, u and v in S. Then we have

$$\beta(\rho(x) \cdot u, \ \rho(x) \cdot v) = Q(x)\beta(u, v),$$
  
$$\beta(\rho(x) \cdot u, v) = \beta(u, \ \rho(x) \cdot v).$$

The first formula is obtained by exactly the same computation that was used in proving III.2.1. We have  $(\rho(x) \cdot u)f = xuf$ ,  $\alpha(xuf)vf = \alpha(uf)\alpha(x)vf = \alpha(uf)xvf$ , which proves the second formula.

It follows from III.2.1 that  $\beta$  is a bilinear invariant of the spin representation of  $\Gamma_0^+$ .

The form  $\beta$  is nondegenerate. For, if u is an element  $\neq 0$  in the exterior algebra  $C^N$ , we have  $\alpha(u) \neq 0$  and there is a  $v \in C^N$  such that  $\alpha(u)v = e$ , whence  $\beta(u, v) = 1$ .

Since  $\alpha$  is an antiautomorphism,  $\alpha^2$  is an automorphism. Since  $\alpha^2(x) = x$  for  $x \in M$ ,  $\alpha^2$  is the identity, and  $\alpha(\alpha(u)v) = \alpha(v)u$ . Since  $\alpha(e) = (-1)^{r(r-1)/2}e$ , we have

$$\beta(v, u) = (-1)^{r(r-1)/2} \beta(u, v).$$
<sup>(2)</sup>

III.2.3. Let  $S_p$ ,  $S_i$  be the spaces of even and odd half-spinors. If  $r \equiv 0 \pmod{2}$ , then  $\beta$  is zero on  $S_p \times S_i$  and  $S_i \times S_p$ ; if  $r \equiv 1 \pmod{2}$ , then  $\beta$  is zero on  $S_p \times S_p$  and on  $S_i \times S_i$ .

For, if u, v are homogeneous of degrees  $\delta_u$ ,  $\delta_v$ , then  $\beta(u, v) = 0$  if  $\delta_u + \delta_v \neq r$ , and, in particular, if  $\delta_u + \delta_v$  has not the same parity as r.

III.2.4. Let Z and Z' be maximal totally singular subspaces of M, and u, u' representative spinors for Z, Z'. A necessary and sufficient condition for  $Z \cap Z'$  to be  $\neq \{0\}$  is that  $\beta(u, u') = 0$ .

There is an operation  $\sigma \in G$  such that  $\sigma(Z) = N$ ; let s be in  $\Gamma$  and such that  $\chi(s) = \sigma$ ;  $\rho(s) \cdot u$  and  $\rho(s) \cdot u'$  are then representative spinors for  $\sigma(Z) = N$  and  $\sigma(Z')$ . By III.2.1, it will be sufficient to prove our assertion in the case where Z = N, u = e. In that case, we have  $e(\exp v) = e$  for any homogeneous v of degree 2, and the result follows immediately from III.1.9.

Besides  $\alpha$ , we may consider the antiautomorphism  $\tilde{\alpha}$  product of  $\alpha$ by the main involution of  $C: \tilde{\alpha}$  transforms any  $x \in M$  into -x. If u,  $v \in M$ , denote by  $\tilde{\beta}(u, v)e$  the homogeneous component of degree r of  $\tilde{\alpha}(u)v$ . We have  $\tilde{\alpha}(f) = (-1)^{r(r+1)/2}f$ ,  $\tilde{\alpha}(e) = (-1)^{r(r+1)/2}e$ , and we see as above that  $\tilde{\alpha}(uf)vf = \tilde{\beta}(u, v)f$ . If  $s \in \Gamma$ , then  $\tilde{\alpha}(s) = \alpha(s)$  if  $s \in \Gamma^+$ ,  $\tilde{\alpha}(s) = -\alpha(s)$  if s is odd. Proceeding as above, we show that

$$\overline{\beta}(\rho(s) \cdot u, \ \rho(s) \cdot v) = \epsilon(s)\lambda(s)\overline{\beta}(u, v)$$
(3)

if  $s \in \Gamma$ ,  $u, v \in S$ , where  $\epsilon(s)$  is -1 if s is odd, +1 in the opposite case. Moreover, if  $x \in M$ , then we have

$$\tilde{\beta}(\rho(x) \cdot u, \ \rho(x) \cdot v) = -Q(x)\tilde{\beta}(u, v), \qquad (4)$$

$$\tilde{\beta}(\rho(x)\cdot u, v) = -\tilde{\beta}(u, \rho(x)\cdot v).$$
(5)

Since  $\tilde{\alpha}(u) = \alpha(u)$  if  $u \in S_p$ ,  $\tilde{\alpha}(u) = -\alpha(u)$  if  $u \in S_i$ , we see that  $\tilde{\beta}$  coincides with  $\beta$  on  $S_p \times S$ , with  $-\beta$  on  $S_i \times S$ .

III.2.5. The only bilinear invariants of the spin representation of  $\Gamma_0^+$  are the linear combinations of  $\beta$ ,  $\tilde{\beta}$ , unless m = 2 and K has either 2 or 3 elements.

Let  $\beta'$  be a bilinear invariant of the spin representation  $\rho_0^+$  of  $\Gamma_0^+$ . Let  $S^*$  be the dual space of S, and  $\omega$  the representation of  $\Gamma_0^+$  on  $S^*$ contragredient to  $\rho_0^+$  (i.e., if  $s \in \Gamma_0^+$ ,  $\omega(s)$  is the transpose of  $\rho_0^+(s^{-1})$ ). There are associated to  $\beta$ ,  $\beta'$  linear mappings  $\varphi$ ,  $\varphi'$  of S into S<sup>\*</sup>, and we have  $\omega(s) \circ \varphi = \varphi \circ \rho_0^+(s)$ ,  $\omega(s) \circ \varphi' = \varphi' \circ \rho_0^+(s)$  for  $s \in \Gamma_0^+$ ; moreover,  $\varphi$  is a linear isomorphism. Thus,  $\varphi' = \varphi \circ \psi$ , where  $\psi$  is an automorphism of S which commutes with every operation of  $\rho_0^+(\Gamma_0^+)$ . We have seen in the proof of II.4.3 that, barring the exceptional cases of the statement of III.2.5,  $\Gamma_0^+$  is a set of generators of  $C_+$ . Let  $\rho_p^+$ ,  $\rho_i^+$  be the representations of  $C_{+}$  on the spaces  $S_{p}$ ,  $S_{i}$ . Then  $\rho_{p}^{+}(C_{+})$ ,  $\rho_{i}^{+}(C_{+})$  are algebras of dimension  $2^{2r-2}$  (they are isomorphic to the simple ideals of  $C_+$ ), and  $S_p$ ,  $S_i$  are of dimension  $2^{r-1}$ . Thus,  $\rho_p^+(C_+)$  and  $\rho_i^+(C_+)$ are the algebras of all endomorphisms of  $S_p$  and  $S_i$ , which shows that the representations of  $\Gamma_0^+$  on  $S_p$ ,  $S_i$  are absolutely simple. Besides, these representations are inequivalent to each other (II.4.3). It follows immediately that the algebra of endomorphisms  $\psi$  of S which commute with all operations of  $\rho_0^+(\Gamma_0^+)$  is of dimension 2. (It is spanned by I and by the operator which maps the elements of  $S_{n}$  upon themselves, those of  $S_i$  upon 0.) Thus, the space of bilinear invariants of  $\rho_0^+$  is of dimension 2, and is therefore spanned by  $\beta$ ,  $\tilde{\beta}$ , since  $\beta$ ,  $\tilde{\beta}$  are obviously linearly independent.

III.2.6. Let Z be a maximal totally singular subspace of M and  $\sigma$  an operation of G such that  $\sigma(Z) = Z$ . Let  $\sigma_Z$  be the restriction of  $\sigma$  to Z. Then there exists an s  $\varepsilon \Gamma^+$  such that  $\chi(s) = \sigma$ ,  $\lambda(s) = \det \sigma_Z$ .

Let  $\tau$  be an operation of G which transforms Z into N. Then  $\sigma' = \tau \sigma \tau^{-1}$  transforms N into itself, and, if  $\sigma'_N$  is the restriction of  $\sigma'$  to N, then det  $\sigma'_N = \det \sigma_Z$ . Let  $t \in \Gamma$  be such that  $\chi(t) = \tau$ ; if  $\chi(s') = \sigma'$ , then we have  $\chi(t^{-1}s't) = \sigma$ ,  $\lambda(t^{-1}s't) = \lambda(s')$ , and  $t^{-1}s't \in \Gamma^+$  if  $s' \in \Gamma^+$ . Thus, we see that we may assume that Z = N. Let  $s_1$  be any element of  $\Gamma$  such that  $\chi(s_1) = \sigma$ . Since  $\sigma(N) = N$ ,  $\sigma$  is in  $G^+$  and  $s_1 \in \Gamma^+$ . The automorphism  $w \to s_1 w s_1^{-1}$  of C transforms N into itself; thus, we have  $s_1 C^N s_1^{-1} = C^N$ . If  $u \in S = C^N$ , we may write  $s_1 u f = s_1 u s_1^{-1} s_1 f$ ; we have  $s_1 f = (\rho(s_1) \cdot 1) f$ , whence  $\rho(s_1) \cdot u = (s_1 u s_1^{-1}) (\rho(s_1) \cdot 1)$ . Now, 1 is a representative spinor for P; thus,  $\rho(s_1) \cdot 1$  is a representative spinor for  $\sigma(P)$ .

Since  $P \cap N = \{0\}, \sigma(P) \cap N = \{0\}$  and  $\rho(s_1) \cdot 1 = c (\exp v), v \in C_N^2$ (by III.1.9). The mapping  $u \to s_1 u s_1^{-1}$  is the automorphism of  $C^N$  which extends  $\sigma_N$ , whence  $s_1 e s_1^{-1} = (\det \sigma_N) e$ ; moreover,  $s_1 1 s_1^{-1} = 1$ . Thus, we have  $\rho(s_1) \cdot 1 = c \exp v, \rho(s_1) \cdot e = c (\det \sigma_N) e$ . We have  $\beta(\rho(s_1) \cdot 1, \rho(s_1) \cdot e) = \lambda(s_1) \beta(1, e)$ ; on the other hand, we have  $\exp v \in \Gamma_0^+$  (III.1.7), whence  $\beta$  (exp v, (exp v)e) =  $\beta(1, e)$ . Since  $\beta(1, e) \neq 0$ , we have  $c^2 \det \sigma_N = \lambda(s_1)$ , and  $s = c^{-1} s_1$  has the required property.

III.2.7. Any two maximal totally singular subspaces Z, Z' of M may be transformed into each other by an operation of  $G_0 = \chi(\Gamma_0)$ .

Let  $\sigma$  be an element of G such that  $\sigma(Z') = Z$  and s an element of  $\Gamma$  such that  $\chi(s) = \sigma$ . It will be sufficient to prove that there is an  $s' \in \Gamma$  such that  $(\chi(s'))(Z) = Z, \lambda(s') = (\lambda(s))^{-1}$ . We can find an automorphism of Z of determinant  $(\lambda(s))^{-1}$ ; this automorphism is a Q-automorphism and may be extended to an operation  $\sigma' \in G$ . It follows from III.2.6 that there is an  $s' \in \Gamma$  such that  $\chi(s') = \sigma', \lambda(s') = (\lambda(s))^{-1}$ ; s' has the required properties.

In the case where K is not of characteristic 2 and  $r(r-1) \equiv 0 \pmod{4}$ ,  $\beta$  is symmetric and, if we set  $\gamma(u) = \frac{1}{2}\beta(u, u)$ ,  $\gamma$  is a quadratic form on S whose associated bilinear form is  $\beta$ . It is clear that

$$\gamma(\rho(s) \cdot u) = \lambda(s)u \qquad (s \in \Gamma, u \in S), \qquad (6)$$

$$\gamma(\rho(x) \cdot u) = Q(x)\gamma(u) \qquad (x \in M, u \in S). \tag{7}$$

Moreover,  $\gamma$  is of maximal index. For, let  $(x_1, \dots, x_r)$  be a base of N. For each subset  $\{i_1, \dots, i_h\} = H$  of  $\{1, \dots, r\}$ , with  $i_1 < \dots < i_h$ , let

$$\xi(H) = x_{i_1} \cdots x_{i_k};$$

these elements form a base of S. Barring the trivial case where r = 0, it is easily seen that we can form a set  $\{H_k\}$  of  $2^{r-1}$  of the sets H, no two of which are complementary to each other. We then have  $\beta(\xi(H_k), \xi(H_l)) = 0$   $(1 \le k, l \le 2^{r-1})$ , and  $\gamma$  vanishes on the space  $\zeta$  spanned by the  $H_k$ 's. Since dim  $\zeta = 2^{r-1}$ ,  $\gamma$  is of index  $2^{r-1}$ . If  $r \equiv 1 \pmod{4}$ , then  $\gamma$  is zero on  $S_p$ ,  $S_i$ . If  $r \equiv 0 \pmod{4}$ , then the restrictions of  $\gamma$  to  $S_p$ ,  $S_i$  are of rank  $2^{r-1}$  and of index  $2^{r-2}$ , for we see immediately that our set  $\{H_k\}$  must contain  $2^{r-2}$  elements of even cardinal numbers and  $2^{r-2}$  elements of odd cardinal numbers.

Assume now that K is of characteristic 2. We shall see that, provided r > 2, there is a quadratic form  $\gamma$  on S with properties similar to the one constructed above in the case where K is not of characteristic 2 and  $r(r-1) \equiv 0 \pmod{4}$ . We make use of the operation of "reduced

squaring" in  $C^N$ , introduced by G. Papy<sup>1</sup>. Using the same notation as above, we further number the elements  $\xi(H)$  by indices  $0, 1, \dots, 2^r - 1$  so as to arrange them in a sequence  $(\xi_0, \dots, \xi_p)$   $(p = 2^r - 1)$  such that  $\xi_0 = 1, \xi_i = x_i$  for  $1 \le i \le r$ . If

$$u = \sum_{i=0}^{p} a_i \xi_i , \qquad a_i \in K,$$

we set

$$u^{(2)} = \sum_{i < j} a_i a_j \xi_i \xi_j + a_0^2.$$

It is clear that  $(au)^{(2)} = a^2 u^{(2)}$  if  $a \in K$ . Since  $\xi_i^2 = 0$  for i > 0, an easy computation shows that  $(u + v)^{(2)} = u^{(2)} + uv + v^{(2)}$  for  $u, v \in S$ . From this we deduce by induction on h that

$$(u_1 + \cdots + u_k)^{(2)} = \sum_{k=1}^{k} u_k^{(2)} + \sum_{k(8)$$

for  $u_k \in S$ ,  $1 \leq k \leq h$ . Let x be in N and

$$u = \sum_{i=0}^{p} a_i \xi_i$$

in S; then we have

$$(xu)^{[2]} = \sum_{i=0}^{p} (x\xi_i)^{[2]},$$

since  $(x\xi_i)$   $(x\xi_i) = 0$ . Now, write

$$x = \sum_{k=1}^{r} b_k x_k ;$$

each  $x_k\xi_i$  is either 0 or a  $\xi_i$  of index i' > 0: in either case,  $(x_k\xi_i)^{(2)} = 0$ . On the other hand, we have  $(x_k\xi_i) (x_l\xi_i) = 0$  if i > 0, since  $\xi_i^{(2)} = 0$ . Thus, we have

$$(xu)^{[2]} = a_0^2 \sum_{k,$$

and  $(xu)^{121} = 0$  if the homogeneous component of degree 0 of u is 0. Now, let  $(x'_1, \dots, x'_r)$  be any other base of M; let  $\xi'_i$   $(0 \le i \le 2^r - 1)$  be the products

 $x'_{i_1}\cdots x'_{i_k} \qquad (i_1 < \cdots < i_h).$ 

<sup>1</sup>G. Papy, "Sur l'arithmétique dans les algèbres de Grassmann," Académie Royale de Belgique, Classe des Sciences, *Mémoires*, 26 (1952).

We may assume that  $\xi'_0 = 1$ ,  $\xi'_i = x'_i$  for  $1 \le i \le r$ . Then, by what we have just proved, we have  $(\xi'_i)^{(2)} = 0$  for i > r. If  $a'_i \in K$   $(0 \le i \le 2^r - 1)$ , we find, by (8),

$$\left(\sum_{i=0}^{p} a'_{i}\xi'_{i}\right)^{(2)} = a'_{0}^{2} + \sum_{i< j} a'_{i}a'_{j}\xi'_{i}\xi'_{j} + \sum_{i=1}^{r} a'_{i}^{2}(\xi'_{i})^{(2)}.$$

This formula shows that, although  $u^{(2)}$  may depend on the choice of the base in M, its homogeneous component of degree r does not, provided r > 2 (for  $(\xi'_i)^{(2)} = (x'_i)^{(2)}$  is homogeneous of degree 2 if  $1 \le i \le r$ ). Assuming from now on that r > 2, we denote by  $\gamma(u)e$  the homogeneous component of degree r of  $u^{(2)}$ ;  $\gamma$  is a quadratic form on S.

Since K is of characteristic 2,  $\alpha$  induces the identity automorphism of  $C^N = S$ , whence  $\alpha(u)v = uv$ , and  $\beta(u, v)e$  is the homogeneous component of the degree r of uv. Since  $(u + v)^{(2)} = u^{(2)} + uv + v^{(2)}$ , we have

$$\gamma(u+v) = \gamma(u) + \gamma(v) + \beta(u, v), \qquad (9)$$

which shows that  $\beta$  is the bilinear form associated to  $\gamma$ .

It follows from the computation made above that  $\gamma(xu) = 0$  for any  $x \in N$ ,  $u \in S$ . We shall see that we have also  $\gamma(\rho(y) \cdot u) = 0$  for every  $y \in P$ . We may obviously assume  $y \neq 0$ ; let  $(x_1, \dots, x_r)$  be a base of N such that  $B(x_i, y) = 0$  for i > 1,  $B(x_1, y) = 1$ . Since  $\rho(y)$  is an antiderivation which maps any  $x \in N$  upon  $B(x, y) \cdot 1$ , we see that, if  $i_1 < \dots < i_h$ ,  $\rho(y)$  maps  $x_{i_1} \cdots x_{i_h}$  upon 0 if  $i_1 > 1$ , upon  $x_{i_*} \cdots x_{i_h}$  if  $i_1 = 1$ . If we write

$$\rho(y) \cdot u = \sum_{i=0}^{p} b_i \xi_i$$

(in the notation used above), then  $b_i = 0$  whenever  $x_1$  is one of the factors of  $\xi_i$ , and it follows immediately that the homogeneous component of degree r of  $(\rho(y) \cdot u)^{(2)}$  is 0, which proves our assertion.

We shall now prove that formula (7) is true in our case for any  $x \in M$ . The mapping  $u \to \gamma(\rho(x) \cdot u) - Q(x) \gamma(u)$  is obviously a quadratic form  $\gamma'$  on S. It follows from (9) and III.2.2 that the associated bilinear form of  $\gamma'$  is 0, i.e., that  $\gamma'$  is quasi-linear. To prove that  $\gamma' = 0$ , it will therefore be sufficient to show that  $\gamma'(u) = 0$  for all elements u of a subset of S which spans S. In particular, it will be sufficient to prove that  $\gamma'(\xi_i) = 0$  ( $0 \le i \le p$ ), in the notation introduced above. It is clear that  $\gamma(\xi_i) = 0$ ; we have therefore to prove that  $\gamma(\rho(x) \cdot \xi_i) = 0$ . This is clear if  $\xi_i$  is either 1 or  $x_i$  ( $1 \le i \le r$ ). If  $\xi_i$  is a product of h > 1 factors  $x_i$ , we write  $x = x_N + x_P$ ,  $x_N \in N$ ,  $x_P \in P$ ; since h > 1, we may write  $\xi_i$  in the form x'u', where x' is an element of N such that  $\beta(x_P, x') =$  0 and u' a product of h - 1 factors in N. Then  $\rho(x) \cdot \xi_i$  is  $x_N x' u' + x' \cdot \rho(x_P)\xi_i = x'v'$ , with  $v' \in S$ , and we have seen that the homogeneous component of degree r of  $(x'v')^{121}$  is 0 for all  $x' \in N$ ,  $v' \in S$ . Thus, (7) is true for our form  $\gamma$ .

Since r > 2, m > 4, it follows from II.3.1 that  $\Gamma$  is generated by the elements of  $\Gamma \cap M$ . Since  $\lambda(x) = Q(x)$  if  $x \in \Gamma \cap M$ , it follows immediately from (7) that (6) is true for all  $s \in \Gamma$ .

The form  $\gamma$  is still of maximal index  $2^{r-1}$ , and, if r is even, the restrictions of  $\gamma$  to  $S_{p}$  and  $S_{i}$  are of maximal index  $2^{r-2}$ . The proofs of these assertions are exactly the same as in the case where K is not of characteristic 2.

If r = 2, K of characteristic 2, there is no quadratic form  $\gamma$  on S for which (9) and (7) hold. For, let  $(x_1, x_2)$  be a base of N such that  $x_1x_2 =$ e. By (7),  $\gamma(x) = Q(x) \gamma(1) = 0$  for all  $x \in N$ ; thus,  $0 = \gamma(x_1 + x_2) =$  $\beta(x_1, x_2)$ , while  $\beta(x_1, x_2)$  is 1.

Remark. If s is any element of C (not necessarily in  $\Gamma$ ) such that  $\alpha(s)s$  is a scalar multiple  $\lambda \cdot 1$  of 1, then we have

$$\beta(\rho(s) \cdot u, \rho(s) \cdot v) = \lambda \beta(u, v) \qquad (u, v \in S);$$

this is proved in the same manner that we proved III.2.1. Similarly, if s is such that  $\tilde{\alpha}(s)s = \lambda \cdot 1$ , then we have

$$\widetilde{\beta}(\rho(s) \cdot u, \ \rho(s) \cdot v) = \lambda \widetilde{\beta}(u, v).$$

3.3. The Tensor Product of the Spin Representation with Itself

We consider now the space  $S \otimes S$ , tensor product of the space S of spinors with itself. This is the space of a representation  $\rho \otimes \rho$  of  $\Gamma$ , tensor product of  $\rho$  with itself, which is defined by the condition that

$$(\rho \otimes \rho)(s) \cdot u \otimes v = (\rho(s) \cdot u) \otimes (\rho(s) \cdot v)$$

for  $s \in \Gamma$ ,  $u, v \in S$ .

If  $s \in \Gamma$ , then we know that  $\alpha(s)s = \lambda(s) \cdot 1$ ,  $\lambda(s)$  being a scalar  $\neq 0$ .

III.3.1. The representation  $\rho \otimes \rho$  of the group  $\Gamma$  is equivalent to the representation which assigns to every  $s \in \Gamma$  the endomorphism  $w \to \lambda(s) sws^{-1}$  of the vector space C.

The mapping  $(u, v) \to uf\alpha(v)$  of  $S \times S$  into C is clearly bilinear; as such, it defines a linear mapping  $\varphi$  of the tensor product  $S \otimes S$  into C such that  $\varphi(u \otimes v) = uf\alpha(v)$  for any  $u, v \in S$ . We have  $\varphi(S \otimes S) = C$ . For, let w be in C; then  $wuf = (\rho(w) \cdot u)f$ , whence  $wuf\alpha(v) = (\rho(w) \cdot u)$  $f\alpha(v) \in \varphi(S \otimes S)$ . On the other hand, we have  $\alpha(f)\alpha(v)\alpha(w) = \alpha(wvf) = \alpha(f)\alpha(\rho(w) \cdot v)$  and  $\alpha(f) = \pm f$ , whence  $\alpha(f)\alpha(v)\alpha(w) \in \varphi(S \otimes S)$ . Since

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 $\alpha$  is a mapping of C onto itself, we conclude that  $\varphi(S \otimes S)$  is a two-sided ideal, obviously  $\neq \{0\}$ , in C. Since C is simple,  $\varphi(S \otimes S) = C$ . But  $S \otimes S$  and C have the same dimension  $2^m$ ;  $\varphi$  is therefore an isomorphism. Moreover, if  $s \in \Gamma$ , then we have  $\varphi(\rho(s) \cdot u, \rho(s) \cdot v) = suf\alpha(v)\alpha(s) = \lambda(s)suf\alpha(v)s^{-1}$ , which proves III.3.1.

We shall identify the space  $S \otimes S$  to C by means of the mapping  $\varphi$  introduced in the proof of III.3.1. For any  $h \geq 0$ , let  $C_h$  be the space spanned by the products of at most h elements of C; it is then clear that  $C_h$  is mapped into itself by the operations of  $(\rho \otimes \rho)$  ( $\Gamma$ ). Thus,  $C_h/C_{h-1}$  is the space of a representation  $\theta_h$  of  $\Gamma$ : if  $\overline{w} \in C_h/C_{h-1}$  is the coset modulo  $C_{h-1}$  of a  $w \in C_h$ , then  $\theta_h(s) \cdot \overline{w}$  is the coset of  $(\rho \otimes \rho)(s) \cdot w$ . It follows immediately from III.3.1 that  $\theta_h(s) = \lambda(s)\theta'_h(\chi(s))$ , where  $\theta'_h$  is a representation of  $\chi(\Gamma) = G$  ( $\chi$  being the vector representation of  $\Gamma$ , whose kernel is the intersection of  $\Gamma$  with the center of C). We shall see that  $\theta'_h$  is equivalent to the representation of G on the h-vectors.

We define a bilinear form  $B_0$  on  $M \times M$  such that  $B_0(x, x) = Q(x)$ in the manner indicated in the proof of II.2.1. Thus,  $B_0$  is zero on  $N \times N$ , on  $N \times P$ , and on  $P \times P$  and coincides with B on  $P \times N$ . Making use of  $B_0$ , we identify the underlying vector space of C with that of the exterior algebra E of M in the manner described in II.1.6. Let  $E_h$  be the space of homogeneous elements of degree h of E. Then we have  $C_h =$  $\sum_{h' \leq h} E_{h'}, C_{h-1} = \sum_{h' \leq h-1} E_{h'}$ , so that  $C_h$  is the direct sum of  $C_{h-1}$  and  $E_h$ . Let s be in  $\Gamma$ , and  $x_1, \dots, x_h$  in M; set  $\sigma = \chi(s), x'_i = \sigma \cdot x_i = sx_i s^{-1}$  $(1 \leq i \leq h)$  and denote by  $\zeta_h$  the representation of G on the h-vectors. Then we have

$$(\rho \otimes \rho)(s) \cdot (x_1 \cdots x_h) = \lambda(s) x'_1 \cdots x'_h$$

and  $\theta'_{h}(\sigma)$  transforms the coset of  $x_{1} \cdots x_{h}$  modulo  $C_{h-1}$  into that of  $x'_{1} \cdots x'_{h}$ . On the other hand,  $\zeta_{h}(\sigma)$  transforms  $x_{1} \wedge \cdots \wedge x_{h}$  into  $x'_{1} \wedge \cdots \wedge x'_{h}$ . Now, we have

$$x_1 \cdots x_h \equiv x_1 \wedge \cdots \wedge x_h \qquad (\text{mod } C_{h-1}),$$
  
$$x'_1 \cdots x'_h \equiv x'_1 \wedge \cdots \wedge x'_h \qquad (\text{mod } C_{h-1}),$$

and the elements of  $E_h$  form a complete system of representatives for the elements of  $C_h$  modulo  $C_{h-1}$ . It follows immediately that  $\theta'_h$  is equivalent to  $\zeta_h$ .

III.3.2. Let u be an element  $\neq 0$  of S. In order for u to be a pure spinor, it is necessary and sufficient that the following conditions be satisfied: (a) u is either even or odd; (b)  $u \otimes u = uf\alpha(u)$  belongs to the space C, spanned by the products of r elements of M. If u is a representative

spinor of a maximal totally singular space Z, then  $uf\alpha(u)$  is the product of the elements of a base of Z.

Assume first that u is representative of Z. Let s be an element of  $\Gamma$  such that  $sPs^{-1} = Z$ . Then we have uf = asf,  $a \in K$ ,  $a \neq 0$ , and  $\alpha(f) \alpha(u) = a\alpha(f)\alpha(s) = a\lambda(s)\alpha(f)s^{-1}$ ; but  $\alpha(f) = \pm f$ , whence  $f\alpha(u) = a\lambda(s)fs^{-1}$  and  $uf\alpha(u) = a^2\lambda(s)sfs^{-1}$ . It is clear that  $sfs^{-1}$  is the product of the elements of a base of Z and therefore belongs to  $C_r$ .

Now, let  $\Sigma$  be the set of elements  $u \neq 0$  of S which satisfy conditions (a) and (b). Then  $\Sigma$  contains the set of pure spinors. Let u be in  $\Sigma$  and s in  $\Gamma$ ; then  $\rho(s) \cdot u$  is either even or odd and

$$(\rho(s) \cdot u) f \alpha(\rho(s) \cdot u) = \lambda(s) s(u f \alpha(u)) s^{-1}$$

by the proof of III.3.1, whence  $\rho(s) \cdot u \in \Sigma$ . Assume first that we are not considering the exceptional case where r = 1, K has only 2 elements, and Q is of index 1. Then  $\rho$  is simple (II.4.1). It follows that S is spanned by the elements of the form  $\rho(s) \cdot u$ . Now, S is the exterior algebra  $E^N$  of the space N; since the elements  $\rho(s) \cdot u$  span  $\Sigma$ , we see that one of them has a homogeneous component of degree 0 in  $E^N$  which is  $\neq 0$ . In order to prove that u is a pure spinor, it suffices to prove that  $\rho(s) \cdot u$  is one; thus, we may assume that the homogeneous component of degree 0 of u is  $\neq$  0, and even (by multiplication by a scalar  $\neq$  0) that this component is 1. Since u is either even or odd, u is then even. Let  $u_{k}$  be its homogeneous component of degree h; then we have  $u_h = 0$  for h odd. The homogeneous component of degree 2 of the element  $(\exp u_2)^{-1}$  of  $\Gamma$ is  $-u_2$ ; that of  $(\exp u_2)^{-1}u$  is therefore 0. Since  $(\exp u_2)^{-1} \in \Gamma$ , we see that we may restrict ourselves without loss of generality to the case where  $u_2 = 0$ . We shall then prove that u = 1, which will establish that u is a pure spinor.

To do this, we first prove that, if  $x \in N$ ,  $u \in \Sigma$ ,  $xu \neq 0$ , then  $xu \in \Sigma$ . It is clear that xu is either even or odd and that  $xuf\alpha(xu) = x(uf\alpha(u))x$ . Thus, we have only to prove that  $xC_rx \subseteq C_r$ . Let  $z_1, \dots, z_r$  be in M; then we have, by II.1.6

$$xz_1 \cdots z_r x \equiv x \wedge z_1 \wedge \cdots \wedge z_r \wedge x = 0 \pmod{C_r},$$

which proves our assertion.

Return now to the case where  $u_0 = 1$ ,  $u_2 = 0$ . Were  $u \neq 1$ , then there would exist a smallest h > 0 such that  $u_h \neq 0$ , and h would be  $\geq 4$ . Let then w be a decomposable element of S, homogeneous of degree r - h, such that  $wu_h = e$ . (It will be remembered that e is the product of the elements of a certain base of N.) Thus, wu = w + e would be in

 $\Sigma$ . The proof will therefore be complete if we show that, w being a homogeneous decomposable element  $\neq 0$  of degree  $h \leq r - 4$ , w + e cannot be in  $\Sigma$ . There exist bases  $(x_1, \dots, x_r)$  of  $N, (y_1, \dots, y_r)$  of P such that

$$w = x_1 \cdots x_h$$
  $e = x_1 \cdots x_r$   $f = y_1 \cdots y_r$   $B(x_i, y_j) = \delta_{ij}$ .

We have  $(w + e)f(\alpha(w + e)) = wf\alpha(w) + ef\alpha(e) + wf\alpha(e) + ef\alpha(w)$ . We know that w and e are pure spinors (III.1.9); it will therefore be sufficient to prove that  $wf\alpha(e) + ef\alpha(w)$  is not in  $C_r$ . Let  $N_0$  be the space spanned by  $x_1, \dots, x_h$ ; by III.1.8,  $x_1 \dots x_h = w$  is a representative spinor for  $N_0 + P_0$ , where  $P_0$  is the space of elements of P which are orthogonal to those of  $N_0$ . This space is spanned by  $y_{h+1}, \dots, y_r$ . It follows from the part of III.3.2 which has been proved already that  $wf\alpha(w) = ax_1 \dots x_h y_{h+1} \dots y_r$ ,  $a \neq 0$  in K. Let  $w' = x_{h+1} \dots x_r$ ; then we have  $e = (-1)^{h(r-h)} w'w$ ,  $\alpha(e) = (-1)^{h(r-h)} \alpha(w)\alpha(w')$ , and

$$wf\alpha(e) + ef\alpha(w)$$
  
=  $ax_1 \cdots x_k[(-1)^{h(r-h)}y_{h+1} \cdots y_r x_r \cdots x_{h+1} + x_{h+1} \cdots x_r y_{h+1} \cdots y_r].$ 

For any  $z \in M$ , let L(z) be the operator of left multiplication by z in Eand  $\delta(z)$  the antiderivation of E which maps any  $z' \in M$  upon  $B_0(z, z') \cdot 1$ . Then the operator of multiplication by z in C is  $L(z) + \delta(z)$ . We have

$$y_{h+1} \cdots y_r x_r \cdots x_{h+1} = \prod_{i=h+1}^r (L(y_i) + \delta(y_i)) \cdot x_r \cdots x_{h+1} .$$

If  $i \neq j, v \in E$ , then we have  $\delta(y_i)y_i = 0$ , whence  $\delta(y_i) \cdot y_i \wedge v = -y_i \wedge \delta(y_i) \cdot v$ , and  $\delta(y_i)$  anticommutes with  $L(y_i)$ . Thus, we have

$$\prod_{i=h+1}^{r} (L(y_i) + \delta(y_i)) = \prod_{i=h+1}^{r} L(y_i) + \sum_{i=h+1}^{r} (-1)^{r-i} P_i \delta(y_i) + \Lambda,$$

where  $P_i$  is the product deduced from  $L(y_{h+1}) \cdots L(y_r)$  by omitting the factor  $L(y_i)$  and where  $\Lambda$  is a sum of homogeneous operators whose degrees are  $\langle r - h - 2$ . We have  $x_r \cdots x_{h+1} = x_r \wedge \cdots \wedge x_{h+1}$  and  $\delta(y_i) \cdot x_r \cdots x_{h+1} = (-1)^{r-i} \xi_i$ , where  $\xi_i$  is the product deduced from  $x_r \wedge \cdots \wedge x_{h+1}$  by omitting the factor  $x_i$ . If  $x \in N$ , we have  $\delta(x) = 0$ , so that the operators of left multiplication by x in E and C are identical to each other. It follows that the homogeneous component of degree r + (r - h) - 2 of  $wf\alpha(e) + ef\alpha(w)$  is

$$(-1)^{h(r-h)}ax_1 \wedge \cdots \wedge x_h \cdot \sum_{i=h+1}^r P_i \cdot \xi_i$$

and  $P_i \cdot \xi_i = \pm \xi_i \wedge \eta_i$ , where  $\eta_i$  is the product deduced from  $y_{h+1} \wedge \cdots \wedge y_r$  by omitting the factor  $y_i$ . This shows that the homogeneous component of degree r + (r - h - 2) of  $wf\alpha(e) + ef\alpha(w)$  in E is  $\neq 0$ . Since  $r - h \geq 4$ ,  $wf\alpha(e) + ef\alpha(w)$  is not in  $C_r$ , which concludes the proof of III.3.2.

If we consider the exceptional case mentioned above, then S is of dimension 2,  $S_p$  and  $S_i$  are of dimension 1, and the conclusion that u is pure follows from the assumption that u is even or odd.

III.3.3. Let Z and Z' be maximal totally singular subspaces of M, u and u' representative spinors for Z and Z', and  $h = \dim Z \cap Z'$ . Then  $uf\alpha(u')$  is in  $C_{m-h}$  but not in  $C_{m-h-1}$ .

We first establish

Lemma 1. Let the notation be as in III.3.3, and let  $Z_1$ ,  $Z'_1$  be maximal totally singular subspaces of M such that dim  $(Z_1 \cap Z'_1) = h$ . Then there exists an operation  $\sigma \in G$  such that  $\sigma(Z) = Z_1$ ,  $\sigma(Z') = Z'_1$ .

There is a vector-space isomorphism of Z with  $Z_1$  which transforms  $Z \cap Z'$  into  $Z_1 \cap Z'_1$ ; since Z is totally singular, this isomorphism is a Q-isomorphism and may be extended to an operation  $\sigma_1$  of G. It follows immediately that it is sufficient to consider the case where  $Z = Z_1$ ,  $Z \cap Z' = Z \cap Z'_1$ . In that case, Lemma 1 follows from III.1.7.

This being said, we can now prove III.3.3. Let  $(x_1, \dots, x_r)$  be a base of N and  $(y_1, \dots, y_r)$  a base of P such that  $B(x_i, y_i) = \delta_{ij}$   $(1 \le i, j \le r), y_1 \dots y_r = f$ , and let  $Z'_0$  be the maximal totally singular space whose representative spinor is  $x_1 \dots x_h$ . Then  $Z'_0 \cap N$  is of dimension h, and there exists a  $\sigma \in G$  such that  $\sigma(Z) = N, \sigma(Z') = Z'_0$ . Since e is a representative spinor for N, we have  $\rho(s) \cdot u = ae, \rho(s) \cdot u' = bx_1 \dots x_h$ , where a, b are scalars  $\neq 0$ ; thus, we have

$$abef\alpha(x_1 \cdots x_h) = \lambda(s)s(uf\alpha(u'))s^{-1}$$
.

Since the mapping  $w \to sws^{-1}$  maps each  $C_k$  onto itself, it is sufficient to prove that  $ef\alpha(x_1 \cdots x_h) = efx_h \cdots x_1$  is in  $C_{m-h}$  but not in  $C_{m-h-1}$ . This element may be written as  $\pm x_{h+1} \cdots x_r w f\alpha(w)$ , where  $w = x_1 \cdots x_h$ , and it follows from III.3.2 that  $wf\alpha(w)$  is the product of the elements of some base of  $Z'_0$ . Now,  $Z'_0$  is spanned by  $x_1, \cdots, x_h$  and by those elements of P which are orthogonal to  $x_1, \cdots, x_h$  (see III.1.8); thus,  $(x_1, \cdots, x_h, y_{h+1}, \cdots, y_r)$  is a base of  $Z'_0$ . Since  $Z'_0$  is totally singular, the algebra generated by it in C is isomorphic to the exterior algebra of  $Z'_0$ , and the products of the elements of the various bases of  $Z'_0$ differ only from each other by scalar factors  $\neq 0$ . This shows that

$$(x_1 \cdots x_k) f \alpha(x_1 \cdots x_k) = c x_1 \cdots x_k y_{k+1} \cdots y_r , \qquad (1)$$

where c is a scalar  $\neq 0$ . Thus, we have

$$ef\alpha(x_1\cdots x_k) = c'ey_{k+1}\cdots y_r, \qquad (2)$$

where c' is a scalar  $\neq 0$ . This shows that  $ef_{\alpha}(x_1 \cdots x_h)$  is in  $C_{m-h}$  and is congruent mod  $C_{m-h-1}$  to the element  $c'x_1 \wedge \cdots \wedge x_r \wedge y_{h+1} \wedge \cdots \wedge y_r$ , which is an element  $\neq 0$  in  $E_{m-h}$ ; III.3.3 is thereby proved.

# 3.4. The Tensor Product of the Spin Representation with Itself (Characteristic ≠ 2)

We shall assume in this section that K is not of characteristic 2.

Since K is of characteristic  $\neq 2$ , we may make use of the bilinear form  $B_0 = \frac{1}{2}B$  on  $M \times M$ , which has the property that  $B_0(x, x) = Q(x)$ . Making use of II.1.6, we shall identify C with the exterior algebra E of M by making use of the bilinear form B/2. This identification is different from the one used in Section 3. But now the algebra E may be defined in terms of C and M alone, without making use of a special choice of totally singular subspaces N and P. As a consequence, any automorphism j of C with transforms M into itself will also be an automorphism of E. Let us prove this point more explicitly.

If  $x \in M$ , denote by  $\delta_x$  the antiderivation of E which maps any  $y \in M$ upon  $\frac{1}{2}B(x, y) \cdot 1$ , and by  $L_x$ ,  $L'_x$  the operators of left multiplication by x in E and C, whence  $L'_x = L_x + \delta_x$ . Let x and y be in M. Since  $\delta_x$  is an antiderivation of E and y homogeneous of degree 1, we have  $\delta_x L_y +$  $L_y \delta_x = \frac{1}{2}B(x, y) I$ , where I is the identity. On the other hand, we know that  $\delta_x^2 = 0$  for every  $z \in M$ ; applying this to x, y, and x + y, we obtain  $\delta_x \delta_y + \delta_y \delta_x = 0$ . It follows that  $\delta_x L'_y + L'_y \delta_x = \frac{1}{2}B(x, y)I$ . The operator  $\delta_x$  is uniquely characterized by the following properties: it is linear, it maps 1 upon 0, and, for any  $y \in M$ , we have  $\delta_x L'_y + L'_y \delta_x = \frac{1}{2}B(x, y)I$ . For, let  $\delta$  be any operator with these properties and  $\delta' = \delta_x - \delta$ . Let a be the set of  $u \in C$  such that  $\delta' \cdot u = 0$ . Then a is a vector space containing 1 and M; if  $u \in a$ , then  $\delta' \cdot yu = \delta' L'_y u = -L'_y \delta' \cdot u = 0$ ; since M generates C, it follows immediately that a = C,  $\delta' = 0$ . Now, let jbe an automorphism of C such that j(M) = M. Since j is an automorphism, we have  $jL'_y j^{-1} = L'_{1,y}$  for any  $y \in M$ . Thus, we have

$$j\delta_{z}j^{-1} \cdot L'_{i} \cdot y + L'_{i} \cdot y j\delta_{z}j^{-1} = \frac{1}{2}B(x, y)I.$$

On the other hand, since  $xy + yx = B(x, y) \cdot 1$ , we have  $B(j \cdot x, j \cdot y) = B(x, y)$ ; we conclude that  $j\delta_x j^{-1} = \delta_{j \cdot x}$ . Thus, we have

$$jL_{x}j^{-1} = j(L'_{x} - \delta_{x})j^{-1} = L'_{j\cdot x} - \delta_{j\cdot x} = L_{j\cdot x}$$

and  $P_i \cdot \xi_i = \pm \xi_i \wedge \eta_i$ , where  $\eta_i$  is the product deduced from  $y_{h+1} \wedge \cdots \wedge y_r$  by omitting the factor  $y_i$ . This shows that the homogeneous component of degree r + (r - h - 2) of  $wf\alpha(e) + ef\alpha(w)$  in E is  $\neq 0$ . Since  $r - h \geq 4$ ,  $wf\alpha(e) + ef\alpha(w)$  is not in  $C_r$ , which concludes the proof of III.3.2.

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$$abef\alpha(x_1 \cdots x_h) = \lambda(s)s(uf\alpha(u'))s^{-1}.$$

Since the mapping  $w \to sws^{-1}$  maps each  $C_k$  onto itself, it is sufficient to prove that  $ef\alpha(x_1 \cdots x_h) = efx_h \cdots x_1$  is in  $C_{m-h}$  but not in  $C_{m-h-1}$ . This element may be written as  $\pm x_{h+1} \cdots x_r w f\alpha(w)$ , where  $w = x_1 \cdots x_h$ , and it follows from III.3.2 that  $wf\alpha(w)$  is the product of the elements of some base of  $Z'_0$ . Now,  $Z'_0$  is spanned by  $x_1, \cdots, x_h$  and by those elements of P which are orthogonal to  $x_1, \cdots, x_h$  (see III.1.8); thus,  $(x_1, \cdots, x_h, y_{h+1}, \cdots, y_r)$  is a base of  $Z'_0$ . Since  $Z'_0$  is totally singular, the algebra generated by it in C is isomorphic to the exterior algebra of  $Z'_0$ , and the products of the elements of the various bases of  $Z'_0$ differ only from each other by scalar factors  $\neq 0$ . This shows that

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where c is a scalar  $\neq 0$ . Thus, we have

$$ef\alpha(x_1 \cdots x_h) = c'ey_{h+1} \cdots y_r , \qquad (2)$$

where c' is a scalar  $\neq 0$ . This shows that  $ef\alpha(x_1 \cdots x_h)$  is in  $C_{m-h}$  and is congruent mod  $C_{m-h-1}$  to the element  $c'x_1 \wedge \cdots \wedge x_r \wedge y_{h+1} \wedge \cdots \wedge y_r$ , which is an element  $\neq 0$  in  $E_{m-h}$ ; III.3.3 is thereby proved.

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If  $x \in M$ , denote by  $\delta_x$  the antiderivation of E which maps any  $y \in M$ upon  $\frac{1}{2}B(x, y) \cdot 1$ , and by  $L_x$ ,  $L'_x$  the operators of left multiplication by x in E and C, whence  $L'_x = L_x + \delta_x$ . Let x and y be in M. Since  $\delta_x$  is an antiderivation of E and y homogeneous of degree 1, we have  $\delta_x L_y + L_y \delta_x = \frac{1}{2}B(x, y) I$ , where I is the identity. On the other hand, we know that  $\delta_x^2 = 0$  for every  $z \in M$ ; applying this to x, y, and x + y, we obtain  $\delta_x \delta_y + \delta_y \delta_x = 0$ . It follows that  $\delta_x L'_y + L'_y \delta_x = \frac{1}{2}B(x, y)I$ . The operator  $\delta_x$  is uniquely characterized by the following properties: it is linear, it maps 1 upon 0, and, for any  $y \in M$ , we have  $\delta_x L'_y + L'_y \delta_x = \frac{1}{2}B(x, y)I$ . For, let  $\delta$  be any operator with these properties and  $\delta' = \delta_x - \delta$ . Let a be the set of  $u \in C$  such that  $\delta' \cdot u = 0$ . Then a is a vector space containing 1 and M; if  $u \in a$ , then  $\delta' \cdot yu = \delta' L'_y u = -L'_y \delta' \cdot u = 0$ ; since M generates C, it follows immediately that a = C,  $\delta' = 0$ . Now, let jbe an automorphism of C such that j(M) = M. Since j is an automorphism, we have  $jL'_y j^{-1} = L'_{j,y}$  for any  $y \in M$ . Thus, we have

$$j\delta_{x}j^{-1} \cdot L'_{i} \cdot y + L'_{i} \cdot y j\delta_{x}j^{-1} = \frac{1}{2}B(x, y)I.$$

On the other hand, since  $xy + yx = B(x, y) \cdot 1$ , we have  $B(j \cdot x, j \cdot y) = B(x, y)$ ; we conclude that  $j\delta_x j^{-1} = \delta_{j \cdot x}$ . Thus, we have

$$jL_{z}j^{-1} = j(L'_{z} - \delta_{z})j^{-1} = L'_{j\cdot z} - \delta_{j\cdot z} = L_{j\cdot z}$$

Since M generates E, it follows immediately from this formula that j is an automorphism of E.

We apply this to the case where  $j(u) = sus^{-1}$ , s being an element of the Clifford group  $\Gamma$ . Since j is an automorphism of E and maps M onto itself, j maps the space  $E_h$  of homogeneous elements of degree h of E onto itself for any h. In Section 3.3, we have denoted by  $\theta$  the representation of G which is defined by the formula

$$\theta(\chi(s)) \cdot u = sus^{-1}$$
 for  $s \in \Gamma$ ,

and by  $\theta_h(\sigma)$  the restriction of  $\theta$  to  $C_h$  (the space spanned by the products of at most *h* elements of *M*). We have seen that the representation of *G* on  $C_h/C_{h-1}$  defined in a natural manner by  $\theta_h$  is equivalent to the representation  $\zeta_h$  of *G* on the *h*-vectors. Since  $C_h$  is the direct sum of  $C_{h-1}$  and  $E_h$ , we see that  $\theta_h$  is equivalent to the direct sum of  $\theta_{h-1}$  and  $\zeta_h$ . Thus,  $\theta$  is equivalent to the direct sum of  $\zeta_0$ ,  $\zeta_1$ ,  $\cdots$ ,  $\zeta_m$ .

Let u and v be any elements of S. Then  $uf\alpha(v)$  is an element of C = E. We set

$$uf\alpha(v) = \sum_{h=0}^{m} \beta_h(u, v),$$

where  $\beta_h$  is the homogeneous component of degree h of  $uf\alpha(v)$ . Each  $\beta_h$  is then obviously a bilinear mapping of  $S \times S$  into  $E_h$ , and we have, for  $s \in \Gamma$ ,

$$\beta_{\lambda}(\rho(s) \cdot u, \ \rho(s) \cdot v) = \lambda(s) \zeta_{\lambda}(\chi(s)) \cdot \beta_{\lambda}(u, v), \qquad (1)$$

where  $\chi$  is the vector representation of  $\Gamma$ ,  $\rho$  its spin representation, and  $\zeta_h$  the representation of G on *h*-vectors.

We shall now study the symmetry properties of the mappings  $\beta_{\lambda}$ . In order to do this, we need the following result:

III.4.1. The antiautomorphism  $\alpha$  of C is also an antiautomorphism of E; it multiplies the elements of  $E_h$  by  $(-1)^{h(h-1)/2}$ .

Let  $x_1, \dots, x_k$  be mutually orthogonal vectors in M. Then we have

$$x_1 \cdots x_h = x_1 \wedge \cdots \wedge x_h$$
.

We prove this by induction on h. It is obvious if h = 0 or 1. Assume that h > 1 and that our assertion is true for h - 1. Then we have

$$x_1(x_2 \cdots x_h) = x_1 \wedge (x_2 \cdots x_h) + \delta(x_2 \cdots x_h),$$

where  $\delta$  is the antiderivation of E such that  $\delta \cdot x = \frac{1}{2}B(x_1, x)$  if  $x \in M$ . Since  $x_1, \dots, x_k$  are mutually orthogonal, we have  $\delta \cdot x_i = 0$  for i > 1, whence  $\delta \cdot x_2 \cdots x_h = \delta \cdot (x_2 \wedge \cdots \wedge x_h) = 0$ , and our formula is true for h. Now, we have  $\alpha(x_1 \cdots x_h) = x_h \cdots x_1$ , whence

$$\alpha(x_1 \wedge \cdots \wedge x_h) = x_h \wedge \cdots \wedge x_1$$
  
=  $(-1)^{k(h-1)/2} x_1 \wedge \cdots \wedge x_h$ .

Since *M* has a base composed of mutually orthogonal vectors,  $E_{k}$  is spanned by the elements of the form  $x_{1} \wedge \cdots \wedge x_{k}, x_{1}, \cdots, x_{k}$  mutually orthogonal. It follows that  $\alpha$  multiplies every element of  $E_{k}$  by  $(-1)^{k(k-1)/2}$ , from which it follows easily that it is an antiautomorphism of *E*.

This being said, let u, v be in S. Then we have

 $\alpha(uf\alpha(v)) = v\alpha(f)\alpha(u)$ 

and  $\alpha(f) = (-1)^{r(r-1)/2} f$ ; thus, we have

$$\beta_{h}(v, u) = (-1)^{r(r-1)/2} \alpha(\beta_{h}(u, v))$$

and therefore

$$\beta_{h}(v, u) = (-1)^{r(r-1)/2 + h(h-1)/2} \beta_{h}(u, v).$$
(2)

The space S is the direct sum of the spaces  $S_p$  of even half-spinors and  $S_i$  of odd half-spinors. We propose to study  $\beta_h(u, v)$  when u, v are half-spinors. If r is even, then  $f \in C_+$  and  $uf\alpha(v)$  is in  $C_+$  if u, v are of the same kind, in  $C_-$  if they are of opposite kinds; if r is odd, then  $f \in C_-$  and  $uf\alpha(v)$  is in  $C_-$  if u, v are of the same kind, in  $C_+$  if they are of opposite kinds. Since  $C_+ = \sum_{h \text{ even}} E_h$ ,  $C_- = \sum_{h \text{ odd}} E_h$ , we have proved the following statement:

III.4.2. If  $h \equiv r \pmod{2}$ , then  $\beta_h$  vanishes on  $S_p \times S_i$  and on  $S_i \times S_p$ ; if  $h \equiv r + 1 \pmod{2}$ , then  $\beta_h$  vanishes on  $S_p \times S_p$  and  $S_i \times S_i$ .

Let  $(x_1, \dots, x_r)$  and  $(y_1, \dots, y_r)$  be bases of N and P such that  $B(x_i, y_i) = \delta_{ii}$   $(1 \le i, j \le r), y_1 \cdots y_r = f$ . Let  $x'_{2k-1} = x_k - y_k$ ,  $x'_{2k} = x_k + y_k$   $(1 \le k \le r)$ ; then  $(x'_1, \dots, x'_m)$  is a base of M composed of mutually orthogonal vectors, and  $Q(x'_i) = (-1)^i$   $(1 \le i \le m)$ . Let  $z = x'_1 \cdots x'_m = x'_1 \wedge \cdots \wedge x'_m$ ; then z anticommutes with  $x'_i$   $(1 \le i \le m)$ , which shows that z anticommutes with every element of  $C_-$  and is in the center of  $C_+$ . Let  $i_1, \dots, i_h$  be integers such that  $i_1 < \cdots < i_h$ ; then we have

$$x'_{i_1} \cdots x'_{i_k} z = (-1)^k x'_{i_1} \cdots x'_{i_{m-k}},$$

where  $\{j_1, \dots, j_{m-h}\}$  is the complementary set of  $\{i_1, \dots, i_h\}$  and  $j_1 < \dots < j_{m-h}$ ; in particular,  $z^2 = 1$ . Comparing with what was said

in the proof of I.6.3, we see that the operation of right multiplication by z may also be defined as follows: for any  $x \in M$ , let  $\delta(x)$  be the antiderivation of E which maps any  $y \in M$  upon  $\frac{1}{2}B(x, y) \cdot 1$ ; if  $\xi_1, \dots, \xi_h$ are in M, then

$$(\xi_1 \wedge \cdots \wedge \xi_h)z = \delta(\xi_1) \cdots \delta(\xi_h) \cdot z.$$

We have  $x'_{2k-1} \wedge x'_{2k} = 2x_k \wedge y_k$ ,  $\delta(y_k) \cdot x_k = \frac{1}{2} \cdot 1$ ,  $\delta(y_k) \cdot y_k = 0$ , whence  $\delta(y_k) \cdot (x'_{2k-1} \wedge x'_{2k}) = y_k$ . On the other hand, we have  $\delta(y_k) \cdot x_l = \delta(y_k) \cdot y_l = 0$  if  $k \neq l$ . It follows easily that

$$fz = \delta(y_1) \cdots \delta(y_r) \cdot z = f.$$

Since  $zfz^{-1} = (-1)^r f$ , we have  $zf = (-1)^r f$ .

III.4.3. Let u be a spinor and v a half-spinor; set  $\epsilon = +1$  if v is even,  $\epsilon = -1$  if v is odd. Then we have

$$\beta_{m-h}(u, v) = \epsilon \beta_h(u, v) z.$$

We have

$$uf\alpha(v) = \sum_{h=0}^{m} \beta_{h}(u, v),$$

whence

$$uf\alpha(v)z = \sum_{h=0}^{m} \beta_h(u, v)z.$$

On the other hand,  $\alpha(v)$  is in  $C_+$  if v is even, in  $C_-$  if v is odd, whence

$$uf\alpha(v)z = \epsilon ufz\alpha(v)$$
$$= \epsilon uf\alpha(v).$$

Our assertion then follows from the fact that the operation of right multiplication by z transforms  $E_h$  into  $E_{m-h}$ .

We may now make the results of III.3.2, III.3.3 more precise.

III.4.4. Let u be an element  $\neq 0$  of S. In order for u to be a pure spinor, it is necessary and sufficient that  $\beta_k(u, u) = 0$  for all  $k \neq r$ . Let u and u' be representative spinors for maximal totally singular spaces Z and Z', and let  $h = \dim (Z \cap Z')$ . Then we have  $\beta_k(u, u') = 0$  if k < hor k > m - h;  $\beta_h(u, u')$  is the exterior product of the elements of some base of  $Z \cap Z'$ , while  $\beta_{m-h}(u, u')$  is the exterior product of the elements of some base of Z + Z'.

If u is a representative spinor of a maximal totally singular space Z, then  $uf\alpha(u)$  is the product in C of the elements of a base of Z (by III.3.2);

these elements being mutually orthogonal, their product in C is also their product in E, and, since Z is of dimension r, it belongs to  $E_r$ . Assume conversely that  $\beta_k(u) = 0$  for  $k \neq r$ . In order to prove that u is a pure spinor, it suffices in virtue of III.3.2 to show that u is either even or odd. Write  $u = u_p + u_i$ , where  $u_p \in S_p$ ,  $u_i \in S_i$ , whence  $\beta_k(u, u)$  $= \beta_k(u_p, u_p) + \beta_k(u_i, u_i) + \beta_k(u_p, u_i) + \beta_k(u_i, u_p)$ . If  $k \not\equiv r \pmod{2}$ , then we have  $\beta_k(u_p, u_p) = \beta_k(u_i, u_i) = 0$ , whence  $\beta_k(u_p, u_i) + \beta_k(u_i, u_p)$ = 0; if  $k \equiv r \pmod{2}$ , then we have  $\beta_k(u_p, u_i) = \beta_k(u_i, u_p) = 0$ . Thus, we have  $\beta_k(u_p, u_i) + \beta_k(u_i, u_p) = 0$  for every k, and

$$u_p \otimes u_i + u_i \otimes u_p = u_p f \alpha(u_i) + u_i f \alpha(u_p)$$
$$= \sum_{k=0}^m \left(\beta_k(u_p, u_i) + \beta_k(u_i, u_p)\right) = 0$$

Were  $u_p$  and  $u_i \neq 0$ , then they would be linearly independent and  $u_p \otimes u_i + u_i \otimes u_p$  could not be 0. Thus, u is either even or odd.

We proceed now to prove the second assertion of III.4.4. Let  $(x_1, \dots, x_r)$  and  $(y_1, \dots, y_r)$  be bases of N and P such that  $B(x_i, y_i) = \delta_{ii}$  $(1 \leq i, j \leq r), y_1 \dots y_r = f$ ; set  $x_1 \dots x_r = e$ . The space  $Z_0$  spanned by  $x_1, \dots, x_h, y_{h+1}, \dots, y_r$  is totally singular and dim  $(N \cap Z_0) = h$ . It results from Lemma 1, III.3, that there is a  $\sigma \in G$  such that  $\sigma(Z) = N$ ,  $\sigma(Z') = Z_0$ ; let s be an operation of  $\Gamma$  such that  $\sigma = \chi(s)$ . We know that e and  $x_1 \dots x_h$  are representative spinors for N and  $Z_0$  (see III.1.8). Thus,  $\rho(s) \cdot u$  and  $\rho(s) \cdot u'$  are scalar multiples  $\neq 0$  of e and  $x_1 \dots x_h$ , respectively. We have

$$\beta_k(\rho(s) \cdot u, \ \rho(s) \cdot u') = \lambda(s) s \beta_k(u, u') s^{-1}.$$

The mapping  $w \to sws^{-1}$  is an automorphism of E and transforms a base of  $Z \cap Z'$  (respectively: Z + Z') into a base of  $N \cap Z_0$  (respectively:  $N + Z_0$ ). Thus, we see that it is sufficient to prove the second assertion of III.4.4 in the case where  $u = e, u' = x_1 \cdots x_k$ . Making use of formula (2), III.3, we then have

$$uf\alpha(u') = cx_1 \cdots x_r y_{h+1} \cdots y_r$$

(where c is a scalar  $\neq 0$ ). This element is in  $C_{m-h}$  and is congruent modulo  $C_{m-h-1}$  to the exterior product  $cx_1 \wedge \cdots \wedge x_r \wedge y_{h+1} \wedge \cdots \wedge y_r$ . It follows that  $\beta_k(u, u') = 0$  if k > m - h, while

$$\beta_{m-h}(u, u') = cx_1 \wedge \cdots \wedge x_r \wedge y_{h+1} \wedge \cdots \wedge y_r;$$

this is the product in E of the elements of a base of  $N + Z_0$ . Making use of III.4.3, we have  $\beta_k(u, u') = 0$  if k < h, and

$$\beta_{h}(u, u') = \pm c(x_{1} \wedge \cdots \wedge x_{r} \wedge y_{h+1} \wedge \cdots \wedge y_{r})z.$$

Making use of what was said above about the operator of right multiplication by z, this is

$$\pm c\,\delta(x_1)\,\cdots\,\,\delta(x_r)\,\delta(y_{h+1})\,\cdots\,\,\delta(y_r)\,\cdot z.$$

But z is a basic element of  $E_m$ , and is therefore a scalar multiple  $\neq 0$ of  $x_1 \wedge \cdots \wedge x_r \wedge y_1 \wedge \cdots \wedge y_r$ . We have  $\delta(x_i) \cdot x_i = \delta(y_i) \cdot y_i = 0$ ,  $\delta(x_i) \cdot y_i = \frac{1}{2} \cdot 1$   $(1 \leq i, j \leq r)$ . Since each  $\delta(x)$  is an antiderivation, it follows immediately that

$$\delta(x_1) \cdots \delta(x_r) \, \delta(y_{h+1}) \cdots \delta(y_r) \cdot z = c' x_1 \wedge \cdots \wedge x_h$$

where c' is a scalar  $\neq 0$ . This is the product in E of the elements of a base of  $N \cap Z_0$ , which completes the proof of III.4.4.

If  $\sigma \in G$ , let s be an element of  $\Gamma$  such that  $\chi(s) = \sigma$ , and  $\zeta(\sigma)$  the mapping  $w \to sws^{-1}$  ( $w \in C = S \otimes S$ ). Let  $\zeta^+$  be the representation of  $G^+$  induced by  $\zeta$ ; if  $0 \leq h \leq m$ , let  $\zeta_h^+$  be the representation of  $G^+$  on the *h*-vectors. We know that  $\zeta_h^+$  is simple if  $h \neq r$ , while  $\zeta_r^+$  is equivalent to the sum of two simple representations  $\zeta_r'^+$  and  $\zeta_r''^+$  (see I.6.2 and I.6.4). The representation  $\zeta^+$  is the sum of the representations  $\zeta_h^+$  for  $0 \leq h \leq m$ ;  $\zeta_h^+$  is equivalent to  $\zeta_{m-h}^+$ , so that we may write

$$\zeta^{+} \cong 2 \sum_{h=0}^{r-1} \zeta_{h}^{+} + \zeta_{r}^{\prime +} + \zeta_{r}^{\prime \prime +}.$$

On the other hand,  $C = S \otimes S$  is the direct sum of the four spaces  $S_{\mathfrak{p}} \otimes S_{\mathfrak{p}}$ ,  $S_i \otimes S_i$ ,  $S_{\mathfrak{p}} \otimes S_i$ ,  $S_i \otimes S_p$ , each of which is clearly mapped into itself by the operations of  $\zeta^+(G^+)$  (because

$$(\rho(s) \cdot u) f \alpha(\rho(s) \cdot v) = \lambda(s) s(u f \alpha(v)) s^{-1}$$

if  $s \in \Gamma$ , and, if  $s \in \Gamma^+$ , then  $\rho(s)$  maps  $S_p$  and  $S_i$  into themselves). If  $\sigma \in G^+$ , let  $\zeta_{pp}(\sigma)$ ,  $\zeta_{ii}(\sigma)$ ,  $\zeta_{pi}(\sigma)$ , be the restrictions of  $\zeta(\sigma)$  to these four spaces. We wish to analyze the representations  $\zeta_{pp}$ ,  $\zeta_{ii}$ ,  $\zeta_{pi}$ ,  $\zeta_{ip}$ ,  $\zeta_{ip}$ ,  $\zeta_{ip}$ ,  $\zeta_{ir}$ ,  $\zeta_{pr}$ ,  $\zeta_{pr}$ ,  $\zeta_{ir}$ ,  $\zeta_{pr}$ ,  $\zeta_{ir}$ ,  $\zeta_{pr}$ ,  $\zeta_{ir}$ ,  $\zeta_{pr}$ ,  $\zeta_{p$ 

$$C = \sum_{h=0}^{r-1} (E_h + E_{m-h}) + E'_r + E''_r$$

We know that the representations  $\zeta_h^+$   $(h \leq r - 1)$ ,  $\zeta_r'^+$ ,  $\zeta_r''^+$  are all inequivalent except if K has only 3 elements and r = 1 (I.6.2). Let us leave this trivial exceptional case aside. Then any subspace of C which is mapped into itself by the operations of  $\zeta^+(G^+)$  is the sum of its intersections with the spaces  $E_h + E_{m-h}$ ,  $E'_r$ ,  $E''_r$ . On the other hand, it follows from III.4.2 that

$$S_p \otimes S_p + S_i \otimes S_i = \sum_{h=r \pmod{2}} E_h$$

while

$$S_p \otimes S_i + S_i \otimes S_p = \sum_{h \neq r \pmod{2}} E_h$$

Let h be  $\equiv r \pmod{2}$  and  $\xi$  an element  $\neq 0$  of  $E_{\lambda}$ ; write  $\xi = \xi' + \xi''_{\lambda}$ where  $\xi' \in S_{p} \otimes S_{p}$ ,  $\xi'' \in S_{i} \otimes S_{i}$ . If  $u' \in S_{p}$ ,  $u \in S$ , then we have

$$uf\alpha(u')z = ufz\alpha(u') = uf\alpha(u'),$$

while, if  $u' \in S_i$ , then we have

$$uf\alpha(u')z = -uf\alpha(u').$$

It follows that

$$\xi z = \xi' - \xi''.$$

If  $h \neq r$ , then  $\xi z$ , which is  $\neq 0$  in  $E_{m-k}$ , is linearly independent of  $\xi$  and neither  $\xi'$  nor  $\xi''$  can be 0. Since  $\xi'$  and  $\xi''$  are linear combinations of  $\xi$ ,  $\xi z$ , we see that both  $S_p \otimes S_p$  and  $S_i \otimes S_i$  meet  $E_k + E_{m-k}$ , which proves that  $\zeta_h^+$  occurs in both  $\zeta_{pp}$  and  $\zeta_{ii}$ . Since  $\zeta_h^+$  occurs exactly twice in  $\zeta_{pp} + \zeta_{ii}$ , it occurs exactly once in  $\zeta_{pp}$  and in  $\zeta_{ii}$ . Consider now the case where h = r. Since  $z^2 = 1$ , the mapping  $\xi \to \xi z$  is an automorphism of order 2 of  $E_r$ , and  $E_r$  is the direct sum of the space  $E_{r,p}$  of those  $\xi$ 's such that  $\xi z = \xi$  and of the space  $E_{r,i}$  of those  $\xi \in E_r$  such that  $\xi z = -\xi$ . Both these spaces are mapped into themselves by the operations of  $\zeta_r(G^+)$  because z commutes with every element of  $\Gamma^+$ . It is clear that  $E_{r,p} \subset S_p \otimes S_p$ ,  $E_{r,i} \subset S_i \otimes S_i$ . None of the spaces  $E_{r,p}$ ,  $E_{r,i}$ , can be the whole of  $E_r$ . This follows easily from the description given above of the operation of right multiplication by z, but it can also be proved as follows. Were for instance  $E_{r,p} = E_r$ , then  $\zeta_{pp}$  would be equivalent to

$$\sum_{h=0}^{r-1} \sum_{h=r(2)} \zeta_{h}^{+} + \zeta_{r}^{+}$$

and  $\zeta_{ii}$  to

$$\sum_{h=0}^{r-1} \sum_{h=r(2)} \zeta_h^+;$$

but this is impossible, since  $\zeta_{pp}$  and  $\zeta_{ii}$  clearly have the same degree. It follows that  $E_{r,p}$ ,  $E_{r,i}$ , are the spaces of the two simple representations  $\zeta_{r'}$ ,  $\zeta_{r''}$ ; from now on we shall denote by  $\zeta_{rp}$  (respectively:  $\zeta_{ri}$ ) the

one of the two representations  $\zeta_r'^+$ ,  $\zeta_r''^+$  whose space is  $E_{r,p}$  (respectively:  $E_{r,i}$ ). We then obtain the following formulas:

$$\zeta_{pp} \cong \sum_{h=0}^{r-1} {}^{h=r \pmod{2}} \zeta_h^{+} + \zeta_{r,p}^{+},$$
  
$$\zeta_{ii} \cong \sum_{h=0}^{r-1} {}^{h=r \pmod{2}} \zeta_h^{+} + \zeta_{r,i}^{+}.$$

A similar analysis, but simpler, gives

$$\zeta_{p,i} \cong \zeta_{ip} = \sum_{h=0}^{r-1} {}^{h \neq r \pmod{2}} \zeta_h^+.$$

On the other hand,  $S_p \otimes S_p$  is the direct sum of the space  $(S_p \otimes S_p)^*$  of symmetric tensors of degree 2 over  $S_p$  and of the space  $(S_p \otimes S_p)^*$  of alternating tensors;  $(S_p \otimes S_p)^*$  is spanned by the elements  $u \otimes v + v \otimes$  $u, u, v \in S_p$ , and  $(S_p \otimes S_p)^*$  by the elements  $u \otimes v - v \otimes u$ . We have a similar decomposition for  $S_i \otimes S_i$ . Let  $\zeta_{pp}^*, \zeta_{pp}^*, \zeta_{ii}^*, \zeta_{ii}^*$  be the representations of  $G^+$  on the spaces  $(S_p \otimes S_p)^*, (S_p \otimes S_p)^*, (S_i \otimes S_i)^*,$  $(S_i \otimes S_i)^*$ . Taking formula (2) into account, we obtain

$$\begin{split} \zeta_{pp}^{\ a} &\cong \sum_{h=0}^{r-1} \zeta_{h=r(4)} \zeta_{h}^{\ a} + \zeta_{r,p}^{\ a}, \\ \zeta_{pp}^{\ a} &\cong \sum_{h=0}^{r-1} z_{h=r+2(4)} \zeta_{h}^{\ a}, \\ \zeta_{ii}^{\ a} &\cong \sum_{h=0}^{r-1} z_{h=r(4)} \zeta_{h}^{\ a} + \zeta_{r,i}^{\ a}, \\ \zeta_{ii}^{\ a} &\cong \sum_{h=0}^{r-1} z_{h=r+2(4)} \zeta_{h}^{\ a}. \end{split}$$

III.4.5. Let  $(\xi_1, \dots, \xi_r)$  be a base of an even (respectively: odd) maximal totally singular space Z. Then  $\xi_1 \wedge \dots \wedge \xi_r$  is in  $E_{r,p}$  (respectively:  $E_{r,i}$ ) and  $E_{r,p}$  is spanned by elements of this form.

Let u be a representative spinor for Z; then we have  $u \in S_{p}$  if Z is even,  $u \in S_{i}$  if Z is odd, and

$$\beta_r(u, u) = u f \alpha(u) = a(\xi_1 \wedge \cdots \wedge \xi_r),$$

a a scalar  $\neq 0$ , which proves the first assertion. The elements of the form  $\xi_1 \wedge \cdots \wedge \xi_r$  are obviously permuted among themselves by the operations of  $\zeta_r(G)$ ; since  $\zeta_{r,p}^+$ ,  $\zeta_{r,i}^+$  are simple, this proves the second assertion.

## 3.5. Imbedded Spaces

III.5.1. Let M' be a nonisotropic (m - 2)-dimensional subspace of M, Q' the restriction of Q to M' and  $\Gamma'^+$  the special Clifford group of Q'. Then either one of the half-spin representations of  $\Gamma^+$  induces a representation of  $\Gamma'^+$  which is equivalent to the spin representation.

We know that the representation of  $\Gamma'^+$  induced by a half-spin representation of  $\Gamma^+$  is the sum of a certain number of representations of  $\Gamma'^+$ , which are all equivalent to the spin representation (II.6.2). This representation is of degree  $2^{r-1}$ . Since the Clifford algebra C' of Q' is of dimension  $2^{2(r-1)}$ , its simple representations are of degree  $\equiv 0$ (mod  $2^{r-1}$ ), and the spin representation of  $\Gamma'^+$  can occur only once in the representation induced by a half-spin representation of  $\Gamma^+$ . The argument also proves that C' is isomorphic to a full matrix algebra over K.

Let us now consider the case where Q' is itself of maximal index r - 1. Let M' = N' + P' be a representation of M' as the sum of two totally singular subspaces N' and P' of dimension r - 1. Then N' is contained in at least one maximal totally singular subspace  $N_1$  of M and P' in exactly two maximal totally singular subspaces  $P_1$ ,  $P_2$  of M, one of which is even and the other odd (III.1.11). Since  $N' \cap P' = \{0\}$ ,  $P_1 \cap N_1$  is of dimension  $\leq 1$ ;  $P_1 \cap N_1$  and  $P_2 \cap N_1$  cannot both be of dimension 1 in virtue of III.1.10; assume then that  $P_1 \cap N_1 = \{0\}$ , whence  $M = N_1 + P_1$ . We shall assume that  $N_1$  and  $P_1$  are the spaces N and P which we have selected for the study of Q. Let  $C^{N'}$  be the subalgebra of C (or C') generated by N'; then we may take  $C^{N'}$  to be the space of spinors S' for Q'. We propose to define explicitly an isomorphism of S' with  $C_{+}^{N} = S_{p}$ , which realizes the equivalence of the representation of  ${\Gamma'}^+$  induced by  ${\rho_p}^+$  with the spin representation of  $\Gamma'^+$ . The restrictions of B to  $N' \times P'$  and to  $N \times P$  being nondegenerate, it is clear that we can find vectors  $x_0 \in N$ ,  $y_0 \in P$  with the following properties:  $x_0$  and  $y_0$  are orthogonal to N' + P', and  $B(x_0, y_0) = 1$ . We then have  $N = N' + Kx_0$ ,  $P = P' + Ky_0$ . Set  $C_+^{N'} = C^{N'} \cap C_+$ ,  $C_{-}^{N'} = C^{N'} \cap C_{-}$ ; then  $C^{N'}$  is the direct sum of these two spaces, which are the spaces of even and odd half-spinors for Q'. Define a linear mapping  $\varphi$  of  $C^{N'}$  into  $S_p$  by the formula

$$\varphi(u'_{+}+u'_{-}) = u'_{+}+u'_{-}x_{0} \qquad (u'_{+} \varepsilon C_{+}^{N'}, u'_{-} \varepsilon C_{-}^{N'})$$

Let  $\rho'$  be the spin representation of C' and  $\rho_p^+$  the half-spin representation of  $C_+$  on  $S_p$ . We propose to compute  $\varphi(\rho'(v') \cdot u')$  for  $u' \in S'$ ,  $v' \in C'$ . Let f' be the product of the elements of a base of P'. We have by definition  $(\rho'(v') \cdot u')f' = v'u'f'$ . On the other hand, it is clear that  $f'y_0$  is the product of the elements of a base of P and therefore differs only by a scalar factor from f, whence  $(\rho'(v') \cdot u')f = v'u'f$ . Now,  $x_0$  is orthogonal to every element of P', whence  $x_0f' = -(-1)^r f'x_0$ , and it follows that  $(\rho'(v') \cdot u')x_0f = v'u'x_0f$ . If we decompose u' in  $u'_+ + u'_-$ ,  $u'_+ \varepsilon C_+^{N'}$ ,  $u'_- \varepsilon C_-^{N'}$ , we have

$$\begin{aligned} \varphi(\rho'(v') \cdot u') &= \rho'(v') \cdot u'_{+} + (\rho'(v') \cdot u'_{-})x_0 & \text{if} \quad v' \in C'_{+}, \\ \varphi(\rho'(v') \cdot u') &= (\rho'(v') \cdot u'_{+})x_0 + \rho'(v') \cdot u'_{-} & \text{if} \quad v' \in C'_{-}. \end{aligned}$$

If  $v' \in C'_+ \subset C_+$ , then we have  $(\rho_p^+(v') \cdot u)f = v'uf$  for all  $u \in S_p$ . It follows immediately that

$$\varphi(\rho'(v') \cdot u') = \rho_p^+(v') \cdot \varphi(u') \quad \text{if} \quad v' \in C'_+.$$

If  $v' \in C'_{-}$ ,  $\rho_{p}^{+}(v')$  is not defined because v' is not in  $C_{+}$ . In order to treat that case, we set  $\xi_{0} = x_{0} + y_{0}$ , whence  $\xi_{0}^{2} = 1$ . On the other hand, we observe that the center of  $C'_{+}$  contains an element z' of square 1 which anticommutes with every element of  $C'_{-}$  (II.2.4; if K is of characteristic 2, we take z' = 1). If K is of characteristic 2, then z'f = f. If not, the simple ideals of which  $C'_{+}$  is the sum are  $C'_{+}(1 - z')$  and  $C'_{+}(1 + z')$ . Since f' is a half-spinor for Q' and the ideals  $C'_{+}(1 - z')$  and  $C'_{+}(1 + z')$  are the kernels of the two half-spin representations of  $C'_{+}$ , one of the elements (1 - z')f', (1 + z')f' is 0; replacing if necessary z' by - z', we may assume that z'f' = f', whence z'f = f. This being said, we have

$$\varphi(\rho'(v') \cdot u')f = v'u'_{+}x_0f + v'u'_{-}f,$$
  
$$(\rho_p^+(v'\xi_0z') \cdot \varphi(u'))f = v'\xi_0z'u'_{+}f + v'\xi_0z'u'_{-}x_0f.$$

Since  $\xi_0$  is in the conjugate space of M', it anticommutes with every element of M'; it follows that  $\xi_0 z'$  commutes with every element of M', and therefore also of C'. Thus, we have  $\xi_0 z' u'_+ f = u'_+ \xi_0 z' f = u'_+ \xi_0 f$ . Similarly,  $x_0$  anticommutes with every element of M' and commutes with z', whence  $v'\xi_0 z' u'_- x_0 f = v' u'_- \xi_0 x_0 f$ . It is clear that  $y_0 f = 0$ , whence  $\xi_0 f = x_0 f$ . We have  $x_0^2 = 0$ ,  $x_0 y_0 + y_0 x_0 = 1$ , whence  $\xi_0 x_0 f = y_0 x_0 f = f$ . We conclude that

$$\varphi(\rho'(v') \cdot u') = \rho_{p}^{+}(v'\xi_{0}z') \cdot \varphi(u') \qquad (v' \in C'_{-}).$$

Let  $\psi$  be the mapping of C' into  $C_+$  defined by

 $\psi(v'_{+} + v'_{-}) = v'_{+} + v'_{-}\xi_{0}z'$  If  $v'_{+} \in C'_{+}, v'_{-} \in C'_{-}$ .

Since  $(\xi_0 z')^2 = \xi_0^2 z'^2 = 1$  and  $\xi_0 z'$  commutes with every element of

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 $C', \psi$  is clearly a homomorphism, and therefore an isomorphism, since C' is simple. We have

$$\varphi(\rho'(v') \cdot u') = \rho_p^+(\psi(v')) \cdot \varphi(u') \qquad (v' \in C').$$

The mapping  $\rho_p^+ \circ \psi$  is a representation of degree  $2^{r-1}$  of C', and therefore equivalent to  $\rho'$ . Since  $\rho'$  is simple and  $\varphi \neq 0$ ,  $\varphi$  is an isomorphism of S' with  $S_p$  by Schur's lemma. If  $s' \in \Gamma'^+$ , then

$$\varphi(\rho'(s') \cdot u') = \rho_p^+(s') \cdot \varphi(u'),$$

and  $\varphi$  realizes the equivalence of  $\rho'$  and of the representation of  ${\Gamma'}^+$ induced by  $\rho_p^+$ . Moreover, we have determined explicitly a representation  $\rho_p^+ \circ \psi$  of C' on  $S_p$  which extends the restriction of  $\rho_p^+$  to  $C'_+$ .

Let now  $S_i$  be the space of odd half-spinors for Q; define a linear mapping  $\varphi'$  of S' into  $S_i$  by

$$\varphi'(u_{+}' + u_{-}') = u_{+}'x_{0} + u_{-}' \qquad (u_{+}' \in C_{+}^{N'}, u_{-}' \in C_{-}^{N'}).$$

Then it is easily seen that  $\varphi'$  is a linear isomorphism and that

$$\varphi'(\rho'(v') \cdot u') = \rho_i^+(\psi(v')) \cdot \varphi'(u') \qquad (v' \in C_+').$$

We shall now determine the elements  $u' \in S'$  such that  $\varphi(u')$  is a pure spinor for Q. Let Z be any even maximal totally singular subspace of M, u a representative spinor for Z, and u' the element of S' such that  $\varphi(u') = u$ . The space  $Z \cap M'$  is of dimension r - 1 or r - 2 (for M'cannot contain any totally singular space of dimension r). If  $x' \in Z \cap M'$ , then we have  $\varphi(\rho'(x') \cdot u') = \rho_p^+(\psi(x')) \cdot u$ ,  $\psi(x') = x'\xi_0 z' = \xi_0 z' x'$ , and  $\rho_p^+(\psi(x')) = \rho(\xi_0 z')\rho(x')$ , where  $\rho$  is the spin representation of C. Since  $x' \in Z$ , we have  $\rho(x') \cdot u = 0$ , whence  $\rho'(x') \cdot u' = 0$ . If  $Z \cap M'$  is of dimension r - 1, it is a maximal totally singular subspace of M', and u' is a representative spinor for this space (III.1.4). Conversely, any maximal totally singular subspace of M (III.1.11), which shows that the image under  $\varphi$  of any pure spinor for Q' is a pure spinor for Q.

Assume now that dim  $(Z \cap M') = r - 2$ . Then  $Z \cap M'$  is contained in exactly two maximal totally singular subspaces  $Z_{+}', Z_{-}'$  of M', with  $Z_{+}'$  even and  $Z_{-}'$  odd (III.1.11); let  $u_{+}', u_{-}'$  be representative spinors for  $Z_{+}', Z_{-}'$ . Then  $u_{+} = \varphi(u_{+}')$  and  $u_{-} = \varphi(u_{-}')$  are pure spinors for Qand represent even maximal totally singular subspaces  $Z_{+}, Z_{-}$  of Msuch that  $Z_{+} \cap M' = Z_{+}', Z_{-} \cap M' = Z_{-}'$ . The space  $Z_{+} \cap Z_{-}$  contains  $Z \cap M'$ , which is of dimension r - 2; since  $Z_{+}, Z_{-}$  are distinct, it follows from III.1.10 that  $Z_{+} \cap Z_{-} = Z \cap M'$ . Making use of III.1.12, we see that u is a linear combination of  $u_{+}$  and  $u_{-}$ , and therefore that u' is a linear combination of  $u_{+}'$  and  $u_{-}'$ .
Let conversely  $u_{+}'$ ,  $u_{-}'$  be pure spinors for Q', representative for maximal totally singular subspaces  $Z_{+}'$ ,  $Z_{-}'$  of M' such that dim  $(Z_{+}' \cap Z_{-}') = r - 2$ . Then the same argument as above shows that  $\varphi(u_{+}')$ ,  $\varphi(u_{-}')$  are representative spinors for even maximal totally singular subspaces of M whose intersection is of dimension r - 2; therefore,  $\varphi(u_{+}' + u_{-}')$  is pure for Q in virtue of III.1.12. Thus, we have the following results:

III.5.2. Let M' be a nonisotropic (m - 2)-dimensional subspace of M (with m > 2), Q' the restriction of Q to M', which we assume to be of index r - 1, S' the space of spinors for Q',  $S_p$  the space of even half-spinors for Q and  $\varphi$  the isomorphism of S' with  $S_p$  constructed above. Let u' be in S'; in order for  $\varphi(u')$  to be pure for Q, it is necessary and sufficient that one of the following conditions be satisfied: (a) u' is pure for Q'; or (b)  $u' = u_{+}' + u_{-}'$ , where  $u_{+}'$  and  $u_{-}'$  are pure for Q' and represent maximal totally singular subspaces  $Z_{+}', Z_{-}'$  of M' whose intersection is of dimension r - 2. In case (a),  $\varphi(u')$  represents a maximal totally singular subspace Z of M whose intersection with M' is of dimension r - 1 and represented by u'; in case (b),  $\varphi(u')$  represents a maximal totally singular subspace Z of M whose intersection with M' is  $Z_{+}' \cap Z_{-}'$ .

Let  $S = S_p + S$ , be the space of spinors for Q. Let e' be the product of the elements of a base of N'; then  $e = e'x_0$  is the product of the elements of a base of N. We have associated to e a bilinear invariant  $\beta$ of the spin representation of  $\Gamma_0^+$  and to e' a bilinear invariant  $\beta'$  of the spin representation of  $\Gamma_0'^+$  (Section 3.2). We shall now investigate the mutual relationship between  $\beta$ ,  $\beta'$  and the mappings  $\varphi$ ,  $\varphi'$  introduced above. Let u' and v' be elements of S', with  $u' = u'_+ + u'_-$ , v' = $v'_+ + v'_-, u'_+, v'_+$  in  $C_+^{N'}, u'_-, v'_-$  in  $C_-^{N'}$ . If u, v are in S,  $\beta(u, v)$  is defined by the condition that  $\beta(u, v)e$  is the homogeneous component of degree r of  $\alpha(u)v$ . We have

$$\alpha(\varphi(u'))\varphi(v') = (\alpha(u'_{+}) + x_0\alpha(u'_{-}))(v'_{+} + v'_{-}x_0).$$

An element of  $C^{N'}$  has 0 as its homogeneous component of degree r. Since  $e'x_0 = e$  and  $x_0$  anticommutes with every element of  $C_{-}^{N'}$  and commutes with every element of  $C_{+}^{N'}$ , we have

$$\beta(\varphi(u'), \varphi(v')) = \beta'(u'_{+}, v'_{-}) - \beta'(u'_{-}, v'_{+}).$$

A similar computation gives

$$\begin{aligned} \beta(\varphi'(u'), \,\varphi'(v')) &= \beta'(u'_{-}, \,v'_{+}) \,-\,\beta'(u'_{+}, \,v'_{-}), \\ \beta(\varphi(u'), \,\varphi'(v')) &= \beta'(u'_{+}, \,v'_{+}) \,+\,\beta'(u'_{-}, \,v'_{-}). \end{aligned}$$

#### 3.6. The Kernels of the Half-Spin Representations

III.6.1. Assume that the dimension m of M is  $\geq 6$ . Then the kernels of the half-spin representations of  $\Gamma^+$  are of order 1 if K is of characteristic 2, of order 2 if K is of characteristic  $\neq 2$ . In the latter case, these kernels are  $\{1, z\}$  and  $\{1, -z\}$ , where z is an element of the center of  $C_+$  whose square is 1 and which anticommutes with every element of M.

Let s be an element of the kernel of the half-spin representation  $\rho_{p}^{+}$  of  $\Gamma^{+}$  on the even half-spinors, and let  $\sigma = \chi(s)$  be the image of s under the vector representation. If Z is any even maximal totally singular subspace of M and u a representative spinor for Z, then  $\rho(s) \cdot u = u$ ; but  $\rho(s) \cdot u$  is a representative spinor for  $\sigma(Z)$ , whence  $\sigma(Z) = Z$ . Now, let x be any singular vector  $\neq 0$  in M. We shall see that, under the assumption  $m \geq 6$ , Kx is the intersection of all even maximal totally singular spaces which contain it. The space Kx is contained in at least one maximal totally singular space, and therefore also, since  $r \geq 3$ , in at least one totally singular space of dimension r - 1. Making use of III.1.11, we see that Kx is contained in some even maximal totally singular space  $Z_1$ . Let  $x_1$  be an element of  $Z_1$ not in Kx. Since  $r \geq 3$ , x belongs to some (r-2)-dimensional subspace U of  $Z_1$  which does not contain  $x_1$ . The space  $U + Kx_1$ , of dimension r-1, is contained in some odd maximal totally singular space Z'. Since  $x_1$  is not in U, there is a subspace V of dimension r - 1 of Z' which contains U but not  $x_1$ , and V is contained in some even maximal totally singular space  $Z_2$ . We have  $U \subset Z_2$ , whence  $Kx \subset Z_2$ ; but  $Z_2 \cap Z'$  is V, to which  $x_1$  does not belong, and  $x_1$  is not in  $Z_2$ . This shows that Kx is the intersection of all even maximal totally singular spaces which contain it, whence  $\sigma(Kx) = Kx$ . Thus, we have  $\sigma \cdot x = a(x)x$ for any singular vector x, a(x) being a scalar. If x and y are singular, orthogonal to each other and linearly independent, then x + y is singular, and  $\sigma \cdot (x + y) = a(x + y) (x + y)$ , whence a(x) = a(y) = a(x + y). It follows immediately that there exist scalars a and b such that  $\sigma \cdot x = ax$ for all  $x \in N$ ,  $\sigma \cdot y = by$  for all  $y \in P$ . If x is an element  $\neq 0$  in N, there is at least a  $y \neq 0$  in P such that B(x, y) = 0, since  $r \geq 2$ . This shows that a = b and that  $\sigma \cdot x = ax$  for all  $x \in M$  (since M = N + P). Since  $\sigma$  belongs to the orthogonal group of Q, we have  $a^2 = 1$ . If K is of characteristic 2, then a = 1, and s is in the center of C, whence  $s = c \cdot 1$ ,  $c \in K$ . Since  $\rho_p^+(s)$  is the identity, c = 1 and s = 1. Assume now that K is not of characteristic 2. If a = 1, we see as above that s = 1. If a = 1-1, we observe that the center of  $C_+$  contains an element z of square 1 which anticommutes with every element of M and that the simple

ideals of which  $C_+$  is the sum are  $C_+$  (1 - z) and  $C_+$  (1 + z) (II.2.4). One at least of z, -z is therefore in the kernel of  $\rho_p^+$ , and we may assume that it is z. If  $s' = sz^{-1}$ , then s' is in the kernel of  $\rho_p^+$  and  $\chi(s')$ is the identity, whence s' = 1, s = z.

The assertion relative to the kernel of  $\rho_i^+$  may be proved exactly in the same manner; III.6.1 is thereby proved.

III.6.2. Let  $M_1$  be an even-dimensional space over a field K and  $Q_1$ a quadratic form on  $M_1$  whose associated bilinear form is nondegenerate. Assume that the algebra  $(C_1)_+$  of even elements of the Clifford algebra  $C_1$ of  $Q_1$  is not simple. Let  $\Gamma^+_1$  be the special Clifford group of  $Q_1$ . Assume that dim  $M_1 \geq 6$ . Then, if K is of characteristic 2, the half-spin representations of  $\Gamma^+_1$  are faithful. If K is of characteristic  $\neq 2$ , then the kernels of these representations are  $\{1, z\}$  and  $\{1, -z\}$ , where z is an element of the center of  $(C_1)_+$  whose square is 1 and which anticommutes with every element of  $M_1$ .

If K is not of characteristic 2, we know that  $(C_1)_+$  contains an element z with the stated properties. Let K' be an algebraically closed overfield of K. Since any quadratic form on a finite-dimensional space over K' is of maximal index (when its associated bilinear form is nondegenerate), III.6.2 follows from III.6.1 and from what has been said in Section 2.7.

### 3.7. The Case m = 6

We shall assume in this section that M is of dimension 6, except in the statement of III.7.3.

Let  $(x_1, x_2, x_3)$  and  $(y_1, y_2, y_3)$  be bases of N and P such that  $B(x_i, y_i) = \delta_{ii}$   $(1 \le i, j \le 3), y_1y_2y_3 = f$ . We set  $u_0 = e = x_1x_2x_3$ ,  $u_i = x_i$  (i = 1, 2, 3). Then  $u_0, u_1, u_2, u_3$  form a base of  $S_i$ . Every element  $u \ne 0$  of  $S_i$  is a pure spinor. This is clear if  $u \in Ku_0$ . If not, then  $u = x + ce, x \in N, x \ne 0, c \in K$  and we may write ce = xyz, where  $y, z \in N$ , whence  $u = x \exp(yz)$ , which shows that u is pure (III.1.9). It follows immediately that every element  $\ne 0$  of  $S_p$  is likewise a pure spinor.

III.7.1. The representation  $\rho_i^+$  of  $\Gamma^+$  on the space  $S_i$  maps  $\Gamma^+$  onto the group of all automorphisms of  $S_i$  whose determinants are squares of elements of K.

We first prove that

$$\det \rho_i^*(s) = \lambda^2(s)$$

if  $s \in \Gamma^+$ . First let s be  $in \Gamma_0^+$ ; then  $\chi(s)$  is in  $G_0^+$ , which is the commutator subgroup of  $G^+$  (II.3.9). Since  $G^+ = \chi(\Gamma^+)$ , the commutator subgroup

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of  $G^+$  is the image of that of  $\Gamma^+$  under  $\chi$ , and there is an element s' of the commutator subgroup  $(\Gamma^+)'$  of  $\Gamma^+$  such that  $\chi(s') = \chi(s)$ , whence  $s' = cs, c \in K$ . It is clear that  $\lambda((\Gamma^+)') = \{1\}$ , whence  $\lambda(s') = 1 = \lambda(s)$ and  $c^2 = 1, c = \pm 1$ . On the other hand,  $\rho_i^+(s') = c\rho_i^+(s)$ , whence det  $\rho_i^+(s) = c^{-4} \det \rho_i^+(s')$ . But we have det  $\rho_i^+(s') = 1$ , since  $s' \in (\Gamma^+)'$ , whence det  $\rho_i^+(s) = 1$ . Now, let s be any element of  $\Gamma^+$ . Let k be an element  $\neq -1$  in K; set  $t = 1 + ky_1x_1$ . It is clear that t commutes with  $x_2$ ,  $x_3$ ,  $y_2$ ,  $y_3$ . We have  $\alpha(t) = 1 + kx_1y_1$ ,  $\alpha(t)t = (1 + k) \cdot 1$ , as follows immediately from the fact that  $x_1y_1 + y_1x_1 = 1$ ,  $x_1^2 = y_1^2 = 0$ . Thus, we have

$$t^{-1} = (1 + k)^{-1}(1 + kx_1y_1),$$

and

$$tx_1t^{-1} = (1+k)^{-1}x_1 .$$

Writing

$$t = 1 + k - kx_1y_1$$
,  
 $t^{-1} = (1 + k)^{-1}(1 + k - ky_1x_1)$ ,

we see that

 $ty_1t^{-1} = (1 + k)y_1 \; .$ 

Thus, t is in  $\Gamma$ , and obviously in  $\Gamma^+$ , and  $\lambda(t) = 1 + k$ . Let us now compute  $\rho_i(t)$ . The operation  $\rho(y_1)$  is an antiderivation which maps  $x_1$  upon 1,  $x_2$ ,  $x_3$  upon 0. Thus, we have  $\rho(x_1y_1) \cdot u_0 = u_0$ ,  $\rho(x_1y_1) \cdot u_1 = u_1$ ,  $\rho(x_1y_1) \cdot u_2 = \rho(x_1y_1) \cdot u_3 = 0$  and  $\rho(t) \cdot u_0 = u_0$ ,  $\rho(t) \cdot u_1 = u_1$ ,  $\rho(t) \cdot u_2 = (1 + k)u_2$ ,  $\rho(t) \cdot u_3 = (1 + k)u_3$ , whence det  $\rho_i^+(t) = (1 + k)^2 = \lambda^2(t)$ . Select k in such a way that  $(1 + k)\lambda(s) = 1$ : then  $ts \in \Gamma_0^+$ , and det  $\rho_i^+(s) = (\det \rho_i^+(t))^{-1} = (1 + k)^{-2} = \lambda^2(s)$ , which proves our formula.

This being said, let  $\mu$  be any automorphism of  $S_i$  whose determinant is a square. Then  $\mu(u_0)$  is representative for an odd maximal totally singular space Z. There is a  $\sigma_1 \in G^+$  such that  $\sigma_1(Z) = N$ ; write  $\sigma_1 = \chi(s_1), s_1 \in \Gamma^+$ , whence  $\rho_i^+(s_1) \cdot \mu(u_0) = au_0$ ,  $a \in K$ . We set  $\mu_1 = \rho_i^+(s_1)\mu$ . We have  $N \subset S_i$ ; let x be  $\neq 0$  in N and let s be an operation of  $\Gamma^+$ such that  $\chi(s)$  transforms N into itself. Then  $\rho_i^+(s) \cdot x$  is representative for a maximal totally singular space  $Z'_x$  such that  $\chi(s) \cdot x \in Z'_x$ , as follows immediately from the fact that x is representative for a space  $Z_x$  such that  $x \in Z_x$  (III.1.4). This being said, we may write  $\mu_1(x) = f(x) + a(x)u_0$ , f being a linear mapping of N into itself and a(x) a scalar. Since  $\mu_1$  transforms  $Ku_0$  into itself, f is an automorphism of N. Since N is totally singular, f is a Q-automorphism and may therefore be extended to an operation  $\sigma_2 \in G$ ; since  $\sigma_2(N) = N$ ,  $\sigma_2$  is in  $G^+$  and we may write  $\sigma_2 = \chi(s_2)$ ,  $s_2 \in \Gamma^+$ . For any  $x \in N$ ,  $\rho_i^+(s_2) \cdot x$  is representative for a maximal totally singular space  $Z'_x$  such that  $f(x) \in Z'_x$ . It follows that

$$\rho_i^+(s_2)\cdot x = c(x)(\exp v(x))f(x), \qquad c(x) \in K, \qquad v(x) \in C_2^N,$$

i.e.,  $\rho_i^+(s_2)x = c(x)f(x) + a'(x)u_0$ . If  $k \in K$ , then clearly, we have c(kx) = c(x), since f(kx) = kf(x). If x, y are linearly independent, then we have

$$c(x + y)f(x + y) = c(x)f(x) + c(y)f(y)$$
  
=  $c(x + y)(f(x) + f(y)),$ 

whence c(x) = c(y) = c(x + y). It follows that c(x) is constant on the set of element  $x \neq 0$  of N; let c be its constant value and  $s_3 = c^{-1}s_2$ : then  $\mu_1(x) \equiv \rho_i^+(s_3) \cdot x \pmod{Ku_0}$  for all  $x \in N$ , and  $\rho_i^+(s_3)u_0 \in Ku_0$ . Let  $\mu_2 = \rho_i^+(s_3^{-1})\mu_1$ : then  $\mu_2(x) = x + a_1(x)u_0$  for  $x \in N$ ,  $a_1$  being a linear function on N. Now, observe that, if  $v = c_1 x_2 x_3 + c_2 x_3 x_1 + c_3 x_3 x_2 + c_3 x_3 x_1 + c_3 x_3 x_2 + c_3 x_3 x_3 + c_3 x_3 +$  $c_{3}x_{1}x_{2}$ , then  $(\exp v)x_{i} = c_{i}u_{0}$  (i = 1, 2, 3),  $(\exp v)u_{0} = u_{0}$ . Take  $c_{i} = c_{1}x_{1}x_{2}$  $-a_1(x_i)$  (i = 1, 2, 3), and set  $s_4 = \exp v$ . Then  $\rho_i^+(s_4)$  is the operation of multiplication by exp v in  $S_i$ ; if  $\mu_2 = \rho_i^+(s_4)\mu_3$ , then we have  $\mu_3(x) =$ x for  $x \in N$ ,  $\mu_3(u_0) = bu_0$ ,  $b \in K$ . We have det  $\mu_3 = b$ ; since  $\mu_3$  is the product of  $\mu_1$  by an element of  $\rho_i^+(\Gamma^+)$ , b is a square. For any  $d \neq 0$ in K, we have constructed above an element  $t = t_1$  of  $\Gamma^+$  such that  $\rho_i^+(t_1)$  changes  $u_0$  into itself,  $u_1$  into  $u_1$ ,  $u_2$  into  $du_2$ ,  $u_3$  into  $du_3$ , and we have  $\lambda(t_1) = d$ . We may similarly construct elements  $t_i$  (i = 2, 3)such that  $\lambda(t_i) = d$ , and  $\rho_i^+(t_i)$  changes  $u_0$  and  $u_i$  into themselves,  $u_i$ into  $du_i$  if  $j \neq 0$ , *i*. Then  $\rho_i^+(d^{-2}t_1t_2t_3)$  changes  $u_i$  into  $u_i$  (i = 1, 2, 3),  $u_0$  into  $d^{-2}u_0$ . If we select d so that  $d^{-2} = b$ , we have  $\mu_3 = \rho_i^+ (d^{-2}t_1t_2t_3)$ , which concludes the proof of III.7.1.

III.7.2. The group  $\rho_i^+(\Gamma_0^+)$  is the group of automorphisms of determinant 1 of  $S_i$ .

We know already that det  $\rho_i^+(s) = 1$  if  $s \in \Gamma_0^+$  (proof of III.7.1). Let  $\mu$  be an automorphism of determinant 1 of  $S_i$ ; then we may write  $\mu = \rho_i^+(s), s \in \Gamma^+$ , and  $\lambda^2(s) = \det \mu = 1$ , whence  $\lambda(s) = \pm 1$ . If  $\lambda(s) \neq 1$ , then K is not of characteristic 2 and the kernel of  $\rho_i^+$  contains an element z of the center of  $C_+$  such that  $z^2 = 1$ . If  $(\xi_1, \cdots, \xi_6)$  is a base of M composed of mutually orthogonal vectors, then z is a scalar multiple of  $\xi_1 \cdots \xi_6$ , from which it follows easily that  $\alpha(z) = -z$ , whence  $\lambda(z) = -1$ ; we then have  $\mu = \rho_i^+(sz), \lambda(sz) = 1$ . It follows immediately from the preceding results that  $\rho_p^+(\Gamma^+)$  (respectively:  $\rho_p^+(\Gamma_0^+)$ ) is the group of automorphisms of  $S_p$  whose determinants are squares in K (respectively: are 1).

Now, let M' be the conjugate of the space spanned by  $x_1$ ,  $y_1$ . Then M' is not isotropic and of dimension 4; the restriction Q' of Q to M' is of index 2. Let  $\Gamma'^+$  and  $\Gamma_0'^+$  be the special Clifford group and the reduced Clifford group of Q'. Identifying the Clifford algebra C' of Q' to the subalgebra of C generated by M',  $\Gamma'^+$  and  $\Gamma_0'^+$  are subgroups of  $\Gamma^+$  and  $\Gamma_0^+$ , respectively; the representation  $\rho_i^+$  of  $\Gamma^+$  induces a representation of  $\Gamma'^+$  which is equivalent to the spin representation of this group (see II.6.2). Let  $S'_i$  be the subspace of  $S_i$  spanned by  $u_0$ ,  $u_1$  and  $S''_i$  the subspace spanned by  $u_2$ ,  $u_3$ ; we shall see that these spaces are invariant by the operations of  $\rho_i^+(\Gamma'^+)$ . The space  $S'_i$  is the set of elements  $u \in S_i$  such that  $\rho(x_1) \cdot u = x_1 u = 0$ ; since  $\rho(y_1)$  is an anti-derivation which maps any  $x \in N$  upon  $B(x, y_1) \cdot 1$ ,  $S''_i$  is the space of elements  $u \in S_i$  such that  $\rho(y_1) \cdot u = 0$ . We have, for any  $s \in \Gamma^+$ ,

$$\rho_{i}^{+}(sx_{1}s^{-1}) \cdot (\rho_{i}^{+}(s) \cdot u) = \rho_{i}^{+}(s) \cdot (\rho_{i}^{+}(x_{1}) \cdot u),$$

and a similar formula for  $y_1$ ; it follows immediately that  $\rho_i^{+}(s)$  maps  $S'_i$  and  $S''_i$  into themselves if  $s \in \Gamma'^+$ . If we denote by  $\rho_i'^+(s)$ ,  $\rho_i''^+(s)$  the restrictions of  $\rho_i^{+}(s)$  to  $S'_i$ ,  $S''_i$ , then  $\rho_i'^+$  and  $\rho_i''^+$  are equivalent to the two half-spin representations of  $\Gamma'^+$ . We shall see that the determinants of  $\rho_i'^+(s)$ ,  $\rho_i''^+(s)$  are both equal to  $\lambda(s)$ . This is obvious if K has only 2 elements. If not, then the reduced orthogonal group  $G_0'^+$  of Q' is the commutator subgroup of its special orthogonal group (II.3.9), and we see exactly as in the proof of III.7.1 that, if  $s \in \Gamma_0'^+$ , then det  $\rho_i'^+(s) = \det \rho_i''^+(s) = 1$ . On the other hand, if we set  $t_2 = 1 + ky_2x_2$ , with  $k \neq -1$ , then we see as in the proof of III.7.1 that  $t_2 \in \Gamma^+$ , that  $\lambda(t_2) = 1 + k$ , and that  $\rho_i^+(t_2)$  transforms  $u_0$  into  $u_0$ ,  $u_1$  into  $(1 + k)u_1$ ,  $u_2$  into  $u_2$ , and  $u_3$  into  $(1 + k)u_3$ . It is clear that  $t_2 \in \Gamma'^+$  and that det  $\rho_i'^+(t_2) = \det \rho_i''^+(t_2) = \lambda(t_2)$ . If  $s \in \Gamma'^+$ , then we can determine k in such a way that  $\lambda(t_2)\lambda(s) = 1$ , whence det  $\rho_i'^+(t_2s) = \det \rho_i''^+(t_2s) = 1$ , which proves our assertion.

Conversely, let  $\mu$  be an automorphism of  $S_i$  such that  $\mu(S'_i) = S'_i$ ,  $\mu(S''_i) = S''_i$  with the property that the determinants of the restrictions of  $\mu$  to  $S'_i$ ,  $S''_i$  are equal to each other. Then det  $\mu$  is a square, and there is an s in  $\Gamma^+$  such that  $\mu = \rho_i^+(s)$ . Then  $\rho^+(\chi(s) \cdot x_1)$  maps the elements of  $S'_i$  upon 0. This shows that  $\chi(s) \cdot x_1$  belongs to the maximal totally singular spaces whose representative spinors are  $x_1$  and  $x_1x_2x_3$ , i.e.,  $\chi(s)x_1 \in Kx_1$ . A similar argument shows that  $\chi(s) \cdot y_1 \in Ky_1$ . Since  $B(\chi(s) \cdot x_1, \chi(s) \cdot y_1) = 1$ , we have  $\chi(s) \cdot x_1 = cx_1, \chi(s) \cdot y_1 =$ 

 $c^{-1}y_1$  for some  $c \in K$ . Let k = c - 1,  $t = 1 + ky_1x_1$ ; then (see proof of III.7.1),  $\chi(t^{-1}s)$  leaves  $x_1$  and  $y_1$  fixed, whence  $\chi(t^{-1}s) \in G'^+$  and  $t^{-1}s = cs'$ , with some  $s' \in \Gamma'^+$ . The determinants of the restrictions of  $\rho_i^+(t)$  to  $S'_i$ ,  $S''_i$  are 1 and  $(1 + k)^2 = c^2$ , respectively. By our condition on  $\mu$ , these determinants are equal to each other, whence  $c = \pm 1$ . If  $c \neq 1$ , we observe that the kernel of  $\rho_i^+$  contains an element z which anticommutes with every element of M, and  $\mu = \rho_i^+(zs)$ , so that we are reduced to the case where c = 1, in which case t = 1 and  $s = s' \in \Gamma'^+$ .

In particular, we see that  $\rho_i^{+}(\Gamma_0'^{+})$  is the group of automorphisms of  $S_i$  which leave  $S'_i$ ,  $S''_i$  invariant and whose restrictions to these spaces are of determinant 1. This gives the following results:

III.7.3. If m = 4, then  $\Gamma_0^+$  is the direct product of two subgroups each one of which is isomorphic to the group of automorphisms of determinant 1 of a 2-dimensional vector space over K. These groups are the kernels of the two half-spin representations of  $\Gamma_0^+$ .

## 3.8. The Case of Odd Dimension

We denote by  $\overline{M}$  a vector space of odd dimension 2r - 1 over a field K of characteristic  $\neq 2$  and by  $\overline{Q}$  a quadratic form on  $\overline{M}$  whose associated bilinear form  $\overline{B}$  is nondegenerate and which is of maximal index r - 1. We denote by  $\overline{C}$  the Clifford algebra of  $\overline{Q}$ , by  $\overline{C}_+$  the algebra of even elements of  $\overline{C}$ , by  $\overline{S}$  the space of spinors for  $\overline{Q}$ , by  $\rho^+$  the spin representation of  $\overline{C}_+$ , by  $\overline{\Gamma}$ ,  $\overline{\Gamma}^+$ ,  $\overline{\Gamma}_0^+$  the group of Clifford, the special Clifford group, and the reduced Clifford group of  $\overline{Q}$ , and by  $\overline{\rho}$ ,  $\overline{\rho}^+$ ,  $\overline{\rho}_0^+$ the spin representations of these groups.

We select two maximal totally singular subspaces N', P' of  $\overline{M}$  whose sum M' = N' + P' is direct and a nonisotropic subspace of  $\overline{M}$ . We select a basic vector  $\xi_0$  of the conjugate space of M', and we set

$$a = Q(\xi_0).$$

We may imbed  $\overline{M}$  in a vector space M of dimension m = 2r which is the sum of  $\overline{M}$  and of a one-dimensional space spanned by a vector  $\xi_0'$ ; we extend  $\overline{Q}$  to a quadratic form Q on M by setting

$$Q(\overline{x} + c\xi_0') = \overline{Q}(\overline{x}) - ac^2 \qquad (c \in K)$$

if  $\overline{x} \in M$ . It is then clear that Q is of rank 2r. It is furthermore of index r, for  $Q(\xi_0 + \xi'_0) = 0$  and  $\xi_0 + \xi'_0$  is orthogonal to every element of N' (relatively to the associated bilinear form B of Q), which shows that  $N = N' + K(\xi_0 + \xi'_0)$  is totally singular for Q. Moreover,  $P = P' + K(\xi_0 - \xi'_0)$  is totally singular and M = N + P. We shall use for Q the notation which was introduced earlier in this chapter, it being

understood that N and P are the spaces which were used in defining the space of spinors.

Either one of the half-spin representations of  $\Gamma^+$  induces a representation of degree  $2^{r-1}$  of  $\overline{\Gamma}^+$ ; this representation is equivalent to the spin representation of this group in virtue of II.6.2. Let  $\rho_p^+$  be the representation of  $\Gamma^+$  on the even half-spinors. Denote by  $\zeta_h^+$  the representation of  $G^+$  on the *h*-vectors, and by  $\zeta_{r,p}^+$  the one of the two simple representations of which  $\zeta_r^+$  is the sum which occurs in  $\rho_p^+ \otimes \rho_p^+$ . Denote by  $\theta_h^+$  the representation  $s \to \lambda(s)\zeta_h^+(\chi(s))$  of  $\Gamma^+$  (where  $\lambda(s)$  is the norm of s) and by  $\theta_{r,p}^+$  the representation  $s \to \lambda(s)\zeta_{r,p}^-(\chi(s))$ . Then we have proved in Section 3.4 that

$$\rho_p^+ \otimes \rho_p^+ \cong \sum_{h=r(2)}^{r-2} \theta_h^+ + \theta_{r,p}^+.$$

It follows that  $\overline{\rho}^+ \otimes \overline{\rho}^+$  is the sum of representations respectively equivalent to the representations of  $\overline{\Gamma}^+$  induced by the  $\theta_h^+(0 \leq h \leq r - 2, h \equiv r \pmod{2})$  and  $\theta_{r,p}^+$ . In order to study these representations, denote by  $\overline{E}$  the exterior algebra of  $\overline{M}$ , which we identify with the subalgebra of the exterior algebra E on M which is generated by  $\overline{M}$ . Let  $E_h$  and  $\overline{E}_h$  be the spaces of homogeneous elements of degree h of E,  $\overline{E}$ , whence  $\overline{E}_h = \overline{E} \cap E_h$ . Taking a base of M composed of a base of  $\overline{M}$  and of  $\xi'_0$ , we see immediately that every element of  $E_h$  is uniquely representable in the form  $u \wedge \underline{\xi'}_0 + v$ , where  $u \in \overline{E}_{h-1}$  and  $v \in \overline{E}_h$ . We identify the orthogonal group  $\overline{G}$  of  $\overline{Q}$  to the subgroup of  $\overline{G}$  composed of the operations which leave  $\xi'_0$  fixed, and we denote by  $\overline{\zeta}_h^+$  the representation of  $\overline{G}^+$  on the h-vectors. It is clear that, if  $\overline{\sigma} \in \overline{G}^+$ , then

$$\zeta_{h}^{+}(\overline{\sigma}) \cdot (u \wedge \xi'_{0} + v) = (\overline{\zeta}_{h-1}^{+}(\overline{\sigma}) \cdot u) \wedge \xi'_{0} + \overline{\zeta}_{h}^{+}(\overline{\sigma}) \cdot v$$

if  $u \in \overline{E}_{h-1}$ ,  $v \in \overline{E}_h$ . This shows that the representation of  $\overline{G}^+$  induced by  $\zeta_h^+$  is equivalent to  $\overline{\zeta}_{h-1}^+ + \overline{\zeta}_h^+$  if h > 0, to  $\overline{\zeta}_0^+$  if h = 0. This applies in particular if h = r; in that case,  $\overline{\zeta}_{r-1}^+$  and  $\overline{\zeta}_r^+$  are equivalent to each other (because r + (r - 1) is the dimension of  $\overline{M}$ ) and are simple. Since  $\zeta_r^+$  is equivalent to the sum of  $\zeta_{r,p}^+$  and of another representation  $\zeta_{r,i}^+$  of the same degree as  $\zeta_{r,p}^+$ , it follows immediately that the representation of  $\overline{G}^+$  induced by  $\zeta_{r,p}^-$  is equivalent to  $\overline{\zeta}_{r-1}^+$ . Let  $\overline{\theta}_h^+$  be the representation  $s \to \lambda(s) \overline{\zeta}_h^+$  ( $\overline{\chi}(s)$ ) of  $\overline{\Gamma}^+$ ; then we obtain the formula

$$\bar{\rho}^{+} \otimes \bar{\rho}^{+} \cong \sum_{\lambda=0}^{r-1} \bar{\theta}_{\lambda}^{+}.$$
(1)

Let  $\overline{\varphi}_{p}$  be an isomorphism of S with the space  $S_{p}$  of even half-spinors for Q such that

$$\rho_p^{+}(\bar{s}) \circ \bar{\varphi}_p = \bar{\varphi}_p \circ \bar{\rho}^{+}(\bar{s})$$

for all  $\bar{s} \in \overline{\Gamma}^+$ . Let S be the space of spinors for Q. Then we have defined for each h ( $0 \le h \le 2r$ ) a bilinear mapping  $\beta_h$  of  $S \times S$  into  $E_h$  such that

$$\beta_h(\rho(s) \cdot u, \rho(s) \cdot v) = \lambda(s)\zeta_h(\chi(s)) \cdot \beta_h(u, v)$$

for all  $s \in \Gamma$ ,  $u, v \in S$ ,  $\rho$  being the spin representation of  $\Gamma$  (see Section 3.4);  $\beta_h$  is identically zero on  $S_p \times S_p$  if  $h \not\equiv r \pmod{2}$  but not if  $h \equiv r \pmod{2}$ . If  $0 \leq h \leq 2r - 1$ , let h' be equal to h if  $h \equiv r \pmod{2}$ , to h + 1 if  $h \not\equiv r \pmod{2}$ . Let  $\overline{u}, \overline{v}$  be in  $\overline{S}$ ; then  $\beta_{h'}(\overline{\varphi}_p(\overline{u}), \overline{\varphi}_p(\overline{v}))$  may be represented in the form  $\overline{w} \wedge \xi'_0 + \overline{w}'$ , where  $\overline{w} \in \overline{E}_{h'-1}$  ( $\overline{w} = 0$  if h' = 0),  $\overline{w}' \in \overline{E}_{h'}$ . We define  $\overline{\beta}_h(\overline{u}, \overline{v})$  to be  $\overline{w}$  if h' = h + 1,  $\overline{w}'$  if h' = h. Thus,  $\overline{\beta}_h$  is a bilinear mapping of  $S \times \overline{S}$  into  $\overline{E}_h$ , and we have

$$\overline{\beta}_{h}(\overline{\rho}^{+}(\overline{s}) \cdot \overline{u}, \overline{\rho}^{+}(\overline{s}) \cdot \overline{v}) = \lambda(\overline{s})\overline{\zeta}_{h}^{+}(\overline{\chi}(\overline{s})) \cdot \overline{\beta}_{h}(\overline{u}, \overline{v})$$

for any  $\bar{s} \in \overline{\Gamma}^+$ ,  $\bar{u} \in \overline{S}$ ,  $\bar{v} \in \overline{S}$ . It is easily seen that  $\bar{\beta}_h \neq 0$  for  $0 \leq h \leq 2r - 1$ . It is easy to verify that

$$\overline{\beta}_{h}(\overline{v}, \overline{u}) = (-1)^{(r-h)(r-h-1)/2} \overline{\beta}_{h}(\overline{u}, \overline{v})$$

(see formula (2), Section 3.4). If we set

 $\overline{\beta}_0(\overline{u},\,\overline{v}) = \overline{\beta}(\overline{u},\,\overline{v})\cdot 1, \qquad \overline{\beta}(\overline{u},\,\overline{v}) \in K,$ 

 $\overline{\beta}$  is a bilinear form on  $\overline{S} \times \overline{S}$ , which is an invariant of the spin representation of  $\overline{\Gamma}_0^+$ .

The mappings  $\overline{\beta}_{\lambda}$ ,  $\overline{\beta}$  depend on the choice of the isomorphism  $\overline{\varphi}_{p}$ . It should be observed, however, that this mapping is determined up to a scalar factor. For any other isomorphism  $\overline{\varphi}'_{p}$  with the same property as  $\overline{\varphi}_{p}$  is of the form  $\overline{\varphi}_{p} \circ \omega$ , where  $\omega$  is an automorphism of the vector space  $\overline{S}$  which commutes with every operation of  $\overline{\rho}^{+}(\overline{\Gamma}^{+})$ . Since  $\overline{\Gamma}^{+}$  is a set of generators of the algebra  $\overline{C}_{+}$  (II.4.2),  $\omega$  commutes with every operation of  $\overline{\rho}^{+}(\overline{C}_{+})$ . But  $\overline{\rho}^{+}(\overline{C}_{+})$  is of dimension  $2^{2r-2}$ , and  $\overline{S}$  of dimension  $2^{r-1}$ , which shows that  $\overline{\rho}^{+}(\overline{C}_{+})$  is the algebra of all endomorphisms of  $\overline{S}$ and therefore that  $\omega$  is a scalar multiple of the identity.

We shall now extend to the odd-dimensional case the notion of a pure spinor. Let  $\overline{Z}$  be a maximal totally singular subspace of  $\overline{M}$ ; then  $\overline{Z}$  is of dimension r - 1 and therefore contained in a uniquely determined even maximal totally singular subspace Z of M (III.1.11). Let u be a representative spinor for Z, and let  $\overline{u}$  be the element of  $\overline{S}$  such that  $\overline{\varphi}_{p}(\overline{u}) = u$ . Then  $\overline{u}$  depends on the choice of  $\overline{\varphi}_{p}$ , but the one-dimensional space  $K\overline{u}$  depends only on  $\overline{Z}$ . Any basic element of this space is called a *representative spinor* for  $\overline{Z}$ ; any element of  $\overline{S}$  which is representative for some maximal totally singular space is called a *pure*  spinor. If Z is any maximal totally singular subspace of M, then  $Z \cap M$  is of dimension r - 1 or r and cannot be of dimension r, since it is totally singular. It follows that a necessary and sufficient condition for a spinor  $\overline{u} \in \overline{S}$  to be pure is for  $\overline{\varphi}_{p}(\overline{u})$  to be pure.

III.8.1. Let  $\overline{Z}$  be a maximal totally singular subspace of  $\overline{M}$  and  $\overline{u}$  a representative spinor for  $\overline{Z}$ . Let  $\overline{z}$  be an odd invertible element of the center of  $\overline{C}$ ; then  $\overline{Z}$  is the set of all elements  $\overline{x} \in \overline{M}$  such that  $\overline{\rho}^+(\overline{z}\overline{x}) \cdot \overline{u} = 0$  and any element of  $\overline{S}$  which is mapped upon 0 by all  $\overline{\rho}^+(\overline{z}\overline{x})$ ,  $\overline{x} \in \overline{Z}$ , is in  $K\overline{u}$ . If  $\overline{s} \in \overline{\Gamma}^+$ , then  $\overline{\rho}^+(\overline{s}) \cdot \overline{u}$  is representative for  $\overline{\chi}(\overline{s})(\overline{Z})$ .

Let  $u = \overline{\varphi}_{p}(\overline{u})$ ; then u is a pure spinor for Q, which is representative for the even maximal totally singular subspace Z of M containing  $\overline{Z}$ . If  $\overline{x} \in \overline{M}$ , then we have  $\overline{\varphi}_{p}(\overline{\rho}^{+}(\overline{z}\overline{x})\cdot\overline{u}) = \rho_{p}^{+}(\overline{z}\overline{x})\cdot\overline{\varphi}_{p}(\overline{u}) = \rho(\overline{z})\cdot(\rho(\overline{x})\cdot\overline{v})$  $\overline{\varphi}_{\mathbf{z}}(\overline{u})$ ). Since  $\overline{z}$  is invertible, a necessary and sufficient condition for  $\overline{x}$ to be in  $\overline{Z}$ , or what amounts to the same, in Z is that  $\overline{\rho}^+(\overline{z}\overline{x})\cdot\overline{u}=0$  (see III.1.4). The space  $\overline{Z}$  is also contained in some odd maximal totally singular subspace Z' of M; let u' be a representative spinor for Z'. We shall see that Ku + Ku' is the space of all spinors for Q which are mapped upon 0 by all  $\rho(\bar{x})$ ,  $\bar{x} \in \overline{Z}$ . We can find an operation  $\sigma$  of the orthogonal group G of Q which transforms  $\overline{Z}$  into a subspace  $Z_1$  of N. Let  $(x_1, \dots, x_{r-1})$  be a base of  $Z_1$ . Let u'' be a spinor such that  $\rho(\overline{x}) \cdot u''$ = 0 for all  $\overline{x} \in \overline{Z}$ . If we set  $u''_1 = \rho(s) \cdot u''$ , then we have  $x_i u''_1 = \rho(x_i) u''_1$ = 0 (1  $\leq i \leq r - 1$ ), which means that the element  $u''_1$  of the exterior algebra  $C^N$  of N is a multiple of  $x_1 \cdots x_{r-1}$ . If  $x_r$  is an element of N not in  $\overline{Z}_1$ , then  $u''_1$  is a linear combination of  $x_1 \cdots x_{r-1}$  and  $x_1 \cdots x_{r-1} x_r$ , which are representative spinors for the two maximal totally singular subspaces of M containing  $Z_1$ . It follows that u'' is a linear combination of u and u'. Now, if  $\overline{u}''$  is an element of S such that  $\overline{\rho}^+(\overline{z}\overline{x})\cdot\overline{u}''=0$  for all  $\overline{x} \in \overline{Z}$ , and  $u'' = \overline{\varphi}_p(\overline{u}'')$ , then u'' is a linear combination of u, u' by what we have just said. But u, u'' are even half-spinors and u' an odd half-spinor, which shows that  $u'' \in Ku$  and  $\overline{u}'' \in K\overline{u}$ . If  $\overline{s} \in \overline{\Gamma}^+ \subset \Gamma^+$ , then  $\rho_{p}^{+}(s) \cdot u$  is representative for  $\chi(\bar{s})(Z)$ , whose intersection with  $\overline{M}$  is  $\overline{\chi}(\overline{s})(\overline{Z})$ , which shows that  $\overline{\rho}^+(\overline{s}) \cdot \overline{u}$  is representative for  $\overline{\chi}(\overline{s})(\overline{Z})$ .

III.8.2. A necessary and sufficient condition for a spinor  $\overline{u} \neq 0$  in  $\overline{S}$  to be pure is that  $\overline{\beta}_{h}(\overline{u}, \overline{u}) = 0$  for  $0 \leq h < r - 1$ .

Let  $u = \overline{\varphi}_{r}(\overline{u})$ ; a necessary and sufficient condition for u to be pure is that  $\beta_{k}(u, u) = 0$  for  $k \neq r$  (III.4.4). Since u is even, it is already sufficient that  $\beta_{k}(u, u) = 0$  for  $0 \leq k < r$  by III.4.3. On the other hand,  $\beta_{k}(u, u)$  is always 0 if  $k \neq r \pmod{2}$  by III.4.2. Our assertion then follows immediately from the definition of the mappings  $\overline{\beta}_{k}$ . From now on, we shall assume that the Clifford algebra  $\overline{C}$  of  $\overline{Q}$  is not simple. Let  $(x_1, \dots, x_{r-1})$  and  $(y_1, \dots, y_{r-1})$  be bases of N' and P'such that  $\overline{B}(x_i, y_i) = \delta_{ii}$   $(1 \leq i, j \leq r-1)$ . Then  $(x_1, \dots, x_{r-1}, y_1, \dots, y_{r-1}, \xi_0)$  is a base of  $\overline{M}$  and the discriminant of  $\overline{B}$  with respect to this base is  $2a(-1)^{r-1}$ . Making use of II.2.6, we see that a must then be a square in K. Thus, under proper choice of  $\xi_0$ , we may assume that a = 1. Let Q' be the restriction of  $\overline{Q}$  to M' = N' + P', and C' be the Clifford algebra of Q'. The center of the algebra  $C'_+$  of even elements of C' is spanned by 1 and by an element z' of square 1 which anticommutes with every element of M'; z' may be selected in such a way that z'f = f (see Section 3.5). If we set  $\overline{z} = z'\xi_0$ , then  $\overline{z}$  is an odd invertible element of the center of  $\overline{C}$  and  $\overline{z}^2 = 1$ . In Section 3.5 we have constructed an isomorphism  $\psi$  of C' with a subalgebra of  $C_+$ :

$$\psi(u'_{+} + u'_{-}) = u'_{+} + u'_{-}z'\xi_{0}$$

if  $u'_+ \varepsilon C'_+$ ,  $u'_- \varepsilon C'_-$ . Since  $z'\xi_0 = \overline{z}$ , we see that  $\psi(C') \subset \overline{C}_+$ . Since C' and  $\overline{C}_+$  are of the same dimension  $2^{2r-2}$ , we have  $\psi(C') = \overline{C}_+$ . The reciprocal mapping of  $\psi'$  is an isomorphism of  $\overline{C}_+$  with C'. This isomorphism may be extended to a homomorphism  $\pi$  of  $\overline{C}$  onto C'. For any element of C may be uniquely represented in the form  $\overline{u}_+ + \overline{u}_-$ , where  $\overline{u}_+ \in \overline{C}_+$ ,  $\overline{u}_- \in \overline{C}_-$ , and  $\overline{u}_+ + \overline{u}_- \rightarrow \overline{u}_+ + \overline{u}_-\overline{z}$  is a homomorphism of  $\overline{C}$  onto  $\overline{C}_+$ . Composing this homomorphism with the reciprocal of  $\psi$ , we obtain a mapping  $\pi$  with the required property. If  $x' \in M'$ , then we have  $x' = (x'\bar{z})\bar{z}$ , whence  $\pi(x') = x'$ ; as for  $\xi_0$ , we write also  $\xi_0 = (\xi_0 \bar{z}) \bar{z} = z' \bar{z}$ , and we see that  $\pi(\xi_0) = z'$ ;  $\pi$  induces an isomorphism of  $\overline{M} = M' + K\xi_0$  with the subspace  $\overline{M'} = M' + Kz'$  of C'. If  $\overline{x} \in \overline{M}$ , then we have  $(\pi(\bar{x}))^2 = \pi(\bar{x}^2) = Q(\bar{x}) \cdot 1$ . If  $\bar{s} \in \Gamma$ , then we have  $\bar{s}M\bar{s}^{-1} =$  $\overline{M}$ , which shows that  $\pi(\overline{s})\overline{M'}(\pi(\overline{s}))^{-1} = \overline{M'}$ . Conversely, let s' be an invertible element of C' such that  $s'\overline{M}'s'^{-1} = \overline{M}'$ . Then s' is the image under  $\pi$  of some element  $\overline{s} \in \overline{C}_+$  and, since  $\pi(\overline{z}) = 1$ ,  $\overline{M}\overline{z} \subset \overline{C}$ , we have  $\overline{s}(\overline{M}\overline{z})\overline{s}^{-1} = \overline{M}$ , whence  $\overline{s}\overline{M}\overline{s}^{-1} = \overline{M}$  and  $\overline{s} \in \Gamma^+$ . Thus, we see that  $\pi$ induces a homomorphism of the group  $\overline{\Gamma}$  onto the group  $\overline{\Gamma}'$  of invertible elements s'  $\varepsilon$  C' such that  $s'\overline{M}'s'^{-1} = \overline{M}'$ ;  $\pi(\overline{\Gamma})$  is identical to  $\pi(\overline{\Gamma}^+)$ , and  $\pi$  induces an isomorphism on  $\overline{\Gamma}^+$ .

The group  $\overline{\Gamma}^+$  is generated by the products  $\overline{x}\overline{z}$ , where  $\overline{x}$  runs over the invertible elements of  $\overline{M}$  (II.3.4). It follows that the group  $\overline{\Gamma}'$  is generated by the invertible elements of  $\overline{M}'$  (i.e., by the elements of this space whose squares are not 0). If  $\rho'$  is the spin representation of C', then  $\rho' \circ \pi$  induces a representation of  $\overline{C}_+$  on the space S' of spinors for Q', and this representation is obviously equivalent to the spin representation of  $\overline{C}_+$ . Thus,  $\rho' \circ \pi$  induces a representation of  $\overline{\Gamma}^+$  on S' which is

equivalent to the spin representation of  $\overline{\Gamma}^+$ . The norm homomorphism  $\overline{\lambda}$  of  $\overline{\Gamma}^+$  defines a homomorphism  $\overline{\lambda}'$  of  $\overline{\Gamma}'$  into the multiplicative group of elements  $\neq 0$  in K. We shall say that  $\overline{\lambda}'$  is the norm homomorphism of  $\overline{\Gamma}'$ .

If  $(\xi_1, \dots, \xi_m)$  is a base of  $\overline{M}$  composed of mutually orthogonal vectors,  $\xi_1 \dots \xi_m$  is an odd invertible element of the center of  $\overline{C}$  and is therefore a scalar multiple of  $\overline{z}$ . It follows immediately that  $\overline{\alpha}(\overline{z}) =$  $(-1)^{r-1}\overline{z}$ . If  $x' \in M'$ , then we have  $\alpha'(x') = x', \overline{\alpha}(\psi(x')) = \overline{\alpha}(x'\overline{z}) =$  $\overline{\alpha}(\overline{z})x' = (-1)^{r-1}\psi(x')$ . It follows that  $\overline{\alpha} \circ \psi$  coincides with  $\psi \circ \alpha'$  on  $C'_+$ , with  $(-1)^{r-1}\psi \circ \alpha'$  on  $C'_-$ . If we denote by  $\overline{\alpha'}$  the product of the main involution of C' by its main antiautomorphism, then we see that  $\overline{\alpha} \circ \psi$  coincides with  $\psi \circ \alpha'$  if r is odd, with  $\psi \circ \overline{\alpha'}$  if r is even. In particular, if we denote by  $\overline{\Gamma_0}'$  the kernel of the norm homomorphism of  $\overline{\Gamma'}$ , then we have

$$\overline{\Gamma}_{0}' \cap {\Gamma'}^{+} = {\Gamma_{0}}'^{+}.$$

Making use of the remark at the end of Section 3.2, we see that, if  $\bar{s}' \in \overline{\Gamma}', u', v' \in S'$ , then

$$\beta'(\rho'(\bar{s}') \cdot u', \rho'(\bar{s}') \cdot v') = \overline{\lambda}'(\bar{s}')\beta'(u', v')$$

if r is odd, while

$$\tilde{\beta}'(\rho'(\bar{s}')\cdot u', \ \rho'(\bar{s}')\cdot v') = \overline{\lambda}'(\bar{s}')\tilde{\beta}'(u', v')$$

if r is even; in these formulas,  $\beta'$  and  $\tilde{\beta}'$  are the bilinear forms on  $S' \times S'$  which were introduced in Section 3.2.

## CHAPTER IV

# THE PRINCIPLE OF TRIALITY

We shall denote by M an 8-dimensional vector space over a field Kand by Q a quadratic form on M of rank 8, of defect 0 (in case K is of characteristic 2) and of index 4. We shall use the notation introduced in Chapter III; in particular, we denote by N and P two four-dimensional totally singular subspaces of M which are supplementary to each other, by f the product in the Clifford algebra C of Q of the elements of a base of P, and by  $C^N$  the subalgebra of C generated by N; we take  $S = C^N$ to be the space of spinors for Q, and we denote by  $S_p$  and  $S_i$  the spaces of even and odd half-spinors. The representations of the subgroup  $\Gamma^+$  of the group of Clifford on the spaces S,  $S_p$ ,  $S_i$  are denoted by  $\rho^+$ ,  $\rho_p^+$ ,  $\rho_i^+$ ; the vector representation of the group of Clifford  $\Gamma$  is denoted by  $\chi$ , and its spin representation by  $\rho$ .

We have constructed in Section 3.2 a bilinear form  $\beta$  on  $S \times S$ , defined as follows: if u, v are in S, then  $\beta(u, v)e$  is the homogeneous component of degree 4 of  $\alpha(u)v$ , where  $\alpha$  is the main antiautomorphism of C. Since r = 4,  $\beta$  is symmetric and vanishes on  $S_p \times S_i$  and on  $S_i \times S_p$ and its restrictions to  $S_p \times S_p$  and  $S_i \times S_i$  are nondegenerate. If  $z \in M$ , then we have

$$\beta(\rho(z) \cdot u, \ \rho(z) \cdot v) = Q(z)\beta(u, v),$$

and, for any s  $\varepsilon$   $\Gamma$ ,

$$\beta(\rho(s) \cdot u, \ \rho(s) \cdot v) = \lambda(s)\beta(u, v).$$

Moreover, since r = 4, there exists a quadratic form  $\gamma$  on S such that

$$\gamma(u+v) = \gamma(u) + \gamma(v) + \beta(u, v)$$

for any  $u, v \in S$ , and

$$\begin{split} \gamma(\rho(z) \cdot u) &= Q(z)\gamma(u) \qquad (z \in M), \\ \gamma(\rho(s) \cdot u) &= \lambda(s)\gamma(u) \qquad (s \in \Gamma). \end{split}$$

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This form has been explicitly constructed; if K is not of characteristic 2, then we have  $\gamma(u) = \frac{1}{2}\beta(u, u)$ .

### 4.1. A New Characterization of Pure Spinors

IV.1.1. Let u be an element  $\neq 0$  of S. In order for u to be a pure spinor, it is necessary and sufficient that the following conditions be satisfied: u is either even or odd, and  $\gamma(u) = 0$ .

Suppose first that u is a representative spinor for a maximal totally singular space Z. There is an operation  $\sigma$  of G which transforms Z into P, whence  $\rho(s) \cdot u = a \cdot 1$ , a a scalar  $\neq 0$ , since 1 is a representative spinor for P. But  $\gamma(1)$  is obviously 0, whence  $\gamma(u) = (\lambda(s))^{-1} \gamma(\rho(s) \cdot u)$ = 0. Assume now that our conditions are satisfied. Proceeding exactly as in the proof of III.3.2, we see that there is an  $s \in \Gamma$  such that  $\rho(s) \cdot u$  is even, and the homogeneous component of degree 0 of  $\rho(s) \cdot u$  (in  $C^N$ , identified to the exterior algebra of N) is  $\neq 0$ , while its homogeneous component of degree 2 is 0. Since N is of dimension 4,  $\rho(s) \cdot u$  is then of the form  $a \cdot 1 + be$ ,  $a \neq 0$  (where e is the product of the elements of a base of N). If K is not of characteristic 2, then  $\beta(\rho(s) \cdot u, \rho(s) \cdot u) \cdot e$  is the homogeneous component of degree 4 of

$$\alpha(\rho(s) \cdot u) \rho(s) \cdot u = (a \cdot 1 + be)^2,$$

whence

$$\gamma(\rho(s) \cdot u) = \frac{1}{2}\beta(\rho(s) \cdot u, \ \rho(s) \cdot u) = ab.$$

The formula  $\gamma(\rho(s) \cdot u) = ab$  is also true in case K is of characteristic 2, in view of our explicit construction of  $\gamma$  (Section 3.2). Since  $\gamma(\rho(s) \cdot u) = \lambda(s)\gamma(u) = 0$ , we have b = 0 and  $\rho(s) \cdot u = a \cdot 1$  is pure, which shows that u is pure.

### 4.2. Construction of an Algebra

We shall now introduce the vector space  $A = M \times S$ , of dimension 8 + 16 = 24. This space is the direct sum of the two subspaces  $M \times \{0\}$  and  $\{0\} \times S$ ; we shall identify these two spaces to M and S, respectively. It should be observed that the spaces M and S, as they have been defined, have the space N in common. Our identification is therefore logically illicit; in all rigor, we should consider A as the sum of two spaces respectively isomorphic to M and to S. We do not do it, in order to avoid complications of notation, but it should be kept in mind that the elements of N are now doubled: they appear either as elements of M or as elements of S and should be distinguished from each other according as to whether they function in one or the other capacity.

We define a quadratic form  $\Omega$  on A by the formula

$$\Omega(x + u) = Q(x) + \gamma(u) \qquad (x \in M, u \in S).$$

The bilinear form associated with  $\Omega$  will be denoted by  $\Lambda$ . If  $x, x' \in M$ ,  $u, u' \in S$ , then we have

$$\Lambda(x + u, x' + u') = B(x, x') + \beta(u, u').$$

It follows immediately that  $\Lambda$  is nondegenerate. The subspaces M,  $S_{p}$ ,  $S_{i}$  of A are nonisotropic (with respect to  $\Lambda$ ), and the conjugate of any one of them is the sum of the other two.

We shall now define a cubic form F on A by the formula

$$F(x + u + u') = \beta(\rho(x) \cdot u, u'),$$

where  $x \in M$ ,  $u \in S_p$ ,  $u' \in S_i$ . We have, by III.2.2,

$$F(x + u + u') = \beta(\rho(x) \cdot u, u') = \beta(u, \rho(x) \cdot u').$$
(1)

From the cubic form F we deduce by the process of polarization a trilinear form  $\Phi$  on the space  $A \times A \times A$ . The form  $\Phi$  is defined as follows. Let  $\xi$ ,  $\eta$ ,  $\zeta$  be the elements of A. Then

$$\Phi(\xi, \eta, \zeta) = F(\xi + \eta + \zeta) + F(\xi) + F(\eta) + F(\zeta) - [F(\xi + \eta) + F(\eta + \zeta) + F(\zeta + \xi)].$$

Let  $(\xi_1, \dots, \xi_{24})$  be a base of A, and set

$$\xi = \sum_{i=1}^{24} a_i \xi_i , \qquad \eta = \sum_{i=1}^{24} b_i \xi_i , \qquad \zeta = \sum_{i=1}^{24} c_i \xi_i$$

Then it is easily verified that  $\Phi(\xi, \eta, \zeta)$  is of the form

$$\sum_{i,j,k=1}^{24} d_{ijk} a_i b_j c_k ,$$

where the  $d_{i,k}$ 's are fixed constants, which proves that  $\Phi$  is trilinear. If K has infinitely many elements, then  $\Phi(\xi, \eta, \zeta)$  may also be defined as follows:  $F(a\xi + b\eta + c\zeta)$  being expressed as a polynomial in a, b, c, then the coefficient of the term in *abc* in this polynomial is  $\Phi(\xi, \eta, \zeta)$ . The trilinear form  $\Phi$  is obviously symmetric.

We can now define a law of composition in A. Let  $\xi$  and  $\eta$  be in A. Then  $\zeta \to \Phi(\xi, \eta, \zeta)$  is a linear form on A. Since the bilinear form A is nondegenerate, there exists a unique element  $\omega$  of A such that  $\Lambda(\omega, \zeta) = \Phi(\xi, \eta, \zeta)$  for all  $\zeta \in A$ . We set  $\omega = \xi \circ \eta$ ; thus, we have

$$\Phi(\xi, \eta, \zeta) = \Lambda(\xi \circ \eta, \zeta).$$

The mapping  $(\xi, \eta) \to \xi \circ \eta$  is obviously bilinear; it is the law of composition of a structure of (nonassociative) algebra on A. Since  $\Phi$  is symmetric, this algebra is obviously commutative.

The law of composition in the algebra A being defined in terms of the forms  $\Omega$  and F only, it is clear that any automorphism of the vector space A which leaves these two forms invariant is an automorphism of the algebra A.

IV.2.1. If each one of the elements  $\xi$ ,  $\eta$ ,  $\zeta$  lies in one of the spaces M,  $S_p$ ,  $S_i$ , then we have

$$\Phi(\xi,\,\eta,\,\zeta)\,=\,F(\xi\,+\,\eta\,+\,\zeta).$$

We have  $F(\omega) = 0$  if  $\omega$  lies in one of the three spaces  $M + S_p$ ,  $S_p + S_i$ ,  $S_i + M$ , as follows immediately from the definition of F. Thus, under our assumption,  $F(\xi)$ ,  $F(\eta)$ ,  $F(\zeta)$ ,  $F(\xi + \eta)$ ,  $F(\eta + \zeta)$ ,  $F(\zeta + \xi)$  are all zero, which proves IV.2.1.

Suppose that  $\xi$  and  $\eta$  are both in M, or both in  $S_p$  or both in  $S_i$ . Then we have  $\Phi(\xi, \eta, \zeta) = 0$  if  $\zeta$  lies in either one of the spaces  $M, S_p, S_i$ , and therefore, by linearity,  $\Phi(\xi, \eta, \zeta) = 0$  for any  $\zeta \in A$ . This proves:

IV.2.2. We have  $\xi \circ \eta = 0$  if  $\xi$ ,  $\eta$  both lie in one and the same of the spaces M,  $S_{\mu}$ ,  $S_{i}$ .

Now, assume that  $\xi \in M$ ,  $\eta \in S_p$ . Then we have  $\Phi(\xi, \eta, \zeta) = 0$  when  $\zeta \in M + S_p$ , whence  $\Lambda(\xi \circ \eta, \zeta) = 0$  for  $\zeta \in M + S_p$ . Thus,  $\xi \circ \eta$  lies in the conjugate of  $M + S_p$  with respect to  $\Lambda$ , i.e., in  $S_i$ . Proceeding in a similar manner, we obtain the formulas

$$M \circ S_{\mathfrak{p}} \subset S_{i} \qquad S_{\mathfrak{p}} \circ S_{i} \subset M \qquad S_{i} \circ M \subset S_{\mathfrak{p}} .$$
<sup>(2)</sup>

IV.2.3. Let x be in M and u in S. Then we have

$$x \circ u = \rho(x) \cdot u$$
  $\gamma(x \circ u) = Q(x)\gamma(u)$   $x \circ (x \circ u) = Q(x)u$ .

It is clearly sufficient to prove the first of these formulas in the case where u is in either  $S_p$  or  $S_i$ . Assume for instance that  $u \in S_p$ , whence  $x \circ u \in S_i$ . If  $u' \in S_i$ , we have  $\beta(x \circ u, u') = \Lambda(x \circ u, u') = \Phi(x, u, u') =$  $F(x + u + u') = \beta(\rho(x) \cdot u, u')$ , whence  $x \circ u = \rho(x) \cdot u$ , since the restriction of  $\beta$  to  $S_i \times S_i$  is nondegenerate. We proceed in exactly the same way if  $u \in S_i$ . The second formula of IV.2.3 follows immediately from the first. Moreover, we have  $x \circ u \in S$ , whence  $x \circ (x \circ u) =$  $\rho(x) \cdot (\rho(x) \cdot u) = \rho(x^2) \cdot u = Q(x)u$ , since  $x^2 = Q(x) \cdot 1$ .

If x, y are in M and  $u \in S$ , then we have

$$\beta(x \circ u, y \circ u) = B(x, y)\gamma(u) \tag{3}$$

The left side is  $\gamma((x + y) \circ u) - \gamma(x \circ u) - \gamma(y \circ u)$ , which is  $(Q(x + y) - Q(x) - Q(y))\gamma(u)$  by IV.2.3, which proves (3).

Now, let s be an element of  $\Gamma.$  If  $x \in M, u \in S_p$  ,  $u' \in S_i$  , set

$$\mu(s)\cdot(x+u+u') = \chi(s)\cdot x + \rho(s)\cdot u + \rho(s)\cdot u'.$$

Then  $\mu$  is clearly a linear representation of the group  $\Gamma$ . We have  $Q(\chi(s) \cdot x) = Q(x), \gamma(\rho(s) \cdot (u + u')) = \lambda(s)\gamma(u + u')$ . If  $s \in \Gamma_0$ , then  $\mu(s)$  leaves the quadratic form  $\Omega$  invariant. We shall now prove the formula

$$F(\mu(s) \cdot \omega) = \lambda(s)F(\omega) \qquad (s \in \Gamma, \omega \in A). \tag{4}$$

Set  $\omega = x + u + u'$ ,  $x \in M$ ,  $u \in S_p$ ,  $u' \in S_i$ . We have

$$F(\mu(s) \cdot \omega) = \beta(\rho(\chi(s) \cdot x) \cdot (\rho(s) \cdot u), \ \rho(s) \cdot u').$$

Now,  $\chi(s)x = sxs^{-1}$ , whence

$$\rho(\chi(s) \cdot x) = \rho(s) \rho(x) (\rho(s))^{-1}$$

and

$$F(\mu(s) \cdot \omega) = \beta(\rho(s) \rho(x) \cdot u, \rho(s) \cdot u')$$
  
=  $\lambda(s)\beta(\rho(x) \cdot u, u')$   
=  $\lambda(s)F(\omega),$ 

which proves (4). It follows immediately that

$$\Phi(\mu(s) \cdot \xi, \ \mu(s) \cdot \eta, \ \mu(s) \cdot \zeta) = \lambda(s) \Phi(\xi, \ \eta, \ \zeta)$$
(5)

if  $\xi$ ,  $\eta$ ,  $\zeta$  are in A.

Let x be in M and u, u' in S. Then

$$\rho(s) \cdot (x \circ u) = \mu(s) \cdot (x \circ u)$$

$$= \mu(s) \cdot x \circ \mu(s) \cdot u$$

$$= \chi(s) \cdot x \circ \rho(s) \cdot u,$$

$$(\mu(s) \cdot u) \circ (\mu(s) \cdot u') = (\rho(s) \cdot u) \circ (\rho(s) \cdot u')$$

$$= \lambda(s)(\chi(s) \cdot (u \circ u'))$$

$$= \lambda(s) \cdot (\mu(s) \cdot (u \circ u')).$$
(6)

For we have

$$\chi(s) \cdot x \circ \rho(s) \cdot u = \rho(\chi(s) \cdot x) \rho(s) \cdot u$$
$$= \rho(s) \rho(x) \cdot u,$$

which proves the first formula. We have  $\rho(s) \cdot u \circ \rho(s) \cdot u' \in M$ , and, for  $y \in M$ ,

$$B(\rho(s) \cdot u \circ \rho(s) \cdot u', y) = \Phi(\mu(s) \cdot u, \mu(s) \cdot u', y)$$
  
=  $\lambda(s)\Phi(u, u', \mu(s^{-1}) \cdot y)$   
=  $\lambda(s)B(u \circ u', \chi(s^{-1}) \cdot y)$ 

and

$$B(\chi(s) \cdot u \circ u', y) = B(u \circ u', \chi(s^{-1}) \cdot y),$$

which proves the second formula (6).

It is clear that every automorphism of the vector space A which leaves the quadratic form  $\Omega$  and the cubic form F invariant leaves also the trilinear form  $\Phi$  invariant and is therefore an automorphism of the structure of algebra of A. Thus, every operation of  $\mu(\Gamma_0)$  is an automorphism of the algebra A.

IV.2.4. Any automorphism  $\sigma$  of the algebra A which transforms each one of the spaces M and S into itself belongs to the group  $\mu(\Gamma_0)$ .

We know that the representation  $\rho$  of the Clifford algebra C maps C onto the algebra of all endomorphisms of the vector space S. Thus, there exists an element  $s \in C$  such that  $\sigma \cdot u = \rho(s) \cdot u$  for all  $u \in S$ . Since  $\sigma$  induces an automorphisms of S, s is invertible in C. Let x be in M; then we have  $\rho(s)\rho(x)\cdot u = \sigma(x\circ u) = \sigma \cdot x \circ \sigma \cdot u = \rho(\sigma \cdot x)\rho(s)\cdot u$ , and  $\rho(\sigma \cdot x) = \rho(s)\rho(x)\rho(s^{-1}) = \rho(sxs^{-1})$ . Since  $\rho$  is a faithful representation of C,  $sxs^{-1} = \sigma \cdot x$ , which proves that  $s \in \Gamma$ , and that  $\sigma = \mu(s)$ . It remains only to prove that  $\lambda(s) = 1$ . We have, for  $u, u' \in S, \mu(s) \cdot u \circ u' =$  $\mu(s) \cdot u \circ \mu(s) \cdot u'$ . Comparing with the second formula of (6), we see that  $\lambda(s) = 1$  provided there exist elements  $u, u' \in S$  such that  $u \circ u' \neq 0$ . Now, let  $x_0$  be a nonsingular vector in M and u' an element  $\neq 0$  of  $S_i$ . Since  $(\rho(x_0))^2 \cdot u = Q(x_0)u$ , the mapping  $u \to \rho(x_0) \cdot u$  of  $S_p$  into  $S_i$  is one-to-one; since  $S_p$  and  $S_i$  have the same dimension, it is an isomorphism of  $S_p$  with  $S_i$ , and there exists an  $u \in S_p$  such that  $\beta(\rho(x_0) \cdot u)$ ,  $u' \neq 0$ ; since  $B(u \circ u', x_0) = \beta(\rho(x_0) \cdot u, u_i)$  (by IV.2.1), we have  $u \circ u' \neq 0$  and IV.2.4 is proved.

### 4.3. The Principle of Triality

IV.3.1 (Principle of triality). There exists an automorphism J of order 3 of the vector space A which has the following properties: J leaves the quadratic form  $\Omega$  and the cubic form F invariant; J maps M onto  $S_{\mathfrak{p}}$ ,  $S_{\mathfrak{p}}$  onto  $S_{\mathfrak{i}}$ , and  $S_{\mathfrak{i}}$  onto M.

There exists an element  $x_1 \in M$  such that  $Q(x_1) = 1$ . For, if x and y are elements of M such that Q(x) = Q(y) = 0, B(x, y) = 1, then  $x_1 = x + y$  has the required property. We have  $x_1 \in \Gamma$  and  $\lambda(x_1) = x_1^2 = 1$ , whence  $x_1 \in \Gamma_0$ . It follows that the operation  $\mu(x_1)$  (see Section 4.2) leaves  $\Omega$  and F invariant; it is clear that  $\mu(x_1)$  maps M onto itself,  $S_p$  onto  $S_i$ , and  $S_i$  onto  $S_p$ .

Now, we know that the restrictions of  $\gamma$  to  $S_p$  and  $S_i$  are of index 4. If u, v are in  $S_p$ ,  $\gamma(u) = \gamma(v) = 0$ , and, if  $\beta(u, v) = 1$ , then we have  $\gamma(u + v) = 1$ . We see in the same way that  $\gamma$  takes the value 1 at some point of  $S_i$ .

Now, let  $u_1$  be any point of  $S_{\tau}$  such that  $\gamma(u_1) = 1$ . We shall associate to  $u_1$  an automorphism  $\tau$  of A. If  $x \in M$ , then we set  $\tau(x) = u_1 \circ x = x \circ u_1 \in S_i$ . If  $x, y \in M$ , then we have

$$\beta(\tau \cdot x, \ \tau \cdot y) = B(x, \ y)$$

by formula (3), Section 4.2. Thus, if  $\tau \cdot y = 0$ , then B(x, y) = 0 for all  $x \in M$  and y = 0; this proves that  $x \to \tau \cdot x$  is a one-to-one mapping of M into  $S_i$ . Since M and  $S_i$  have the same dimension 8, our mapping is a linear isomorphism of M with  $S_i$ . Every  $u' \in S_i$  may therefore be written in one and only one way in the form  $\tau \cdot x$ , for some  $x \in M$ , and we set  $\tau \cdot u' = x$ . Having now defined  $\tau$  on M and  $S_i$ , we extend it by linearity to  $M + S_i$ ; we obtain in this way an automorphism of order 2 of  $M + S_i$ . There remains to define  $\tau$  on  $S_r$ , which we do by the formula

$$\tau \cdot u = \beta(u, u_1)u_1 - u \qquad (u \in S_p). \tag{1}$$

If  $\tau'$  is the symmetry in  $S_p$  with respect to the conjugate hyperplane of  $Ku_1$ , relative to the restriction of the quadratic form  $\gamma$  to  $S_p$ , then we have  $\tau \cdot u = -\tau' \cdot u$  (since  $\gamma(u_1) = 1$ ). It follows that the mapping of  $S_p$  into itself defined by (1) is an automorphism of order 2 of  $S_p$ . Completing the definition of  $\tau$  by linearity, we see that we obtain an automorphism  $\tau$  of order 2 of A which maps any  $x \in M$  upon  $u_1 \circ x$ . This automorphism leaves the forms  $\Omega$  and F invariant. For, let x be in M, u in  $S_p$ , and u' in  $S_i$ . Then we have  $\tau \cdot x \in S_i$ ,  $\tau \cdot u \in S_p$ ,  $\tau \cdot u' \in M$ , and

$$\Omega(\tau \cdot (x + u + u')) = \gamma(u_1 \circ x) + \gamma(\tau \cdot u) + Q(\tau \cdot u')$$

We have  $\gamma(u_1 \circ x) = \gamma(u_1)Q(x)$  by IV. 2.3, and this is Q(x). We have  $\gamma(\tau \cdot u) = \gamma(u)$  because the restriction of  $\tau$  to  $S_p$  belongs to the orthogonal group of the restriction of  $\gamma$  to  $S_p$ . If  $u' = u_1 \circ y$ , with  $y \in M$ , then we

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have  $\tau \cdot u' = y$ , whence  $\gamma(u') = Q(y) = \gamma(\tau \cdot u')$ , and this shows that  $\tau$  leaves  $\Omega$  invariant. We have

$$F(\tau \cdot (x + u + u')) = \beta(\tau \cdot u, \rho(\tau \cdot u') \circ (u_1 \circ x))$$

by formula (1), Section 4.2. Again let  $u' = u_1 \circ y = \rho(y) \cdot u_1$ ; since  $u_1 \circ x = \rho(x) \cdot u_1$ , we have  $\rho(\tau \cdot u') \cdot (u_1 \circ x) = \rho(yx) \cdot u_1$ . Thus, we have

$$F(\tau \cdot (x + u + u')) = \beta(u, u_1)\beta(u_1, \rho(yx) \cdot u_1) - \beta(u, \rho(yx) \cdot u_1).$$

Now, we have  $xy + yx = B(x, y) \cdot 1$ , whence

$$\beta(u, \rho(y)\rho(x)\cdot u_1) = B(x, y)\beta(u, u_1) - \beta(u, \rho(x)\rho(y)\cdot u_1).$$

Making use of formulas (1), (3), Section 4.2, we have

$$B(x, y) = B(y, x) = \beta(y \circ u_1, x \circ u_1) = \beta(\rho(y) \cdot u_1, \rho(x) \cdot u_1)$$
  
=  $\beta(u_1, \rho(yx) \cdot u_1)$ 

and therefore

$$F(\tau \cdot (x + u + u')) = \beta(u, \rho(x)\rho(y) \cdot u_1),$$

but  $\rho(y) \cdot u_1 = y \circ u_1 = u'$ , and

$$F(\tau \cdot (x + u + u')) = \beta(u, \rho(x) \cdot u') = F(x + u + u'),$$

which proves that  $\tau$  leaves F invariant.

Set  $\theta = \tau \mu(x_1) \tau^{-1} = \tau \mu(x_1) \tau$  and  $\theta' = \mu(x_1) \tau (\mu(x_1))^{-1} = \mu(x_1) \tau \mu(x_1)$ . These two operations are of order 2 and leave  $\Omega$  and F invariant. We shall prove that they are identical. Let x be in M; then we have

$$\theta \cdot x = \tau(\mu(x_1) \cdot (u_1 \circ x)) = \tau(\rho(x_1) \rho(x) \cdot u_1)$$
  
=  $\beta(u_1, \rho(x_1) \rho(x) \cdot u_1) u_1 - \rho(x_1) \rho(x) \cdot u_1$ 

We have  $x_1x + xx_1 = B(x, x_1) \cdot 1$  and

$$B(x, x_1) = B(x_1, x) = \beta(x_1 \circ u_1, x \circ u_1)$$
  
=  $\beta(\rho(x_1) \cdot u_1, \rho(x) \cdot u_1) = \beta(u_1, \rho(x_1x) \cdot u_1)$ 

whence  $\theta \cdot x = \rho(x)\rho(x_1) \cdot u_1$ . On the other hand, we have

$$\begin{aligned} \theta' \cdot x &= \mu(x_1) \tau \cdot (\chi(x_1) \cdot x) &= \mu(x_1) \cdot (u_1 \circ x_1 x x_1^{-1}) \\ &= \mu(x_1) \cdot (\rho(x_1 x x_1^{-1}) \cdot u_1) = \rho(x_1) \rho(x_1 x x_1^{-1}) \cdot u_1 \\ &= \rho(x x_1) \cdot u_1 , \end{aligned}$$

since  $x_1^2 = 1$ ; thus,  $\theta'$  coincides with  $\theta$  on M. On the other hand, we verify immediately that  $\theta$  and  $\theta'$  both map M into  $S_p$ :  $\tau$  maps M into  $S_i$ ,  $\mu(x_1)$  maps  $S_i$  into  $S_p$ , and  $\tau$  maps  $S_p$  into itself, whence  $\theta(M) \subset$  $S_p$ ;  $\mu(x_1)$  maps M into itself,  $\tau$  maps M into  $S_i$ , and  $\mu(x_1)$  maps  $S_i$  into  $S_p$ , whence  $\theta'(M) \subset S_p$ . Since  $\theta$  and  $\theta'$  are of order 2 and coincide with each other on M, they coincide with each other on  $S_p$ , and  $\theta\theta'$  coincides with the identity on  $M + S_p$ . On the other hand,  $\theta$  and  $\theta'$  belong to the orthogonal group of the quadratic form  $\Omega$ , and so does  $\theta\theta'$ ; since  $\theta\theta'$  maps  $M + S_p$  into itself, it maps also into itself the conjugate  $S_i$  of  $M + S_p$ with respect to the associated bilinear form  $\Lambda$  of  $\Omega$ . Since  $\theta$  and  $\theta'$  leave  $\Omega$  and F invariant, so does  $\theta\theta'$ , and  $\theta\theta'$  is an automorphism of the algebra A. Thus, it follows from IV.2.4 that  $\theta\theta' = \mu(s)$  for some  $s \in \Gamma_0$ . Since  $(\theta\theta')(x) = x$  for  $x \in M$ , s belongs to the kernel of the vector representation of  $\Gamma$ , whence  $s = c \cdot 1$  for some  $c \in K$ . Since  $\mu(s) \cdot u = cu = u$  for  $u \in S_p$ , we have c = 1 and  $\theta \theta'$  is the identity, whence  $\theta = \theta'$ . Writing that  $\theta' \theta$  is the identity mapping I, we obtain

$$\mu(x_1)\tau\mu(x_1)\tau\mu(x_1)\tau = I.$$

Let  $J = \mu(x_1)\tau$ : then  $J^3 = I$ . It is clear that J leaves F and  $\Omega$  invariant, maps M onto  $S_p$ ,  $S_p$  onto  $S_i$ , and  $S_i$  onto M; IV.3.1 is thereby proved.

It is clear that J is an automorphism of the algebra A. Making use of this automorphism, we obtain more formulas on the law of composition  $\circ$ . It follows from IV.2.3 that  $Q(J \cdot x \circ J \cdot u) = \gamma(J \cdot x)\gamma(J \cdot u)$  if  $x \in M, u \in S_p$ , whence

$$Q(u \circ u') = \gamma(u)\gamma(u') \qquad (u \in S_p, u' \in S_i).$$
<sup>(2)</sup>

Since  $x \circ (x \circ u) = Q(x) \cdot u$ , we have

$$J \cdot x \circ (J \cdot x \circ J \cdot u) = \gamma(J \cdot x) J \cdot u,$$
  
$$J^{-1} \cdot x \circ (J^{-1}x \circ J^{-1} \cdot u') = \gamma(J^{-1} \cdot x) J^{-1}u',$$

whence

$$u \circ (u \circ u') = \gamma(u)u', \quad u' \circ (u \circ u') = \gamma(u')u \qquad (u \in S_p, u' \in S_i).$$
(3)

Applying J and  $J^{-1}$  again, we find the formulas

$$u \circ (u \circ x) = \gamma(u)x, \, u' \circ (u' \circ x) = \gamma(u')x \quad (x \in M, \, u \in S_p, \, u' \in S_i).$$
 (4)

Making use of formula (3), Section 4.2, we obtain

$$B(u_{1} \circ u', u_{2} \circ u') = \gamma(u')\beta(u_{1}, u_{2}) \qquad (u_{1}, u_{2} \in S_{p}, u' \in S_{i}),$$
  

$$B(u \circ u'_{1}, u \circ u'_{2}) = \gamma(u)\beta(u'_{1}, u'_{2}) \qquad (u \in S_{p}, u'_{1}, u'_{2} \in S_{i}).$$
(5)

Consider now the operation  $\theta = \theta'$  introduced above. We have

$$J^{-1}\mu(x_1)J = \tau \mu(x_1)\mu(x_1)\mu(x_1)\tau = \tau \mu(x_1)\tau = \theta.$$

If  $u \in S_p + S_i$ , then we have  $\mu(x_1) \cdot u = x_1 \circ u$ , whence  $\theta J^{-1} \cdot u = J^{-1}x_1 \circ J^{-1}u$ . We have  $J^{-1}x_1 = \tau \mu(x_1) \cdot x_1 = \tau \cdot x_1 = x_1 \circ u_1$ ; denote this element by  $u'_1$ . Then we see that  $\theta \cdot v = u'_1 \circ v = v \circ u'_1$  if  $v \in J^{-1}(S) = M + S_p$ . On the other hand, we have  $\mu(x_1) \cdot x = B(x_1, x)x_1 - x$  if  $x \in M$ . Thus, we obtain the formulas

$$\theta \cdot v = v \circ u'_{1} \qquad (v \varepsilon M + S_{p}),$$
  
$$\theta \cdot u' = \beta(u', u'_{1})u'_{1} - u' \qquad (u' \varepsilon S_{i}). \qquad (6)$$

On the other hand,  $\tau$  is an automorphism of order 2 of A and  $\tau \cdot x = x \circ u_1$  for  $x \in M$ . We have  $(x \circ u_1) \circ u_1 = x$  by formula (4), whence  $\tau \cdot (x \circ u_1) = (x \circ u_1) \circ u_1$ . It follows that  $\tau \cdot u' = u' \circ u_1$  for all  $u' \in S_i$ , and we have

$$\tau \cdot v = v \circ u_1 \qquad (v \in M + S_i),$$
  
$$\tau \cdot u = \beta(u, u_1)u_1 - u \qquad (u \in S_p). \qquad (7)$$

#### 4.4. Geometric Interpretation

Denote by Z and Z' two maximal totally singular subspaces of M, by u and u' representative spinors for Z and Z'.

Let x be a point of M. We know that a necessary and sufficient condition for x to be in Z is that  $x \circ u = \rho(x) \cdot u = 0$  (III.1.4). Assume now that this condition is not satisfied. The space Z + Kx is then of dimension 5; we shall see that this space contains exactly one maximal totally singular subspace  $Z_1 \neq Z$  and that  $\rho(x) \cdot u$  is a representative spinor for  $Z_1$ . The conjugate of Z is Z itself and therefore does not contain x, which shows that Z contains an element y such that B(x, y)= 1. Let  $x_1 = x - Q(x)y$ ; then  $Q(x_1) = 0$  and  $x_1$  is not in Z. Let R be the space of elements  $z \in Z$  such that B(x, z) = 0; R is of dimension 3, and  $Z_1 = R + Kx_1$  is of dimension 4 and totally singular, because  $Q(x_1) = 0$  and  $x_1$  is orthogonal to every element of R, since both x and y are. The space R is in the conjugate of Z + Kx; if  $Z'_1$  is any totally singular subspace of Z + Kx, then so is  $R + Z'_1$ , whence  $R \subset Z'_1$  if  $Z'_1$  is maximal totally singular. Moreover,  $Z'_1$ , which is of dimension 4, has an element  $\neq 0$  in common with the 2-dimensional subspace Kx +Ky of Z + Kx; if  $Z'_1 \neq Z$ , then y is not in  $Z'_1$  and  $Z'_1$  contains an element of the form x + ay,  $a \in K$ . Since Q(x + ay) = 0, we have a =-Q(x) and  $x_1 \in Z'_1$ , whence  $Z'_1 = Z_1$ , which shows that  $Z_1$  is the only totally singular subspace  $\neq Z$  of Z + Kx. We have  $\gamma(\rho(x) \cdot u) = Q(x)\gamma(u)$ 

= 0, which shows that  $\rho(x) \cdot u$  is a pure spinor (IV.1.1). Since  $y \in Z$ , we have  $\rho(y) \cdot u = 0$ , whence  $\rho(x) \cdot u = \rho(x_1) \cdot u$ . We have  $\rho(x_1) \cdot (\rho(x_1) \cdot u) = \rho(x_1^2) \cdot u = 0$ , since  $x_1^2 = 0$ ; it follows that  $x_1$  belongs to the space of which  $\rho(x) \cdot u$  is a representative spinor. If  $z \in R$ , then we have B(z, x) = 0, whence zx + xz = 0, and

$$\rho(z) \cdot (\rho(x) \cdot u) = -\rho(x) \cdot (\rho(z) \cdot u) = 0,$$

since  $z \in Z$ . It follows immediately that  $\rho(x) \cdot u$  is a representative spinor for  $Z_1$ . Thus, we have proved the following statement:

IV.4.1. Let u be a representative spinor for a maximal totally singular space Z and x an element of M not in Z. Then  $x \circ u$  is a representative spinor for the unique maximal totally singular space  $\neq Z$  contained in Z + Kx.

Further, we observe that  $u \circ (x \circ u) = \gamma(u)x = 0$  by formula (4), Section 4.3.

Assume now that  $Z \cap Z'$  is of dimension 3. Then Z + Z' is of dimension 5, and, if x is any element of Z' not in Z, then  $u' = c\rho(x) \cdot u$ , c a scalar, whence  $u \circ u' = 0$ . We shall now prove that the converse of this is true:

IV.4.2. Let Z and Z' be maximal totally isotropic subspaces of M one of which is even and the other odd. Let u, u' be representative spinors for Z, Z'. Then a necessary and sufficient condition for  $Z \cap Z'$  to be of dimension 3 is that  $u \circ u' = 0$ .

We know already that the condition is necessary. Now, assume that  $u \circ u' = 0$ . We may assume that Z is even and Z' odd. Let the automorphism J have the properties of IV.3.1, and set v = J(u), y = J(u'), whence  $v \in S_i$ ,  $y \in M$ . We have  $\gamma(v) = \gamma(u) = 0$ , and v is pure; moreover,  $v \circ y = J(u \circ u') = 0$ , which shows that y belongs to the maximal totally singular space  $\overline{Z}$  of which v is a representative spinor. Let x be an element of M such that  $B(x, y) \neq 0$ ,  $Z_1$  the maximal totally singular space of  $\overline{Z} + Kx$  distinct from  $\overline{Z}$ , and  $v_1$  a representative spinor for  $Z_1$ . Then, we have  $v = ay \circ v_1$ , a being a scalar  $\neq 0$ . We have  $v_1 \in S_p$ , whence  $z = J^{-1}(v_1) \in M$  and  $u = au' \circ z$ . Making use of IV.4.1, we conclude that dim  $Z \cap Z' = 3$ .

IV.4.3. Let Z and Z' be maximal totally singular subspaces of M one of which is even and the other odd, and let u, u' be representative spinors for Z, Z'. If  $u \circ u' \neq 0$ , then dim  $(Z \cap Z') = 1$  and  $u \circ u'$  is a basic vector of  $Z \cap Z'$ .

We know that dim  $(Z \cap Z') \equiv 1 \pmod{2}$ ; since this dimension is  $\neq 3$ , it is 1. We have  $u \circ (u \circ u') = \gamma(u)u' = 0$ ,  $u' \circ (u \circ u') = \gamma(u')u = 0$ ; it follows that  $u \circ u' \in Z \cap Z'$ .

Let now  $\overline{M}$  be the projective space whose points are the one-dimensional subspaces of M. Those one-dimensional spaces which contain singular vectors form a quadric hypersurface  $\overline{Q}$  in  $\overline{M}$ . If  $P \in \overline{Q}$ , then any basic vector x of the subspace P of M will be called a representative vector for P. To the 4-dimensional totally singular subspaces of M correspond 3-dimensional projective subvarieties of  $\overline{Q}$ ; if L corresponds to a 4-dimensional totally singular space Z, then any representative spinor for Z will also be called a representative spinor for L. The spaces L fall into two categories, corresponding to the two kinds of pure spinors; we shall denote by  $\mathfrak{L}$  (respectively:  $\mathfrak{L}$ ) the set of 3-dimensional projective varieties of  $\overline{Q}$  whose representative spinors are even (respectively: odd). To the automorphism J of IV.3.1, there corresponds a mapping  $\overline{J}$  which assigns to every point of  $\overline{Q}$  a variety in  $\mathfrak{L}$ , a point of  $\overline{Q}$ .

IV.4.4. Let P and  $P_1$  be distinct points of Q. A necessary and sufficient condition for the line  $PP_1$  joining P to  $P_1$  to be on  $\overline{Q}$  is that  $\overline{J}(P)$ ,  $\overline{J}(P_1)$ should meet each other. If L,  $L_1$  are in  $\mathfrak{L}_{\bullet}$ , a necessary and sufficient condition for L and  $L_1$  to meet each other is that  $\overline{J}(L)$ ,  $\overline{J}(L_1)$  should meet each other.

Let x and  $x_1$  be representative points for  $P, P_1$ . A necessary and sufficient condition for the line  $PP_1$  to be on  $\overline{Q}$  is that  $Kx + Kx_1$  be totally singular. Since x and  $x_1$  are singular, this condition is equivalent to the condition that  $B(x, x_1) = 0$ . Now, we have  $\beta(J \cdot x, J \cdot x_1) =$  $B(x, x_1)$  and, if  $u, u' \in S_p$ ,  $\beta(J \cdot u, J \cdot u') = \beta(u, u')$ . On the other hand, we know that, if Z, Z' are maximal totally singular subspaces of M and u, u' representative spinors for Z, Z', then a necessary and sufficient condition for  $Z \cap Z'$  to be  $\neq \{0\}$  is that  $\beta(u, u') = 0$  (III.2.4); IV.4.4 follows immediately from these facts.

IV.4.5. Let P be a point of Q and L a variety in  $\mathfrak{L}_{\bullet}$ . A necessary and sufficient condition for P to belong to L is that J(P), J(L) should have a 2-dimensional projective variety in common.

This follows immediately from IV.4.2.

#### 4.5. The Octonions

Let us select once and for all an element  $x_1 \in M$  such that  $Q(x_1) = 1$ and an element  $u_1 \in S_p$  such that  $\gamma(u_1) = 1$ . We shall set  $u'_1 = x_1 \circ u_1$ , whence  $u'_1 \in S_i$ ,  $\gamma(u'_1) = 1$ . Let x and y be in M. Then we have  $x \circ u'_1 \in S_p$ ,  $y \circ u_1 \in S_i$ , and  $(x \circ u'_1) \circ (y \circ u_1) \in M$ . We set

$$x * y = (x \circ u'_1) \circ (y \circ u_1);$$

this formula defines a bilinear law of composition on  $M \times M$ , i.e., a structure of algebra on M. We shall call this algebra the algebra of octonions.

The element  $x_1$  is the unit element for our law of composition, for  $x_1 \circ u'_1 = x_1 \circ (x_1 \circ u_1) = u_1$  (by IV.2.3),  $u_1 \circ (y \circ u_1) = y$  by formula (4), Section 4.3, and, similarly,  $x_1 \circ u_1 = u'_1$ ,  $(x \circ u'_1) \circ u'_1 = x$ . Let x and y be in M. Then we have

$$Q(x * y) = Q(x)Q(y).$$
(1)

For,  $Q(x * y) = \gamma(x \circ u'_1)\gamma(y \circ u_1)$  by formula (2), Section 4.3, and  $\gamma(x \circ u'_1) = Q(x), \gamma(y \circ u_1) = Q(y)$  by IV.2.3.

We have  $x_1 \in \Gamma$ ; for any  $x \in M$ , set

$$\overline{x} = \chi(x_1) \cdot x = x_1 x x_1 = B(x, x_1) x_1 - x;$$

then  $\overline{x}$  is called the *conjugate octonion* of x.

IV.5.1. The mapping  $x \to \overline{x}$  is an antiautomorphism of the algebra of octonions.

In order to see this, we use the automorphisms  $\tau$ ,  $\theta$  of A which were introduced in Section 4.3. Making use of formulas (6), (7), Section 4.3, we see that we may write

$$x * y = \theta \cdot x \circ \tau \cdot y.$$

The operation  $\chi(x_1)$  extends to an automorphism  $\mu(x_1)$  of A. We have  $\theta = \mu(x_1)\tau\mu(x_1), \ \tau = \mu(x_1)\theta\mu(x_1)$ ; since  $\mu(x_1)$  is of order 2, we have  $\mu(x_1) \cdot x * y = (\tau \cdot \overline{x}) \circ (\theta \cdot \overline{y}) = (\theta \cdot \overline{y}) \circ (\tau \cdot \overline{x}) = \overline{y} * \overline{x}$ , which proves IV.5.1.

We shall now prove the formula

$$\overline{x} * (x * y) = Q(x)y \qquad (x, y \in M). \tag{2}$$

We have

$$\overline{x} * (x * y) = \theta \mu(x_1) \cdot x \circ (\tau \theta x \circ y)$$

because  $\tau$  is an automorphism of order 2. Now,  $\theta\mu(x_1) = \mu(x_1)\tau = \tau\theta$ , since  $\theta = \tau\mu(x_1)\tau$  (see Section 4.3). Thus

 $\overline{x} * (x * y) = \tau \theta(x \circ (x \circ \theta \tau \cdot y)) = \tau \theta \cdot (Q(x) \theta \tau \cdot y) = Q(x)y,$ 

which proves (2).

If we replace  $\overline{x}$  by its value  $B(x, x_1)x_1 - x$  in (2), we obtain

$$B(x, x_1)x * y - x * (x * y) = Q(x)y.$$

On the other hand, it follows from (2) that  $\overline{x} * x = Q(x)x_1$ , whence

$$B(x, x_1)x - x * x = Q(x)x_1$$

and

$$B(x, x_1)x * y - (x * x) * y = Q(x)y,$$

which proves that

$$x * (x * y) = (x * x) * y.$$

Going over to the conjugates, we obtain, in virtue of IV.5.1,  $(\overline{y} * \overline{x}) * \overline{x} = \overline{y} * (\overline{x} * \overline{x})$ , or, since x, y are arbitrary, (y \* x) \* x = y \* (x \* x). Thus, the difference

$$U(x, y, z) = x * (y * z) - (x * y) * z$$

is zero if y is equal to either x or z. Writing that U(x, y + z, y + z) = 0, we obtain U(x, y, z) = -U(x, z, y), whence U(x, y, x) = 0. Thus, we see that U(x, y, z) = 0 whenever two of x, y, z are equal to each other. This is the characteristic property of what is called the *alternating algebras*. It implies that the algebra generated by any two octonions is associative, a fact which it is also easy to check directly.

We have defined the law of composition \* in M in terms of the law of composition of the algebra A. It is also possible to do the converse. Let J be the operation  $\mu(x_1)\tau$ ; we know that J is an automorphism of order 3 of A, and every element of A is uniquely representable in the form  $x + J \cdot y + J^2 \cdot z$ , where x, y, z are in M. Moveover, we have  $J \cdot y = \mu(x_1)\tau y = \theta \cdot \overline{y}, J^2 \cdot z = \tau \mu(x_1)z = \tau \cdot \overline{z}$ , whence  $Jy \circ J^2 z = \overline{y} * \overline{z}$ and therefore  $J^2 y \circ z = J(\overline{y} * \overline{z}), y \circ Jz = J^2(\overline{y} * \overline{z})$ , i.e.,

$$x \circ J \cdot y = J^2 \cdot (\overline{x} * \overline{y}), \quad x \circ J^2 \cdot z = J(\overline{z} * \overline{x}), \quad Jy \circ J^2 z = \overline{y} * \overline{z}.$$
(3)

We shall now determine the automorphisms of the algebra of octonions. We have constructed in Section 4.2 a representation  $\mu$  of the group  $\Gamma_0$  by automorphisms of A. Let s be any element of  $\Gamma_0^+$  such that  $\mu(s) \cdot x_1 = x_1$ ,  $\mu(s) \cdot u_1 = u_1$ . Then we have also  $\mu(s) \cdot u'_1 = \mu(s) \cdot x_1 \circ u_1 = u'_1$ . If  $x, y \in M$ , then

$$\begin{split} \chi(s) \cdot x * y &= \mu(s) \cdot x * y \\ &= \mu(s) \cdot (x \circ u'_1) \circ (y \circ u_1) \\ &= (\chi(s) \cdot x \circ u'_1) \circ (\chi(s) \cdot y \circ u_1) \\ &= (\chi(s) \cdot x) * (\chi(s) \cdot y), \end{split}$$

which proves that  $\chi(s)$  is an automorphism of the algebra of octonions. Let conversely  $\sigma$  be any automorphism of this algebra. Since  $x_1$  is the neutral element, we have  $\sigma \cdot x_1 = x_1$ . We shall prove that  $\sigma \cdot \overline{x} = \overline{\sigma \cdot x}$  for every  $x \in M$ . We have

$$Q(x)x_1 = \overline{x} * x = B(x, x_1)x - x * x,$$

whence

$$Q(x)x_1 = B(x, x_1)\sigma \cdot x - \sigma \cdot x * \sigma \cdot x_1$$

but also

$$Q(\sigma \cdot x)x_1 = B(\sigma \cdot x, x_1)\sigma \cdot x - \sigma \cdot x * \sigma \cdot x,$$

and therefore

$$(Q(\sigma \cdot x) - Q(x))x_1 = (B(\sigma \cdot x, x_1) - B(x, x_1))\sigma \cdot x.$$

If  $x, x_1$  are linearly independent, then so are  $\sigma \cdot x$  and  $x_1$ , and  $B(\sigma \cdot x, x_1) = B(x, x_1)$ ; this last formula is also obviously true if  $x \in Kx_1$ . Since  $\overline{x} = B(x, x_1)x_1 - x$ , it is clear that  $\sigma \cdot \overline{x} = \overline{\sigma \cdot x}$ . We may now extend  $\sigma$  to a linear automorphism  $\overline{\sigma}$  of the vector space A by setting

$$\overline{\sigma} \cdot (x + J \cdot y + J^2 \cdot z) = \sigma \cdot x + J \cdot \sigma y + J^2 \cdot \sigma z.$$

Making use of the formulas (3), we see immediately that  $\overline{\sigma}$  is an automorphism of A, and this automorphism maps M,  $S_{p}$ , and  $S_{i}$  onto themselves. It follows by IV. 2.4 that  $\overline{\sigma} = \mu(s)$ , where s is some element of  $\Gamma_{0}^{+}$ . Moreover, it is clear that  $\overline{\sigma}$  commutes with J. We have  $J \cdot x_{1} = \mu(x_{1})\tau \cdot x_{1} = x_{1} \circ (u_{1} \circ x_{1}) = u_{1}$ ; since  $\overline{\sigma} \cdot x_{1} = x_{1}$ , we have  $\overline{\sigma} \cdot u_{1} = u_{1}$ , and  $\mu(s)$  leaves  $u_{1}$  fixed.

The automorphisms of the algebra of octonions may be characterized in still another manner. Let s be any element of  $\Gamma_0^+$ ; then  $\mu(s)$  is an automorphism of A, and so is  $J\mu(s)J^{-1}$ . It follows from IV.2.4 that  $J\mu(s)J^{-1}$  may be written in the form  $\mu(j \cdot s)$ , where  $j \cdot s$  is an obviously uniquely determined element of  $\Gamma_0^+$ ; the mapping  $s \to j \cdot s$  is an automorphism of order 3 of  $\Gamma_0^+$ . It is clear that  $j \cdot (c \cdot 1) = c \cdot 1$  if c is an element  $\neq 0$  of K; thus, j maps the kernel of the vector representation  $\chi$  of  $\Gamma_0^+$  into itself and defines an automorphism  $\bar{j}$  of order 3 of the group  $G_0^+ = \chi(\Gamma_0^+)$ . We have seen above that an automorphism  $\sigma$  of the algebra of octonions may be written in the form  $\chi(s)$ , where s is an element of  $\Gamma_0^+$  such that  $\mu(s) = \sigma$  commutes with J; it follows that  $\sigma$ is an element of  $G_0^+$  which is left invariant by  $\bar{j}$ . Conversely, let  $\sigma$  be any element of  $G_0^+$  such that  $\bar{j} \cdot \sigma = \sigma$ . Write  $\bar{\sigma} = \chi(s)$ , where  $s \in \Gamma_0^+$ . We have  $\chi(j \cdot s) = \chi(s)$ , whence  $j \cdot s = cs$ ,  $c \in K$ . Since j is of order 3, we have  $c^3 = 1$ ; on the other hand, we have  $\lambda(s) = 1$ ,  $\lambda(j \cdot s) = 1$ , whence  $c^2 = 1$ . It follows that c = 1 and that  $\mu(s)$  commutes with J. Let x, y be in M, and  $x' = \sigma \cdot x$ ,  $y' = \sigma \cdot y$ ; then we have

$$\overline{x}' * \overline{y}' = J \cdot x' \circ J^2 y' = J \mu(s) \cdot x \circ J^2 \mu(s) \cdot y$$
$$= \mu(s) J \cdot x \circ \mu(s) J^2 \cdot y = \sigma \cdot (Jx \circ J^2 y) = \sigma(\overline{x} * \overline{y})$$

and  $\sigma$  is an automorphism for the law of composition  $(x, y) \to \overline{x} * \overline{y}$ . This law of composition admits  $x_1$  as its neutral element, whence  $\sigma \cdot x_1 = \mu(s) \cdot x_1 = x_1$ . Since  $\mu(s)J = J\mu(s)$  and  $J \cdot x_1 = u_1$ ,  $\mu(s)$  leaves  $u_1$  fixed, and  $\sigma = \chi(s)$  is an automorphism of the algebra of octonions. Thus, the group of automorphisms of the algebra of octonions is the group of elements of  $G_0^+$  which are left fixed by the automorphism  $\overline{j}$  of order 3 of this group.

If x is an octonion, we set

$$\operatorname{Sp} x = B(x, x_1)$$

and call this element the *trace* of x. It is clear that

$$\operatorname{Sp} \overline{x} = \operatorname{Sp} x.$$

We shall now prove that

$$\operatorname{Sp} x * y = B(\overline{x}, y)$$

if x, y are octonions. The left side is

$$B(x * y, x_1) = \Lambda((x \circ u'_1) \circ (y \circ u_1), x_1) = \Phi(x \circ u'_1, y \circ u_1, x_1);$$

by the symmetry of  $\Phi$ , this is also

$$\Phi(x_1, x \circ u'_1, y \circ u_1) = \Lambda(x_1 \circ (x \circ u'_1), y \circ u_1).$$

Now, we have

$$x_1 \circ (x \circ u'_1) = \rho(x_1) \rho(x) \cdot u'_1 = \rho(\overline{x}) \rho(x_1) \cdot u'_1 = \rho(\overline{x}) \cdot u_1 ,$$

since  $\rho(x_1) \cdot u'_1 = u_1$ . Thus, Sp  $x * y = \Lambda(\overline{x} \circ u_1, y \circ u_1) = B(\overline{x}, y)$  by formula (3), Section 4.2.

Since  $\chi(x_1)$  belongs to the orthogonal group of Q, we have  $B(\bar{x}, \bar{y}) = B(x, y)$ ; it follows immediately that

$$\operatorname{Sp} y * x = \operatorname{Sp} x * y$$

On the other hand, since B is nondegenerate, the same is true of the bilinear form  $(x, y) \rightarrow \text{Sp } x * y$ .

Let x, y, z be octonions. Then we have

$$\operatorname{Sp} (x * y) * z = \operatorname{Sp} x * (y * z).$$

The left side is equal to

$$B(\bar{z}, x * y) = \Lambda(\mu(x_1)z, \theta \cdot x \circ \tau \cdot y)$$
$$= \Phi(\mu(x_1)z, \theta \cdot x, \tau \cdot y)$$
$$= \Phi(\tau \cdot z, \mu(x_1) \cdot x, \theta \cdot y)$$

because the automorphism  $\tau \mu(x_1)$  of A leaves  $\Phi$  invariant and  $\tau \mu(x_1)\theta = \mu(x_1), \ \tau \mu(x_1)\tau = \theta$ . Now we have

$$\Phi(\tau \cdot z, \mu(x_1) \cdot x, \theta \cdot y) = \Phi(\mu(x_1) \cdot x, \theta \cdot y, \tau \cdot z) = \operatorname{Sp} x * (y * z).$$

Since Sp y \* x =Sp x \* y, we see that

Sp 
$$(x * y) * z =$$
 Sp  $(y * z) * x =$  Sp  $(z * x) * y =$ 

We have

$$Sp (z * x) * (y * z) = Sp (x * y) * (z * z),$$

for, from the preceding formulas, the left side is equal to

$$Sp (y * z) * (z * x) = Sp y * (z * (z * x)).$$

But z \* (z \* x) = (z \* z) \* x, and the left side of our formula is equal to

$$Sp y * ((z * z) * x) = Sp ((z * z) * x) * y$$
$$= Sp (z * z) * (x * y)$$
$$= Sp (x * y) * (z * z).$$

Replacing z by z,t, z + t in the formula we have just proved, we obtain Sp (t \* x) \* (y \* z) +Sp (y \* t) \* (z \* x) =Sp (x \* y) \* (t \* z + z \* t), where x, y, z, t are any octonions.

# Review by J. Dieudonné of The Algebraic Theory of Spinors by C. Chevalley

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Most of the results of the theory of spinors are due to its founder E. Cartan; and, until this year, the only place where they could be found in book form was E. Cartan's own Lecons sur la théorie des spineurs, published in 1938. Strangely enough, the deep and unerring geometric insight which guided Cartan's researches, and places him among the greatest mathematicians of all time, is too often smothered in his books under complicated and seemingly gratuitous computations: witness, for instance, his fantastic definition of spinors (at the beginning of the second volume of the work quoted above) by means of the coefficients of a system of (non-independent) linear equations defining a maximal isotropic subspace! The reason for this is most probably to be found in the fact that E. Cartan's generation did not have at its disposal the geometric language which modern linear algebra has given us, and which now makes it possible to express in a clear and concise way concepts and results which otherwise would remain hopelessly buried under forbidding swarms of matrices.

The remarkably skillful way in which this language is used is cer-

tainly the most conspicuous feature of Chevalley's book. It goes without saying that, as usual in modern algebra, the basic field K of the theory is arbitrary (whereas E. Cartan considered only the real and complex number fields of classical analysis); the specialist will, however, be amazed to see that the author has succeeded in pushing this generality so far that in the greater part of the book, no special treatment is necessary for fields of characteristic 2; and indeed, so great is the author's virtuosity that the non-specialist will need a very thorough reading of the book to realize that this case actually exhibits special features at all.

The first chapter begins with the fundamental properties of the orthogonal groups: as mentioned above, characteristic 2 is included from the start, and all the necessary results are proved in 14 pages, including the best proof of Witt's theorem known to the reviewer, and a new proof of the generation of the orthogonal groups by symmetries (the author extends that name to the orthogonal transvections in the case of characteristic 2; the justification for this is of course that both can be given the same definition and handled in exactly the same way). The second half of the chapter is devoted to the study of the representations of the orthogonal group on the pvectors, their decompositions into simple components and the classification of these with regard to equivalence: this is done not only for the orthogonal group, but also for the subgroup of rotations and the group of commutators; for characteristic 2, only the case p=1 is considered (the representation being no longer completely reducible for  $\phi > 1$ ),

The first part of chapter II gives a complete study of the Clifford algebra of a quadratic form, and can be considered as the first such study in the literature, for all other books on spinors or quadratic forms are in such a hurry to reach their main theme that they are content with giving the Clifford algebra the most cursory treatment, brought down to the minimum number of properties they really need. Chevalley's presentation of the theory is entirely original; the main novelty consists in exhibiting a fundamental connection between the Clifford algebra C and the exterior algebra E of the underlying vector space M (it has long been noticed that the two algebras exhibit very similar features, but this had remained very vague until now). The quadratic form Q(x) being written as  $B_0(x, x)$ , where  $B_0$ is a symmetric bilinear form. Chevalley shows that C, as a vector space, can be identified with E, and that the multiplication in C can be obtained from the multiplication in E and the form  $B_0$  in the following explicit way: it is sufficient to define multiplication on the

In characteristic 2, we have to take  $B_0$  as not symmetric in gerneral [Editor's note].

left by an element  $x \in M$  (since they generate C); the operator  $L'_x$ : s  $\rightarrow xs$  can then be written  $L'_x = L_x + \delta_x$ , where  $L_x$  is the operator of left multiplication by x in E, and  $\delta_x$  the (unique) antiderivation of E such that  $\delta_x \cdot y = B_0(x, y)$  for every  $y \in M$ . This is the cornerstone on which all subsequent developments are based. First the simplicity of C when M has even dimension n = 2r and Q is nondegenerate (and nondefective when the characteristic is 2) is proved by reducing the question to the case in which O has maximal index r, and then exhibiting a faithful representation  $w \rightarrow \rho(w)$  of C onto the ring of vector-space endomorphisms of the exterior algebra built on an isotropic subspace N of M, of maximal dimension r (a method which will acquire fundamental importance in chapters III and IV). From this follow easily the structure of the subalgebra  $C^+$  consisting of elements of even order in C, relations between C and the Clifford algebras of the restrictions of Q to two supplementary orthogonal subspaces of M, the structure of C and  $C^+$  when n is odd, and finally the determination of the radical of C when Q is degenerate or defective.

The classical connection between C and the orthogonal group  $O_n(K, O)$  (O is henceforth taken as nondegenerate and nondefective) is then developed: to avoid trouble with such ill-defined concepts as "many-valued representations," Chevalley starts with the group  $\Gamma$ of invertible elements  $s \in C$  such that  $sMs^{-1} = M$ , and shows that  $x \rightarrow sxs^{-1}$  is a transformation  $\chi(s)$  of the orthogonal group  $O_n$ ,  $\chi$  being a mapping of  $\Gamma$  onto  $O_n$  (with a single exception, when K has 2 elements, n = 4 and the index of Q is 2). To the subgroup  $\Gamma^+$  of even elements of  $\Gamma$  corresponds the group of rotations  $O_n^+$ ; on the other hand, if  $t \rightarrow t^{J}$  is the natural anti-automorphism of C (associating to a product  $x_1x_2 \cdots x_n$  of elements of M the product  $x_px_{p-1} \cdots x_1$  taken in the reverse order) the elements  $s \in \Gamma^+$  have a "norm"  $\lambda(s) = ss^J$  in K, and those having norm 1 form a normal subgroup  $\Gamma_0^+$  of  $\Gamma^+$ , which is mapped onto a normal subgroup  $O'_n$  of  $O'_n$ , containing the commutator subgroup  $\Omega_n$  of  $O_n$ . Following Eichler, it is proved that for forms Q of index  $\nu > 0$ ,  $O'_n = \Omega_n$  and  $O'_n / \Omega_n$  is isomorphic to the multiplicative group of elements of K, modulo the squares in K.

Spinors are next introduced, as forming a space in which (for n even) acts a simple representation  $\rho$  of C; the restriction  $\rho^+$  of  $\rho$  to  $C^+$  is either simple or splits into two simple nonequivalent representations, the *half-spin* representations. Similar definitions are given in the odd-dimensional case, and the following sections study the restriction of the spin representation of C to the Clifford algebra of the restriction of Q to a non-isotropic subspace, and its extension when

the base field K is extended. The chapter ends with a study of the classical case of quadratic forms over the real field (with special emphasis on the relationship between the Clifford algebra and the Lie algebra of the orthogonal group), and with a very elegant proof of Hurwitz's theorem on quadratic forms "permitting composition," using the simplicity of the Clifford algebra.

Chapters III and IV are restricted to the special case of quadratic forms of maximal index [n/2]; no attempt is made to extend the results obtained in that case (in particular, the principle of triality) to more general ones, and this is probably the only part of E. Cartan's theory which is not covered by the book. Chapter III begins with the exposition of the theory of pure spinors, one of the most beautiful discoveries of E. Cartan, which unfortunately also constitutes one of the most obscure parts of his book. Here everything is neatly cleared up by Chevalley; the dimension n = 2r being even, the space M is decomposed into a direct sum of two totally isotropic subspaces Nand P, and the space S of spinors is identified with the subalgebra  $C^N$  of C generated by N (and isomorphic to the exterior algebra of N); if f is an r-vector representing P,  $Cf = C^N f$  is a minimal ideal, and the spin representation  $\rho$  is defined by  $vuf = (\rho(v) \cdot u)f$  for  $u \in C^N = S$ ,  $v \in C$ . Now for every maximal isotropic subspace Z, let  $f_z$  be the product in C of the elements of a base of Z:  $f_Z C$  is a minimal right ideal of C. and its intersection with Cf is a 1-dimensional vector subspace; any element of that space can be written  $u_z f$  where  $u_z$  is a spinor well determined up to a scalar factor, and these spinors are the pure spinors associated to Z. Such a spinor entirely determines Z, as the set of vectors x such that  $\rho(x) \cdot u_z = 0$ , and conversely this condition is characteristic for the pure spinors associated to Z. Pure spinors play for maximal isotropic subspaces a part similar to the one which decomposable p-vectors play for p-dimensional vector spaces in exterior algebra. Their study is developed in great detail: they are always half-spinors, and the two families of pure half-spinors correspond to the two intransitivity classes of maximal isotropic spaces under the group of rotations; a sum u + u' of two pure spinors is pure if and only if the intersection of their corresponding subspaces has dimension r-2. An interesting feature, which is an original contribution of the author, is an expression of the elements  $s \in \Gamma$  such that  $\chi(s)$  leaves all elements of N invariant; s can be written uniquely in the form exp (u), where  $u = \sum_{i \le i} a_{ij} x_i x_j$  is a 2-vector in N (the  $x_i$ 's being a base of N), and exp  $(u) = \prod_{i < j} (1 + a_{ij}x_ix_j)$  by definition. Using this, the author can show that a pure spinor corresponding to a maximal isotropic subspace Z can be written  $\exp(u)x_1x_2 \cdots x_h$ , where the  $x_i$ 's form a base for  $Z \cap N$ .

Next there is introduced, after Cartan, the bilinear invariant  $\beta(u, v)$  on  $S \times S$ , as being the scalar such that  $(uf)^{J}vf = \beta(u, v)f$ ; its invariance is expressed by the equation

$$\beta(\rho(s) \cdot u, \rho(s) \cdot v) = \lambda(s)\beta(u, v)$$

for any  $s \in \Gamma$ . It is shown that  $\beta$  is a nondegenerate bilinear form, which is either symmetric or antisymmetric according to the parity of r(r-1)/2; and  $\beta(u, v) = 0$  for pure spinors u, v is the condition for their corresponding subspaces to have an intersection not reduced to 0.

The following sections are devoted to the study of the tensor product of the spin representation  $\rho$  by itself. First the tensor product  $S \otimes S$  of the space S by itself can be identified to C, by the linear mapping  $\phi(u \otimes v) = u f v^J$ , and this immediately shows that the tensor product  $\rho \otimes \rho$  can be identified with the representation which, to each  $s \in \Gamma$ , assigns the endomorphism  $w \rightarrow \lambda(s) sws^{-1}$  of the vector space C. The most complete results are obtained in the case of characteristic  $\neq 2$ ; then one can choose the form  $B_0$  in an intrinsic way, as B(x, y) $=\frac{1}{2}(Q(x+y)-Q(x)-Q(y))$ , and it can be shown that with this particular identification of C to the exterior algebra E, any automorphism of C is also an automorphism of E. In particular, an inner automorphism of C leaves invariant the subspaces of p-vectors when it leaves M invariant, i.e. when it is determined by an element  $s \in \Gamma$ . This gives immediately the decomposition of  $\rho \otimes \rho$  in a direct sum of representations in the spaces of multivectors, studied in chapter I. To this decomposition corresponds a decomposition of  $ufv^J$ , for u and v in S, into the sum  $\sum_{h=0}^{n} \beta_{h}(u, v)$ , where  $\beta_{h}$  is a bilinear mapping of  $S \times S$  into the space  $E_h$  of h-vectors, which is covariant under the representation  $\rho \otimes \rho$ . The study of these mappings enables one to describe completely the decomposition of the representation  $\rho \otimes \rho$ when restricted to the group  $\Gamma^+$ ; they also yield a characterization of pure spinors, and a criterion giving the dimension of the intersection  $Z \cap Z'$  of two maximal isotropic subspaces, in terms of the corresponding pure spinors.

The remaining sections of chapter III are taken up by the relations between the half-spin representations of  $\Gamma^+$  and their restrictions to the subgroup leaving invariant the elements of a nonisotropic plane, the determination of the kernels of the half-spin representations, the extension of the theory to odd dimensional spaces (by imbedding the space as a hyperplane in an even-dimensional space), and finally an application of spinor theory to get the classical description of the orthogonal group in 6 variables when the index is 3 (as isomorphic to a linear group in 4 variables): this does not seem to the reviewer to bring any information which may not be obtained in a quicker and more natural way by the classical method.

Chapter IV develops the famous "principle of triality." The dimension being 2r = 8, and the index equal to 4, the spaces  $S_n$ ,  $S_i$  of half-spinors have the same dimension 8 as M. Following Cartan, the direct sum  $A = M + S_p + S_i$  is considered, and on it are defined: 1° a symmetric bilinear form  $\Lambda(x+v, x'+v') = B(x, x') + \beta(v, v')$  for x, x' in M, v, v' in S; 2° a trilinear symmetric form  $\phi(\xi, \eta, \zeta)$  such that  $\phi(x, u, u') = \beta(\rho(x) \cdot u, u')$  for  $x \in M, u \in S_p, u' \in S_i$ . From these one defines a (non-associative, but commutative) multiplication  $\xi \circ \eta$  in A, by the condition  $\phi(\xi, \eta, \zeta) = \Lambda(\xi \circ \eta, \zeta)$ . All these definitions are invariant under the group  $\Gamma_0$  (subgroup of the  $s \in \Gamma$  such that  $\lambda(s)$  $=ss^{J}=1$ ) and conversely any automorphism of A which leaves invariant each of the subspaces M, S is produced by an element of  $\Gamma_0$ . But in addition, there is an automorphism j of A, of order 3, which permutes M,  $S_p$ , and  $S_i$  cyclically, and the existence of such an automorphism constitutes the principle of triality; it can be shown that for  $x \in M$ , j(x) is of the form  $u_1 \circ x \in S_i$  and  $j^{-1}(x) = u'_1 \circ x \in S_p$ , where  $u_1$  is a fixed semi-spinor in  $S_p$  and  $u'_1$  a fixed semi-spinor in  $S_i$ . Beautiful geometric interpretations of the multiplication  $\xi \circ \eta$  and of the automorphism j can be given when they act on pure spinors. Finally, the mapping  $(x, y) \rightarrow x * y = (x \circ u_1') \circ (y \circ u_1)$  defines a nonassociative multiplication in M itself, which is shown to be that of the Cayley-Dickson algebra of octonions; on the other hand, j defines in a natural way an automorphism i of the commutator subgroup  $\Omega_8$ , and the subgroup of  $\Omega_8$  consisting of the invariant elements under that automorphism constitutes the group of automorphisms of the algebra of octonions. At this point the stage is set for the geometric study of the exceptional Lie groups, in which the author has recently made such remarkable progress (in work unfortunately still partly unpublished); and it is to be hoped that in the near future, taking up the task where he breaks it off here, he will lead us into this fascinating new geometry and thus add to the thanks he has deserved from all mathematicians for the splendid job he has done in this volume.

The proofreading has not been too careful, and a list of corrections would be welcome, as also an index of notations.\*

<sup>\*</sup> These defects have been corrected as far as possible in the present edition. [Editor's note].

# Postface

## **SPINORS IN 1995**

Since its appearance in the series of the Bicentennial of Columbia University, *The Algebraic Theory of Spinors* by Claude Chevalley has been a much sought after reference book. Three concurrent reasons contribute to this fact. Firstly, this book is no exception in Claude Chevalley's work. It presents the whole story of one subject in a concise and especially clear manner. Secondly, until recently, very few comprehensive and mathematically oriented books have appeared on this subject. Finally, the use of spinors has been spreading ever since. To give an idea of this blossoming, the number of articles containing the word spinor in their titles went from about 4 a year at the time when the book came out to 70 a year in the 80's and to 120 in 1993\*. It is this last aspect, namely the reasons for the considerable growth in the number of people interested in spinors, that will concern us most in this postface, and justify its somewhat unusual length.

Among mathematicians, the widening interest in spinors came along with the cross-fertilization of subfields, which is one of the main characteristics of the evolution of Mathematics in the 80's. Algebra, Geometry, Topology, and Analysis are all subtly interwoven in the new developments involving spinors. Many of the mathematical facts feeding this growth were known much earlier, but it took the very powerful push from ideas and conjectures originating in Theoretical Physics to foster the formidable development we now witness. Nevertheless, spinors remain somewhat mysterious, as is the effectiveness of ideas borrowed from Quantum Physics to provide insights into geometric, topological or even number-theoretic problems. We have not yet reached the age of "Spinormania", but Roger Penrose (cf. [9]) has seriously advocated that everything should be thought about in terms of spinors. (It is true that he was focusing his attention on questions connected to General Relativity.) Up to now, such a systematic rethinking has not yet been carried out. In this

<sup>\*</sup> These figures are derived from the notices of articles covered by the Zentralblatt für Mathematik.
direction however, one can note that Alain Connes places spinors at the base of his construction of a non-commutative Riemannian Geometry.

Chevalley's style is as dry and systematic as possible, a style taught with great success by his colleague Nicolas Bourbaki at the time of the first edition. In his book, there is no hint about History, nor comments about relevance to Physics. One does encounter the expression "physical theory" in the introduction but this is just to give the author an opportunity modestly to excuse himself for "the complete absence of any physical application" due to "lack of competence". In this postface, we will depart from this attitude. In so doing, we are knowingly turning our back on the Bourbaki era. It was indeed one of the great moments of mathematical thinking, but nevertheless its message has aged, and new stimulations for a better and deeper understanding of mathematical facts come from many directions. We hope to be forgiven for this act of "lèse-majesté" in postfacing a book by one of the founders of the group.

In the following sections, we would like to suggest some of the routes in the mathematical territory along which, today, one does meet spinors, or their substitutes. On reflection, it did not seem completely inappropriate to mark the itinerary with milestones borrowed from the vocabulary of Algebra, Topology, Geometry, Analysis and, to close, Supergeometry.

### 1. The Algebraic Landscape

The algebraic side of the theory of spinors has been clearly established since the work of Elie Cartan, completed by that of Richard Brauer and Hermann Weyl. The main advantage of the latters' presentation over Cartan's is the use of Clifford algebras over vector spaces endowed with non-degenerate symmetric bilinear forms (which we most of the time take to be Euclidean). That these algebras should be considered on a par with exterior or symmetric algebras is precisely the point of view adopted by Chevalley in the lectures given in Japan in 1955 and reproduced in this new edition.

One of the main recent developments in Algebra comes from the pervasive use of  $\mathbb{Z}_2$ -graded algebras, i.e. algebras A that can be decomposed into a direct sum  $A = A_+ \oplus A_-$ , where  $A_+$  is called the *even* part of A and  $A_-$  the odd part. These factors are assumed to satisfy the graded subalgebra properties  $A_+A_+ \subset A_+$ ,  $A_-A_+ \subset A_-$ ,  $A_+A_- \subset A_-$ , and  $A_-A_- \subset A_+$ . Clifford algebras are typical examples of such algebras. Nowadays, these algebras are more often called *superalgebras*. This shift in terminology will be carried much further in Section 5, where we will have a glimpse of supergeometry. Interest in not necessarily commutative  $\mathbb{Z}_2$ -graded algebras developed in the 70's, in particular after the work of Irving Kaplansky and Victor Kac, who extended to  $\mathbb{Z}_2$ -graded algebras the classification of finite-dimensional simple Lie algebras due to Elie Cartan. Among these new objects one does find finite-dimensional analogs of Cartan's ungraded infinite-dimensional simple Lie algebras. The representation theory of  $\mathbb{Z}_2$ -graded algebras was developed by Bertram Kostant shortly afterwards. Of course, it involves the action of  $\mathbb{Z}_2$ -graded algebras on  $\mathbb{Z}_2$ -graded modules, i.e. vector spaces E that decompose as  $E = E_+ \oplus E_-$ , and where the even elements of the algebra preserve the factors and the odd ones exchange them. In even dimension, the space of spinors is a typical exemple of a  $\mathbb{Z}_2$ -graded vector space. An operator of great importance in this context is the graded identity, which is the identity operator I on  $E_+$  and -I on  $E_-$ . Multiples of it in the complex setting are called *chirality operators* by physicists.

As Chevalley discusses in Chapter III of his book, the tensor product  $\Sigma V \otimes \Sigma V$  of the spinor space  $\Sigma V$  constructed over an *n*-dimensional vector space V gives back the vector space underlying the exterior algebra  $\Lambda V$ , i.e. the direct sum of the spaces of exterior k-vectors  $\Lambda^k V$ . (In this Euclidean context, vectors are often identified with forms, using the duality the metric defines, and as a result exterior k-vectors with exterior k-forms.) In particular this means that a vector, i.e. a mere element of  $\Lambda^1 V = V$ , can be built from spinors. Or, the other way around, it suggests an interpretation of spinors as "square roots of vectors, and more generally of exterior products of vectors". The idea that, when dealing with spinors and notions connected with them, one is taking a square root of more traditional objects will appear repeatedly in this postface.

Roger Penrose has taken the standpoint of rebuilding basic constituents of space-time from spinors in order to acquire a new vision of physical space. Spinors built on a 4-dimensional vector space are especially appropriate in Penrose's eyes because they are intimately related to complex structures of the underlying vector space. In higher dimensions, one has to restrict one's attention to the so-called *pure* spinors, already introduced by Elie Cartan, which form a subvariety of the space of spinors. When dim V = 4, the space of spinors  $\Sigma V$  is also 4-dimensional (over C since, for the sake of simplicity, we only consider complex spinors here). This very dimension is also the only one in which the spin group Spin<sub>4</sub> (for a Euclidean metric) is non-simple, and even a product (of two copies of  $SU_2$ ). Hence, the chiral decomposition  $\Sigma V =$  $\Sigma_+ V \oplus \Sigma_- V$  into spaces of half-spinors, which occurs in any even dimension, takes a specific form. Indeed, each factor  $SU_2$  of the group  $Spin_4$  acts only on one kind of spinor, hence the name *left* and *right* spinors given respectively to elements of  $\Sigma_+ V$  and  $\Sigma_- V$ . Complex structures on V inducing a given orientation can be identified with the projective space of half-spinors  $P\Sigma_{\pm}V$ . This being said, the spinorial description of all basic geometric objects goes as follows. (Complexified) vectors are elements of  $\Sigma_+ V \otimes \Sigma_- V$ . The space of exterior 2-forms  $\Lambda V^*$  (which we identify with the space  $\Lambda V$  of 2-vectors) is usually identified with the Lie algebra of the orthogonal group built on V. It decomposes as a direct sum of two ideals corresponding to the factors of the Lie algebra of  $\text{Spin}_4$ . The two factors in this decomposition are called respectively the space  $\Lambda_+ V$  of self-dual forms and the space  $\Lambda_- V$  of anti-selfdual ones. One then has  $\Lambda_{\pm} V \otimes \mathbf{C} = S^2 \Sigma_{\pm} V$ . One can go on building the usual tensorial objects from spinors. Let us just mention two more examples. The space  $S_0^2 V$  of traceless symmetric 2-tensors is a space of 4-spinors since  $S_0^2 V \otimes \mathbf{C} = S^2 \Sigma_+ V \otimes S^2 \Sigma_- V$ . The other point we make has to do with 3/2spinors, objects often not considered by mathematicians, and holds true no matter what the dimension n of V. Spin  $\frac{3}{2}$  spinors are elements of the space  $\Sigma_{3/2}V \subset \Sigma V \otimes V$  lying orthogonally to the image of  $\Sigma V$  in  $\Sigma V \otimes V$  by the map taking a spinor  $\psi$  to  $\sum_{i=1}^{n} e_i \psi \otimes e_i$  where  $(e_i)$  denotes an orthonormal basis for the metric g, a trivial suspension. The graviton, which is supposed to be the exchange particle for the gravitational interaction, has spin 2, and the gravitino, which is its companion, has a wave function that takes its values in  $\Sigma_{3/2}V$ , hence has spin  $\frac{3}{2}$ . Since the challenge of unifying Quantum Mechanics with General Relativity is still with us, the interest of physicists in such spaces can hardly be overemphasized. Note though that the difficulty of this unification was identified at an early stage in the development of these two theories.

Penrose has also been one of the main advocates of the study of *twistors*. objects which at a point in space-time encode a complex structure. His starting point was that the formulation of Quantum Mechanics requires the use of complex numbers to make sense of amplitudes of wave functions. Since there is a priori no natural complex structure on space-time, the radical solution is to consider all of them at once, and to try and formulate all traditional laws in terms of that enlarged space. As we mentioned earlier, this can be thought of as working in the projective space of the space of spinors. (For this part of the discussion, we assumed that dim V = 4.)

Another major development has taken place since the appearance of Chevalley's book, namely the drive for each mathematical concept to give the proper definition that carries over to infinite dimension. A number of geometrical and analytical tools have indeed been extended successfully to infinite-dimensional spaces. In this movement, some new phenomena were discovered. As an example pertaining to our discussion, N.H. Kuiper proved that the general linear group of a Hilbert space is contractible. Thus we are forced to start from another definition of the spin representations as was done by Andrew Pressley and Graeme Segal. The main new idea is to obtain the space of spinors as holomorphic sections of a line bundle over the space of complex structures. This line bundle is a square root of the restriction to that space of the determinant bundle on the Grassmannian. This leads us naturally to think of spinors in more geometric terms. We develop this point of view in the next section.

### 2. The Topological Side

We begin our discussion by using only Clifford algebras. Since the appearance of Chevalley's book, Clifford algebras have been recognized to play an important role in various fundamental constructions in Topology. One typical instance is the famous vector field problem on spheres, i.e. the determination of the number of everywhere linearly independent vector fields that can be defined on the sphere. This of course depends on the dimension, but the problem has close ties with the structure of the Clifford algebra of the real vector space in which the sphere naturally lives (cf. [6]).

The periodicity of Clifford algebras is also directly connected with basic properties of K-theory, a homological theory which has developed in many different contexts, with emphasis on algebra or on topology.

As we have seen, spinors make sense only over a vector space V endowed with a non-degenerate bilinear form q. In the sequel, we will restrict ourselves to the case where q is a (Euclidean) scalar product. It is therefore a natural challenge to try and carry over the notion of spinor to Riemannian manifolds. In his book [4] published in 1937, Elie Cartan states a fact, as the last theorem, in a way that probably led to misinterpretation. We quote here the English translation : "With the geometric sense we have given to the word "spinor" it is impossible to introduce fields of spinors into the classical Riemannian technique". He immediately goes on to explain what he means by that, namely: "Having chosen an arbitrary system of coordinates for the space, it is impossible to represent a spinor by any finite number N whatsoever of components...". This is just a reformulation of the fact that, in general coordinate systems, spinor representations do not make sense as finitedimensional representations. This is because all finite-dimensional representations of the universal (double) cover of the general linear group  $\operatorname{GL}_n(\mathbf{R})$ do factorize through the general linear group  $\operatorname{GL}_n(\mathbf{R})$  itself. One sees the exotic candidate spin representations only if one allows infinite-dimensional representations, e.g., the direct sum of all traditional spin representations. To make sense of the usual spinors, one has to work in a moving orthonormal frame (called a vierbein in 4 dimensions by physicists, and a vielbein in arbitrary dimension).

Elie Cartan then connects this point with works of L. Infeld and van der Waerden. In view of the difficulty of making sense of spinors in arbitrary coordinate systems, they propose to consider spinors defined over *external* variables and not connected to the metric on space-time. This is very much in the spirit of what is later to be called *gauge theory*.

As has now become standard, fixing a Riemannian metric g over an ndimensional manifold M is the same as reducing the structure group of the tangent bundle  $\pi_M : TM \longrightarrow M$  from  $\operatorname{GL}_n$  to  $O_n$ . In fact since we have spoken mainly of the group  $\text{Spin}_n$ , we will assume that M is oriented, so that a further reduction is possible to  $SO_n$ . The reduction process is even better seen by considering the SO<sub>n</sub>-principal bundle  $SO_{q}M \longrightarrow M$  of g-orthonormal and positive frames. In order to be able to speak globally of the notion of spinor on M, it is necessary to dispose over M of a Spin<sub>n</sub>-principal bundle P which double covers  $SO_qM$  in such a way that over each point of the base manifold M the fiber of P isomorphic to  $\text{Spin}_n$  double covers the fiber of  $SO_{q}M$ , isomorphic to  $SO_{n}$ . Thanks to the cohomology sequence associated to the exact sequence of groups expressing this double cover, it is possible to express what has to be achieved in cohomological (hence topological) terms. The global construction cannot be carried out on an arbitrary manifold M. For that, M has to satisfy the topological condition that its second Stiefel-Whitney class  $w_2(M) \in H^2(M, \mathbb{Z}_2)$  vanishes. Differentiable manifolds satisfying this topological condition, i.e. manifolds over which the notion of spinor makes sense globally, are called *spin* manifolds. Since *n*-spheres are (n-1)-connected, spinors make sense globally over them. Typical examples of non-spin manifolds are the complex projective spaces of even complex dimension.

The vanishing of the second Stiefel-Whitney class can be shown to be equivalent to the orientability of the loop space of M. This statement, which seems a priori of little use, is connected with several interesting situations frequently met in Theoretical Physics. In a sense, one can say that spin manifolds form a family of manifolds which have to be simpler since, over them, one has the possibility of globally defining various kinds of square roots. They enjoy very striking integrality properties, To name one, the Rokhlin divisibility property says that the signature of a compact 4-dimensional spin manifold is divisible by 16. We will see in Section 3 how this fact can be recovered using spinor fields.

The notion of a spin manifold leads naturally to that of *spin cobordism*, i.e. the equivalence relation between spin manifolds, which declares equivalent two manifolds that form two components of the boundary of a spin manifold, with the proper spin structure induced on the boundary. Using the connected sum and the product as operations, spin cobordism classes can be made into a ring, which contains among its generators interesting manifolds such as K3 surfaces. It is directly connected with the characteristic KO-numbers of the space reduced to a point, the set of which exhibits an eight-fold periodicity. Up to a shift of the degree by one, the KO-groups agree with the stable homotopy groups of the orthogonal group, thus connecting with the famous Bott Periodicity Theorem. Thanks to the Index Theorem, KO-numbers can be interpreted as extended indices for the Dirac operator acting on spinors, as we shall explain in the next section.

### 3. Spinors and Dirac Operators in Global Analysis

The main new development in this direction occurred with the introduction by Michael Atiyah and Isadore M. Singer of Dirac operators on general spin manifolds. This happened in the late 50's when they were completing the proof of the Index Theorem. The path had been opened by Paul Adrien Maurice Dirac. Indeed, in 1928, looking for a relativistically invariant wave operator analogous to the Schrödinger operator, he defined a square root of the classical second-order wave operator (usually called the d'Alembertian) over Minkowski space-time  $\mathbf{R}^4$ , by allowing the coefficients of the operator to be matrices. One easily sees that the matrices associated with the differential monomials, or in more sophisticated jargon the principal symbol, of the Dirac operator have to satisfy the defining relations of a Clifford algebra. Therefore, the wave functions on which the Dirac operator acts live at each point in a module over this algebra, i.e. can be described in terms of the fundamental representations of this algebra, therefore are spinor fields. This is an interesting instance where the symbolic calculus of differential operators connects in a typical instance to nontrivial algebra.

Atiyah and Singer were interested in *elliptic* operators acting on sections of vector bundles over compact manifolds. Therefore their principal symbols involve positive definite metrics. Thanks to the geometric developments presented at the beginning of the preceding section, they circumvented the objection that could be drawn erroneously from Elie Cartan's statement there quoted. They went ahead, and defined the Dirac operator  $\mathcal{D}$  on general Riemannian spin manifolds using the Levi-Civita covariant derivative D associated to the Riemannian metric g extended to spinor fields as follows: if  $\psi$  is a spinor field,  $(e_i)$  an orthonormal basis, and . denotes Clifford multiplication, then

$$\mathcal{D}\psi = \sum_{i=1}^n e_i . D_{e_i}\psi$$
.

In this connection,  $\mathcal{D}$  is up to a zero-th order operator a square root of the operator  $D^*D$  which is of Laplace-Beltrami type. The Dirac operator is not just any operator since the symbolic calculus goes further, as we now explain.

Index theorems for elliptic operators on compact manifolds relate their analytic index ( $Ind_{Anal}$ ) to their geometric index ( $Ind_{Geom}$ ). By definition, for an operator P,  $Ind_{Anal}P = \dim \ker P - \dim \ker P^*$  (where  $P^*$  is the adjoint of the operator P), hence the index is by definition an integer, whereas  $Ind_{Geom}P$  is a topological expression determined by the principal symbol of P. Here, chirality plays an important role since on the full space of spinor fields the Dirac operator is self-adjoint, hence has a vanishing index by definition. In even dimension, the operator of interest is the restriction of the Dirac operator to spinors of a given chirality, its adjoint being the restriction of the Dirac operator to spinors of opposite chirality.

A further general geometric construction is also of great importance here. One can twist the bundle of spinors by an auxiliary bundle, and using an auxiliary connection define a twisted Dirac operator. In some cases, this construction has the advantage of freeing one from the topological condition needed to have a globally defined spin structure. The key property is then that principal symbols of twisted Dirac operators generate all elliptic symbols up to homotopy. This means that, provided its validity for one operator P extends to operators homotopic to P through elliptic operators, the index theorem is established as soon as one proves it for (twisted) Dirac operators. This brings the heart of the matter back to a very geometric discussion. A very important example of this situation is given by the fundamental geometric operator  $d + \delta$  defined on the space  $\Omega M$  of exterior differential forms. (Here,  $\delta$  denotes the *codifferential*, namely the adjoint of d.) Again, the key point is to view  $\Omega M$  as a superalgebra. There are two geometrically natural ways of doing this, namely by using the  $\mathbf{Z}_2$ -gradation inherited from the gradation by degree (the index is then the *Euler characteristic*  $\chi(M)$  of M), or by using here also the chirality operator defined by the Hodge map \*, mapping k-forms to (n-k)-forms on an *n*-dimensional Riemannian manifold (the index is then the signature  $\sigma(M)$  of M).

In spite of the fantastic success story of the Dirac operator, showing that it goes deeply into the structure of the manifold on which it is defined, it is to be noted that the theory of first-order operator systems, by opposition to scalar operators, is not yet considered with great interest by analysts. In this direction, it is quite remarkable that one of the first versions of the theory of pseudo-differential operators was derived by William K. Allard, using the calculus of Clifford algebras, and did not get much attention.

More important for our later developments is the fact that the most recent versions of the index theorem are based on asymptotics for the heat kernel for Dirac operators (cf. [1]). This of course involves a precise knowledge of the interplay between the Dirac operator and Riemannian Geometry because the formulas needed can only be obtained after taking into account the extent to which the space deviates from flat Euclidean space, i.e. by mastering the curvature tensor and its derivatives. Seminal work in this direction was done by P. Gilkey and V.K. Patodi, but the vision that such developments could be possible should probably be attributed to I.M. Singer. The link with the topological side of the index formula then comes from the Chern-Weil theory of characteristic classes, i.e. by expressing characteristic numbers as integrals of polynomials in the curvature, a generalization of the Gauß-Bonnet formula.

### 4. Classical Geometric Developments

In the same period, spinors have started to make their way up to the top of the geometer's checklist. Because a metric is needed to make sense of them, it is not surprising that they made their first noted appearance in the context of Riemannian Geometry in relation with the Dirac operator. Generalizing Dirac's fundamental computation, André Lichnerowicz established a formula relating the square of the Dirac operator to a rough Laplacian, namely

$$\mathcal{D}^2 = D^*D + \frac{1}{4}\operatorname{Scal}\,.$$

Here, D denotes the Levi-Civita derivative associated with the metric,  $D^*$  its formal adjoint (so that  $D^*D$  is a non-negative differential operator when the metric g is positive definite), and Scal the scalar curvature of g.

On a compact manifold, this formula precludes the coexistence of a harmonic spinor and of a metric with positive scalar curvature. When the topology of the manifold M forces the existence of harmonic spinors (via the Index Theorem), e.g., when M is 4k-dimensional with non-vanishing  $\hat{A}$ -genus  $\hat{A}(M)$ , then M admits no metric with positive curvature. (A thorough study of harmonic spinors had been conducted earlier by Nigel Hitchin in [5], quite an influential article.)

This fact opens the way to systematic methods deeply linking the vanishing of Spin-cobordism invariants and the existence of metrics with positive scalar curvature. The bridge was built by Misha Gromov and H. Blaine Lawson who observed that above dimension 5 the existence of metrics with positive scalar curvature depends only on the Spin-cobordism class of the manifold (and that simply connected non-spin manifolds all have such metrics).

This family of results can be considered as the birth of a Spin Geometry, i.e. the collection of geometric phenomena that can be detected by spinors and that are not detected by other means. A lot remains to be done in this direction. We give three more examples of what light the use of spinors can shed in geometric situations.

First, we come back to Rokhlin's theorem, i.e. the divisibility by 16 of the signature of a compact 4-dimensional spin manifold. To prove it using the index theorem, one needs one piece of refined algebraic information on spinors. Indeed, a direct application of the index theorem gives that the signature is divisible by 8 since in dimension 4 the signature is an eightfold of the  $\hat{A}$ -genus which is the index of the Dirac operator, hence an integer. Now, coming back to the definition of the index as the difference of dimensions of the spaces of positive and negative harmonic spinors, and using the extra piece of spinorial information that the space of harmonic spinors is naturally symplectic in that dimension, hence is an even-dimensional vector space, one gets that the signature is divisible by 16.

Another application is the proof of the Positive Mass Conjecture in General Relativity by the method suggested by Edward Witten (cf. [10]). It goes as follows: he constructs a special spinor field (in fact, a harmonic field for a modified Dirac operator with special behaviour at infinity) on a spacelike hypersurface whose energy is the mass.

The last one appeared only in 1994, and has taken the name of Seiberg and Witten. Over a compact 4-dimensional manifold, they introduced a system of coupled non-linear equations linking a connection on a line bundle and a line-bundle valued spinor field. The moduli space of solutions of this system turns out to reveal a lot about the differential structure of the manifold, as did Donaldson's theory of antiself-dual SU<sub>2</sub>-connections. The main advantage of the Seiberg-Witten approach over Donaldson's is that the moduli space is compact. This makes the analysis a lot simpler. Using this system of equations, the study of symplectic structures on 4-dimensional manifolds can also be carried much further, regarding both their existence and the role that they play in 4-dimensional differential topology as proved very recently by Clifford Taubes. Many other insights into the internal structure of differential 4-manifolds are expected to emerge from this point of view which involves spinors in a crucial (although totally non-elucidated) way. Note that the constructions in Seiberg-Witten theory rely only on the use of a more malleable structure than a spin structure, called a Spin<sub>c</sub>-structure. This introduces the possibility of twisting by a line bundle to circumvent in many cases the obstruction coming from the Stiefel-Whitney class. In particular this allows us to draw conclusions on all 4-dimensional manifolds.

These (still scarce) examples suggest that a Spin Geometry really exists. So far only isolated islands of this Geometry have been discovered. The whole story may only be accessible by making a big jump into more abstract mathematics. One such jump is advocated by Alain Connes who, for some time, has been systematically developing a Non-Commutative Differential Geometry. In his approach, the notion of a non-commutative Riemannian Metric comes out of the consideration of a fundamental operator of Dirac type, that he calls a K-cycle. Fascinating connections with the standard model of Elementary Particle Physics are emerging.

An even more grandiose picture may eventually emerge, as theoretical physicists have been claiming for a few years. This is the now long story of the quest for supersymmetries, hence the quest for a Supergeometry.

#### 5. Supergeometry

Bewildered by the small number of families of particles, physicists have tried to get an explanation by considering theories that would contain symmetries of a new kind, namely transformations that would map bosons to fermions, and conversely. These particles are represented by wave functions which are respectively of a standard type or of spinorial type. This led to the systematic study of algebras mixing commuting and anticommuting variables.

Because some of the findings of physicists went even further, and shed new light on some number-theoretic identities by considering some quantumtheoretic expansions, this suggested a grander picture, as presented by Yuri Manin in [7] and [8]. Instead of taking as model the traditional linear space  $\mathbf{R}^n$  with the polynomial coordinate ring  $\mathbf{R}[x^1, \dots, x^n]$  and the usual differential calculus, one tries to define a new enlarged geometry. Supergeometry is the study of spaces associated, via the now classical Gelfand correspondence between algebras and their spectra, with the ring  $\mathbf{Z}[x^1, \dots, x^m]$ ;  $\xi^1, \dots, \xi^n$ . The coordinates  $(\xi^i)$  are variables of a new type which anticommute between themselves (hence the adjective odd attached to them) and commute with the classical coordinates  $(x^i)$  (called *even*). The arithmetic side is contained in the use of the ring of integers. More elaborate rings having an arithmetical content can also be considered. A lot of new constructions have to be performed in order to deal with these new spaces. One can define a generalized calculus, and also a new notion of determinant as introduced by Berezin (cf. [2]). The crucial point is to take all dimensions on an equal footing. This has led in particular to a new approach to the study of diophantine equations, often called *arithmetic geometry* and linked to the name of Arakelov. One has to go further than a mere differential calculus, and more elaborate structures have to be taken into consideration, opening new research directions in Riemannian Geometry for example.

Many interesting clues about generalized index theorems have come from the scheme that would be implied by the existence of a Dirac operator on loop spaces (cf. [11]). No consistent mathematical definition of it has been given so far, but Witten, Quillen and Jean-Michel Bismut have drawn from these considerations vast generalizations of the original Index Theorems. (Many quotes could be made in this connection, we just name [3].) An important new concept, directly related to these considerations of even and odd variables hence to Supergeometry, is the enlargement of the traditional notion of connection to superconnections, a major step taken initially by Quillen. This is an extremely active area of research with deep links to Complex Analysis, Number Theory, and also to the many facets of Quantum Field Theories.

### 6. Conclusion

Few subjects justify better than the Theory of Spinors the following excerpt from the General Editor's preface to the first edition of Chevalley's book, as one of the volumes of the Columbia Bicentennial Editions and Studies: "Scholarship exemplifies the meaning of free activity, and seeks no other justification than the value of its fruits".

Indeed, the first appearance of spinors is due to the systematism of a mathematician, pursuing the tedious work of classifying all facets of a welldefined mathematical notion. Hence spinors appear as odd-looking objects in a dark corner. Later, they were brought frontstage by their use in developing a very speculative physical theory, the spinning electron of Dirac.

But it was only after the first publication of Chevalley's book that spinors started to play such a crucial role in different areas of Mathematics. Their position now is at one of the most active frontiers of Mathematics, at the crossroads of three of its most lively branches, Geometry, Topology and Analysis.

*"Fundamental concepts are rare"* as Shiing Shen Chern likes to say, hence they are likely to have many faces. Spinors have many faces.

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# Symbol Index

### First part:

□, 24  $(-1)^{\nu}, (-1)^{\nu\nu'}, 12$  $C, C_M$  (Clifford algebras), 36, 38 d (boundary), 63 [D, D'] (commutator), 17, 46  $\det s, 58$  $\dot{+}, \dot{-}, \alpha \cdot x, 21$  $E, E_U$  (exterior algebras), 37, 61  $E^{s}, E_{+}^{s}, E_{-}^{s}, 11$  $E_{+}, E_{-}, 8$  $E_{\gamma}$  (component of E), 7  $\mathfrak{F}(M), d_{\varphi}, 46$  $L_x, 14$  $\varepsilon(x), 32$  $\Gamma$  (group of degrees), 8  $\theta$  (zero element of  $\Gamma$ ), 8  $J, J^{\nu}, J^{\nu\nu'}, 12$  $\exp u$  (u in an exterior algebra), 56  $\varphi' \circ \varphi, 6$  $P_{\Gamma}$  (Pfaffian), 57  $T(M), T_h(M), 28$  $s^{t}s, \hat{s}, 59$  $Tr \varphi, 47$  $\overline{u}$  (main involution), 44  $x \otimes y, \ M \otimes N, \ M_1 \otimes \cdots \otimes M_h, \ 26$  $y_{\sigma}, 5$ 

### Second part:

#### Preliminaries

 $\begin{aligned} & \delta_g, \ 71 \\ & \rho \cong \rho_1 + \dots + \rho_h, \ 69 \\ & E, \ E_h, \ 70 \\ & M^*, \ E^*, \ E^*_h, \ S_h, \ S^*_h, \ 71 \end{aligned}$ 

#### Chapter 1

B associated bilinear form, 75 m dimension of M, 76 M vector space over a field K, 75 P' conjugate of P, 72 Q quadratic form on M, 75 G orthogonal group of Q, 79  $G^+$ , 87  $G', \zeta'_{h}, \zeta'_{h,i}, \zeta'_{h,e}, 96$   $\zeta_h, \zeta_h^*, 86$   $\zeta_h^+, 88$   $\zeta_{h,e}^+, \zeta_{h,i}^+, 97$  $\chi_A, \xi(A), 88$ 

#### Chapter 2

 $\begin{array}{l} \alpha, \ 102 \\ C, C_+, C_-, J, \ 101 \\ C^N, \ 109 \\ \rho, \ \rho^+, \ \rho_0^+, \ 119 \\ G, \ \Gamma, \ 113 \\ G^+, \ \Gamma^+, \ 115 \\ G_0^+, \ \Gamma_0, \ \Gamma_0^-, \ 116 \\ K, \ 101 \\ M, \ m, \ 101 \\ Q, \ B, \ 101 \\ \lambda, \ 116 \\ r, \ D, \ Z, \ 108, \ 111 \\ S, \ 119, \ 121 \\ \chi, \ 113 \end{array}$ 

#### Chapter 3

 $\tilde{\alpha}, \beta, 143 \\ \beta, 142 \\ \beta_h, 154 \\ \gamma, 147$ 

$$\begin{split} \rho, \rho_{p}^{\phantom{p}+}, \rho_{i}^{\phantom{i}+}, 134 \\ E_{r,p}, E_{r,i}, 160 \\ f_{Z}, 136 \\ \zeta_{pp}, \zeta_{ii}, \zeta_{pi}, \zeta_{ip}, 158 \\ \zeta_{r,p}^{\phantom{i}+}, \theta_{h}^{\phantom{i}+}, \theta_{r,p}^{\phantom{i}+}, 171 \\ N, P, C^{N}, C^{P}, C_{h}^{\phantom{h}N}, C_{+}^{\phantom{i}N}, C_{-}^{\phantom{i}N}, 134 \\ S_{p}, S_{i}, 135 \\ \exp u, 137 \\ u^{[2]}, 146 \end{split}$$

### Chapter 4

A, 177 J, 184  $\mu$ , 180  $\xi \circ \eta$ , 178  $\Omega$ ,  $\Lambda$ , F,  $\Phi$ , 178  $\overline{x}$  (x octonion), 188 x \* y, 188 Sp x (x octonion), 191

# C. Chevalley THE ALGEBRAIC THEORY OF SPINORS AND CLIFFORD ALGEBRAS

This volume is Vol. 2 of a projected series devoted to the mathematical and philosophical works of the late Claude Chevalley. It covers the main contributions by the author to the theory of spinors. Since its appearance in 1954, "The Algebraic Theory of Spinors" has been a much sought-after reference. It presents the whole story of one subject in a concise and especially clear manner.

The reprint of the book is supplemented by a series of lectures on Clifford Algebras given by the author in Japan at about the same time. Also included is a postface by J.-P. Bourguignon describing the many uses of spinors in differential geometry developed by mathematical physicists from the 1970's to the present day.

After its appearance the book was reviewed at length by Jean Dieudonné. His insightful criticism of the book is also made available to the reader in this volume.

